

Meeting Agenda
Thursday, October 5, 2023
9:30 AM
Joseph P. Cresse Hearing Room 148
Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 32399-0850

1. Discussion of Florida Thoroughbred Breeders' and Owners' Association annual plan
2. Discussion of amended application for cardroom license
 - 2.1. 2023-048899 – South Marion Real Estate Holdings, LLC
3. Discussion of amended application for pari-mutuel operating licenses
 - 3.1. 2023-051743 – Gulfstream Park Racing Association, Inc.
 - 3.2. 2023-051759 – Gulfstream Park Thoroughbred After Racing Program, Inc.
4. Discussion of renewal application for slot machine license
 - 4.1. 2023-048253 – Gulfstream Park Racing Association, Inc.
5. Discussion of consent orders
 - 5.1. 2022-059436 – Sarasota Kennel Club, Inc.
 - 5.2. 2023-034324 – Vasquez, Alvaro Hernan
6. Discussion of default final orders
 - 6.1. 2023-004357 – Hung, Pham
 - 6.2. 2023-014183 – Valdivia Palacios, Michelle Celeste
7. Discussion of recommended orders
 - 7.1. 2022-052207 – Wilson, Anthony
 - 7.2. 2022-061179 – Gonzalez, Gilfredo
 - 7.3. 2023-021423 – Watson, Jamil
8. Discussion of orders to vacate final order or amend final order
 - 8.1. 2008-012886 – Gonzalez, Gilfredo

9. Discussion of license denials
 - 9.1. 2023-038900 – Belozarov, Aleksandr Nikolay
 - 9.2. 2023-040967 – Thomas, Jessica Michell
 - 9.3. 2023-047281 – Kemp, Askari Leon
 - 9.4. 2023-048275 – Gerald, Alfred Lee Jr.
 - 9.5. 2023-050675 – Corros, Tammie P
10. Discussion of policies and procedures
 - 10.1. Active Assailant Response
 - 10.2. Travel
 - 10.3. Forms Management Program
11. Executive Director update
12. Public comment

PERSONS WISHING TO ADDRESS
THE COMMISSION ON ANY OF THE AGENDA ITEMS
SHOULD EMAIL DIXIE.PARKER@FLGAMING.GOV
NO LESS THAN 24 HOURS BEFORE THE
START OF THE MEETING

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1. Discussion of Florida Thoroughbred Breeders' and Owners' Association annual plan

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: FTBOA 2024 Annual Plan
Date: October 5, 2023

Executive Summary

The Florida Thoroughbred Breeders' Association (FTBOA)¹ is required to annually establish a uniform rate and procedure for the payment of breeders' and stallion awards and make breeders' and stallion award payments in compliance with the established uniform rate and procedure plan. The plan may also include the payment of awards to owners of a registered Florida-bred horse that place 1st, 2nd, or 3rd in thoroughbred horse races conducted in Florida.² The uniform rate and procedure plan must be approved by the Commission before implementation.³

Background

Breeders' and stallion awards, as well as owners' awards of registered Florida-bred horses placing in Florida thoroughbred races, encourage thoroughbred breeding and training operations to locate to Florida and attract the entry of well-bred horses to racing meets in Florida races. FTBOA develops a plan for each calendar payment year that establishes the uniform rate of payment and the procedure to pay breeders' and stallion awards.⁴ The annual plan is required to be submitted at least 60 days before their calendar payment year.⁵

Analysis

The FTBOA proposed 2024 annual plan for breeders' and stallion awards, including owner's awards of registered Florida-bred horses placing in Florida thoroughbred races, has been reviewed and meets the requirements of Sections 550.26165 and 550.2625, Florida Statutes. Our analysis detail is outlined on the attached review checklist.

¹ "Florida Thoroughbred Breeders' Association is authorized to receive these payments from the permitholders and make payment of awards earned."

² § 550.26165(5) (b) 3, Fla. Stat.

³ § 550.2625(3) (h), Fla. Stat.

⁴ § 550.26165(2), Fla. Stat.

⁵ § 550.26165(3), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
ANNUAL PLAN BREEDER, STALLION, AND STAKES AWARDS

| | | | |
|--|---|---|----------------------|
| Breeders' Association: FTBOA | | | |
| Review Notes for 2024 FTBOA Annual Plan for Breeder, Stallion, and Stakes Awards and its Submission | | Legal Citation | Yes , No, N/A |
| 1 | Did the plan provide for breeders' awards and stallion awards to be given at a uniform rate that is not greater than 20 percent and not less than 15 percent of the announced gross purse, if funds are available? | Sections 550.26165 (1) and 550.2625 (3)(a)-(b) & (h), Florida Statues | Yes |
| | Breeders' awards rates: (transmittal letter page 1, 3rd paragraph summarizing the 2024 FTBOA Annual Plan; and 2024 Awards Plan item (1) on page 1. Transmission letter: "... This 2024 Awards Plan will increase Breeder and Stallion Awards to 20% of the announced gross purse. As you will recall, the Breeder and Stallion Awards rate approved by the Commission for 2023 was 15% of the announced gross purse. Similar to 2023, the 2024 Plan will distribute Breeder Awards across first, second, and third-place finishers." | | |
| | 1st place 12.5% | | |
| | 2nd place 5% | | |
| | 3rd place 2.5% | | |
| | (12.5%+5%+2.5%=20%) calculation of percentage to verify that the 20% was is distributed. | | |
| | Stallion awards rates: (transmittal page 1, 3rd paragraph summarizing the 2024 FTBOA Annual Plan; and 2024 Awards Plan item (2) pages 1- 2). Transmittal letter: "... This 2024 Awards Plan will increase Breeder and Stallion Awards to 20% of the announced gross purse. As you will recall, the Breeder and Stallion Awards rate approved by the Commission for 2023 was 15% of the announced gross purse...." and Annual Plan "Stallion Awards will be paid at a uniform rate of twenty percent (20%) of the announced gross purse, with each such Stallion Award limited to no more than \$20,000." | | |
| 2 | Does the plan qualify that awards shall be given if funds are available? | Section 550.26165 (1) and (2), Florida Statues | Yes |
| | "pending the availability of funds" in the introductory paragraph on page 1 of both the transmittal letter and the 2024 FTBOA Annual Plan. | | |
| 3 | Did the plan provide for no more than 40 percent nor less than 17 percent of the moneys dedicated for use as breeders' awards and stallion awards be returned pro rata to the permitholders that generated the moneys for special racing awards to be distributed by the permitholders to owners of thoroughbred horses participating in prescribed thoroughbred stakes races, nonstakes races, or both, in accordance with a written agreement establishing the rate, procedure, and eligibility requirements for such awards entered into by the permitholder, FTBOA, and the Florida Horsemen's Benevolent and Protective Association? | Sections 550.26165 (1) and 550.2625 (3)(c), Florida Statues | Yes |
| | Stakes Races - Racing meets under Gulfstream Park Management (2024 FTBOA Annual Plan item (3)(a) page 2): | | |
| | At least 17% for monies...as generated from all thoroughbred racing meets managed by Gulfstream Park Racing Association, Inc.,...for Special Racing Awards to be distributed as purses, purse supplements and/or other racing incentives, pending the adoption of an agreement establishing the rate, procedure, and eligibility requirements of such Awards entered into by FTBOA, Florida Thoroughbred Horsemen's Association, and the relevant permitholders. Absent the agreement, funds will be distributed as stated in the Awards Account Surplus provision of the 2024 FTBOA Annual Plan {item (4) (a) page 3}. | | |

| Review Notes for 2024 FTBOA Annual Plan for Breeder, Stallion, and Stakes Awards and its Submission | Legal Citation | Yes , No, N/A |
|---|--|-------------------|
| <p>Stakes Races - All other racing meets (2024 FTBOA Annual Plan item (3)(b) page 2):</p> | | |
| <p>At least 17% of monies dedicated by statute for breeders' awards and stallion awards pro-rata to the permitholders generating the monies for Special Racing Awards to be distributed as purses, purse supplements and/or racing incentives, pending adoption of an agreement ... entered into by the FTBOA, the relevant local horsemen's association, and the individual permitholder. Absent the agreement, funds will be devoted to Stakes Awards and distributed as set out in the Awards Account Surplus provision of the 2024 FTBOA Annual Plan {item (4) page 3}.</p> | | |
| <p>4 Does the plan provide for maximum possible payments within revenues?</p> | <p>Section 550.26165 (2), Florida Statutes</p> | <p>Yes</p> |
| <p>Based on prior year experience and projections, FTBOA believes that the 2024 Awards Plan will provide for maximum possible awards payments within available revenues, maintain reserves that should be sufficient to deal with most contingencies, and position Florida to compete with other states incentive programs. FTBOA 2024 award plan includes a caveat for the future changes to the plan based upon material changes in the thoroughbred breeding and racing industries or other circumstances underlying the plan, which may include new Floria legislation, court decisions, natural disasters, or other emergency situations. (transmittal letter page 2, 1st paragraph and 2024 FTBOA Annual Plan page 3, last paragraph)</p> | | |
| <p>5 Does the plan allow for adjustments to compensate for changing revenues from year to year?</p> | <p>Section 550.26165 (3), Florida Statutes</p> | <p>Yes</p> |
| <p>Award plan provides language to ask for changes to the plan based upon material changes in the thoroughbred breeding and racing industries, other circumstances underlying the plan including the Florida's economy, its tourism outlook, and it gaming marketplace, regulatory, legal developments, etc. (transmittal letter page 3, last paragraph and 2024 FTBOA Annual Plan page 3, last paragraph)</p> | | |
| <p>6 Did the breeders' association submit their plans to the division at least 60 days before the beginning of the payment year?</p> | <p>Section 550.26165 (3), Florida Statutes</p> | <p>Yes</p> |
| <p>Date Submitted to Division: 9/5/23 (email date)</p> | | |
| <p>Beginning of Payment Year: 1/1/2024 (2024 FTBOA Annual Plan page 1)</p> | | |
| <p>Number of Days submission precedes the beginning of the payment year: 118 days (September 26 days includes the date of submission + October 31 days + November 30 days + December 31 days)</p> | | |
| <p>7 Is the payment year a calendar year or a 12 month period?</p> | <p>Section 550.26165 (3), Florida Statutes</p> | <p>Yes</p> |
| <p>Calendar Year: January 1, 2024 to December 31, 2024 (2024 FTBOA Annual Plan page 1)</p> | | |
| <p>12 Month Period: N/A using a calendar year</p> | | |
| <p>8 Is the payment year (a calendar year or a 12 month period) the same monthly payment period as noted in the prior annual plan's payment period?</p> | <p>Section 550.26165 (3), Florida Statutes</p> | <p>Yes</p> |
| <p>2024 Annual Plan payment period: January 1, 2024 to December 31, 2024 (2024 FTBOA Annual Plan page 1)</p> | | |
| <p>2023 Annual Plan payment period: January 1, 2023 to December 31, 2023 (2023 FTBOA Annual Plan page 1)</p> | | |
| <p>9 If number 6 is no, did the breeders' association provide the division with compelling reasons for the change to the payment period?</p> | <p>Section 550.26165 (3), Florida Statutes</p> | <p>N/A</p> |
| <p>10 Does the plan include a proposal for the general promotion of the industry?</p> | <p>Section 550.2625 (3)(h), Florida Statutes</p> | <p>Yes</p> |
| <p>Appendix B to the 2024 FTBOA Annual Plan</p> | | |
| <p>11 If the plan withholds an amount as a fee for administering the payments of awards and for the general promotion of the industry, is it 10 percent or less?</p> | <p>Section 550.2625(3), Florida Statutes</p> | <p>Yes</p> |

| Review Notes for 2024 FTBOA Annual Plan for Breeder, Stallion, and Stakes Awards and its Submission | Legal Citation | Yes , No, N/A |
|---|----------------|---------------|
| Administrative fee 10% (transmittal letter page 4, first paragraph and 2024 FTBOA Annual Plan page 3, first paragraph after item (4) (b)) | | |

Kimberly Ferree

From: Peggy Yost <PYost@ftboa.com>
Sent: Tuesday, September 5, 2023 5:22 PM
To: Louis Trombetta; Joe Dillmore; Clerk; Kimberly Ferree
Cc: Lonny Powell
Subject: FTBOA 2024 Awards Plan
Attachments: 2024 Awards Plan - Transmittal Letter.pdf; 2024 Awards Plan (Appendix A - Awards Policy) .pdf; 2024 Awards Plan (Appendix B - Industry Promotion Plan) .pdf; 2024 Awards Plan .pdf; Audit 2022.pdf

Dear Director Trombetta,

On behalf of Lonny T. Powell, CEO and Executive Vice President of the FTBOA, please find attached the FTBOA's 2024 Awards Plan and related documents, submitted to the Division pursuant to s. 550.26165, Florida Statutes.

Thank you,

Peggy



Peggy Yost

Chief Financial Officer

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FLORIDA THOROUGHBRED
BREEDERS' AND OWNERS' ASSN.

September 5, 2023

Via Electronic Mail

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Mr. Louis Trombetta, Executive Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL 32399-1035

**RE: FTBOA PLAN FOR PAYMENT OF THOROUGHBRED
BREEDER, STALLION, AND SPECIAL RACING AWARDS
(JANUARY 1, 2024 - DECEMBER 31, 2024)**

Dear Director Trombetta:

In accordance with section 550.26165, Florida Statutes, the Florida Thoroughbred Breeders' Association, Inc., d/b/a the Florida Thoroughbred Breeders' and Owners' Association ("FTBOA"), hereby submits for Commission approval the FTBOA's plan for the payment of all monies dedicated to Breeder Awards, Stallion Awards, and Special Racing Awards under chapter 550 for the calendar year ending December 31, 2024, pending the availability of funds. In addition to those monies, this plan is premised upon the FTBOA's use of funds from the Florida Department of Agriculture and Consumer Services pursuant to section 571.265(3)(a), from which half of all Breeder and Stallion Awards under this plan will be funded.

This plan was prepared in accordance with FTBOA's 2024 Breeder Awards Policy, a copy of which is attached as Appendix A and incorporated herein by reference.

If approved, this 2024 Awards Plan will increase Breeder and Stallion Awards to 20% of the announced gross purse. As you will recall, the Breeder and Stallion Awards rate approved by the Commission for 2023 was 15% of the announced gross purse. Similar to 2023, the 2024 Plan will distribute Breeder Awards across first, second and third-place finishers.

Notably, as demonstrated below, but for the infusion of funding from the state pursuant to section 571.265(3)(a), it would have been necessary to reduce the 2024 Breeder and Stallion Awards rate below the 15% level in order to keep the awards program solvent and maintain adequate reserves.

Based on its experience in prior years and projections for 2023 and 2024, the FTBOA believes that the 2024 Awards Plan will provide for the maximum possible awards payments within available revenues, maintain reserves that should be sufficient to deal with most contingencies, and best position Florida to compete with thoroughbred breeding incentive programs in other states.

The figures below represent the balance in the Ch. 550 awards account on the indicated year-end dates, reported on a cash basis, with the 2024 number anticipating the FTBOA's use of section 571.265(3)(a) funds to pay approximately half of all Breeder and Stallion Awards provided for under the 2024 Awards Plan:

| <u>December 31, 2021</u> | <u>December 31, 2022</u> | <u>December 31, 2023</u> (Projected) | <u>December 31, 2024</u> (Projected) |
|--------------------------|--------------------------|---|---|
| \$2,587,021 | \$2,085,912 | \$1,388,781 | \$2,205,276 |

The 2021 and 2022 numbers correspond to the Cash and Cash Equivalents in the awards account, as reflected in the most recent annual audit conducted by the independent accounting firm of Purvis, Gray & Company.¹ Notably, those reported Cash and Cash Equivalents remained subject at the time to contingent liabilities connected with the funding of purses and purse supplements for Florida-bred stakes races that had not been run at Florida tracks by December 31 of the subject year, pursuant to the annual tri-party stakes program agreements entered among the FTBOA, the tracks, and their respective horsemen's groups under section 550.26165(1). As of the end of 2022, these contingent liabilities amounted to \$2,410,000.² Historically, however, the awards account has never had to absorb the full amount of these contingent liabilities, because Florida-bred horses do not always perform well enough in each race to earn every Florida-bred purse or purse supplement available under the program.

The projections in the above table for 2023 and 2024 do not reflect the full amount of the contingent liabilities arising from the tri-party agreements governing stakes and other special racing awards for those years. These projections do, however, reflect the expected liabilities for 2023 and 2024 based upon the recent performance of Florida-breds in races subject to the special racing awards program. Tri-party agreements governing special racing awards for 2023 and 2024 are currently in place.

The projected awards account year-end cash balances for 2023 (\$1,388,781) and 2024 (\$2,205,276) are similar to or substantially less than the year-end cash balances in 2015 (\$3,932,482), 2016 (\$3,307,516), 2017 (\$3,190,092), 2018 (\$1,511,351), and 2020 (\$2,365,340). Fortunately, the year-end cash balances for 2023 (\$1,388,781) and 2024 (\$2,205,276) are substantially greater than the year-end cash balance in 2019 (\$205,552), when the awards account ran dangerously low due to unexpected developments in the Florida Thoroughbred industry that

¹ See attached Auditor's Report, pg. 6.

² See attached Auditor's Report, pg. 11 (Note 7).

departed from historical norms. Having audited the awards account for a number of years now, our auditing firm agrees that maintaining reserves of approximately \$2,000,000 would be prudent from a financial management perspective. As such, the FTBOA believes that the projected awards account year-end cash balances for 2023 and 2024 will allow us maintain reserves sufficient to deal with most contingencies while paying out as much in awards funds as possible to the Florida thoroughbred breeding community.

Notably, without the FTBOA’s use of section 571.265(3)(a) funds to pay approximately half of all Breeder and Stallion Awards provided for under the 2024 Awards Plan, the projected 2024 year-end cash balance in the Ch. 550 awards account would be **-\$2,032,650** based on a 20% awards rate -- meaning no awards could be paid for a large portion of the year, and only **\$78,869** based on a 15% awards rate, which would fall well below the \$2,000,000 in reserves supported by our auditors. As such, absent the section 571.265(3)(a) funds, the FTBOA would have had to propose a 2024 awards rate below 15% in order to maintain adequate reserves.

In terms of tracking cash flows into and out of the Ch. 550 awards account during the above Plan years, the figures are as follows, with the 2024 number anticipating the FTBOA’s use of section 571.265(3)(a) funds to pay approximately half of all Breeder and Stallion Awards provided for under the 2024 Awards Plan:³

| | <u>Dec. 31,</u> <u>2021</u> | <u>Dec. 31,</u> <u>2022</u> | <u>Dec. 31,</u> <u>2023</u> (Projected) ⁴ | <u>Dec. 31,</u> <u>2024</u> (Projected) |
|---|--------------------------------|--------------------------------|--|---|
| Total Revenue (i.e., Track Receipts) | \$7,496,846 | \$7,242,751 | \$7,240,594 | \$7,305,714 |
| Total Expenses (Awards, Stakes Program, and 10% Admin./Promo. Fee) | \$7,621,096 | \$7,824,631 | \$7,936,615 | \$6,424,218 |

Of course, all of our projections are highly sensitive to changes in Florida’s economy, its tourism outlook, and its gaming marketplace, which may come from myriad sources, including legislative, regulatory, and legal developments. If our projections must be revised due to such a change, whether positive or negative, the possibility exists that the FTBOA may seek approval of an amendment to the 2024 Awards Plan, as provided for in s. 550.26165(3).

³ For 2021 and 2022 figures, see attached Auditor’s Report, pg. 4. With respect to section 571.265, it is also worth noting that, because Breeder and Stallion Awards are tied to a percentage of the purse in a given race, this new statute will inevitably drive higher Awards payouts due to the funds dedicated in that statute to increasing purses at Gulfstream Park (up to \$17 million) and Tampa Bay Downs (up to \$5.5 million).

⁴ The projections herein for 2023 and 2024 do not reflect the full contingent liabilities arising from the tri-party stakes program agreements entered into pursuant to section 550.26165(1). The projections do, however, reflect the expected stakes program liabilities for 2023 and 2024 based upon the recent performance of Florida-breds in stakes races under the program.

Mr. Louis Trombetta, Executive Director
Florida Gaming Control Commission
September 5, 2023
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With respect to the FTBOA's fee for administration of the awards program and promotion of the Florida thoroughbred industry, as referenced in ss. 550.2625(3), the 2024 Awards Plan is premised upon continuing this fee at 10% of awards funds received from the tracks, maintaining the stable funding source that the FTBOA has relied on for many years to accomplish its statutory mission. Virtually all of the FTBOA's activities are dedicated to these two purposes, and this fee is projected to comprise approximately 32.63% of the FTBOA's operating revenues for 2023 and 2024.

While the 2024 Awards Plan relates only to monies dedicated to Breeders Awards, Stallion Awards, and Stakes Awards under chapter 550, we note that, for administrative convenience, the awards account figures cited above for 2024 and prior years include the receipt of cardroom revenues from all tracks.

In terms of process, we note for your reference that the proposed 2024 Awards Plan was included on the agenda for the FTBOA Board of Directors meeting on August 18, 2023. The public was invited to attend this portion of the meeting and provide comments. The Plan was then approved by the FTBOA Board of Directors at that meeting.⁵

As you conduct your review, please feel free to contact us if any questions arise.

Thank you for your assistance.

Sincerely,



Lonny T. Powell
CEO

Encl.

cc: Mr. Joe Dillmore, DPW Director
Ms. Kimberly Ferree, DPW Chief Auditing Officer
Commission Clerk
Ms. Peggy Yost, FTBOA CFO

⁵ The Auditors' Report referenced herein was the subject of a similar public comment meeting on May 26, 2023, but no members of the public attended. The Auditor's Report was then approved by the FTBOA Board of Directors during the open portion of its meeting conducted on May 26, 2023, but, again, no members of the public attended.

FLORIDA THOROUGHBRED BREEDERS' AND OWNERS' ASSOCIATION

PLAN FOR PAYMENT OF BREEDER, STALLION, AND SPECIAL RACING AWARDS

(JANUARY 1, 2024 - DECEMBER 31, 2024)

In accordance with section 550.26165, Florida Statutes, the Florida Thoroughbred Breeders' Association, Inc., d/b/a the Florida Thoroughbred Breeders' and Owners' Association ("FTBOA"), hereby submits its plan for the payment of all monies dedicated to Breeder Awards, Stallion Awards, and Special Racing Awards under chapter 550 (the "Awards Account") for the calendar year ending December 31, 2024, pending the availability of funds. In addition to those monies, this plan is premised upon the FTBOA's use of funds from the Florida Department of Agriculture and Consumer Services pursuant to s. 571.265(3)(a), from which half of all Breeder and Stallion Awards under this plan will be funded. This plan was prepared in accordance with the FTBOA's 2024 Breeder Awards Policy, a copy of which is attached as Appendix A and incorporated herein by reference.

(1) **Breeder Awards**

The FTBOA will pay Breeder Awards to the breeders of Florida-breds appropriately registered with the FTBOA that finish in first, second or third place in a licensed race at a Florida thoroughbred track during the 2024 calendar year as follows:

1st Place – Twelve and one-half percent (12.5%) of the announced gross purse, with each such Breeder Award limited to no more than \$12,500.

2nd Place – Five percent (5%) of the announced gross purse, with each such Breeder Award limited to no more than \$5,000.

3rd Place – Two and one-half percent (2.5%) of the announced gross purse, with each such Breeder Award limited to no more than \$2,500.

As used herein, "announced gross purse" means the gross purse available in the race, as stated in the official race conditions (whether actually earned or not), minus any Florida Owners' Awards included therein (pursuant to section 550.2625(6)(d), Florida Statutes).

These awards will be paid monthly.

(2) **Stallion Awards**

The FTBOA will pay Stallion Awards to the owners of thoroughbred stallions appropriately registered with the FTBOA and siring FTBOA-registered Florida-breds that finish first in a listed black-type stakes race at a Florida thoroughbred track during the 2024 calendar year. The stallion must be registered with the FTBOA in the year of conception of the winning horse and each year thereafter, including 2024.

Stallion Awards will be paid at a uniform rate of twenty percent (20%) of the announced gross purse, with each such Stallion Award limited to no more than \$20,000. As used herein, “announced gross purse” shall have the same meaning as previously set forth for Breeder Awards.

These awards will be paid quarterly.

(3) **Special Racing Awards**

(a) Racing Meets under Gulfstream Park Management – Pursuant to section 550.26165(1), Florida Statutes, the FTBOA will return at least seventeen percent (17%) of the monies dedicated by statute for breeder and stallion awards, as generated from all thoroughbred racing meets managed by Gulfstream Park Racing Association Inc., under any Florida permit or at any Florida track, for Special Racing Awards to be distributed as purses, purse supplements and/or other racing incentives, pending adoption of an agreement establishing the rate, procedure, and eligibility requirements for such Awards entered into by the FTBOA, the Florida Thoroughbred Horsemen’s Association, and the relevant permitholder(s). While the agreement may establish additional requirements, eligibility will be limited to Florida-breds registered with the FTBOA except as specified below. In addition, for the Florida Sire Stakes (“FSS”) program, only thoroughbreds sired by an FTBOA-registered stallion standing in Florida are eligible. Eligibility to compete in one or more FSS stakes races for two-year-olds, at 50% of the FTBOA-funded purse, may also be extended to thoroughbreds foaled in other states but sired by an FTBOA-registered Florida stallion. Absent the above-referenced agreement, funds that would have otherwise been devoted to Special Racing Awards will be distributed as set forth in Paragraph (4).

(b) All Other Racing Meets – Pursuant to section 550.26165(1), Florida Statutes, the FTBOA will return at least seventeen percent (17%) of the monies dedicated by statute for breeder and stallion awards pro-rata to the permitholder generating the monies for Special Racing Awards to be distributed as purses, purse supplements and/or other racing incentives, pending adoption of an agreement establishing the rate, procedure, and eligibility requirements for such Awards entered into by the FTBOA, the relevant local horsemen’s association, and the individual permitholder. While the agreement may establish additional requirements, eligibility will be limited to Florida-breds registered with the FTBOA except as specified below. In addition, for the Florida Sire Stakes (“FSS”) program, only thoroughbreds sired by an FTBOA-registered stallion standing in Florida are eligible. Eligibility to compete in one or more FSS stakes races for two-year-olds, at 50% of the FTBOA-funded purse, may also be extended to thoroughbreds foaled in other states but sired by an FTBOA-registered Florida stallion. Absent the above-referenced agreement, funds that would have otherwise been devoted to Special Racing Awards will be distributed as set forth in Paragraph (4).

(4) Awards Account Surplus

After payment of awards pursuant to Paragraphs (1), (2) and (3) (as applicable) and after providing for a reserve deemed appropriate by the FTBOA to meet future cash flow requirements for Breeder, Stallion, and Special Racing Awards, the FTBOA, through its Board of Directors, shall select from the options specified below (or any combination thereof) to dispose of excess funds, after reconciliation and resolution of any outstanding payments and receipts:

(a) If excess funds are greater than currently anticipated, e.g., because a 2024 agreement under Paragraph (3) is not reached with the permitholder and its local horsemen's association or because this agreement results in fewer awards dollars than expected going to purses, purse supplements and/or other racing incentives, some or all of the excess funds may be paid as bonus Breeder Awards and/or Stallion Awards to recipients of those awards earned during the 2024 calendar year. Any bonus awards under this subparagraph will be paid in the same proportion as previously earned awards during the 2024 calendar year. These bonus awards may cause individual awards to exceed the levels stated in Paragraphs (1) and/or (2).

(b) Some or all of the excess funds may be held in reserve to enhance Breeder Awards, Stallion Awards and/or Special Racing Awards to be made available during the 2025 calendar year.

Ten percent (10%) of the gross receipts into the Awards Account for the 2024 calendar year will be withheld by the FTBOA in accordance with section 550.2625(3), Florida Statutes, for administering the payment of awards and for general promotion of the industry. The FTBOA's proposals for general promotion of the industry are set forth in its 2024 Industry Promotion Plan, a copy of which is attached as Appendix B and incorporated herein by reference.

As stated in the FTBOA's 2024 Breeder Awards Policy (Appendix A), the FTBOA has typically adopted a conservative approach in setting the initial awards rate in the annual plan, with the prospect of later paying bonus breeder and/or stallion awards as actual performance during the plan year becomes clear.

Also, pursuant to section 550.26165(3), Florida Statutes, the FTBOA may, in the future, ask the Division to approve changes to this plan based upon material changes in the thoroughbred breeding and racing industries or other circumstances underlying the plan, which may include new Florida legislation, court decisions, natural disasters or other emergency conditions, etc.

FTBOA

Breeder & Stallion Awards Policy

This document memorializes the ongoing practices of the Florida Thoroughbred Breeders' and Owners' Association ("FTBOA") in administering the Florida breeder and stallion awards program and establishing the annual plan for the program, pursuant to chapter 550, Florida Statutes.

Policy Statement: It is the Policy of the FTBOA to distribute to the breeders of registered Florida-bred thoroughbreds successfully competing in Florida races and, secondarily, to distribute to the owners of registered Florida sires of such horses successfully competing in Florida stakes races, the maximum possible breeder and stallion awards, within the confines of available revenues and consistent with sound financial business practices and the requirements of chapter 550, Florida Statutes.

When preparing the annual plan and initial awards rate for the next calendar year, which typically occurs in August and September, numerous variables must be evaluated, including current and projected breeding and racing activity in Florida, as well as the breeding incentive programs offered in other states. Setting the initial awards rate, which may extend to Florida-breds that win, place, or show in licensed races, is always a balancing act among competing factors, including:

- (a) the uncertainty of how many Florida-breds will actually win, place, or show in Florida races conducted in the coming year;
- (b) how tracks will write the conditions, including base purses and added purses, for those races;
- (c) existing and projected awards obligations for the remainder of the current year;
- (d) the level of reserves that will be carried over into the coming year;
- (e) how much awards revenue will actually be generated and paid by the tracks during the plan year;
- (f) how much will be returned to the tracks generating those revenues as "special racing awards" in the form of purses, purse supplements and/or other racing incentives, pursuant to FTBOA agreements with those tracks and the respective local horsemen's associations;
- (g) the desire to avoid over-committing available revenues, which would require the issuance of "IOUs" to breeders and/or stallion owners – a regrettable situation that has occurred in other states; and
- (h) recent and possible future developments affecting the marketplace and the legislative, regulatory, racing dates, and legal/political/economic landscapes.

In light of the many variables involved, the FTBOA has typically adopted a conservative approach in setting the initial awards rate in the annual plan, with the prospect of later

paying bonus breeder and/or stallion awards as actual performance during the plan year becomes clear, as discussed below.

Additional Breeder and/or Stallion Awards: In order to distribute the maximum possible breeder and/or stallion awards within available revenues and to ensure that the awards fund balance does not grow excessively, the annual plan may authorize the payment of bonus breeder and/or stallion awards and/or may authorize the payment of breeder and/or stallion awards on certain classes of races conducted in other states, pursuant to section 550.26165(5)(c), Florida Statutes, if agreed to by the FTBOA, Florida Thoroughbred Horsemen's Association, and all Florida thoroughbred permitholders. If an awards account surplus exists after providing for cash reserves appropriate to ensure that the ongoing payment of breeder and stallion awards is not jeopardized, and after considering the factors and variables described above, the FTBOA Board of Directors may direct the payment of any of the additional types of awards provided for in the annual plan. This review occurs yearly in conjunction with the conclusion of the annual financial audit of the awards fund, typically in May.

FTBOA

2024 Industry Promotion Plan

This document memorializes the ongoing activities of the Florida Thoroughbred Breeders' and Owners' Association ("FTBOA") in promoting the Florida thoroughbred industry.

Mission Statement: It is the mission of the FTBOA to promote the State of Florida's thoroughbred industry, educating the public within the state, across the country, and internationally about the benefits of breeding, buying, selling, owning, training, and racing in Florida. These efforts target individuals already active in the world of thoroughbreds and/or other horse breeds, as well as potential newcomers to the community of horse enthusiasts. The goal is to make Florida the most attractive thoroughbred environment possible as compared to other locales and their competing incentive and promotional programs, leading to enhanced economic benefits, investment and employment opportunities for the State of Florida and its citizens.

The importance of the industry and these promotional efforts is recognized in Florida law, which created the Florida Breeder Awards Program "to encourage the agricultural activity of breeding and training racehorses in this state." Section 550.26165(1), Florida Statutes. This program is "intended to encourage thoroughbred breeding and training operations to locate in this state," and the FTBOA is given considerable flexibility in its design, because this program "must be responsive to rapidly changing incentive programs in other states." Section 550.26165(5)(a), Florida Statutes.

Beyond the FTBOA's operation of the Breeder Awards Program, the importance of the FTBOA's efforts to promote the state's thoroughbred industry is underscored by the state's dedication of funds to the FTBOA "for general promotion of the industry." Section 550.2625(3), Florida Statutes. Of course, these promotional efforts must be accomplished within existing budget limitations, and they must remain adaptable to a constantly evolving and developing thoroughbred marketplace in this state, nationally, and internationally.

Promotional Plan: The FTBOA's investment in promotional activities on behalf of the Florida thoroughbred industry will continue and expand upon its ongoing initiatives, to include the following:

1) Create and support marketing programs to educate the state, national, and international communities about the benefits of breeding, buying, selling, owning, training, and/or racing in Florida. The FTBOA's website at www.FTBOA.com (newly redesigned in 2023) provides a wealth of information on the Florida industry, notable events and dates, etc., while the FTBOA's marketing and promotional efforts extend to racetrack condition books, racetrack overnight sheets, race results, official racing programs, thoroughbred sales catalogs, digital marketing, online video platforms, podcasts, cell phone text programs, digital newsletters, and social media. The FTBOA also regularly participates in state, national, and international conferences and, when possible, international trade missions.

2) Negotiate agreements on special Florida-bred purses and Florida-bred incentives with the Florida thoroughbred racetracks, pursuant to Sections 550.26165(1) and 550.2625(3)(c), Florida Statutes. Under these agreements, a portion of breeder awards funds are designated for use as purses and purse supplements in select races (“special racing awards” under the statutes), which are generally made available to Florida-breds competing in races conducted at Florida’s thoroughbred racetracks. In addition, for the Florida Sire Stakes (“FSS”) program, as discussed below, only FTBOA-registered thoroughbreds sired by an FTBOA-registered stallion standing in Florida are eligible. Among other things, this program incentivizes “black-type” stakes races or incentives restricted to FTBOA-registered runners. The winner and the second and third place finishers in black-type races are printed in bold in sales catalogs, increasing market demand and future breeding values.

Florida-bred or Florida-sired restricted races make up the largest use of FTBOA purses and incentives, examples of which have included: (a) Tampa Bay Downs’ annual Florida Cup Day in March/April and (b) Gulfstream Park’s annual Florida Sire Stakes series for two-year-olds run in September, October, and December.

By promoting and enhancing the value of these events, the FTBOA increases public awareness of Florida-breds, encourages investment in breeding and racing in the Sunshine State, and contributes to the continued success of our state racetracks.

3) Administer and promote the Florida Sire Stakes (“FSS”), which is a special racing program for foals born in Florida and sired by FTBOA-registered stallions standing in this state. The FTBOA began administering the program with the foals of 2012, featuring a robust racing and incentives schedule tailored to FSS-eligible runners, including a series of FSS stakes races with attractive FTBOA-funded purses as well as FTBOA-funded purse supplements in designated races.

Eligibility to compete in one or more FSS stakes races for two-year olds, at 50% of the FTBOA-funded purse, may also be extended to thoroughbreds foaled in other states but sired by an FTBOA-registered Florida stallion. The program encourages breeding Florida-breds, standing Florida-based stallions, training and racing in Florida, and the purchase and sale of FSS-eligible horses.

4) Support national organizations, such as the Thoroughbred Owners and Breeders Association (“TOBA”), American Horse Council, Permanently Disabled Jockeys Fund, and U.S. Livestock Genetics Export, Inc. Beyond participating in TOBA activities at the national level, the FTBOA supports TOBA seminars in Florida and The Jockey Club/TOBA’s OwnerView National Thoroughbred Owners’ Conferences, serving as panelists, acting as a resource for recruiting potential new owners by introducing the Florida industry to participants, and promoting TOBA’s educational programs. The FTBOA’s participation enhances the Florida thoroughbred climate by highlighting Florida’s thoroughbreds to potential owners and enhancing the experience of existing owners through education.

5) Sponsor national events, such as the Eclipse Awards and the National Thoroughbred Owners' Conference. Administered by the National Thoroughbred Racing Association, the Eclipse Awards are the thoroughbred industry's highest honors, presented annually in a televised event to honor the best thoroughbred horses, industry participants, and media members – an evening frequently called the “Oscars of Horse Racing.” The FTBOA's annual sponsorship brings key recognition to Florida-breds and Florida's contributions to the sport at a national and international level, with the FTBOA and Florida-breds prominently featured at event sites, in the awards program, and throughout the awards telecast.

6) Host an annual awards event honoring Florida-bred champions, their breeders, owners and trainers. The FTBOA Awards Gala (“Gala”) is typically held annually in March or April in conjunction with the Ocala Breeders' Sales Company March Sale of Two-Year-Olds in Training. The Gala is held at a large-scale event center in the Ocala area, attracting roughly 250-400 attendees, including sponsors, award winners, and members of the public. Awards are presented to divisional champions of all age groups, including two-year-olds, three-year-olds, and older horses. The breeders, owners, and trainers receive awards for their accomplishments, among other awards recognized at the event. The Gala allows the Florida thoroughbred industry to showcase its best and increase public awareness locally, nationally, and internationally.

7) Educate the Florida public on the thoroughbred industry's significant economic contribution to Marion County and to the State of Florida. In 2023, FTBOA will again provide funding and promote broad participation in an independent American Horse Council Foundation equine economic impact study that will provide economic impact, job, and land preservation statistics at the county, state and national level. The results of the 2018 survey have been talking points to drive home the message of the enormous economic impact thoroughbreds have on the State.

8) Support local organizations and events. The FTBOA supports community organizations and events including the Ocala Metro Chamber & Economic Partnership, Marion County Hospitality Council, UF/IFAS Farm City Week, Florida Equine Institute & Allied Trade Show, Marion County Days in Tallahassee, University of Florida Large Animal Hospital, University of Florida Animal Sciences and Equine Programs, the College of Central Florida Equine Management and Equine Event Programs, Future Farmers of America, 4-H Programs, Florida Public Relations Association-Ocala chapter, Run for the Ribbons Horse Shows, Stirrups 'n Strides Therapeutic Riding Center, the Marion County Therapeutic Riding Association, Ocala Jockey Club's Three-Day Event showcasing thoroughbreds, and the Florida Thoroughbred Transformation Exposition among others.

9) Provide support for Florida thoroughbreds retired from racing that are cared for at Second Chances Farm at the state's Lowell Correctional Institution in Marion County.

The FTBOA assists this thoroughbred retirement program by sharing its mission, which gives inmates an opportunity to earn an equine certification degree while caring for retired thoroughbreds in their lifelong, post-racing home.

10) Provide administrative and fundraising support for a youth education scholarship program. The FTBOA provides administrative and fundraising support for a student scholarship

program, which issues scholarships annually to college and university students. The FTBOA also coordinates these scholarships with the University of Florida and College of Central Florida. Fundraising efforts include golf tournaments, silent and live auctions, and other charity events, as well as securing grants.

11) Maintain a Florida thoroughbred history library at the FTBOA's offices available as a research resource. The FTBOA offices house an extensive research library, including more than 65 years of industry periodicals such as *The Florida Horse*, *The Blood-Horse*, *The Thoroughbred Record*, *Thoroughbred Times*, and American Racing Manuals. Sale catalogs are also available from North America's leading sales companies, as well as international sales catalogs. Rare thoroughbred breeding books are also available for review. Individuals use the library to help plan matings for their broodmares and keep up to date with the latest industry news.

12) Maintain a Florida thoroughbred history museum available for tours in order to educate the public on Florida-bred racing history. The FTBOA museum captures more than 75 years of Florida's thoroughbred history, with a collection including Needles' 1956 Kentucky Derby trophy, Codex's 1980 Preakness Stakes trophy and memorabilia from prominent thoroughbred families and farms, such as the Heaths, the Hoopers, the Franks, and Mockingbird and Tartan Farms. The museum is free and open to the public on weekdays during normal business hours (when the offices are open). Tours are typically provided to a wide variety of individuals, ranging from school field trips to retirement community groups and to many passersby visiting Ocala.

13) Maintain the Florida Thoroughbred Hall of Fame

Excellence in the industry is celebrated with the Florida Thoroughbred Hall of Fame maintained by the FTBOA which honors the people in the industry that have left an indelible mark on the industry within the state and nationally. Periodically, a selection and induction committee is formed to review candidates to be added to the Hall of Fame.

14) Recognize Florida-breds winning stakes races across the country. Each year the FTBOA honors the breeders of Florida-bred stakes winners in publications and at times with annual awards plaques, as funding permits. Breeding a stakes winner is a monumental achievement worthy of recognition from the FTBOA, spreading further goodwill for the Florida breeding industry.

15) Inform, educate, assist, and serve FTBOA members and prospective members while promoting the virtues of Florida-breds and Florida breeding, buying, selling, owning, training, and racing as part of daily FTBOA activities. The FTBOA has a membership services department dedicated to providing members with many valuable benefits, including a number of member discounts from relevant businesses, numerous member services useful to thoroughbred breeders and owners, educational programs, member events, and collective representation before legislative and regulatory bodies.

16) Facilitate communications with, between, and among the FTBOA membership, industry stakeholders, community, business, and the media. Examples of these communication efforts and outreach are provided in the "Additional Information" section, which starts on page 5.

17) Maintain a consistent and effective legislative presence in the State legislature in Tallahassee. In order to communicate state benefits from the thoroughbred industry and to create a favorable governmental environment in which to advocate for initiatives that would make the Florida industry more competitive nationally and internationally, the FTBOA maintains a consistent presence in the state capitol. The FTBOA also works within the processes to oppose initiatives that would work counter to that goal. FTBOA plays a key role in advocacy for the thoroughbred industry.

Additional Information on FTBOA Promotional Activities and Marketing Programs

State, National and International

- The all-new FTBOA website (www.FTBOA.com), targeted for launch in September 2023, will increase visibility of FTBOA, Florida-breds, the FSS, and our numerous breeding and racing incentive programs. Improved navigation and news-posting efficiency will improve membership services, communications, and drive industry awareness of Florida-bred successes.
- The creation and production of advertising campaigns to promote Florida-bred racing programs, thoroughbred ownership, Florida-bred incentives, various FTBOA registration deadline reminders, and important industry events as part of an ongoing marketing program.
- Placement of advertisements in print and online, in sales catalogs at thoroughbred sales, in program ads at racetracks, and in track condition books for trainers and owners.
- Sponsorship of key conferences and events in the industry
- Identify new international marketing arenas.

State

- Promotion via placement in print in local, regional newspapers.
- Promotion on radio stations and television programs. In 2021, Horse Capital Television launched a regular segment on area TV channel 20, launched a phone app and launched on Smart TV systems. Developed with FTBOA, HCTV segments feature Florida thoroughbred industry topics on a regular basis. Radio stations and podcast programs request FTBOA resources for their content and they also book FTBOA management as show guests on a periodic basis. In 2022, Horse Capital TV expanded to be available on Fire TV, Roku, Apple TV and added an Apple i-phone app to its existing Google Play phone app. The show was awarded a national honor in 2022.
- Promotion at state industry conferences and events.

National

- Promotion at national conferences and events in person or virtually (see below).

International - The FTBOA has been an active member of the international community promoting the Florida thoroughbred worldwide. The FTBOA's participation enhances the Florida thoroughbred climate by developing relationships with key personnel in other countries and promoting the Florida-bred internationally.

- Trade missions are part of the overall marketing program focused on enhancing inbound and outbound trade for Florida-breds in emerging thoroughbred markets, which may include in-country visits, periodic follow-up, and reporting of trade missions in industry publications.
- The Race Track Industry Program (RTIP) at the University of Arizona has gained worldwide recognition for its annual Global Symposium on Racing held in Tucson, AZ. every December since 1974. The Symposium attracts attendees representing thoroughbred, standardbred, quarter horse, greyhound, and racino interests from across the United States and internationally. Topics presented during the Symposium include issues and trends of importance to the pari-mutuel industry such as international simulcasting, account wagering, marketing, track surfaces, track operations, innovative technologies and regulation. Symposium speakers and panel sessions represent the "Who's Who" of the racing industry as well as specialists from outside the field. The broad cross-section of North American and international participants, representing all pari-mutuel racing breeds, makes the Global Symposium on Racing the largest industry conference in the world. The Symposium is another example of promotion of the Florida industry. FTBOA leadership - many of which are former graduates/and a past coordinator of the program - serve on panels and support various RTIP initiatives.
- Consultation with countries to assist with association issues, breed enhancement, racing programs and regulatory issues. Examples of providing industry information and consultation include the Barbados Breeders' Association, the Virgin Islands Thoroughbred Breeders and Jamaica's racing community, among others.

Florida Sire Stakes: The Florida Sire Stakes ("FSS") is a special racing and incentives program for foals born in Florida and sired by a stallion standing in this state. The FTBOA began administering the program with the foals of 2012, featuring a robust racing schedule for FSS horses that typically includes a series of FSS stakes races with attractive FTBOA-funded purses and incentives, including the opportunity in some years for horses beyond the age of two to participate, as well as FTBOA funded purse supplements in a number of FSS-designated races. The program encourages breeding Florida-breds, standing Florida-based stallions, training and racing in Florida, and the purchase and sale of FSS-eligible horses.

Support of Key Organizations

- **Thoroughbred Owners and Breeders Association:** The Thoroughbred Owners and Breeders Association (“TOBA”), based in Lexington, Ky., was formed in 1961 and is a national trade organization for thoroughbred owners and breeders. TOBA's mission is to improve the economics, integrity, and pleasure of the sport on behalf of thoroughbred owners and breeders. TOBA's commitment to recruiting new owners to the industry, providing education through clinics and seminars, and enhancing owner and breeder experiences through programs such as the Sales Integrity Program, American Graded Stakes Committee and Claiming Crown, make TOBA the voice of thoroughbred owners and breeders. TOBA co-manages Thoroughbred OwnerView with The Jockey Club.

The FTBOA also promotes the Godolphin Thoroughbred Industry Employee awards annually. The National HBPA, TOBA and The Jockey Club are key players in the education, administration and facilitation of the awards that honor the unsung heroes of the industry. FTBOA promotes and nominates candidates for the awards.

- **U.S. Livestock Genetics Export, Inc.:** The mission of U.S. Livestock Genetics Export, Inc. (“USLGE”) is to better serve world markets with superior livestock genetics from leading, progressive livestock breeders in the United States. USLGE is a non-profit, nationwide trade association that brings together a broad base of U.S. livestock organizations. USLGE represents the international marketing interests of the dairy, beef, sheep, swine, and horse breeding industries. Members also include the embryo and semen industry, livestock export sector, plus federal and state agriculture agencies. FTBOA participates at times.

USLGE members match international producers with sources in the United States that offer genetics with superior credentials. The combined resources of USLGE members include most major species and breeds — resources that are unmatched by any other single organization. This unique broad-based team offers more sources for superior performance-oriented genetics than any other group in the world. USLGE works as a one-stop center to make the genetic selection process easier, more efficient, and more productive.

FTBOA periodically attends USLGE conferences. FTBOA participation in USLGE enhances the ability of the Florida thoroughbred marketplace to serve world markets and allows the FTBOA to interact with other USLGE members and government agencies to develop export opportunities.

- **American Horse Council:** The mission of the American Horse Council (“AHC”) is to promote and protect the equine industry by representing its interests in Congress and in federal regulatory agencies on national issues of importance; to unify the equine industry by informing industry members of regulations and pending legislation and by serving as a forum for all member organizations and individuals; and to advise and inform government and the industry itself of the equine industry’s important role in the United States economy. FTBOA’s CEO serves on the racing committee for AHC, among other committees related to the sport.

The FTBOA is a member of the AHC and periodically attends AHC conferences. FTBOA's CEO Lonny Powell received one of AHC's top awards in 2022, the Van Ness award for being a champion of the equine industry. He was recognized for his work overseeing FTBOA's political, regulatory, legal, public relations, and promotional activities. He has served as a registered lobbyist in California, Washington, Oregon, Arizona, and Florida promoting the thoroughbred industry specifically, and overall, the equine industry.

- **Additional Organizations:** The FTBOA's CEO currently serves on the Florida Animal Industry Technical Council, an advisory council to the Florida Department of Agriculture and Consumer Services. Additionally, the FTBOA's CEO is regularly asked to speak as an industry expert to professional organizations, including Racing Commissioners International, and at conferences on simulcasting, thoroughbred ownership, the gaming marketplace, and gaming regulation. The FTBOA management team also serves on key councils and committees and is asked to speak at industry gatherings. The FTBOA management team also serves on educational committees to promote job opportunities in the industry. In 2020, the FTBOA began collaboration with the Marion County, Florida school system to highlight the new national apprenticeship for the equine industry through the Department of Labor, specifically focusing on thoroughbred industry opportunities.

Supporting National Thoroughbred Events

- **Eclipse Awards:** Administered by the National Thoroughbred Racing Association ("NTRA"), the Eclipse Awards are the thoroughbred industry's highest honors, presented annually in a televised event to honor the best thoroughbred horses, industry participants, and media members – an evening frequently called the "Oscars of Horse Racing." The NTRA, Daily Racing Form, and the National Turf Writers and Broadcasters are organizers of the event, which is aired on Horse Racing TV, Television Games Network, and YouTube. The lead-up to the event features a number of other industry related events, including a ThoroFan breakfast. FTBOA is typically a supporter of the Eclipse Awards week when it is frequently hosted in Florida. High profile support brings key recognition to Florida-breds and Florida's contributions to the sport at an international and world class level with recognition in collateral for the events, at event sites, the awards program and throughout the awards telecast. The mission of the NTRA "is to increase the popularity, welfare, and integrity of thoroughbred racing through consensus-based leadership, legislative advocacy, safety and integrity initiatives, fan engagement, and corporate partner development." The FTBOA works closely with the NTRA, informing members regarding federal legislative updates, and NTRA's industry purchasing programs. The FTBOA also worked closely with the NTRA, and will continue to do so, as it relates to the pandemic providing resources and data used to draft position statements on how certain emergency funding, procedures and rules impact the industry negatively.
- **National Thoroughbred Owners' Conference:** OwnerView, a website and information resource developed to assist both current and prospective thoroughbred owners, typically hosts a two-day in-person National Thoroughbred Owners' Conference annually and in 2020 a two-day conference was held virtually. In 2021 to current year, the conference has

been held monthly via virtual platform. The conference features panel discussions with prominent industry participants.

Educating Florida on the Industry's Impact

- **Industry Economic Impact Studies:** Industry Economic Impact Studies: The FTBOA assembles and disseminates key data on the industry's economic, employment, and tax revenue impacts to industry stakeholders, members, business leaders, legislators, and the community at large.

Total employment impact by the equine industry is 113,079 jobs, with a direct GDP contribution of \$3.6 billion and total value added to the economy of \$6.8 billion. Florida, with 387,078 horses, is the third largest equine state, behind only Texas and California. Approximately 717,000 acres of land in Florida is used for horse-related purposes.

The number of Florida residents that devote some portion of their free time to horse-related activities is 112,000 and 30.5% of households in Florida contain horse enthusiasts. One in four horses in Florida is a thoroughbred (99,000 horses), creating employment of 23,048 and \$2.7 billion in total economic impact. Thoroughbred sales generate \$156 million in annual revenue.

- **Office Loan Program:** The FTBOA makes its offices available for meetings of local charitable organizations and businesses and a number have used the FTBOA board room or library to conduct their business, increasing their exposure to the thoroughbred industry (based on CDC guidelines).
- **Educational Opportunities:** FTBOA staff serve as representatives of the industry to the general public that visits the FTBOA offices, museum, and gallery. This includes scheduled and unscheduled tour groups, industry leaders, community leaders, and the business community. For example, the FTBOA has worked closely with Marion County realtors to highlight the industry's strong economic impact on the area. FTBOA staff also presents classes to the public to help promote the Florida thoroughbred and its impact on the state.
- **Marion County Equine Academy:** A four-year Magnet educational program launched in the Fall of 2022 giving students in the county an opportunity to earn a high school level equine certification upon completion of a 4-year program focusing on foundations of agri-science, animal welfare, safety, handling, biosecurity, horse behavior, and horsemanship. The curriculum will be approved in the summer of 2022 with the first class launching in the Fall. Students will qualify for AEST certification upon graduation.
- **Publications/Publication Content:** FTBOA serves in an advisory and administrative role and provides editorial content for trade publications vital to the Florida thoroughbred and equine marketplace including the *Wire to Wire Racing Digest*, *The Florida Horse* monthly magazine, *The Florida Horse Farm and Service Directory*, *The Florida Horse Stallion Register* and *The Florida Horse Podcast*. FTBOA via Florida Equine Communications fulfills requests from media outlets, press secretaries, producers, and journalists on a

regular basis. FTBOA spends considerable focus on the distribution of content to promote the mission of the Florida thoroughbred reviewing current strategies and identifying communications trends for the future to ensure the content reaches and impacts key audiences.

- In 2023, FEC won national awards from American Horse Publications for art and feature art creativity.

Supporting Local Organizations

- Ocala/Marion County Chamber & Economic Partnership: The national award-winning Ocala Metro Chamber of Commerce & Economic Partnership (“CEP”) was formed to create a one-stop approach to local business retention, attraction, and creation efforts. The CEP’s desire is to be a unified voice and catalyst for the local business community. By collaborating with partners and community investors, the CEP continues to improve the quality of life and build a powerful base for economic development in Marion County. The FTBOA supports the CEP by participating in workshops, seminars, committees, and leadership events. FTBOA staff members participate as speakers and panelists and seek out additional opportunities to promote the Florida thoroughbred. The FTBOA staff has spoken to the CEP membership, at committees, and also to the Leadership Ocala Youth and Leadership Ocala business leaders. The CEP also created the Equine Engagement Initiative to advance the impact of equines to the county.

The FTBOA CEO previously served on the board of directors. The FTBOA provides key data and recommendations to the business community via the CEP as it relates to issues affecting the thoroughbred environment. FTBOA staff has previously invited CEP officials as guests on the Horse Sense radio show on NBC Sports Radio to promote cooperative initiatives, including the release of economic impact studies. FTBOA supports the CEP hosted Irish Trade Mission programs, and other equine related partnerships. FTBOA staff members were instrumental in the launch of the CEP website portal promoting the equine community. FTBOA staff have also been speakers at CEP events including, *“Future Careers in the Horse Industry”* 2022, *“Future Careers in the Horse Industry”* in 2019 and Leadership Ocala in 2022.

- Marion County Hospitality Council: FTBOA staff attends meetings of this group with the purpose of promoting the industry and providing resources, including Farm and Service directories. The FTBOA periodically participates in their showcase program. The FTBOA has been key in developing committees including an Equine Tourism and Hospitality committee.
- Farm-City Week: National Farm-City Week, recognized by a White House proclamation, is organized by the National Farm-City Council, a non-profit organization dedicated to enhancing links between farm families and urban residents. The FTBOA contributed to the first Ocala Farm-City Week back in 1963.

Since then, the FTBOA has continued to be a valuable contributor to the Farm-City Week coalition along with UF/IFAS Marion County Extension Service, the Marion County Farm Bureau, public schools, and the Florida Department of Agriculture.

- Florida Equine Institute & Allied Trade Show: Administered by the University of Florida IFAS Extension Service and the Central Florida Livestock Agents' Group, the FTBOA is an ardent supporter of this one-day educational equine event.
- University of Florida ("UF") Large Animal Hospital: The UF Large Animal Hospital is the only equine veterinary hospital in Florida within a College of Veterinary Medicine and treats horses, cattle, alpacas, llamas, goats, pigs, and other large farm and food animals. The FTBOA works with the facility to promote their programs, serve as a resource, and share information with health care officials. A scholarship is also awarded.
- University of Florida Equine Programs: University of Florida College of Agricultural and Life Sciences Department of Animal Sciences creates innovative solutions to tomorrow's problems in the areas of teaching, research, and extension, by integrating the most modern technologies available with personal expertise and attention to the needs of both students and industry. Animal Sciences graduates work with the science and business of producing domestic livestock species or animal-related products. Animal Sciences students study biotechnology, reproduction, genetics, nutrition, physiology, growth, behavior, management, and food processing. The college offers specialization in equine science with instructors completing research in equine behavior and genetics. The FTBOA management serves as speakers and resources to assist with research and provide thoroughbred-specific background to students.
- College of Central Florida ("CCF") Equine Programs: FTBOA staff are guest presenters at equine program classes on the health of the industry and the opportunities for careers in the field. In addition, they discuss current topics of interest to students regarding the industry. FTBOA also works with CCF annually on a student scholarship, participates in mock interviews and serves on the equine studies program advisory board.
- Future Farmers of America ("FFA"): The FTBOA supports FFA programs with recognition of their equine proficiency winners and assists with fundraising efforts for their local and state equine programs. The FTBOA also works with interested FFA students as volunteers and interns. FTBOA staff periodically attends the FFA state convention in Orlando which enhances the Florida thoroughbred climate by promoting the industry to future participants. The FTBOA works with FFA advisors via the Marion County Business Advisory Council to share the types of jobs students should be aware of and how to best prepare for those jobs.
- Marion County Ag Extension offices: FTBOA partners with Ag extension and UF/IFAS with programming, resources, and expertise. FTBOA participates in the Healthy Horse Conference and Florida Equine Workshops.

- Florida Farm Bureau: The FFB advisory board meetings are held on occasion at the FTBOA offices and FTBOA works with the bureau on projects including Farm-City Week and Ag Ventures as part of the county youth fair.
- Florida Farm Bureau Federation: FTBOA staff serve on the committee as technical advisors. Members include FDACS, UF/IFAS Animal Sciences, and the UF College of Veterinary Medicine, among other hands-on practitioners.
- Farm Credit of Florida: FTBOAS staff have served on the Young, Beginning and Small Farmers advisory committee since 2019. The committee works to identify obstacles and market trends for young, beginning and small farmers focusing on diversity, equity and inclusion in the industry.
- 4-H Programs: In Belleview, Dunnellon, and elsewhere around the state, the FTBOA supports 4-H programs with recognition of their thoroughbred breeding and sales programs and assists with fundraising efforts for their local and state equine programs.
- Leadership Ocala/Citizen's Academies: FTBOA speaks, supplies programming, and consults for the equine portion of these programs which promote the industry to new leaders and citizens in the community.
- Horse Farms Forever ("HFF"): Founded in 2018, the HFF mission is to raise awareness of the "character and culture" that defines Ocala and Marion County to ensure its protection for generations. HFF works with the County to partner on ways to help preserve the character and culture of horses, horse farms and the horse industry that makes it unique. HFF also works to shine a spotlight on farmland preservation. FTBOA is a founding member.

Membership Services: The FTBOA formed a membership services department in 2013 to engage membership in a unified way, and membership has increased through the department's initiatives. The FTBOA also engaged members in a communication survey in 2013, which led to a number of enhancements in the way FTBOA communicates with its membership and the industry. Benefits to members include:

2022

Florida Thoroughbred Breeder and Stallion
Awards Program

Financial Statements and
Independent Auditor's Report

As of and for the Years Ended
December 31, 2022 and 2021

PURVIS GRAY
CERTIFIED PUBLIC ACCOUNTANTS

**FLORIDA THOROUGHBRED BREEDER AND
STALLION AWARDS PROGRAM**

**FINANCIAL STATEMENTS
AND
INDEPENDENT AUDITOR'S REPORT**

**AS OF AND FOR THE YEARS ENDED
DECEMBER 31, 2022 AND 2021**

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INDEPENDENT AUDITOR'S REPORT

Board of Directors
Florida Thoroughbred Breeders' Association, Inc.
d/b/a Florida Thoroughbred Breeders' and Owners' Association
Ocala, Florida

Opinion

We have audited the accompanying financial statements of the Florida Thoroughbred Breeder and Stallion Awards Program (the Program), a separate restricted fund established by the Florida Thoroughbred Breeders' Association, Inc. d/b/a Florida Thoroughbred Breeders' and Owners' Association (the Association) pursuant to Sections 550.26165 and 550.2625(3), Florida Statutes, which comprise the statements of financial position as of December 31, 2022 and 2021, the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Program as of December 31, 2022 and 2021, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Program and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Program's ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

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Board of Directors
Florida Thoroughbred Breeders' Association, Inc.
d/b/a Florida Thoroughbred Breeders' and Owners' Association
Ocala, Florida

INDEPENDENT AUDITOR'S REPORT

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Program's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Program's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.



May 26, 2023
Ocala, Florida

FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
STATEMENTS OF FINANCIAL POSITION
DECEMBER 31, 2022 AND 2021

ASSETS

| | 2022 | 2021 |
|----------------------------------|------------------|------------------|
| Current Assets | | |
| Cash and cash equivalents | \$ 2,085,912 | \$ 2,587,021 |
| Accounts receivable, race tracks | 747,432 | 750,173 |
| Total Assets | 2,833,344 | 3,337,194 |

LIABILITIES AND NET ASSETS

| | | |
|---|---------------------|---------------------|
| Current Liabilities | | |
| Breeder awards payable | 656,362 | 653,808 |
| Stallion awards payable | 137,250 | 61,500 |
| Florida Thoroughbred Breeders' Association administration fees payable | 74,743 | 75,017 |
| Total Current Liabilities | 868,355 | 790,325 |
| Total Liabilities | 868,355 | 790,325 |
| Net Assets | | |
| Without donor restrictions | 1,964,989 | 2,546,869 |
| Total Liabilities and Net Assets | \$ 2,833,344 | \$ 3,337,194 |

The accompanying notes are an integral part of these financial statements.

**FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED DECEMBER 31, 2022 AND 2021**

| | <u>2022</u> | <u>2021</u> |
|---|---------------------|---------------------|
| Revenue | | |
| Revenue from race tracks | \$ 7,201,134 | \$ 7,465,205 |
| Revenue from cardrooms | 41,617 | 31,641 |
| Total Revenue | <u>7,242,751</u> | <u>7,496,846</u> |
| Expenses | | |
| Program services | 7,100,356 | 6,871,404 |
| Support services | 724,275 | 749,692 |
| Total Expenses | <u>7,824,631</u> | <u>7,621,096</u> |
| Change in Net Assets Without Donor Restrictions | (581,880) | (124,250) |
| Net Assets Without Donor Restrictions, Beginning of Year | <u>2,546,869</u> | <u>2,671,119</u> |
| Net Assets Without Donor Restrictions, End of Year | <u>\$ 1,964,989</u> | <u>\$ 2,546,869</u> |

The accompanying notes are an integral part of these financial statements.

FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
STATEMENTS OF FUNCTIONAL EXPENSES
FOR THE YEARS ENDED DECEMBER 31, 2022 AND 2021

| | 2022 | | | 2021 | | |
|-----------------------|-----------------------|---------------------------|---------------------|-----------------------|---------------------------|---------------------|
| | Program Activities | Supporting Activities | Total | Program Activities | Supporting Activities | Total |
| | Promotional Fund | Management and General | | Promotional Fund | Management and General | |
| Expenses | | | | | | |
| Breeder awards | \$ 4,936,556 | \$ - | \$ 4,936,556 | \$ 4,965,854 | \$ - | \$ 4,965,854 |
| Stallion awards | 318,000 | - | 318,000 | 252,750 | - | 252,750 |
| Stakes program | 1,495,800 | - | 1,495,800 | 1,362,800 | - | 1,362,800 |
| Purse supplements | 350,000 | - | 350,000 | 290,000 | - | 290,000 |
| Administrative fee | - | 724,275 | 724,275 | - | 749,692 | 749,692 |
| Total Expenses | \$ 7,100,356 | \$ 724,275 | \$ 7,824,631 | \$ 6,871,404 | \$ 749,692 | \$ 7,621,096 |

The accompanying notes are an integral part of these financial statements.

FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
STATEMENTS OF CASH FLOWS
FOR THE YEARS ENDED DECEMBER 31, 2022 AND 2021

| | 2022 | 2021 |
|---|---------------------|---------------------|
| Cash Flows from Operating Activities | | |
| Cash Receipts | | |
| Receipts from race tracks | \$ 7,213,852 | \$ 7,490,333 |
| Receipts from cardrooms | 31,640 | 98,917 |
| Interest and other income received | - | 1,006 |
| Total Cash Receipts | 7,245,492 | 7,590,256 |
| Cash Disbursements | | |
| Breeder awards paid | (4,934,002) | (4,717,592) |
| Stallion awards paid | (242,250) | (239,250) |
| Florida sire stakes program paid | (1,495,800) | (1,362,800) |
| Purse supplements paid | (350,000) | (290,000) |
| Administrative fee paid | (724,549) | (758,933) |
| Total Cash Disbursements | (7,746,601) | (7,368,575) |
| Net Cash Provided (Used) By Operating Activities | (501,109) | 221,681 |
| Cash and Cash Equivalents, Beginning of Year | 2,587,021 | 2,365,340 |
| Cash and Cash Equivalents, End of Year | \$ 2,085,912 | \$ 2,587,021 |

The accompanying notes are an integral part of these financial statements.

**FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
NOTES TO FINANCIAL STATEMENTS**

Note 1 - Summary of Significant Accounting Policies

Organization and Administration

In accordance with Chapter 550, Florida Statutes, the Florida Thoroughbred Breeder and Stallion Awards Program (the Program) is administered by the Florida Thoroughbred Breeders' Association, Inc. d/b/a Florida Thoroughbred Breeders' and Owners' Association (the Association), pursuant to an annual awards plan approved by the Florida Gaming Control Commission (Adopted Plan). Pursuant to Florida Statutes, the purpose of the Program is to encourage the agricultural activity of breeding and training thoroughbred racehorses in Florida, with Program revenues primarily funding: (a) awards to breeders of Florida-bred horses that are successful in Florida thoroughbred races; (b) awards to the owners of stallions siring winning Florida-bred horses in Florida stakes races; and (c) special racing awards, which take the form of purses and/or purse supplements earned by the owners of horses competing in races that are part of the Florida-bred stakes program.

Under Chapter 550, Florida Statutes, all the thoroughbred racetracks within the State of Florida send directly to the Association 0.955% of the total handle on live wagering, inter-track wagering, and simulcast wagering for use as breeder, stallion, and special racing awards. The Association also receives, for this purpose, 3.475% of the gross revenue derived from a Florida thoroughbred racetracks' sale of its racing signal to out-of-state markets.

Under Section 849.086, Florida Statutes, some thoroughbred racetracks also generate revenues from their operation of cardrooms. By statute, the Association receives, for use as awards, 3% of the net proceeds derived from their cardroom operations.

The Florida-bred stakes program is administered in accordance with the annual Adopted Plan and Florida Statutes, subject to agreements with each participating thoroughbred racetrack. Pursuant to Section 550.26165(1), Florida Statutes, the Association uses at least 17% of the awards revenue generated under Chapter 550, Florida Statutes, as special racing awards in the Florida-bred stakes program, pending a written agreement establishing the rate, procedure, and eligibility requirements entered into by the Association, the track, and the local horsemen's association.

The breeder awards are based on a set percentage of the gross purse. The "announced gross purse" means the gross purse available in the race for the applicable place of finish, as stated in the condition book, minus any Florida Owners' Awards included therein (pursuant to Section 550.2625(6)(d), Florida Statutes).

The following table is comprised from information in the 2022 and 2021 Adopted Plans and Florida Statutes:

| | Breeder Awards 2022 |
|------------------|--|
| | Races ran from January 1, 2022 - December 31, 2022 |
| Overall | 15% of gross purse |
| 1st Place | 10% of gross purse (with each award limited to no more than \$10,500) |
| 2nd Place | 3% of gross purse (with each award limited to no more than \$3,000) |
| 3rd Place | 2% of gross purse (with each award limited to no more than \$1,500) |

**FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
NOTES TO FINANCIAL STATEMENTS**

| Breeder Awards 2021 | |
|---|--|
| Races ran from January 1, 2021 - December 31, 2021 | |
| Overall | 15% of gross purse |
| 1st Place | 10% of gross purse (with each award limited to no more than \$10,500) |
| 2nd Place | 3% of gross purse (with each award limited to no more than \$3,000) |
| 3rd Place | 2% of gross purse (with each award limited to no more than \$1,500) |

Stallion awards are based on a set percentage of the announced gross purse and are paid to owners of Association-registered stallions whose Association-registered Florida-bred offspring finish first in listed black-type stakes races at a Florida thoroughbred race track. The stallion must be registered with the Association in the year of conception of the winning horse and each year thereafter, including the year in which the race occurred.

The following table is comprised from information in the 2022 and 2021 Adopted Plans and Florida Statutes:

| Stallion Awards 2022 | |
|---|--|
| Races ran from January 1, 2022 - December 31, 2022 | |
| Stallion Award | 15% of gross purse to 1st place winner (with each award limited to no more than \$15,000) |
| Stallion Awards 2021 | |
| Races ran from January 1, 2021 - December 31, 2021 | |
| Stallion Award | 15% of gross purse to 1st place winner (with each award limited to no more than \$15,000) |

All breeder, stallion, and Florida-bred stakes program awards are paid only to breeders or owners of registered Florida-bred thoroughbred horses, with the limited exception that thoroughbreds foaled outside of Florida, but sired by Association-registered Florida stallions, may be made eligible to compete for reduced special racing awards/purse supplements in some stakes races.

Florida Statutes allow the Association to retain up to 10% of the gross receipts for administration of the Program and for general promotion of the industry. The Program withholds these amounts at the time of receipt from the tracks and transfers payment to the Association.

Basis of Accounting

The financial statements of the Program have been prepared on the accrual basis.

The Program's net assets and its revenues, gains, and expenses are classified with donor restrictions or without donor restrictions, based on the existence or absence of donor-imposed restrictions.

FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
NOTES TO FINANCIAL STATEMENTS

Without donor restrictions are not restricted by donors, or the donor-imposed restrictions have expired.

With donor restrictions contain donor-imposed restrictions that permit the Program to use or expend the donated assets as specified and are satisfied either by the passage of time or by actions of the Program, while some donor-imposed restrictions neither expire by passage of time nor can be fulfilled or otherwise removed by actions of the Program. The Program has no donor restricted net assets at December 31, 2022 and 2021, respectively.

Revenues

As specified in Florida Statutes, the annual awards plan, relevant track agreements, and revenues earned by the Program are used for the payment of breeder, stallion, and special racing awards, and for the authorized administration/promotion fee.

Revenue Recognition

Pursuant to the Florida Statutes, the Program recognized revenues on a monthly basis when earned from the race tracks for live wagering, inter-track wagering, simulcast wagering, sale of racing signal, and cardrooms as the cumulative effect of the monthly wagering on events has occurred. The transactions are accounted for as a non-conditional, non-reciprocal transaction within the scope of the Financial Accounting Standards Board's Accounting Standards Update Topic 958, *Not-for-Profit Entities*.

Income Taxes

The Program is administered by the Association, which has been granted tax-exempt status under the Internal Revenue Code Section 501(c)(5). No provision is made in these statements for income taxes.

Cash and Cash Equivalents

Cash and cash equivalents consist of a demand deposit account. For the purpose of the statements of cash flows, cash and cash equivalents consist of this demand deposit account. All highly liquid investments purchased with a maturity of three months or less would be considered cash equivalents, if applicable.

Accounts Receivable

Receivables are recorded by management for revenue to be received from the various race tracks. An allowance for uncollectible receivables has not been recorded, as all amounts are deemed collectible.

Use of Estimates in the Preparation of Financial Statements

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Fair Value of Financial Instruments

Unless otherwise indicated, the fair values of all reported assets and liabilities, which represent financial instruments (none of which are held for trading purposes), approximate the carrying value of such amounts. Financial instruments include cash, short-term investments, short-term debt, long-term debt, and accounts receivable.

**FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
NOTES TO FINANCIAL STATEMENTS**

Functional Expenses

The cost of providing administration services to the Program are shown on a functional basis in the statements of activities. All program, management, and general expenses are shown as a direct cost in the statements of functional expenses and there are no allocations.

Note 2 - Accounts Receivable, Race Tracks

Accounts receivable, race tracks consist of the following:

| | <u>2022</u> | <u>2021</u> |
|-----------------|-------------------|-------------------|
| Tampa Bay Downs | \$ 222,847 | \$ 230,473 |
| Gulfstream Park | 524,585 | 519,700 |
| Total | <u>\$ 747,432</u> | <u>\$ 750,173</u> |

The above receivables were fully collected subsequent to year-end; therefore, no allowance for doubtful accounts is recorded.

Note 3 - Breeder Awards Payable

The December 31, 2022 and 2021, payables consist of breeder awards earned as of December 2022 and 2021, and payable to breeders of record.

Note 4 - Concentration of Credit Risk

The Program maintains cash balances at one financial institution. The checking account balance at year-end exceeded the Federal Deposit Insurance Corporation insurance limit of \$250,000. The Association maintains its cash with a high-quality financial institution, which the Association believes limits these risks.

Note 5 - Purse Supplements (Special Racing Awards)

In accordance with the 2022 and 2021 Adopted Plan, Florida Statutes, and track agreements, Program funds were used to supplement purses for appropriately registered horses in certain races. Additionally, Program funds were used to supplement purses for appropriately registered horses in the races that comprise the Florida Sire Stakes. Purse supplements of \$350,000 and \$290,000 were paid for races run in 2022 and 2021, respectively, as follows:

| | <u>2022</u> | <u>2021</u> |
|---|-------------------|-------------------|
| Gulfstream Park - Florida-bred Incentive Fund | \$ 250,000 | \$ 200,000 |
| Gulfstream Park - Florida Sire Stakes | 100,000 | 90,000 |
| Total | <u>\$ 350,000</u> | <u>\$ 290,000</u> |

Note 6 - Liquidity and Available Resources

The following reflects the Program's financial assets as of the balance sheets' date, reduced by amounts not available for general use because of contractual or donor-imposed restrictions within one year of the balance sheets' date. There are no contractual or donor-imposed restrictions or board designations. The financial asset and amount available for general expenditures within one year are \$3,337,194 and \$2,833,344 for the years ended December 31, 2021 and 2022, respectively.

FLORIDA THOROUGHBRED BREEDER AND STALLION AWARDS PROGRAM
NOTES TO FINANCIAL STATEMENTS

Note 7 - Contingency Liabilities

As referenced in Notes 1 and 5, the annual awards plan allows for the payment of purse supplements in races that are part of the Florida-bred stakes program. The Association annually negotiates an agreement with each Florida thoroughbred track and its local horsemen's association regarding the races and purse supplements to be included in the Florida-bred stakes program. Due to the race restrictions and the uncertainties surrounding how the relevant horses will perform in those races, a liability is not recorded until the race is completed. As of December 31, 2022, the Program was contingently liable for an estimated \$2,410,000 to be paid out in certain races held during the 2023 calendar year.

Note 8 - Related-Party Transactions

Breeder and stallion awards earned by members of the Board of Directors (the Board) of the Association, or entities in which they have an ownership interest, totaled \$723,635 and \$865,294 during the years ended December 31, 2022 and 2021, respectively.

Included in awards payable are amounts owed to members of the Board of the Association, or entities in which they have an ownership interest, totaling \$73,452 and \$96,098 at December 31, 2022 and 2021, respectively.

Florida Statutes allow the Association to retain up to 10% of the gross receipts for administration of the awards program and for general promotion of the industry; the amount included in expense was \$724,275 and \$749,692 for the years ended December 31, 2022 and 2021, respectively. The Program owed the Association \$74,743 and \$75,017 at December 31, 2022 and 2021, respectively, for the administration/promotion fee.

Note 9 - Subsequent Events

The Program has evaluated events and transactions for potential recognition or disclosure in the financial statements through the date the financial statements were available to be issued on May 26, 2023.

PURVIS GRAY

CERTIFIED PUBLIC ACCOUNTANTS

Gainesville | Ocala | Tallahassee | Sarasota | Orlando | Lakeland | Tampa

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2. Discussion of amended application for cardroom license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: South Marion Real Estate Holdings, LLC d/b/a Oxford Downs (QHRS545)
2023-048899 Requests to add additional Card Tables
Date: September 7, 2023

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its cardroom. The Commission should approve this request.

Background

South Marion Real Estate Holdings, LLC d/b/a Oxford Downs (“Oxford Downs”) possesses a quarter horse permit. Oxford Downs was issued a pari-mutuel operating license and a cardroom license for the 2023-2024 Fiscal Year. Oxford Downs is currently licensed to operate 31 card tables and is requesting permission to add 4 more to its cardroom. Oxford Downs has paid the \$4,000 license fee associated with the additional tables.¹

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction² to the number of card tables that Oxford Downs can add to its cardroom. Since Oxford Downs has paid the additional \$4,000 license fee, Oxford Downs should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve South Marion Real Estate Holdings, LLC d/b/a Oxford Downs (QHRS545)’s request to add additional card tables to its cardroom.

¹ The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

² There is no indication that permitholder is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: CR545
Permit Type: QHRS
Permit County: Marion
Amendment: A

LICENSE TO OPERATE A CARDROOM

For:

South Marion Real Estate Holdings, LLC
D/B/A Oxford Downs

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

17996 South US Highway 301
Summerfield, FL 34491
Marion County

Valid From: July 1, 2023
Expires On: June 30, 2024
Licensed to Operate: 35 Tables

Issued and dated, this _____ day of October, 2023.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission



This license is issued in accordance with the Florida Pari-Mutuel Wagering Act, Section 849.086, Fla. Stat., and the rules promulgated thereunder. This license is to be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

2023 AUG 28 PM 3:52

FLORIDA GAMING
CONTROL COMMISSION

DBPR PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**

www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3220. Form DBPR PMW-3220 must be submitted with all pertinent cardroom operation information.

ORGANIZATION INFORMATION

Federal Employer ID Number: [REDACTED]
 Permitholder's Legal Name: **South Marion Real Estate Holdings, LLC**
 Doing Business As (D/B/A) Name: **Oxford Downs**

MAILING ADDRESS

Street Address or P.O. Box: **1400 Village Square Blvd., #3-504**
 City: **Tallahassee** State: **FL** Zip Code (+4 optional): **32312**
 County (if Florida address): **Leon** Country: **USA**

CONTACT INFORMATION

Contact Name: **John M. Lockwood, ESQ.**
 Primary Phone Number: **850-727-5009** Primary E-Mail Address: **john@lockwoodlawfirm.com**

PHYSICAL ADDRESS

Street Address: **17996 S US Highway 301**
 City: **Summerfield** State: **FL** Zip Code (+4 optional): **34491**
 County: **Marion**

ADDITIONAL CONTACT INFORMATION (OPTIONAL)

Alternate Phone Number: **352-817-8887** Fax Number:
 Alternate E-Mail Address: **jmendola@betoxford.com**

| CARDROOM INFORMATION | |
|---|--|
| Physical Location of Cardroom: | 17996 S US Highway 301, Summerfield, FL 34491 |
| What is the maximum number of card tables you intend to operate during the license period? | 35 |
| A check or money order made payable to DBPR for the table fees (\$1,000 per table) must be submitted with this application. | |
| Name of cardroom manager or cardroom management company: | Darold Donnelly |
| Cardroom manager or cardroom management company license number: | 11022678 |
| Type of participation fee charged to players: | Rake - Ante <input checked="" type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input type="checkbox"/> |

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form DBPR PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.

| ATTEST STATEMENT | |
|--|-----------------------|
| I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. | |
| Signature of Applicant or Applicant's Representative | <u>Joshua Mendola</u> |
| Date | <u>8/23/2023</u> |

License

| | | |
|---------------------------|---|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1012 - Cardroom Employee Occupational | Expires On 06/30/2024 |
| File # 9276 | Name DONNELLY, DAROLD RAY | Extended To |
| License # 11022678 | Rank CEMP - Cardroom Employee Occupational | Renewed On 06/02/2021 |
| Entity # 11022678 | Lic Status Current | |

Address

| | | |
|-----------------------|--------------------------|------------------|
| Street # 10883 | Street SW 47TH CT | |
| Line 2 | | |
| Line 3 | | |
| City OCALA | State FL | Zip 34476 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 07/17/2018 | Rank Date 06/02/2021 | Certificate # |
| Method I-S-1024 | Status Date 07/17/2018 | Certificate Date |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent |

License

| | | |
|---------------------------|---|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1021 - PMW Professional Individual Occupational | Expires On 06/30/2024 |
| File # 70732 | Name DONNELLY, DAROLD RAY | Extended To |
| License # 11022678 | Rank PIND - Professional Individual Occupational | Renewed On |
| Entity # 11022678 | Lic Status Current | |

Address

| | | |
|-----------------------|--------------------------|------------------|
| Street # 10883 | Street SW 47TH CT | |
| Line 2 | | |
| Line 3 | | |
| City OCALA | State FL | Zip 34476 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 06/02/2021 | Rank Date 06/02/2021 | Certificate # |
| Method I-S-1020 | Status Date 06/02/2021 | Certificate Date |
| Fee Exempt No | Birth Date 12/12/1965 | Renewal Sent |

RECEIVED

8/24/2023

2023 AUG 28 PM 3:49

FLORIDA GAMING
CONTROL COMMISSION

Jamie Pouncey
Program Administrator
Florida Gaming Control Commission
Division of Pari-mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, FL 32399

Re: South Marion Real Estate Holdings, LLC's Application to Amend Annual Cardroom
License to Add Tables

Dear Ms. Pouncey:

South Marion Real Estate Holdings, LLC d/b/a Oxford Downs is seeking to amend its annual cardroom license to add four dominoes tables to its cardroom. Oxford Downs' current 2023/2024 license (license # CR545) authorizes it to operate 31 tables. This amendment seeks to increase the total number of tables to 35.

We have included the following items for your review:

1. Updated Form 3160
2. Check for \$4,000

Please note that we have not yet determined the location for the new tables. Once the location has been determined, we will provide your office with an updated floor plan reflecting the locations of all 35 tables.

Thank you for your consideration and please let me know if you have any questions or need any additional information.

Sincerely,

Tony Mendola
President
Oxford Downs



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 100 - Division of Pari-Mutuel Wagering

Batch #: 23004488

Receipt: 1

Total \$ Entered: \$ 4,000.00

Receipts Entered: 1

Origin: TLH Centra

Deposit #: 110266

Total: \$ 4,000.00

Fiscal Year: 2023

Deposit Date: 2023-09-07

Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-------------|------|-------------|------|-------------|------|---|--------|-----------|----------|
| 230030045 | | \$ 4,000.00 | DEP | CHK | | \$ 4,000.00 | | | | | |
| | | | | | | | 1002 | CENTRAL FLORIDA GAMING, LLC SOUTH MARION REAL ESTATE HOLDINGS, LLC | 60 | 545 | \$ 0.00 |
| Total: | | | | \$ 4,000.00 | | \$ 4,000.00 | | | | | \$ 0.00 |

FIRST FEDERAL BANK

1063

CENTRAL FLORIDA GAMING, LLC
17996 SOUTH US HWY 301
SUMMERFIELD, FL 34491

CHECK # 023

08/23/2023

PAY TO THE ORDER OF Department of Business & Professional

\$ **4,000.00

Four thousand and 00/100*****

DOLLARS

Dept of Business & Professional
Attn: Patricia Broadway
2601 Blair Stone Road
Tallahassee, FL 32399

VOID AFTER 90 DAYS

MEMO License fee for 4 new tables



AUTHORIZED SIGNATURE

Photo Safe Deposit
Details on Back.

CENTRAL FLORIDA GAMING, LLC
08/23/2023

Department of Business & Professional
Table License Fee

1063

4,000.00

FLORIDA GAMING CONTROL COMMISSION

2023 AUG 28 PM 3:49

RECEIVED

First Federal Bank 8295

License fee for 4 new tables

4,000.00



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 100 - Division of Pari-Mutuel Wagering

Batch #: 23004488

Receipt: 1

Total \$ Entered: \$ 4,000.00

Receipts Entered: 1

Origin: TLH Centra

Deposit #: 110266

Total: \$ 4,000.00

Fiscal Year: 2023

Deposit Date: 2023-09-07

Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-------------|------|-------------|------|-------------|------|--|--------|-----------|----------|
| 230030045 | | \$ 4,000.00 | DEP | CHK | | \$ 4,000.00 | | | | | |
| | | | | | | | | CENTRAL FLORIDA GAMING, LLC | | | \$ 0.00 |
| | | | | | | | 1002 | SOUTH MARION REAL ESTATE HOLDINGS, LLC | 60 | 545 | \$ 0.00 |
| Total: | | | | \$ 4,000.00 | | \$ 4,000.00 | | | | | \$ 0.00 |

DEPOSIT TICKET

SUPERIOR PRESS (811) 951-6466

Wells Fargo Bank, N.A.



12

DATE 9/7/2023

| CURRENCY | DOLLARS | CENTS |
|--|---------|-------|
| COIN | | |
| LIST EACH CHECK | 4000 | 00 |
| 1 1003 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | |
| 10 | | |
| 11 | | |
| 12 | | |
| 13 | | |
| 14 | | |
| 15 | | |
| 16 | | |
| 17 | | |
| 18 | | |
| 19 | | |
| TOTAL FROM OTHER SIDE OR ATTACHED LIST | | |

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE OR ANY APPLICABLE COLLECTION AGREEMENT.

No 266

TOTAL ITEMS 1

PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED.

PLEASE ENTER TOTAL 4000 00

TOTAL DEPOSIT DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

4554875
DSP 10-T-BK

STATE OF FLORIDA DEPARTMENT OF
FINANCIAL SERVICES #4150110
FGCC MAIN

\$

4000.00



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023048899 **Incident date:** 08/29/2023 **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: SOUTH MARION REAL ESTATE HOLDINGS, LLC
 106 EAST COLLEGE AVENUE, SUITE 810, TALLAHASSEE, FL 32301

Respondent: FLORIDA GAMING CONTROL COMMISSION
 4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER LICENSE CHANGE REQUEST
 CHECKLIST

PERMITHOLDER: South Marion Real Estate Holdings, LLC (545) FISCAL YEAR: 2023/2024

DATE RECEIVED: August 28, 2023

DATE PROCESSED: September 7, 2023

REVIEWER'S NAME: La'Kesha Jelks

CHANGE REQUESTED: Adding four tables for a total of thirty-five.

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 08/29/2023 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 08/29/2023 | S | 1002 | 10 | Initial Review | ljelks | |

3. Discussion of amended application for pari-mutuel operating licenses

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Gulfstream Park Racing Association, Inc. (TBRD321)
2023-051743 Amending 23/24 Operating License Amendment B
Date: September 14, 2023

Executive Summary

A permitholder applied to amend its operating license on September 13, 2023, by canceling nine thoroughbred performances and adding two thoroughbred performances.¹ The Commission should approve this request.

Background

Gulfstream Park Racing Association, Inc. (“Gulfstream”) possesses a valid thoroughbred permit. Gulfstream has been issued a pari-mutuel operating license and cardroom license for the 2023-2024 fiscal year. Gulfstream’s current operating license includes 169 performances (164 matinee and 5 charity).² Now, Gulfstream wants to amend its operating license by canceling nine thoroughbred performances and adding two performances for a total of 162 performances (157 matinee and 5 charity) for its schedule.

Analysis

In addition to submitting a complete application, a thoroughbred permitholder may request a minor amendment to their license.³ Gulfstream has satisfied all requirements and is requesting an amendment to less than 49 percent of total performances from the current year’s initial license.

Recommendation: The Florida Gaming Control Commission should approve Gulfstream Park Racing Association, Inc.’s application to amend its 2023-2024 fiscal year operating license schedule.

¹ The commission shall have the authority to approve minor changes in racing dates after a license has been issued. § 550.01215(3), Fla. Stat.

² “Performance” means “a series of events, races, or games performed consecutively under a single admission charge.” § 550.002(25), Fla. Stat.

³ § 550.012155(1), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 321
Permit Type: TBRD
Permit County: Broward
Amendment: B

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Gulfstream Park Racing Association, Inc.
D/B/A Gulfstream Park Racing and Casino

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

901 South Federal Highway
Hallandale Beach, FL 33009
Broward County



Valid From: July 1, 2023

Expires On: June 30, 2024

Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of October, 2023.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Gulfstream Park (TBRD321)

FISCAL YEAR: 2023/2024

DATE RECEIVED: September 14, 2023

CASE NUMBER: 2023-051743

CHANGE REQUESTED: Add Dec. 1st and Apr. 3rd; cancel Dec. 6th, 13th, 20th, Jan. 3rd, June 13th, 14th, 15th, 16th, and 20th performances/racing dates.

REVIEWER'S NAME: La'Kesha Jelks

| Requirement | Met? Y/N | Deficiency Sent | Resolved |
|--|----------|-----------------|----------|
| Original application form DBPR PMW 3060. | Y | | |
| Original Calendar DBPR PMW 3080. | Y | | |
| Pursuant to FAC 61D-4.004(4) Non-objection letters from permitholders within 50 miles (if applicable) | Y | | |
| 61D-2.027 Each performances shall consist of a minimum of 8 races. | Y | | |
| Matinee start time: (prior to 6pm) | 11AM | Y | |
| Evening start time*: (6pm & later) | N/A | Y | |
| <small>*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.</small> | | | |

**CONTACT FROM OTHER FACILITIES
WITHIN FIFTY (50) MILES:**

OBJECTION?
NO YES

RACING?

| | NO | YES | RACING? |
|---|----|-----|-----------------|
| 831 Federal Highway (141/144) | | | Not Live |
| South Florida Racing Association, LLC (544) | | | Not Live |
| Casino Miami, LLC (273) | | | Not Live |
| Dania Entertainment Center, LLC (274/281) | X | | Live 274 |
| Calder Race Course, Inc. (285) | | | Not Live |
| West Flagler Associates, Ltd. (283/286) | X | | Live |
| PPI, Inc. (430) | | | Not Live |
| Investment Corporation of Palm Beach (149) | | | Not Live |
| Gretna Racing, LLC (155) | | | Not Live |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION
PERMIT ADMIN
OGC



REVIEWED
REVIEWED
REVIEWED

INITIALS: [Signature]
INITIALS: [Signature]
INITIALS: [Signature]

DATE: 9-14-2023
DATE: 9-14-23
DATE: 9-14-23

Notes/Comments

Revenue Section:

Permit Admin.

OGC:

Gulfstream Park 2023/2024 Calendar

(Per License #321B) Page 2 of 2

| JULY 2023 | | | | | | |
|-----------|------|----------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 Mat |
| 2 Mat | 3 | 4 | 5 | 6 | 7 Mat | 8 Mat |
| 9 Mat | 10 | 11 | 12 | 13 | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 | 20 | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 | 27 | 28 Mat | 29 Mat |
| 30 Mat | 31 | | | | | |
| | | <u>14</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| AUGUST 2023 | | | | | | |
|-------------|------|----------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 Mat | 5 Mat |
| 6 Mat | 7 | 8 | 9 | 10 | 11 Mat | 12 Mat |
| 13 Mat | 14 | 15 | 16 | 17 | 18 Mat | 19 Mat |
| 20 Mat | 21 | 22 | 23 | 24 | 25 Mat | 26 Mat |
| 27 Mat | 28 | 29 | 30 | 31 | | |
| | | <u>12</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| SEPTEMBER 2023 | | | | | | |
|----------------|------|----------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 Mat | 2 Mat |
| 3 Mat | 4 | 5 | 6 | 7 | 8 Mat | 9 Mat |
| 10 Mat | 11 | 12 | 13 | 14 | 15 Mat | 16 Mat |
| 17 Mat | 18 | 19 | 20 | 21 | 22 Mat | 23 Mat |
| 24 Mat | 25 | 26 | 27 | 28 | 29 Mat | 30 Mat |
| | | <u>14</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| OCTOBER 2023 | | | | | | |
|--------------|------|----------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat | 2 | 3 | 4 | 5 | 6 Mat | 7 Mat |
| 8 Mat | 9 | 10 | 11 | 12 | 13 Mat | 14 Mat |
| 15 Mat | 16 | 17 | 18 | 19 | 20 Mat | 21 Mat |
| 22 Mat | 23 | 24 | 25 | 26 | 27 Mat | 28 Mat |
| 29 Mat | 30 | 31 | | | | |
| | | <u>13</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| NOVEMBER 2023 | | | | | | |
|---------------|------|---------------------|------|---------------------|------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | | |
| | | <u>0</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| DECEMBER 2023 | | | | | | |
|---------------|------|----------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 Mat | 2 Mat |
| 3 Mat | 4 | 5 | 6 | 7 Mat | 8 Mat | 9 Mat |
| 10 Mat | 11 | 12 | 13 | 14 Mat | 15 Mat | 16 Mat |
| 17 Mat | 18 | 19 | 20 | 21 Mat | 22 Mat | 23 Mat |
| 24 Mat | 25 | 26 Mat | 27 | 28 Mat | 29 Mat | 30 C/Mat |
| 31 Mat | | | | | | |
| | | <u>19</u> Matinee | | <u>0</u> Evening | | <u>1</u> C/S Perf. |

| JANUARY 2024 | | | | | | |
|--------------|----------|----------------------|-----------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 Mat | 2 | 3 | 4 Mat | 5 Mat | 6 Mat |
| 7 Mat | 8 | 9 | 10 Mat | 11 Mat | 12 Mat | 13 Mat |
| 14 Mat | 15 | 16 | 17 Mat | 18 Mat | 19 Mat | 20 Mat |
| 21 Mat | 22 | 23 | 24 Mat | 25 Mat | 26 Mat | 27 C/Mat |
| 28 Mat | 29 | 30 | 31 Mat | | | |
| | | <u>20</u> Matinee | | <u>0</u> Evening | | <u>1</u> C/S Perf. |

| FEBRUARY 2024 | | | | | | |
|---------------|------|----------------------|-----------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 Mat | 2 Mat | 3 C/Mat |
| 4 Mat | 5 | 6 | 7 Mat | 8 Mat | 9 Mat | 10 Mat |
| 11 Mat | 12 | 13 | 14 Mat | 15 Mat | 16 Mat | 17 Mat |
| 18 Mat | 19 | 20 | 21 Mat | 22 Mat | 23 Mat | 24 Mat |
| 25 Mat | 26 | 27 | 28 Mat | 29 Mat | | |
| | | <u>20</u> Matinee | | <u>0</u> Evening | | <u>1</u> C/S Perf. |

| MARCH 2024 | | | | | | |
|------------|------|----------------------|-----------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 Mat | 2 C/Mat |
| 3 Mat | 4 | 5 | 6 Mat | 7 Mat | 8 Mat | 9 Mat |
| 10 Mat | 11 | 12 | 13 Mat | 14 Mat | 15 Mat | 16 Mat |
| 17 Mat | 18 | 19 | 20 Mat | 21 Mat | 22 Mat | 23 Mat |
| 24 Mat | 25 | 26 | 27 Mat | 28 Mat | 29 Mat | 30 C/Mat |
| 31 Mat | | | | | | |
| | | <u>21</u> Matinee | | <u>0</u> Evening | | <u>2</u> C/S Perf. |

| APRIL 2024 | | | | | | |
|------------|------|----------------------|-----------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 Mat | 4 Mat | 5 Mat | 6 Mat |
| 7 Mat | 8 | 9 | 10 | 11 Mat | 12 Mat | 13 Mat |
| 14 Mat | 15 | 16 | 17 Mat | 18 Mat | 19 Mat | 20 Mat |
| 21 Mat | 22 | 23 | 24 Mat | 25 Mat | 26 Mat | 27 Mat |
| 28 Mat | 29 | 30 | | | | |
| | | <u>17</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| MAY 2024 | | | | | | |
|----------|------|---------------------|------|---------------------|------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |
| | | <u>0</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

| JUNE 2024 | | | | | | |
|-----------|------|---------------------|------|---------------------|-----------|-----------------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 | 27 Mat | 28 Mat | 29 Mat |
| 30 Mat | | | | | | |
| | | <u>7</u> Matinee | | <u>0</u> Evening | | <u>0</u> C/S Perf. |

Note: Full-card simulcast schedule subject to change.
Calendar considered informational only.

Initial _____ Date _____

| | | | |
|------------|------------|----------|-----------|
| <u>162</u> | <u>167</u> | <u>0</u> | <u>6</u> |
| Totals | Matinee | Evening | C/S Perf. |

La'Kesha Jelks

From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Wednesday, September 13, 2023 5:25 PM
To: La'Kesha Jelks
Cc: Jamie Pouncey
Subject: Gulfstream Park - License 321 - Request for Date Changes
Attachments: Request to Executive Director Trombetta 8-31-23.docx.pdf; Request to Director Dillmore 8-31-23.docx.pdf; DBPRPMW-3060 9-13-23 notarized.pdf; DBPRPMW-3080-EXCEL 9-13-23 notarized.pdf; Magic City - West Flagler no objection.pdf; The Casino @ Dania Beach - Gulfstream.Changes.2023.09.13.01.pdf

Please see the attached request for date changes for the 2023-2024 license year for GPRA, License #321.

Any questions, please reach out.

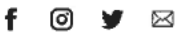
Thanks
Sheri



SHERI HOLMES STIRLING
VICE PRESIDENT ADMINISTRATION

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /1.954.457.6298
C /954.815.0027



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August 31, 2023

Mr. Louis Trombetta
Executive Director
Florida Gaming Control Commission
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Mr. Trombetta,

Re: Gulfstream Park Association Inc. – License #321 – 2023/24 -Request for Date Changes

Gulfstream Park respectfully requests the following changes to the race dates previously approved for GPRA racing license #321 for the 2023-2024 license year due to repair and maintenance to the turf track and better utilizing the limited horse population. Specifically, the following dates:

Add Friday December 1
Cancel Wed Dec 6, 13, 20
Cancel Wednesday Jan 3/2024
Add Wed April 3/2024
Cancel June 13, 14, 15, 16 & 20 (requested to approve under GPTARP license #336)

The result is a reduction of 7 race days to the GPRA racing license to a total of 162.

Non-objection letters from the surrounding pari-mutuel facilities are attached.

Thank you for your time and consideration of our request.
Sincerely,

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park



Friday August 31, 2023

Mr. Joe Dillmore
Director
Florida DBPR, Division of Pari-Mutuel Wagering
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Director,

Re: Gulfstream Park Association Inc. – License #321 – 2023/24 -Request for Date Changes

Gulfstream Park respectfully requests the following changes to the race dates previously approved for GPRA racing license #321 for the 2023-2024 license year due to repair and maintenance to the turf track and better utilizing the limited horse population. Specifically, the following dates;

Add Friday December 1
Cancel Wed Dec 6, 13, 20
Cancel Wednesday Jan 3/2024
Add Wed April 3/2024
Cancel June 13, 14, 15, 16 & 20 (requested to approve under GPTARP license #336)

The result is a reduction of 7 race days to the GPRA racing license to a total of 162.

Non-objection letters from the surrounding pari-mutuel facilities are attached.

Thank you for your time and consideration of our request.
Sincerely,

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park

Gulfstream Park 2023/2024 Calendar

(Per License #321A) Page 2 of 2

| JULY 2023 | | | | | | |
|-----------|------|-------|------|--------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 Mat |
| 2 Mat | 3 | 4 | 5 | 6 | 7 Mat | 8 Mat |
| 9 Mat | 10 | 11 | 12 | 13 | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 | 20 | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 | 27 | 28 Mat | 29 Mat |
| 30 Mat | 31 | | | | | |

14 Matinee 0 Evening 0 C/S Perf.

| AUGUST 2023 | | | | | | |
|-------------|------|-------|------|--------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 Mat | 5 Mat |
| 6 Mat | 7 | 8 | 9 | 10 | 11 Mat | 12 Mat |
| 13 Mat | 14 | 15 | 16 | 17 | 18 Mat | 19 Mat |
| 20 Mat | 21 | 22 | 23 | 24 | 25 Mat | 26 Mat |
| 27 Mat | 28 | 29 | 30 | 31 | | |

12 Matinee 0 Evening 0 C/S Perf.

| SEPTEMBER 2023 | | | | | | |
|----------------|------|-------|------|--------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 Mat | 2 Mat |
| 3 Mat | 4 | 5 | 6 | 7 | 8 Mat | 9 Mat |
| 10 Mat | 11 | 12 | 13 | 14 | 15 Mat | 16 Mat |
| 17 Mat | 18 | 19 | 20 | 21 | 22 Mat | 23 Mat |
| 24 Mat | 25 | 26 | 27 | 28 | 29 Mat | 30 Mat |

14 Matinee 0 Evening 0 C/S Perf.

| OCTOBER 2023 | | | | | | |
|--------------|------|-------|------|--------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 Mat | 2 | 3 | 4 | 5 | 6 Mat | 7 Mat |
| 8 Mat | 9 | 10 | 11 | 12 | 13 Mat | 14 Mat |
| 15 Mat | 16 | 17 | 18 | 19 | 20 Mat | 21 Mat |
| 22 Mat | 23 | 24 | 25 | 26 | 27 Mat | 28 Mat |
| 29 Mat | 30 | 31 | | | | |

13 Matinee 0 Evening 0 C/S Perf.

| NOVEMBER 2023 | | | | | | |
|---------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | |

0 Matinee 0 Evening 0 C/S Perf.

| DECEMBER 2023 | | | | | | |
|---------------|-----------|-------|-----------|-----------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 Mat |
| 2 Mat | 3 | 4 | 5 | 6 Mat | 7 Mat | 8 Mat |
| 9 Mat | 10 | 11 | 12 | 13 Mat | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 | 20 Mat | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 Mat | 27 Mat | 28 Mat | 29 Mat |
| 30 C/Mat | 31 Mat | | | | | |

21 Matinee 0 Evening 1 C/S Perf.

| JANUARY 2024 | | | | | | |
|--------------|------|-------|-----------|-----------|-------------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 Mat |
| 2 Mat | 3 | 4 | 5 Mat | 6 Mat | 7 Mat | 8 Mat |
| 9 Mat | 10 | 11 | 12 Mat | 13 Mat | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 | 20 | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 | 27 | 28 C/Mat | 29 C/Mat |
| 30 Mat | 31 | | | | | |

21 Matinee 0 Evening 1 C/S Perf.

| FEBRUARY 2024 | | | | | | |
|---------------|------|-------|-----------|-----------|-----------|------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 Mat | 2 Mat | 3 C/Mat |
| 4 Mat | 5 | 6 | 7 Mat | 8 Mat | 9 Mat | 10 Mat |
| 11 Mat | 12 | 13 | 14 Mat | 15 Mat | 16 Mat | 17 Mat |
| 18 Mat | 19 | 20 | 21 Mat | 22 Mat | 23 Mat | 24 Mat |
| 25 Mat | 26 | 27 | 28 Mat | 29 Mat | | |

20 Matinee 0 Evening 1 C/S Perf.

| MARCH 2024 | | | | | | |
|------------|------|-------|-----------|-----------|-----------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 Mat | 2 C/Mat |
| 3 Mat | 4 | 5 | 6 Mat | 7 Mat | 8 Mat | 9 Mat |
| 10 Mat | 11 | 12 | 13 Mat | 14 Mat | 15 Mat | 16 Mat |
| 17 Mat | 18 | 19 | 20 Mat | 21 Mat | 22 Mat | 23 Mat |
| 24 Mat | 25 | 26 | 27 Mat | 28 Mat | 29 Mat | 30 C/Mat |
| 31 Mat | | | | | | |

21 Matinee 0 Evening 2 C/S Perf.

| APRIL 2024 | | | | | | |
|------------|----------|-------|-----------|-----------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 Mat | 2 | 3 Mat | 4 Mat | 5 Mat | 6 Mat |
| 7 Mat | 8 | 9 | 10 Mat | 11 Mat | 12 Mat | 13 Mat |
| 14 Mat | 15 | 16 | 17 Mat | 18 Mat | 19 Mat | 20 Mat |
| 21 Mat | 22 | 23 | 24 Mat | 25 Mat | 26 Mat | 27 Mat |
| 28 Mat | 29 | 30 | | | | |

16 Matinee 0 Evening 0 C/S Perf.

| MAY 2024 | | | | | | |
|----------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |

0 Matinee 0 Evening 0 C/S Perf.

| JUNE 2024 | | | | | | |
|-----------|------|-------|-----------|-----------|-----------|-----------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 ? |
| 2 | 3 | 4 | 5 Mat | 6 Mat | 7 Mat | 8 Mat |
| 9 Mat | 10 | 11 | 12 Mat | 13 Mat | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 Mat | 20 Mat | 21 Mat | 22 Mat |
| 23 Mat | 24 | 25 | 26 Mat | 27 Mat | 28 Mat | 29 Mat |
| 30 Mat | | | | | | |

12 Matinee 0 Evening 0 C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

LT 9/12/23
Initial Date

169 Totals 164 Matinee 0 Evening 5 C/S Perf.

-9
+2 = 11 changes 66

Division of Pari-Mutuel Wagering
 Permitholder Operating License Amendment Tracking
 Fiscal Year 2023-2024

| PERMIT NO. | LICENSE NAME | # of Initial Perf. | Amendments | | | | | | | | | | | Aggregate % Change YTD | |
|-------------------------------------|--|--------------------|------------|----|---|---|---|---|---|---|---|---|---|------------------------|---------|
| | | | A | B | C | D | E | F | G | H | I | J | K | | |
| GREYHOUND RACING PERMITS | | | | | | | | | | | | | | | |
| JAI-ALAI PERMITS | | | | | | | | | | | | | | | |
| 0000270 | RB Jai Alai LLC | 40 | | | | | | | | | | | | | 0.00% |
| 0000273 | Casino Miami, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000274 | Denie Entertainment Center, LLC | 91 | | | | | | | | | | | | | 0.00% |
| 0000277 | OBS Real Estate Holdings, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000278 | Prompton Holdings, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000280 | West Flagler Associates, Ltd. | 26 | | | | | | | | | | | | | 0.00% |
| 0000281 | Denie Entertainment Center, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000283 | West Flagler Associates, Ltd. | 23 | | | | | | | | | | | | | 0.00% |
| 0000284 | Kings Court Key, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000285 | Calder Race Course, Inc. | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000286 | West Flagler Associates, Ltd. | 40 | | | | | | | | | | | | | 0.00% |
| THOROUGHBRED RACING PERMITS | | | | | | | | | | | | | | | |
| 0000320 | Tampa Bay Downs, Inc. | 91 | 1 | | | | | | | | | | | | 1.10% |
| 0000321 | Outstream Park Racing Association Inc. | 174 | 5 | 11 | | | | | | | | | | | 9.20% |
| 0000323 | Tropical Park, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000325 | Calder Race Course, Inc. | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000336 | Outstream park Thoroughbred After Racing | 40 | | | | | | | | | | | | | 0.00% |
| HARNESS RACING PERMITS | | | | | | | | | | | | | | | |
| 0000430 | PPI, Inc. | 0 | | | | | | | | | | | | | #DIV/0! |
| QUARTER HORSE RACING PERMITS | | | | | | | | | | | | | | | |
| 0000537 | Tampa Bay Downs, Inc. | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000542 | Gretna Racing, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000544 | South Florida Racing Association, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000545 | South Merion Real Estate Holdings, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000547 | Hamilton Downs, Horsetrack, LLC | 0 | | | | | | | | | | | | | #DIV/0! |
| 0000950 | Ocala Breeders' Sales Company, Inc. | 0 | | | | | | | | | | | | | #DIV/0! |

DBPR PMW-3060 – Permitholder Application for License and Operating Dates



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

| INSTRUCTIONS | |
|---|---|
| This form is to be submitted in conjunction with Form DBPR PMW-3080 -- Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors. | |
| Check the box that designates the purpose of this form filing: | |
| <input type="checkbox"/> | Application for Annual License and Operating Dates |
| <input checked="" type="checkbox"/> | Application for Amendment to Annual License and Operating Dates |

| PERMITHOLDER INFORMATION | | |
|--|---------------------------------|---------------------------------|
| Permitholder Name Gulfstream Park Racing Association Inc. | Permit # 321 | FEID# or SSN * 59-0526292 |
| Doing Business As (D/B/A) Gulfstream Park | | |
| MAILING ADDRESS | | |
| Street Address or P.O. Box 901 South Federal Highway | | |
| City Hallandale Beach | State FL | Zip Code (+4 optional) 33009 |
| County (if Florida address) Broward | Country USA | |
| CONTACT INFORMATION | | |
| Contact Name Sheri Holmes Stirling | Title V P Administration | |
| Primary Phone Number 954-457-6298 | Fax Number 954-457-6510 | |
| Primary E-Mail Address sheri.holmes@gulfstreampark.com | Cell Phone Number [REDACTED] | |
| PHYSICAL LOCATION OF PARI-MUTUEL FACILITY | | |
| Street Address 901 South Federal Highway | | |
| City Hallandale Beach | State FL | Zip Code (+4 optional) 33009 |
| If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information: | | |
| (1) The name of the applicant and the lessor; (2) The address of the applicant and the lessor; (3) The type of permit held by both the applicant and the lessor; (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances; (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement. | | |

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

| PERMITHOLDER INFORMATION | |
|---|-----------------|
| Name Gulfstream Park Racing Association Inc. | Permit # 321 |

| INSTRUCTIONS |
|--|
| Submit this form in conjunction with the form DBPR PMW-3060 – Permitholder Application for License and Operating Dates. |
| Please do not overlook the cardroom section and the required application oath on page 4. |
| Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month. |
| LETTER CODES |
| M = Matinee E = Evening C = Charity S = Scholarship |

Example

| | | | | | | |
|---|---|----|----|----|----|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | E | M | M | S | C | C |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | M | | | | | |

July Year: 2023

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | | 1 |
| | | | | | | M |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| M | | | | | M | M |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| M | | | | | M | M |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| M | | | | | M | M |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| M | | | | | M | M |
| 30 | 31 | | | | | |
| M | | | | | | |

M 14 E 0 C/S 0
Total 14

August Year: 2023

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | 1 | 2 | 3 | 4 | 5 |
| | | | | | M | M |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| M | | | | | M | M |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| M | | | | | M | M |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| M | | | | | M | M |
| 27 | 28 | 29 | 30 | 31 | | |
| M | | | | | | |
| | | | | | | |

M 12 E 0 C/S 0
Total 12

January Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| | M | | | M | M | M |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| M | | | M | M | M | M |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| M | | | M | M | M | M |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| M | | | M | M | M | C |
| 28 | 29 | 30 | 31 | | | |
| M | | | M | | | |
| | | | | | | |

M 20 E 0 C/S 1

Total 21

February Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | 1 | 2 | 3 |
| | | | | M | M | C |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| M | | | M | M | M | M |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| M | | | M | M | M | M |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| M | | | M | M | M | M |
| 25 | 26 | 27 | 28 | 29 | | |
| M | | | M | M | | |
| | | | | | | |

M 20 E 0 C/S 1

Total 21

March Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | 1 | 2 |
| | | | | | M | C |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| M | | | M | M | M | M |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| M | | | M | M | M | M |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| M | | | M | M | M | M |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| M | | | M | M | M | C |
| 31 | | | | | | |
| M | | | | | | |

M 21 E 0 C/S 2

Total 23

April Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| | | | M | M | M | M |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| M | | | | M | M | M |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| M | | | | M | M | M |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| M | | | | M | M | M |
| 28 | 29 | 30 | | | | |
| M | | | | | | |
| | | | | | | |

M 17 E 0 C/S 0

Total 17



Aug 31, 2023

Mr. Jerry Mong
Magic City Casino
West Flagler License #283/#286

Dear Jerry,

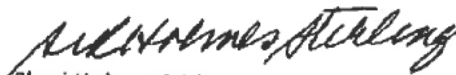
Gulfstream Park is requesting a change to our race dates for the 2023-2024 license year for Gulfstream Park Racing Association License 321 to continue the necessary changes to the Turf track surface.

- Add Friday December 1
- Cancel Wed Dec 6, 13, 20
- Cancel Wed Jan 3/2024
- Add Wed April 3/2024
- Cancel June 13,14,15,16 & 20

We appreciate your prompt attention in this matter.

Please indicate whether you object to this requested change in the appropriate space below. Sign and email back to Sheri Holmes at Sheri.Holmes@gulfstreampark.com. If you have any issues or concerns, please contact me at 954-815-0027.

Sincerely and Thank- you,


 Sheri Holmes Stirling
 Vice President, Administration
 Gulfstream Park

No objection

Objection

Reason for objection: _____



Aug 31, 2023

Mr. Juan Pablo Villamayor
The Casino @ Dania Beach
License #274

Dear Juan,

Gulfstream Park is requesting a change to our race dates for the 2023 2024 license year for Gulfstream Park Racing Assoc. License 321 to continue the necessary changes to the Turf track surface.

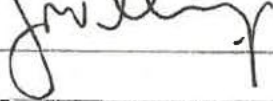
 Add Friday December 1
 Cancel Wed Dec 6, 13, 20
 Cancel Wed Jan 3/2024
 Add Wed April 3/2024
 Cancel June 13,14,15,16 & 20

We appreciate your prompt attention in this matter.

Please indicate whether you object to this requested change in the appropriate space below. Sign and email back to Sheri Holmes at Sheri.Holmes@gulfstreampark.com. If you have any issues or concerns, please contact me at 954-815-0027.

Sincerely and Thank- you,

Sheri Holmes Stirling
Vice President, Administration
Gulfstream Park

No objection 
 Objection

Reason for objection: _____



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023051743 **Incident date:** 09/13/2023 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GULFSTREAM PARK RACING ASSOCIATION, INC.
 901 SOUTH FEDERAL HIGHWAY, HALLANDALE, FL 33009-3099

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER: Gulfstream Park (TBRD321) FISCAL YEAR: 2023/2024
 DATE RECEIVED: September 14, 2023
 CHANGE REQUESTED: Add Dec. 1stand Apr. 3rd; cancel Dec. 6th, 13th, 20th, Jan. 3rd, June 13th,
 14th, 15th, 16th, and 20th performances/racing dates.
 REVIEWER'S NAME: La'Kesha Jelks

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 09/14/2023 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 09/14/2023 | S | 1001 | 10 | Initial Review | ljelks | |

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Gulfstream Park Thoroughbred Racing Program, Inc. (TBRD336)
2023-051759 Amending 23/24 Operating License Amendment A
Date: September 14, 2023

Executive Summary

A permitholder applied to amend its operating license on September 13, 2023, by canceling five thoroughbred performances and adding five thoroughbred performances.¹ The Commission should approve this request.

Background

Gulfstream Park Thoroughbred Racing Program, Inc. (“GPTARP”) possesses a valid thoroughbred permit. GPTARP was issued a pari-mutuel operating license for the 2023-2024 fiscal year. When GPTARP applied for its operating license, it included 40 performances (35 matinee and 5 charity).² Now, GPTARP wants to amend its operating license by canceling five thoroughbred performances and adding five performances resulting in a new total of 40 performances (35 matinee and 5 charity) for its schedule.

Analysis

In addition to submitting a complete application, a thoroughbred permitholder may request a minor amendment to their license.³ GPTARP has satisfied all requirements and is requesting an amendment to less than 49 percent of total performances from the current year’s initial license.

Recommendation: The Florida Gaming Control Commission should approve Gulfstream Park Thoroughbred Racing Program, Inc.’s application to amend its 2023-2024 fiscal year operating license schedule.

¹ The commission shall have the authority to approve minor changes in racing dates after a license has been issued. § 550.01215(3), Fla. Stat.

² “Performance” means “a series of events, races, or games performed consecutively under a single admission charge.” § 550.002(25), Fla. Stat.

³ § 550.012155(1), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 336
Permit Type: TBRD
Permit County: Broward
Amendment: A

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Gulfstream Park Thoroughbred After Racing Program, Inc.

Licensed to Operate, Via Lease, At the Pari-Mutuel Facility, Located At:

901 South Federal Highway
Hallandale Beach, FL 33009
Broward County

Valid From: July 1, 2023

Expires On: June 30, 2024

Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of October, 2023.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission



This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: GPTARP (TBRD336) FISCAL YEAR: 2023/2024

DATE RECEIVED: September 14, 2023

CASE NUMBER: 2023-051759

CHANGE REQUESTED: Add Jun. 13th, 14th, 15th, 16th, and 20th; cancel Nov. 2nd, 9th, 16th, 22nd, and 23rd performances/racing dates.

REVIEWER'S NAME: La'Kesha Jelks

| Requirement | Met? Y/N | Deficiency Sent | Resolved |
|--|----------|------------------|----------|
| Original application form DBPR PMW 3060. | Y | | |
| Original Calendar DBPR PMW 3080. | Y | | |
| Pursuant to FAC 75-4.004(4) Non-objection letters from permitholders within 50 miles (if applicable) | Y | | |
| 75-2.027 Each performances shall consist of a minimum of 8 races. | Y | Mat:8-15 Eve: | |
| Matinee start time: (prior to 6pm) | Y | 11AM | |
| Evening start time*: (6pm & later) | Y | N/A | |
| *550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m. | | | |

**CONTACT FROM OTHER FACILITIES
WITHIN FIFTY (50) MILES:**

OBJECTION?
NO YES

RACING?

| | NO | YES | RACING? |
|---|----|-----|-----------------|
| 831 Federal Highway (141/144) | | | Not Live |
| South Florida Racing Association, LLC (544) | | | Not Live |
| Casino Miami, LLC (273) | | | Not Live |
| Dania Entertainment Center, LLC (274/281) | | X | Live 274 |
| Calder Race Course, Inc. (285) | | | Not Live |
| West Flagler Associates, Ltd. (283/286) | | X | Live |
| PPI, Inc. (430) | | | Not Live |
| Investment Corporation of Palm Beach (149) | | | Not Live |
| Gretna Racing, LLC (155) | | | Not Live |

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION
PERMIT ADMIN
OGC



REVIEWED
REVIEWED
REVIEWED

INITIALS: HS
INITIALS: [Signature]
INITIALS: [Signature]

DATE: 9-14-23
DATE: 9-14-23
DATE: 9-14-23

Notes/Comments
Revenue Section:

Permit Admin.

OGC:

Gulfstream Park Thoroughbred After Racing Program, Inc. 2023/2024 Calendar

(Per License #336A) Page 2 of 2

| JULY 2023 | | | | | | |
|-----------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 | | | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| AUGUST 2023 | | | | | | |
|-------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| SEPTEMBER 2023 | | | | | | |
|----------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| OCTOBER 2023 | | | | | | |
|--------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| NOVEMBER 2023 | | | | | | |
|---------------|------|-------|------|--------|------------|------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 C/Mat | 4 C/Mat |
| 5 Mat | 6 | 7 | 8 | 9 | 10 Mat | 11 Mat |
| 12 Mat | 13 | 14 | 15 | 16 | 17 Mat | 18 Mat |
| 19 Mat | 20 | 21 | 22 | 23 | 24 Mat | 25 Mat |
| 26 | 27 | 28 | 29 | 30 | | |

$\frac{9}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{2}{\text{C/S Perf.}}$

| DECEMBER 2023 | | | | | | |
|---------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| JANUARY 2024 | | | | | | |
|--------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| FEBRUARY 2024 | | | | | | |
|---------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| MARCH 2024 | | | | | | |
|------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| APRIL 2024 | | | | | | |
|------------|------|-------|------|--------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | | | | |

$\frac{0}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{0}{\text{C/S Perf.}}$

| MAY 2024 | | | | | | |
|-----------|------|-------|------|-----------|-----------|-------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 Mat | 3 Mat | 4 C/Mat |
| 5 Mat | 6 | 7 | 8 | 9 Mat | 10 Mat | 11 Mat |
| 12 Mat | 13 | 14 | 15 | 16 Mat | 17 Mat | 18 C/Mat |
| 19 Mat | 20 | 21 | 22 | 23 Mat | 24 Mat | 25 Mat |
| 26 Mat | 27 | 28 | 29 | 30 Mat | 31 Mat | |

$\frac{16}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{2}{\text{C/S Perf.}}$

| JUNE 2024 | | | | | | |
|-----------|------|-------|------|-----------|-----------|------------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 Mat |
| 2 Mat | 3 | 4 | 5 | 6 Mat | 7 Mat | 8 C/Mat |
| 9 Mat | 10 | 11 | 12 | 13 Mat | 14 Mat | 15 Mat |
| 16 Mat | 17 | 18 | 19 | 20 Mat | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | | | | | | |

$\frac{10}{\text{Matinee}}$ $\frac{0}{\text{Evening}}$ $\frac{1}{\text{C/S Perf.}}$

*Note: Full-card simulcast schedule subject to change.
Calendar considered informational only.*

| | | | | |
|---------------|------------------------|------------------------|--------------------------|----------|
| Totals | 40 | 35 | 0 | 5 |
| | <small>Matinee</small> | <small>Evening</small> | <small>C/S Perf.</small> | |

Initial Date

La'Kesha Jelks

From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Sent: Wednesday, September 13, 2023 5:28 PM
To: La'Kesha Jelks
Cc: Jamie Pouncey
Subject: Gulfstream Park Thoroughbred After Racing Program - License # 336 - Request for Date changes
Attachments: DBPRPMW-3060- GTARP 336 rev 9-13-23 notarized.pdf; DBPRPMW-3080-EXCEL 1 rev 9-13-23.pdf; Request to Director Dillmore 8-31-23.docx.pdf; Request to Executive Director Trombetta 8-31-23.docx.pdf; Magic City - West Flagler no-objection.pdf; The Casino @ Dania Beach - Gulfstream.Changes.2023.09.13.02.pdf

Please see the attached for a request for date changes to the approved 2023-2024 GPTARP race dates.

I should have mentioned on the previous email for GPRA, that we are looking to move 5 days in June from GPRA to GPTARP to make up for those that we are requesting to cancel on the GPTARP side in November.

Thanks
Sheri



SHERI HOLMES STIRLING
VICE PRESIDENT ADMINISTRATION

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /1.954.457.6298
C /954.815.0027



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August 31, 2023

Mr. Louis Trombetta
Executive Director
Florida Gaming Control Commission
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Mr. Trombetta,

Re: Gulfstream Park Thoroughbred After Racing Program – License #336 – 2023/24 -Request for Date Changes

Gulfstream Park respectfully requests the following changes to the race dates approved for GPTARP racing license #336 for the 2023-2024 license year due to repair and maintenance to the turf track and limited horse population. Specifically, the following dates;

Cancel Thursday Nov 2, 9, 16 & 23
Cancel Wednesday Nov 22
2024 Add June 13, 14, 15, 16 & 20 (previously approved under GPRA license #321)

The approved race dates for the 2023-2024 would remain at 40.

Non-objection letters from the surrounding pari-mutuel facilities are attached.

Thank you for your time and consideration of our request.
Sincerely,

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park



Friday August 31, 2023

Mr. Joe Dillmore
Director
Florida DBPR, Division of Pari-Mutuel Wagering
4070 Esplanade Way
Ste 250
Tallahassee, FL 32399

Dear Director,

Re: Gulfstream Park Thoroughbred After Racing Program – License #336 – 2023/24 -Request for Date Changes

Gulfstream Park respectfully requests the following changes to the race dates previously approved for GPTARP racing license #336 for the 2023-2024 license year due to repair and maintenance to the turf track and limited horse population. Specifically, the following dates;

Cancel Thursday Nov 2, 9, 16 & 23
Cancel Wednesday Nov 22
2024 Add June 13, 14, 15, 16 & 20 (previously approved under GPRA license #321)

The approved race dates for the 2023-2024 would remain at 40.

Non-objection letters from the surrounding pari-mutuel facilities are attached.

Thank you for your time and consideration of our request.
Sincerely,

A handwritten signature in blue ink, appearing to read "Sheri L R Holmes Stirling".

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park

Gulfstream Park Thoroughbred After Racing Program, Inc. 2023/2024 Calendar

(Per License #338) Page 2 of 2

| JULY 2023 | | | | | | |
|-----------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 | | | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| AUGUST 2023 | | | | | | |
|-------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| SEPTEMBER 2023 | | | | | | |
|----------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| OCTOBER 2023 | | | | | | |
|--------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| NOVEMBER 2023 | | | | | | |
|---------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | | |
| 14 | | 0 | | 2 | | |
| Matinee | | Evening | | C/S Perf. | | |

| DECEMBER 2023 | | | | | | |
|---------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| JANUARY 2024 | | | | | | |
|--------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| FEBRUARY 2024 | | | | | | |
|---------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| MARCH 2024 | | | | | | |
|------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| APRIL 2024 | | | | | | |
|------------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | | | | |
| 0 | | 0 | | 0 | | |
| Matinee | | Evening | | C/S Perf. | | |

| MAY 2024 | | | | | | |
|----------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |
| 16 | | 0 | | 2 | | |
| Matinee | | Evening | | C/S Perf. | | |

| JUNE 2024 | | | | | | |
|-----------|------|---------|------|-----------|------|------|
| Sun. | Mon. | Tues. | Wed. | Thurs. | Fri. | Sat. |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | | | | | | |
| 5 | | 0 | | 1 | | |
| Matinee | | Evening | | C/S Perf. | | |

Note: Full-card simulcast schedule subject to change. Calendar considered informational only.

LT 3/13/23
Initial Date

| | | | |
|--------|---------|---------|-----------|
| 40 | 35 | 0 | 5 |
| Totals | Matinee | Evening | C/S Perf. |

+5
-5 = 10 changes

Division of Pari-Mutuel Wagering
 Permitholder Operating License Amendment Tracking
 Fiscal Year 2023-2024

| PERMIT NO. | LICENSE NAME | # of Initial Perf. | Amendments | | | | | | | | | | | Aggregate % Change YTD |
|-------------------------------------|---|--------------------|------------|----|---|---|---|---|---|---|---|---|---|------------------------|
| | | | A | B | C | D | E | F | G | H | I | J | K | |
| JAI-ALAI PERMITS | | | | | | | | | | | | | | |
| 0000270 | RB Jai Alai LLC | 40 | | | | | | | | | | | | 0.00% |
| 0000273 | Casino Miami, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000274 | Dania Entertainment Center, LLC | 91 | | | | | | | | | | | | 0.00% |
| 0000277 | OBS Real Estate Holdings, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000278 | Fronton Holdings, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000280 | West Flagler Associates, Ltd. | 26 | | | | | | | | | | | | 0.00% |
| 0000281 | Dania Entertainment Center, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000283 | West Flagler Associates, Ltd. | 22 | | | | | | | | | | | | 0.00% |
| 0000284 | Kings Court Key, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000285 | Calder Race Course, Inc. | 0 | | | | | | | | | | | | #DIV/0! |
| 0000286 | West Flagler Associates, Ltd. | 40 | | | | | | | | | | | | 0.00% |
| THOROUGHBRED RACING PERMITS | | | | | | | | | | | | | | |
| 0000320 | Tampa Bay Downs, Inc. | 91 | 1 | | | | | | | | | | | 1.10% |
| 0000321 | Gulfstream Park Racing Association Inc. | 174 | 5 | 11 | | | | | | | | | | 9.20% |
| 0000323 | Tropical Park, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000325 | Calder Race Course, Inc. | 0 | | | | | | | | | | | | #DIV/0! |
| 0000336 | Gulfstream park Thoroughbred After Racing | 40 | 10 | | | | | | | | | | | 25.00% |
| HARNESS RACING PERMITS | | | | | | | | | | | | | | |
| 0000430 | PPI, Inc. | 0 | | | | | | | | | | | | #DIV/0! |
| QUARTER HORSE RACING PERMITS | | | | | | | | | | | | | | |
| 0000537 | Tampa Bay Downs, Inc. | 0 | | | | | | | | | | | | #DIV/0! |
| 0000542 | Getta Racing, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000544 | South Florida Racing Association, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000545 | South Manoe Real Estate Holdings, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000547 | Hamilton Downs, Horacktrack, LLC | 0 | | | | | | | | | | | | #DIV/0! |
| 0000950 | Ocala Breeders Sales Company, Inc. | 0 | | | | | | | | | | | | #DIV/0! |

DBPR PMW-3060 – Permitholder Application for License and Operating Dates



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

| INSTRUCTIONS | |
|--|---|
| This form is to be submitted in conjunction with Form DBPR PMW-3080 – Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors. | |
| Check the box that designates the purpose of this form filing: | |
| <input type="checkbox"/> | Application for Annual License and Operating Dates |
| <input checked="" type="checkbox"/> | Application for Amendment to Annual License and Operating Dates |

| PERMITHOLDER INFORMATION | | |
|--|-----------------------------------|---------------------------------|
| Permitholder Name Gulfstream Park Thoroughbred After Racing Program Inc. | Permit # 336 | FEID# or SSN * 45-2466591 |
| Doing Business As (D/B/A) Gulfstream Park Thoroughbred Racing Program Inc. (GPTARP) | | |
| MAILING ADDRESS | | |
| Street Address or P.O. Box 3051 NE 213th St. | | |
| City Aventura | State FL | Zip Code (+4 optional) 33180 |
| County (if Florida address) Miami-Dade & Broward | Country USA | |
| CONTACT INFORMATION | | |
| Contact Name Sheri Holmes Stirling | Title VP Administration | |
| Primary Phone Number 954-457-6298 | Fax Number 954-457-6510 | |
| Primary E-Mail Address sheri.holmes@gulfstreampark.com | Cell Phone Number 954-815-0027 | |
| PHYSICAL LOCATION OF PARI-MUTUEL FACILITY | | |
| Street Address 901 South Federal Highway | | |
| City Hallandale Beach | State FL | Zip Code (+4 optional) 33009 |
| If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information: | | |
| (1) The name of the applicant and the lessor; (2) The address of the applicant and the lessor; (3) The type of permit held by both the applicant and the lessor; (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances; (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement. | | |

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

| PERMITHOLDER INFORMATION | |
|---|-----------------|
| Name Gulfstream Park Thoroughbred After Racing Program | Permit # 336 |

| INSTRUCTIONS |
|--|
| Submit this form in conjunction with the form DBPR PMW-3060 – Permitholder Application for License and Operating Dates. |
| Please do not overlook the cardroom section and the required application oath on page 4. |
| Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month. |
| LETTER CODES |
| M = Matinee E = Evening C = Charity S = Scholarship |

| | | | | | | |
|---|---|----|----|----|----|----|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | E | M | M | S | C | C |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | M | | | | | |

Example

July Year: 2023

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 | | | | | |

August Year: 2023

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |
| | | | | | | |

M 0 E 0 C/S 0

Total 0

M 0 E 0 C/S 0

Total 0

January Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |
| | | | | | | |

M 0 E 0 C/S 0

Total 0

February Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | | |
| | | | | | | |

M 0 E 0 C/S 0

Total 0

March Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |
| | | | | | | |

M 0 E 0 C/S 0

Total 0

April Year: 2024

| Sun | Mon | Tues | Wed | Thurs | Fri | Sat |
|-----|-----|------|-----|-------|-----|-----|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | | | | |
| | | | | | | |

M 0 E 0 C/S 0

Total 0



Aug 31, 2023

Mr. Jerry Mong
Magic City Casino
West Flagler License #283/#286

Dear Jerry,

Gulfstream Park is requesting a change to our race dates for the 2023-2024 license year for Gulfstream Park Thoroughbred After Racing License 336 to continue the necessary changes to the Turf track surface.

Cancel Thursday Nov 2, 9, 16 & 23
Cancel Wed Nov 22
Add June 13,14,15,16 & 20

We appreciate your prompt attention in this matter.

Please indicate whether you object to this requested change in the appropriate space below. Sign and email back to Sheri Holmes at Sheri.Holmes@gulfstreampark.com. If you have any issues or concerns, please contact me at 954-815-0027.

Sincerely and Thank- you,

Sheri Holmes Stirling
Vice President, Administration
Gulfstream Park

No objection

Objection

Reason for objection: _____



Aug 31, 2023

Mr. Juan Pablo Villamayor
The Casino @ Dania Beach
License #274

Dear Juan,

Gulfstream Park is requesting a change to our race dates for the 2023-2024 license year for Gulfstream Park Thoroughbred After Racing License 336 to continue the necessary changes to the Turf track surface.

Cancel Thursday Nov 2, 9, 16 & 23

Cancel Wed Nov 22

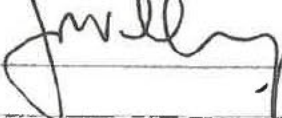
Add June 13,14,15,16 & 20

We appreciate your prompt attention in this matter.

Please indicate whether you object to this requested change in the appropriate space below. Sign and email back to Sheri Holmes at Sheri.Holmes@gulfstreampark.com. If you have any issues or concerns, please contact me at 954-815-0027.

Sincerely and Thank- you,

Sheri Holmes Stirling
Vice President, Administration
Gulfstream Park

No objection 
 Objection

Reason for objection: _____



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023051759 **Incident date:** 09/13/2023 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GULFSTREAM PARK THOROUGHBRED AFTER RACING PROGRAM, INC.
 3051 NORTHEAST 213TH STREET, AVENTURA, FL 33180

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER: GPTARP (TBRD336) FISCAL YEAR: 2023/2024
 DATE RECEIVED: September 14, 2023
 CHANGE REQUESTED: Add Jun. 13th, 14th, 15th, 16th, and 20th; cancel Nov. 2nd, 9th, 16th, 22nd,
 and 23rd performances/racing dates.
 REVIEWER'S NAME: La'Kesha Jelks

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 09/14/2023 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 09/14/2023 | S | 1001 | 10 | Initial Review | ljelks | |

4. Discussion of renewal application for slot machine license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to renew annual slot machine license
Gulfstream Park Racing Association, Inc. (TBRD321)
Case# 2023-048253
Date: September 18, 2023

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. ¹ One such facility is Gulfstream Park Racing Association, Inc. d/b/a Gulfstream Park Racing & Casino ("Gulfstream"). Gulfstream is located in Broward County and possesses a valid thoroughbred permit. Gulfstream was issued a pari-mutuel operating license for the 2023-2024 fiscal year.

Commission staff received Gulfstream's application to renew its slot machine license on August 4, 2023. The application was completed on September 15, 2023.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. ² Gulfstream has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should Gulfstream Park Racing Association, Inc. d/b/a Gulfstream Park Racing & Casino's application for renewal of its slot machine license effective October 13, 2023 through October 12, 2024.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 75-14 ("Pari-Mutuel Wagering Facility Slot Machine Operations").



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS Gulfstream Park Racing Association, Inc., d/b/a Gulfstream Park Racing and Casino is the holder of a valid, active pari-mutuel permit to conduct thoroughbred racing at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS Gulfstream Park Racing and Casino is authorized to conduct pari-mutuel wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida authorized slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted on March 8, 2005.

WHEREAS Gulfstream Park Racing and Casino has made application for licensure to conduct Slot Machine Gaming from October 13, 2023, through October 12, 2024, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that Gulfstream Park Racing and Casino has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS Gulfstream Park Racing and Casino has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that Gulfstream Park Racing and Casino is granted a non-transferable license, Number **SM321**, under which Gulfstream Park Racing and Casino may, exclusively at the slot machine facility located at **Gulfstream Park Racing Association, Inc.**, at 901 South Federal Highway, Hallandale, Florida 33009, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE Gulfstream Park Racing and Casino agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this ____ day of October 2023, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

**Louis Trombetta, Executive Director
Florida Gaming Control Commission**

STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

| APPLICATION INFORMATION | | | | |
|---|--|-------------------|-----------------------------|---|
| Permitholder Name: Gulfstream Park – 321 – 1003 | | File #3 | App # 69 | |
| Date Received: August 4, 2023 | | Case# 2023-048253 | | |
| Reviewer's Name: La'Keshia Jelks | | | | |
| SLOT MACHINE LICENSE REQUIREMENTS | | | | |
| | Requirement Description | Met? | Date Deficiency Letter Sent | Date Deficiency Resolved |
| 1 | Original application form DBPR PMW 3405. | Yes | | |
| 2 | Original Authorization for Release of Information form DBPR PMW 3460. | Yes | | |
| 3 | List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form DBPR 3190. | Yes | | |
| 4 | A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only). | Yes | | |
| 5 | Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS. | Yes | August 25, 2023 | Awaiting address to be updated to the Governor's Office |
| 6 | Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT. | Yes | August 25, 2023 | September 15, 2023 |
| 7 | A list of slot machine business occupational licensees with whom the permitholder intends to do business. | Yes | | |
| 8 | Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code. | Yes | | |

Application reviewed by:

Jamie Purney
 Permitholder Administrator

W. J. (OGC)
 Second Review

Tracy R Swain
 Second Review

9/20/23
 Date

9-18-23
 Date

9-21-2023
 Date

La'Kesha Jelks

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Wednesday, September 20, 2023 10:12 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Holmes, Sheri; Lisa Sutor; Leibovitz, Dana
Subject: Continuation Bond Certificate (Gulfstream Park 2023-2024 Slot Operating License Renewal)
Attachments: Scanned from a Xerox Multifunction Printer

In regard to the above, La'Kesha, attached please find a copy of the Continuation Certificate (as corrected) with power of attorney...

If you need anything else (including the original Continuation Certificate), just let us know...Otherwise, please confirm receipt of the continuation certificate, and advise whether our slots operating license renewal application is now complete...

Thank you again for working with us on completing our slots application...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009
P /954.457.6272



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From: Patterson, Richard
Sent: Thursday, September 14, 2023 10:59 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: Continuation Bond Certificate

Will do, thank you, La'Kesha...



RICHARD PATTERSON, JR
 STAFF ATTORNEY
 901 S FEDERAL HWY
 HALLANDALE BEACH, FL 33009
 P /954.457.6272



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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Thursday, September 14, 2023 10:55 AM
To: Patterson, Richard <Richard.Patterson@gulfstreampark.com>; Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Continuation Bond Certificate

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

I have received my response from legal. Everything is correct except the address. Please correct the Bond to reflect the Governor's address, which is, according to Google, 400 South Monroe Street, Tallahassee, FL 32399.

Best,

La'Kesha Jelks



La'Kesha Jelks
 Operations Review Specialist
 Florida Gaming Control Commission
 Pari-Mutuel Wagering
 Phone: (850) 794-8114

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**TOKIOMARINE
HCC**

Surety Group
801 S Figueroa Street, Suite 700
Los Angeles, CA 90017 USA
Tel: 310-449-0990

Continuation Certificate

| Bond No. | Bond Description | Bond Amount | Effective Date | Expiration Date |
|------------|------------------|----------------|----------------|-----------------|
| 1000956099 | FLMSC INDEMNITY | \$2,000,000.00 | 7/1/2023 | 7/1/2024 |

Principal:
GULFSTREAM PARK RACING ASSOCIATION, INC.
901 SOUTH FEDERAL HIGHWAY
HALLADENDALE BEACH, FL 33009

Obligee:
GOVERNOR OF THE STATE OF FLORIDA AND HER OR HIS SUCCESSORS IN OFFICE
400 SOUTH MONROE STREET
TALLAHASSEE, FL 32399

THIS BOND CONTINUES IN FORCE TO THE ABOVE EXPIRATION DATE CONDITIONED AND PROVIDED THAT THE LOSSES OR RECOVERIES IN IT AND ALL ENDORSEMENTS SHALL NEVER EXCEED THE PENALTY SET FORTH IN THE BOND AND WHETHER THE LOSSES OR RECOVERIES ARE WITHIN THE FIRST AND/OR SUBSEQUENT OR WITHIN ANY EXTENSION OR RENEWAL PERIOD, PRESENT, PAST OR FUTURE. ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED.

SIGNED AND DATED THIS 6TH DAY OF SEPTEMBER, 2023

U.S. Specialty Insurance Company

Lori A. Wright

Lori A. Wright, Attorney-in-fact

MARSH USA - Knoxville National Service Center
1111 N. NORTSHORE DRIVE, SUITE N550
KNOXVILLE, TN 37919



TOKIOMARINE
HCC

POWER OF ATTORNEY
AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

KNOW ALL MEN BY THESE PRESENTS: That American Contractors Indemnity Company, a California corporation, Texas Bonding Company, an assumed name of American Contractors Indemnity Company, United States Surety Company, a Maryland corporation and U.S. Specialty Insurance Company, a Texas corporation (collectively, the "Companies"), do by these presents make, constitute and appoint:

SANDRA KING, VICKI NOBINGER, LORETTA M. JONES, BONNIE RICE, CAROLYN E. WHEELER, MICHELLE LUTE-HEATHERLY, RACHEL A. CHAVERIAT, CARRIE WRIGHT, MERI DAMRON, LORI A. WRIGHT OR ANDREA ALLMAN OF KNOXVILLE, TENNESSEE

its true and lawful Attorney(s)-in-fact, each in their separate capacity if more than one is named above, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include riders, amendments, and consents of surety, providing the bond penalty does not exceed *****Five Million***** Dollars (***5,000,000.00***). This Power of Attorney shall expire without further action on April 23rd, 2026. This Power of Attorney is granted under and by authority of the following resolutions adopted by the Boards of Directors of the Companies:

Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings, including any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts, and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, The Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 18th day of April 2022.

AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

State of California
County of Los Angeles



By: [Signature]
Daniel P. Aguilar, Vice President

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document

On this 18th day of April 2022, before me, Sonia O. Carrejo, a notary public, personally appeared Daniel P. Aguilar, Vice President of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (seal)



I, Kio Lo, Assistant Secretary of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect; furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Los Angeles, California this 19th day of September 2023

Corporate Seals
Bond No. 1000956099
Agency No. 16859



[Signature]
Kio Lo, Assistant Secretary

visit tmhcc.com/surety for more information

HCC6MANPOA02/2023

La'Kesha Jelks

From: Jamie Pouncey
Sent: Friday, September 15, 2023 4:30 PM
To: Lisa Sutor
Cc: La'Kesha Jelks
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

Please note – this payment was not paid to the correct fee code with DOR; this amount was sent as a tax payment. In the future, please assure your slot license fees are paid to your slot license fees code with DOR.

Thank you,



Jamie Pouncey
Program Administrator
Office: 850-717-1073 Cell:850-964-1705

From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Wednesday, September 13, 2023 12:38 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Hello La'Kesha,

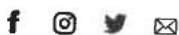
As requested, the payments for the 2023 \$250,000 Gambling Prevention Program Regulatory Fee and \$2,000,000 License Application Fee have been processed.

The payment confirmations are attached.

Thank you,



LISA SUTOR
CASINO CONTROLLER
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009
P /954.455.6865





State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation
Batch #: 23005009
Receipt: 2
Total \$ Entered: \$ 2,250,000.00
Receipts Entered: 2

Origin: EFT
Deposit #: AD0052
Total: \$ 2,250,000.00
Fiscal Year: 2023
Deposit Date: 2023-09-14
Status: Deposited

| Receipt # | DLN | Received | Disp | Pmt | Note | Unassigned | Prof | Remitted By / Beneficiary | File # | License # | Assigned |
|---------------|-----|-----------------|------|-----|------|------------|------|--|--------|-----------|-----------------|
| 230035956 | | \$ 250,000.00 | DEP | EFT | | \$ 0.00 | | | | | |
| 230035957 | | \$ 2,000,000.00 | DEP | EFT | | \$ 0.00 | 1003 | GULFSTREAM PARK RACING ASSOCIATION, INC. | 3 | 321 | \$ 250,000.00 |
| Total: | | \$ 2,250,000.00 | | | | \$ 0.00 | 1003 | GULFSTREAM PARK RACING ASSOCIATION, INC. | 3 | 321 | \$ 2,000,000.00 |
| | | | | | | | | | | | \$ 2,250,000.00 |

La'Kesha Jelks

From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Friday, September 15, 2023 7:48 AM
To: La'Kesha Jelks
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal
Attachments: Gulfstream Casino Vendor Licenses 2023 09.15.2023.xlsx

Good morning La'Kesha,

Yes, I have removed Gaming Analytics, Inc. from the Gulfstream Casino Vendors list until the license is approved.

The revised vendor list is attached.

Thank you,

Lisa

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Wednesday, September 13, 2023 2:06 PM
To: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

Gaming Analytics, Inc. PMW business license application remains deficient. Would you like to remove them from your list of vendors until the license is approved?

From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Wednesday, September 13, 2023 12:38 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

Hello La'Kesha,

As requested, the payments for the 2023 \$250,000 Gambling Prevention Program Regulatory Fee and \$2,000,000 License Application Fee have been processed.

The payment confirmations are attached.

Thank you,

Gulfstream Casino Vendor Licenses 2023

| Vendor Name | Vendor License Number | Expiration Date |
|---|-----------------------|-----------------|
| Adept Consulting Services Inc | 13195075 | 6/30/2025 |
| Ainsworth Game Technology | 7929049 | 6/30/2024 |
| AGS LLC | 8281949 | 6/30/2026 |
| Apogee Gaming USA Inc | 11936144 | 6/30/2024 |
| Aristocrat Technologies Inc | 7830421 | 6/30/2024 |
| Aruze Gaming America Inc | 8587586 | 6/30/2025 |
| Bluberi Gaming USA Inc | 11105033 | 6/30/2025 |
| Everi Games Inc | 9943621 | 6/30/2024 |
| Everi Payments Inc | 9993721 | 6/30/2024 |
| Gaming & Entertainment Touch Technology LLC | 12864712 | 6/30/2024 |
| Gaming Arts LLC | 11905567 | 6/30/2024 |
| Graphic Controls Acquisition Corp | 7793413 | 6/30/2024 |
| Hayes International Inc | 11018137 | 6/30/2024 |
| IGT Inc | 7800936 | 6/30/2024 |
| Incredible Technologies Casino Games Inc | 8801896 | 6/30/2024 |
| Interblock USA LC | 8759471 | 6/30/2025 |
| International Gaming Solutions Inc | 10965598 | 6/30/2024 |
| JVL Systems Inc | 12379217 | 6/30/2025 |
| KGM Gaming LLC | 8915860 | 6/30/2026 |
| Konami Gaming Inc | 7883140 | 6/30/2024 |
| Landry Holdings LLC (Casinotech) | 9917921 | 6/30/2024 |
| Lightning Slot Machines LLC | 9823078 | 6/30/2024 |
| LNW Gaming Inc. | 7805891 | 6/30/2024 |
| Modern Gaming Inc | 8523052 | 6/30/2024 |
| Novomatic Americas Sales LLC | 9917853 | 6/30/2024 |
| Parimax Holdings LLC | 13224199 | 6/30/2025 |
| Patriot Gaming & Electronics Inc | 8605145 | 6/30/2025 |
| Reel Inc | 7830710 | 6/30/2024 |
| Sky Gaming LLC | 8966651 | 6/30/2026 |
| Spin Inc | 8010467 | 6/30/2024 |
| Suzohapp Gaming Solutions LLC | 8032829 | 6/30/2025 |
| Zitro USA, Inc. | 10019307 | 6/30/2024 |

Rev. 09.15.2023

La'Kesha Jelks

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Thursday, September 14, 2023 10:59 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Holmes, Sheri
Subject: Continuation Bond Certificate

Will do, thank you, La'Kesha...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6272



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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Thursday, September 14, 2023 10:55 AM
To: Patterson, Richard <Richard.Patterson@gulfstreampark.com>; Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Continuation Bond Certificate

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

I have received my response from legal. Everything is correct except the address. Please correct the Bond to reflect the Governor's address, which is, according to Google, 400 South Monroe Street, Tallahassee, FL 32399.

Best,

La'Kesha Jelks



FLORIDA
GAMING CONTROL
COMMISSION

La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission

Pari-Mutuel Wagering
Phone: (850) 794-8114

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La'Kesha Jelks

From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Wednesday, September 13, 2023 12:38 PM
To: La'Kesha Jelks; Pouncey, Jamie
Cc: Holmes, Sheri; Patterson, Richard
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal
Attachments: Gambling Prevention Program Regulatory Fee 2023 Payment Confirmation.pdf; License Application Fee 2023 Payment Confirmation.pdf

Hello La'Kesha,

As requested, the payments for the 2023 \$250,000 Gambling Prevention Program Regulatory Fee and \$2,000,000 License Application Fee have been processed.

The payment confirmations are attached.

Thank you,



LISA SUTOR
CASINO CONTROLLER
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009
P /954.455.6865
f @ t e

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Friday, August 25, 2023 11:14 AM
To: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

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Greetings,

The Slot Renewal Application submitted for Gulfstream Park Racing Association, Inc. (SM 321) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- Updated surety bond (the Change Rider submitted is not legally sufficient), per 551.103(1)(f), FS.

- Business license renewal application for Gaming Analytics Inc. (license#12315771)

The item listed require submission and/or correction to complete the renewal application. These items are also due on or before September 15, 2023, to ensure placement on the October 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>

Sent: Friday, August 4, 2023 2:44 PM

To: Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>

Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal

Good afternoon,

Please see the attached scanned documents for Gulfstream Park's 2023-2024 Slot Operating License Renewal.

The original documents will be sent via overnight delivery on Monday, August 7.

Best regards,



LISA SUTOR
CASINO CONTROLLER

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.455.6865



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The cancellation option is not available for credit card payments.

GULFSTREAM PARK RACING ASSOCIATION INC
901 S FEDERAL HWY
Hallandale Beach, FL 33009-7124

Access ID: [REDACTED]
Business Partner #: [REDACTED]

Confirmation Number: 232560672979

| | | |
|----------------------------|--------------|----------------------------|
| Remittance Period End Date | Payment Type | Tax Type: |
| 9/30/2023 | Receipts | DBPR - Pari-Mutuel - Slots |

Confirmation Date and Time: 09/13/2023 12:25:40 PM ET

Debit Date: 9/14/2023
 Enter Amount for Check: \$250,000.00
 Bank Routing Number: [REDACTED]
 Bank Account Number: [REDACTED]
 Bank Account Type: Checking
 Corporate/Personal: Corporate
 Name on Bank Acct: GULFSTREAM PARK RACING ASSOCIATION INC

I hereby authorize the Department of Revenue to process this ACH transaction and to debit the bank account identified above. I understand there may be service charges assessed on any transactions not honored by my bank.

Due to federal security requirements, we can not process international ACH transactions. If any portion of the money used in the payment you may be making today came from a financial institution located outside of the US or its territories for the purpose of funding this payment, please do not proceed and contact the Florida Department of Revenue at 850-488-6800 to make other payment arrangements. By continuing, you are confirming that this payment is not an international ACH transaction. If you are unsure, please contact your financial institution.

Signature: Lisa Suter
 Phone Number: [REDACTED]
 Email Address: [REDACTED]

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Payments submitted in error must be cancelled before 5:00 p.m. ET on the date submitted. If submitted after 5:00 p.m. ET on a business day, weekend, or holiday, the cancellation must be completed before 5:00 p.m. ET the next business day. All cancellations are permanently deleted from the database.

The cancellation option is not available for credit card payments.

GULFSTREAM PARK RACING ASSOCIATION INC
901 S FEDERAL HWY
Hallandale Beach, FL 33009-7124

Access ID: [REDACTED]
Business Partner #: [REDACTED]

Confirmation Number: 232560672978

| Remittance Period End Date | Payment Type | Tax Type: |
|----------------------------|--------------|----------------------------|
| 9/30/2023 | Receipts | DBPR - Pari-Mutuel - Slots |

Confirmation Date and Time 09/13/2023 12:23:33 PM ET

| | |
|-------------------------|--|
| Debit Date: | 9/14/2023 |
| Enter Amount for Check: | \$2,000,000.00 |
| Bank Routing Number: | [REDACTED] |
| Bank Account Number: | [REDACTED] |
| Bank Account Type: | Checking |
| Corporate/Personal: | Corporate |
| Name on Bank Acct: | GULFSTREAM PARK RACING ASSOCIATION INC |

I hereby authorize the Department of Revenue to process this ACH transaction and to debit the bank account identified above. I understand there may be service charges assessed on any transactions not honored by my bank.

Due to federal security requirements, we can not process international ACH transactions. If any portion of the money used in the payment you may be making today came from a financial institution located outside of the US or its territories for the purpose of funding this payment, please do not proceed and contact the Florida Department of Revenue at 850-488-6800 to make other payment arrangements. By continuing, you are confirming that this payment is not an international ACH transaction. If you are unsure, please contact your financial institution.

| | |
|----------------|------------|
| Signature: | Lisa Sutor |
| Phone Number: | [REDACTED] |
| Email Address: | [REDACTED] |

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La'Kesha Jelks

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Friday, September 8, 2023 4:29 PM
To: La'Kesha Jelks
Cc: Holmes, Sheri; Lisa Sutor; Leibovitz, Dana
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

Thank you, La'Kesha...Any word from your Legal Department on this..? (left you a VM earlier...) Thanks again...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6272



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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Thursday, September 7, 2023 3:57 PM
To: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Leibovitz, Dana <Dana.Leibovitz@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

Your email was forwarded to Elizabeth (Senior Attorney) and Emily (Attorney).

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Thursday, September 7, 2023 10:13 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Leibovitz, Dana <Dana.Leibovitz@gulfstreampark.com>
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

In regard to the above, La'Kesha, please see attached draft/proposed Continuation Bond (with no Change Rider...)

It would be greatly appreciated if you could check with your Legal Department and advise if this draft/proposed Continuation Bond (once completed and executed) will satisfy our Surety Bond requirement with the State...

If you have any questions or need anything else on this, please don't hesitate to contact us...

We look forward to hearing from you...Thank you for your cooperation and attention to this matter...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, September 5, 2023 11:57 AM
To: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

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Surety: US Specialty Insurance Company

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Friday, September 1, 2023 10:28 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

You don't often get email from richard.patterson@gulfstreampark.com. [Learn why this is important](#)

In regard to the above, La'Kesha (and to clarify and confirm), the Obligee language/wording is correct on the Change Rider (see attached) but needs instead to be included in the body of the Continuation Certificate itself (replacing the Obligee language/wording as set forth on the attached Continuation Certificate...)

Thank you for any further clarification on this (to assist us in satisfying the exact bond requirements needed...). Thanks again...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009
P /954.457.6272



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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Friday, August 25, 2023 2:14 PM
To: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

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If I remember correctly, The Change Rider only shows that an update/change was made to the bond. To meet the requirement, the bond must be updated, or you may complete the PMW Surety Bond form to satisfy the requirement.

[SURETY BOND \(flgaming.gov\)](http://flgaming.gov)

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>

Sent: Friday, August 25, 2023 12:16 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>

Subject: Re: Gulfstream Park 2023-2024 Slot Operating License Renewal

Hi, sorry I thought we had co firm'd that Continuation Certificate would suffice.

Please advise what needs to be changed.

Thanks
Sheri

Sent from my Verizon, Samsung Galaxy smartphone
Get [Outlook for Android](#)

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Sent: Friday, August 25, 2023 11:13:34 AM

To: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>

Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>

Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Greetings,

The Slot Renewal Application submitted for Gulfstream Park Racing Association, Inc. (SM 321) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- Updated surety bond (the Change Rider submitted is not legally sufficient), per 551.103(1)(f), FS.

- Business license renewal application for Gaming Analytics Inc. (license#12315771)

The item listed require submission and/or correction to complete the renewal application. These items are also due on or before September 15, 2023, to ensure placement on the October 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Friday, August 4, 2023 2:44 PM
To: Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal

Good afternoon,

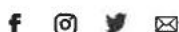
Please see the attached scanned documents for Gulfstream Park's 2023-2024 Slot Operating License Renewal.

The original documents will be sent via overnight delivery on Monday, August 7.

Best regards,



LISA SUTOR
CASINO CONTROLLER
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009
P /954.455.6865



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La'Kesha Jelks

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Thursday, September 7, 2023 10:00 AM
To: Patterson, Richard
Subject: Scanned from a Xerox Multifunction Printer
Attachments: Scanned from a Xerox Multifunction Printer.pdf

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Please open the attached document. It was sent to you using a Xerox multifunction printer.

Attachment File Type: pdf, Multi-Page

Multifunction Printer Location: Accounting - Fourth Floor Multifunction Printer Name: GSP-ACCT-PRN01

For more information on Xerox products and solutions, please visit

<https://nam12.safelinks.protection.outlook.com/?url=http%3A%2F%2Fwww.xerox.com%2F&data=05%7C01%7Crichard.patterson%40gulfstreampark.com%7C0f1eb461783a41b0ae4908dbafaae4f0%7Cf7c53549c5464fabbab58d45ce67f292%7C0%7C0%7C638296921446251885%7CUnknown%7CTWFpbGZsb3d8eyJWljoiMC4wLjAwMDAiLCJQIjoiV2luMzliLCJBTiI6I k1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=6EKG%2BFmo58blx3%2FOHOoBDEtNDDEI5s1VeCKpGoMiY7Q %3D&reserved=0>

La'Kesha Jelks

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Thursday, September 7, 2023 10:13 AM
To: La'Kesha Jelks
Cc: Holmes, Sheri; Lisa Sutor; Leibovitz, Dana
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)
Attachments: Scanned from a Xerox Multifunction Printer

In regard to the above, La'Kesha, please see attached draft/proposed Continuation Bond (with no Change Rider...)

It would be greatly appreciated if you could check with your Legal Department and advise if this draft/proposed Continuation Bond (once completed and executed) will satisfy our Surety Bond requirement with the State...

If you have any questions or need anything else on this, please don't hesitate to contact us...

We look forward to hearing from you...Thank you for your cooperation and attention to this matter...



RICHARD PATTERSON, JR
STAFF ATTORNEY
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6272



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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, September 5, 2023 11:57 AM
To: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

Surety: US Specialty Insurance Company

From: Patterson, Richard <Richard.Patterson@gulfstreampark.com>
Sent: Friday, September 1, 2023 10:28 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>

Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal (bond)

You don't often get email from richard.patterson@gulfstreampark.com. [Learn why this is important](#)

In regard to the above, La'Kesha (and to clarify and confirm), the Obligee language/wording is correct on the Change Rider (see attached) but needs instead to be included in the body of the Continuation Certificate itself (replacing the Obligee language/wording as set forth on the attached Continuation Certificate...)

Thank you for any further clarification on this (to assist us in satisfying the exact bond requirements needed...). Thanks again...



RICHARD PATTERSON, JR
STAFF ATTORNEY

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6272

[f](#) [@](#) [t](#) [✉](#)

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From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Sent: Friday, August 25, 2023 2:14 PM

To: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>; Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>

Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

WARNING // THIS E-MAIL ORIGINATED FROM AN EXTERNAL SENDER. BE CAUTIOUS WITH LINKS AND ATTACHMENTS.

If I remember correctly, The Change Rider only shows that an update/change was made to the bond. To meet the requirement, the bond must be updated, or you may complete the PMW Surety Bond form to satisfy the requirement.

[SURETY BOND \(flgaming.gov\)](#)

Best,

La'Kesha Jelks



**TOKIO MARINE
HCC**

Surety Group
801 S Figueroa Street, Suite 700
Los Angeles, CA 90017 USA
Tel: 310-649-0990

Continuation Certificate

| Bond No. | Bond Description | Bond Amount | Effective Date | Expiration Date |
|------------|------------------|----------------|----------------|-----------------|
| 1000956099 | FL/MSC INDEMNITY | \$2,000,000.00 | 7/1/2023 | 7/1/2024 |

Principal:
GULFSTREAM PARK RACING ASSOCIATION, INC.
901 SOUTH FEDERAL HIGHWAY
HALLADENDALE BEACH, FL 33009

Obligee:
GOVERNOR OF THE STATE OF FLORIDA AND HER OR HIS SUCCESSORS IN OFFICE
2601 BLAIR STONE BLVD.
TALLAHASSEE, FL 32399-1035

THIS BOND CONTINUES IN FORCE TO THE ABOVE EXPIRATION DATE CONDITIONED AND PROVIDED THAT THE LOSSES OR RECOVERIES IN IT AND ALL ENDORSEMENTS SHALL NEVER EXCEED THE PENALTY SET FORTH IN THE BOND AND WHETHER THE LOSSES OR RECOVERIES ARE WITHIN THE FIRST AND/OR SUBSEQUENT OR WITHIN ANY EXTENSION OR RENEWAL PERIOD, PRESENT, PAST OR FUTURE. ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED.

SIGNED AND DATED THIS 6TH DAY OF SEPTEMBER, 2023

U.S Specialty Insurance Company

Lori A. Wright , Attorney-in-fact

MARSH USA - Knoxville National Service Center
1111 N. NORTSHORE DRIVE, SUITE N550
KNOXVILLE, TN 37919



**TOKIOMARINE
HCC**

U.S. Specialty Insurance Company
801 S. Figueroa St., Suite 700 Los Angeles, CA 90017
main (310) 649 0990 facsimile (310) 645 9274

Tuesday, May 16, 2023

CONTINUATION CERTIFICATE

| BOND NUMBER | BOND DESCRIPTION | BOND AMOUNT | EFFECTIVE DATE | EXPIRATION DATE |
|-------------|--------------------|----------------|----------------|-----------------|
| ██████████ | FL / MSC INDEMNITY | \$2,000,000.00 | 7/1/2023 | 7/1/2024 |

Principal:

GULFSTREAM PARK RACING ASSOCIATION, INC. (TSG DEVELOPMENTS INVESTMENTS, INC.)
901 SOUTH FEDERAL HIGHWAY
HALLAENDALE, FL 33009

Obligee:

FLORIDA DEPT. OF BUSINESS & PROFESSIONAL REGULATION - DIVISION OF PARI-MUTUEL
1940 NORTH MONROE STREET
TALLAHASSEE, FL 32399

THIS BOND CONTINUES IN FORCE TO THE ABOVE EXPIRATION DATE CONDITIONED AND PROVIDED THAT THE LOSSES OR RECOVERIES IN IT AND ALL ENDORSEMENTS SHALL NEVER EXCEED THE PENALTY SET FORTH IN THE BOND AND WHETHER THE LOSSES OR RECOVERIES ARE WITHIN THE FIRST AND/OR SUBSEQUENT OR WITHIN ANY EXTENSION OR RENEWAL PERIOD, PRESENT, PAST OR FUTURE. ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED.

Signed and dated this 16th day of May, 2023.



U.S. Specialty Insurance Company

Dannette Hopkins

DANNETTE HOPKINS, Attorney in Fact

Agent:

MARSH USA - Knoxville National Service Center
1111 N. NORTHSHORE DRIVE, SUITE N550
KNOXVILLE, TN 37919



TOKIO MARINE
HCC

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS:

That, U.S. SPECIALTY INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Texas, and having its principal office in Houston, Harris County, Texas, does by these presents make, constitute and appoint,

DANNETTE HOPKINS

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver bond number _____, issued in the course of its business and to bind the Company thereby, in an amount not to exceed _____ Two million and 00/100 (\$2,000,000.00). Said appointment is made under and by authority of the following resolutions of the Board of Directors of U. S. Specialty Insurance Company:

"Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings, including any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts, and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached." Adopted by unanimous written consent in lieu of meeting on September 1st, 2011.

The Attorney-in-Fact named above may be an agent or a broker of the Company. The granting of this Power of Attorney is specific to this bond and does not indicate whether the Attorney-in-Fact is or is not an appointed agent of the Company.

IN WITNESS WHEREOF, U.S. Specialty Insurance Company has caused its seal to be affixed hereto and executed by its Senior Vice President on this 18th day of April, 2022.

State of California
County of Los Angeles SS:



U.S. SPECIALTY INSURANCE COMPANY
By: Adam S. Pessin
Adam S. Pessin, Senior Vice President

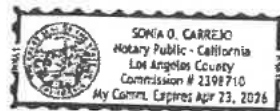
A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

On this 18th day of April, 2022, before me, Sonia O. Carrejo, a notary public, personally appeared Adam S. Pessin, Senior Vice President of U.S. Specialty Insurance Company, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of CALIFORNIA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Sonia O. Carrejo (seal)



I, Kio Lo, Assistant Secretary of U.S. Specialty Insurance Company, do hereby certify that the Power of Attorney and the resolution adopted by the Board of Directors of said Company as set forth above, are true and correct transcripts thereof and that neither the said Power of Attorney nor the resolution have been revoked and they are now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand this 16th day of May, 2023.

Bond No. 1000956099

Agency No. 16859



Kio Lo
Kio Lo, Assistant Secretary

Visit tmhcc.com/surety for more information

HCCSOZZPOAUSSIC04/2022

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Friday, August 25, 2023 11:17 AM
To: Lisa Sutor
Cc: 'Sheri.Holmes@gulfstreampark.com'
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

From: La'Kesha Jelks
Sent: Friday, August 25, 2023 11:14 AM
To: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>; Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: RE: Gulfstream Park 2023-2024 Slot Operating License Renewal

Greetings,

The Slot Renewal Application submitted for Gulfstream Park Racing Association, Inc. (SM 321) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- ✓ Updated surety bond (the Change Rider submitted is not legally sufficient), per 551.103(1)(f), FS.
- ✓ Business license renewal application for Gaming Analytics Inc. (license#12315771) *removed from list 9/15*

The item(s) listed require submission and/or correction to complete the renewal application. These items are also due on or before September 15, 2023, to ensure placement on the October 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Lisa Sutor <Lisa.Sutor@gulfstreampark.com>
Sent: Friday, August 4, 2023 2:44 PM
To: Pouncey, Jamie <Jamie.Pouncey@myfloridalicense.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Holmes, Sheri <Sheri.Holmes@gulfstreampark.com>
Subject: Gulfstream Park 2023-2024 Slot Operating License Renewal

Good afternoon,

Please see the attached scanned documents for Gulfstream Park's 2023-2024 Slot Operating License Renewal.

The original documents will be sent via overnight delivery on Monday, August 7.

Best regards,



LISA SUTOR
CASINO CONTROLLER

901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.455.6865



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August 7, 2023

SENT VIA OVERNIGHT DELIVERY

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
1940 North Monroe Street
Tallahassee, FL 32399-1037

2023 AUG -9 PM 12:55
FLORIDA GAMING
CONTROL COMMISSION

RECEIVED

Re: Gulfstream Park Slot Operating License Renewal

In accordance with the Florida Administrative Code, please find enclosed duly executed forms 3405, 3190 and 3460, along with the following documents required to renew the slot operating license:

1. Association agreements with Gulfstream;
2. Evidence of surety bond; and
3. List of occupational licensees with whom Gulfstream intends to do business.

Gulfstream Park Racing Association, Inc. had no civil, administrative, or criminal proceedings and/or judgements initiated by any government entity or any other state or federal agency that would affect the license status of the applicant or any affiliate pursuant to sections 550.054 or 550.1815, Florida Statutes. Further, there are no officers, directors or managers with 5 percent or more interest in the business.

The additional required documents specified on page 2 of form 3405 will be submitted to the Division of Pari-Mutuel Wagering office in Ft. Lauderdale under separate cover.

If you have any questions, please contact the undersigned. Thank you.

Sincerely,

Lisa Sutor
Casino Controller

RECEIVED

DBPR PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License

2023 AUG -9 PM 12: 56



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.

ORGANIZATION INFORMATION

Federal Employer ID Number: [REDACTED]

Permitholder's Legal Name: Gulfstream Park Racing Association, Inc.

Doing Business As (D/B/A) Name: Gulfstream Park Racing & Casino

MAILING ADDRESS

Street Address or P.O. Box: 901 S. Federal Hwy

City: Hallandale Beach

State: FL

Zip Code: 33009

County: Broward

Country: USA

CONTACT INFORMATION

Contact Name: Lisa Sutor

Title: Casino Controller

Primary Phone Number: 954-455-6865

Primary E-Mail Address: lisa.sutor@gulfstreampark.com

PHYSICAL ADDRESS

Street Address: 901 S. Federal Hwy

City: Hallandale Beach

State: FL

Zip Code (+4 optional)
33009

County: Broward

ADDITIONAL CONTACT INFORMATION

Alternate Phone Number

Fax Number

Alternate E-Mail Address

SLOT MACHINE AREA INFORMATION

Short Description of Physical Location of Slot Machine Area(s): 1st and 2nd floors racetrack clubhouse

What is the number of slot machines you intend to operate during the license period? Approx. 650

Name and license number of Slot Machine Operations Manager or Slot Machine Management Company:
Lisa Siples, License #10519336

Name and address of the Custodian of Records in Florida: Traci Ferguson, Gulfstream Park

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **4449** Name **SIPLES, LISA MARIE** Extended To
License # **10519336** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/07/2021**
Entity # **10519336** Lic Status **Current**

Address

Street # **5610** Street **NW 61ST ST APT 1112**
Line 2
Line 3
City **COCONUT CREEK** State **FL** Zip **33073**
Routing

Other

1st License Date **07/24/2014** Rank Date **05/07/2021** Certificate #
Method **I-S-1017** Status Date **08/14/2014** Certificate Date
Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

License

| | | |
|---------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo | Expires On 06/30/2026 |
| File # 5401 | Name Leibovitz, Dana Leon | Extended To |
| License # 11076599 | Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo | Renewed On 04/18/2023 |
| Entity # 11076599 | Lic Status Current | |

Address

| | | | |
|-----------------------|------------------------------|------------------|--|
| Street # 14401 | Street GREENBRIAR MNR | | |
| Line 2 | | | |
| Line 3 | | | |
| City DAVIE | State FL | Zip 33325 | |
| | Routing | | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 05/02/2016 | Rank Date 04/18/2023 | Certificate # |
| Method I-S-1017 | Status Date 09/19/2019 | Certificate Date |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent |

List the dates and hours of slot machine operations:
10 am - 3 am subject to change with notice to FGCC

| | |
|--|---|
| Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken: _____ | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

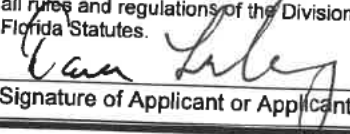
Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.



 Signature of Applicant or Applicant's Representative

8/2/23

 Date



[Previous on List](#) [Next on List](#) [Return to List](#)

[Filing History](#)

Fictitious Name Detail

Fictitious Name

GULFSTREAM PARK RACING AND CASINO

Filing Information

Registration Number G07283900293
Status ACTIVE
Filed Date 10/10/2007
Expiration Date 12/31/2027
Current Owners 1
County MULTIPLE
Total Pages 4
Events Filed 3
FEI/EIN Number [REDACTED]

Mailing Address

2 95 ERIC T SMITH WAY
 AURORA, ON 00000

Owner Information

GULFSTREAM PARK RACING ASSOCIATION, INC.
 901 S. FEDERAL HIGHWAY
 HALLANDALE BEACH, FL 33009
FEI/EIN Number: [REDACTED]
Document Number: 142702

Document Images

- [10/10/2007 -- Fictitious Name Filing](#) View image in PDF format
- [08/30/2022 -- Fictitious Name Renewal Filing](#) View image in PDF format
- [08/09/2017 -- Fictitious Name Renewal Filing](#) View image in PDF format
- [09/14/2012 -- Fictitious Name Renewal Filing](#) View image in PDF format

[Previous on List](#) [Next on List](#) [Return to List](#)

[Filing History](#)

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING GAMING CONTROL COMMISSION
www.myfloridalicense.com

2023 AUG -9 PM 12: 56
FLORIDA GAMING CONTROL COMMISSION

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number: [REDACTED]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name: _____ First: _____ Middle: _____ Title: _____ Suffix: _____

Birth Date: (MM/DD/YYYY)

Name of Employer:

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name: Last: Leibovitz First: Dana Middle: _____ Title: _____ Suffix: _____

Business Entity Name:

Gulfstream Park Racing Association, Inc.

Official Capacity:

Casino Manager

ALL APPLICANTS PLEASE READ AND SIGN BELOW

I, Dana Leibovitz
(name of applicant/representative), do hereby instruct all law enforcement or criminal justice agencies to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I, Dana Leibovitz
(name of applicant/representative), do hereby instruct all law enforcement, gaming commissions, tribal gaming regulatory agencies and/or commissions, state agencies and/or commissions responsible for gaming regulation or criminal justice agencies to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any financial or public institution to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

Dana Leibovitz

(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: Dana Leibovitz

Date: 8/2/23

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DBPR PMW-3190 – Officers and Directors

2023 AUG -9 PM 12: 56



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

FLORIDA GAMING
CONTROL COMMISSION

Please provide information on the partners, managers, officers, or directors for your business entity below.

| ORGANIZATION NAME | |
|--|--------------|
| Name of Organization Gulfstream Park Racing Association, Inc. | Permit # 321 |
| D/B/A or Trade Name Gulfstream Park | |

| LIMITED LIABILITY CORPORATION QUESTIONS |
|---|
| If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input checked="" type="checkbox"/> |

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:
Attach additional sheets as necessary.

| MANAGEMENT INFORMATION | | | | |
|--|-------------------|-----------------------------------|-------|--------|
| Last Name TSG Developments Investments, Inc. | First | Middle | Title | Suffix |
| Office Held | License # | Percentage of Ownership 100% | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box #2 95 Eric T. Smith Way | | | | |
| City Aurora | State ON | Zip Code (+4 optional) L4G 0Z6 | | |
| County (if Florida address) | Country Canada | | | |

| MANAGEMENT INFORMATION | | | | |
|---|------------------------|---------------------------------|-------|--------|
| Last Name Badgett | First William | Middle | Title | Suffix |
| Office Held General Manager | License # PIN754881 | Percentage of Ownership n/a | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 1201 S. Ocean Dr. Apt 14105 | | | | |
| City Hollywood | State FL | Zip Code (+4 optional) 33019 | | |
| County (if Florida address) Broward | Country USA | | | |

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The Open Database Of The Corporate World

Company name or number

Companies Officers

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TSG DEVELOPMENTS INVESTMENTS, INC. [branch](#)

Company Number

F14000000141

Status

Active

Incorporation Date

10 January 2014 (over 9 years ago)

Company Type

Foreign for Profit

Jurisdiction

[Florida \(US\)](#)

Branch

Branch of [TSG DEVELOPMENTS INVESTMENTS, INC.](#) (Delaware (US))

Agent Name

CT CORPORATION SYSTEM

Agent Address

1200 SOUTH PINE ISLAND ROAD, PLANTATION, FL, 33324

Directors / Officers

[7 officers available, please log in to see this data](#)

Inactive Directors / Officers

[3 officers available, please log in to see this data](#)

Registry Page

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Source Florida Department of State Division of Corporations, <https://search.sunbiz.org/Inquiry/Cor...>
11 Jul 2023

Company Addresses

[Head Office Address](#)

901 S. FEDERAL HIGHWAY, HALLANDALE BEACH, FL, 33009

[Mailing Address](#)

901 S. FEDERAL HIGHWAY, HALLANDALE BEACH, FL, 33009

Latest Events

2021-10-01 - 2021-12-31

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2021-10-01 - 2021-12-31

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- [branch TSG DEVELOPMENTS INVESTMENTS INC.](#) (Indiana (US), 15 Jan 2021-)
- [branch TSG DEVELOPMENTS INVESTMENTS, INC.](#) (West Virginia (US), 8 Feb 2021-)
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- [branch TSG Developments Investments Inc.](#) (Minnesota (US), 14 Jan 2021-)
- [branch TSG DEVELOPMENTS INVESTMENTS, INC.](#) (New York (US), 4 Jun 2021-)
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- [branch TSG DEVELOPMENTS INVESTMENTS INC.](#) (Arkansas (US), 8 Feb 2021-)
- [branch TSG Developments Investments Inc.](#) (Colorado (US), 14 Jan 2021-)

Home company

[TSG DEVELOPMENTS INVESTMENTS, INC.](#) (Delaware (US), 24 Feb 2010-) [details](#)

Identifiers

| Identifier System | Identifier Categories | |
|-------------------------------------|-----------------------|-------------------------|
| US Federal EIN/TIN number 980651204 | Tax | details |
| US Federal EIN/TIN number 980651204 | Tax | details |
| US Federal EIN/TIN number 980651204 | Tax | details |

* While we strive to keep this information correct and up-to-date, it is not the primary source, and the company registry ([see source](#), above) should always be referred to for definitive information
Data on this page last changed August 17 2023

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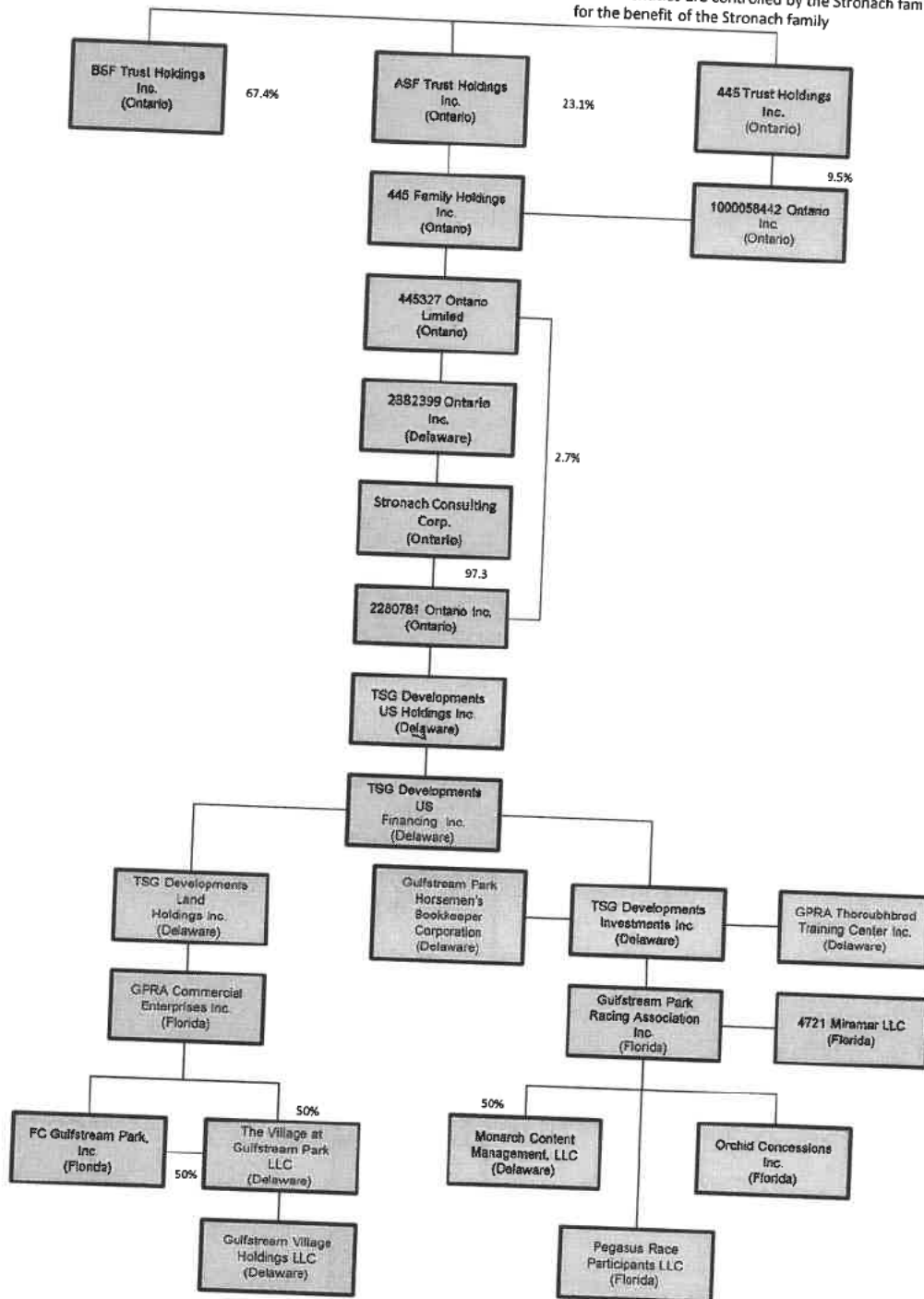
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GULFSTREAM PARK RACING & CASINO ORGANIZATION CHART

- These 3 entities are controlled by the Stronach family through Trusts for the benefit of the Stronach family



100% ownership unless otherwise noted

100% unless otherwise noted

License

| | | |
|-------------------------|---|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1021 - PMW Professional Individual Occupational | Expires On 06/30/2025 |
| File # 10510 | Name BADGETT, WILLIAM JR | Extended To |
| License # 754881 | Rank PIND - Professional Individual Occupational | Renewed On 06/17/2022 |
| Entity # 754881 | Lic Status Current | |

Address

| | | |
|-----------------------|------------------------------------|------------------|
| Street # 1201 | Street S OCEAN DR APT 14105 | |
| Line 2 | | |
| Line 3 | | |
| City HOLLYWOOD | State FL | Zip 33019 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 07/03/2019 | Rank Date 06/17/2022 | Certificate # |
| Method I-S-1024 | Status Date 07/03/2019 | Certificate Date |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent |

License

| | | |
|---------------------------|---|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1021 - PMW Professional Individual Occupational | Expires On 06/30/2025 |
| File # 59288 | Name LAKOW, MICHAEL SCOTT | Extended To |
| License # 10132609 | Rank PIND - Professional Individual Occupational | Renewed On 06/14/2022 |
| Entity # 10132609 | Lic Status Current | |

Address

| | | | |
|------------------------|-------------------------------|------------------|--|
| Street # 52 | Street NW CHAUCER LANE | | |
| Line 2 | | | |
| Line 3 | | | |
| City BOCA RATON | State FL | Zip 33432 | |
| | Routing | | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 10/08/2019 | Rank Date 06/14/2022 | Certificate # |
| Method I-S-1024 | Status Date 10/08/2019 | Certificate Date |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent |

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo | Expires On 06/30/2025 |
| File # 3517 | Name ROGERS, MICHAEL M | Extended To |
| License # 1592463 | Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo | Renewed On 12/23/2016 |
| Entity # 1592463 | Lic Status Current | |

Address

| | | | |
|-------------------------|-----------------------------------|--------------------|--|
| Street # 20 | Street HIDDEN FOREST DRIVE | | |
| Line 2 | | | |
| Line 3 | | | |
| City STOUFFVILLE | State 99 | Zip L4A 1Z6 | |
| | Routing | | |

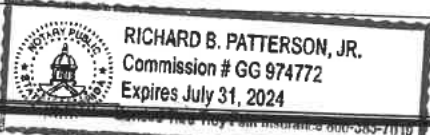
Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 07/01/2022 | Rank Date 07/11/2022 | Certificate # |
| Method I-S-1017 | Status Date 07/11/2022 | Certificate Date |
| Fee Exempt No | Birth Date [REDACTED] | Renewal Sent |

| MANAGEMENT INFORMATION | | | | |
|---|-----------------------|---------------------------------|-------|--------|
| Last Name Lakow | First Michael | Middle | Title | Suffix |
| Office Held Vice President Racing Operations | License # 10132609 | Percentage of Ownership n/a | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 52 NW Chaucer Ln. | | | | |
| City Boca Raton | State FL | Zip Code (+4 optional) 33432 | | |
| County (if Florida address) Palm Beach | Country USA | | | |

| MANAGEMENT INFORMATION | | | | |
|--|----------------------|-----------------------------------|-------|--------|
| Last Name Rogers | First Michael | Middle | Title | Suffix |
| Office Held COO | License # 1592463 | Percentage of Ownership n/a | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box 20 Hidden Forest Drive | | | | |
| City Stouffville | State ON | Zip Code (+4 optional) L4A 1Z6 | | |
| County (if Florida address) | Country Canada | | | |

| MANAGEMENT INFORMATION | | | | |
|--------------------------------|-----------|-------------------------|-------|--------|
| Last Name | First | Middle | Title | Suffix |
| Office Held | License # | Percentage of Ownership | | |
| RESIDENCE ADDRESS | | | | |
| Street Address or P.O. Box | | | | |
| City | State | Zip Code (+4 optional) | | |
| County (if Florida address) | Country | | | |

| OATH | | | |
|--|--|---|--------|
| I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses. | | | |
| Dana Leibovitz | Casino Manager | <i>Dana Leibovitz</i> | 8/2/23 |
| Name (Please Print) | Title (Please Print) | Signature | Date |
| State of Florida | County of <u>Broward</u> | Sworn to (or affirmed) and subscribed before me this <u>2nd</u> day of <u>August</u> , 20 <u>23</u> . | |
| <u>Dana Leibovitz</u> , who is personally known to me or produced the following as identification: | | | |
| <i>[Signature]</i> |  | | |
| Notary Public | My Commission Expires: <u>7/31/2024</u> | | |

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2023 AUG -9 PM 12: 56

2023 GULFSTREAM PARK FLORIDA-BRED

**STAKES PROGRAM AGREEMENT FLORIDA GAMING
CONTROL COMMISSION**

This Agreement, by and among the Florida Thoroughbred Breeders' Association, Inc. d/b/a the Florida Thoroughbred Breeder's and Owners' Association ("FTBOA"), the Florida Horsemen's Benevolent & Protective Association, Inc. d/b/a the Florida Thoroughbred Horsemen's Association ("FTHA"), and Gulfstream Park Racing Association, Inc. ("Gulfstream Park"), (collectively the "Parties"), is entered into as of February 9th, 2023 (the "Effective Date") and sets forth the terms of the FTBOA's Florida-Bred Stakes Program (the "Program") for races conducted at Gulfstream Park in 2023 (the "2023 Races").

In consideration of the mutual covenants set forth herein and other good and valuable consideration, the Parties agree as follows:

1. **Florida-Bred Purses and Purse Supplements.** From all of its available sources, the FTBOA will offer under this Program a total of \$1.75 million in Florida-Bred purses and purse supplements (the "Funds"). The Program will comply with all relevant Florida laws on the use of breeder and stallion award funds. The distribution of the Funds is detailed below and outlined in the attached Exhibit A, the terms of which are specifically incorporated herein by reference. If any of the Funds committed under this Agreement to a particular race or program are not earned in the 2023 Races, then those Funds will be returned or released to the Parties in the same proportion in which they were contributed to that race or program, as provided in Exhibit A.
- a. **FTBOA's Florida Sire Stakes.** The FTBOA Florida Sire Stakes ("FSS"), as provided herein, will consist of the following two-year-old races to be run at the Gulfstream Park facility, as further described below: (i) a filly race and a colt race at six furlongs, with a purse of \$100,000.00 per race, totaling \$200,000.00, to be run on September 9, 2023 (the "September Races"); (ii) a filly race and a colt race at seven furlongs, with a purse of \$200,000.00 per race, totaling \$400,000.00, to be run on October 21, 2023 (the "October Races"); and (iii) a filly race and a colt race at 1-1/16 miles, with a purse of \$300,000.00 per race, totaling \$600,000.00, to be run on December 2, 2023 (the "December Races"). Collectively, the foregoing purses are referred to as the "FSS Purses". Except as otherwise provided below, all FSS Purses shall be paid only on thoroughbreds properly registered with the FTBOA as Florida-Breds and as FSS-eligibles.

The FTBOA Florida Sire Stakes – Fillies Division (*Desert Vixen*)

\$100,000 Guaranteed

Trophy to the Winning Owner

Fillies, Two Years Old, that are Florida Sire Stakes Registered: \$1,000 to pass the entry box and \$500 additional to start, with a purse of \$100,000.00. 60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to third, 6% to fourth, 3% to fifth. Weight: 121 lbs., maidens allowed 3 lbs. Starters to be named through the entry box by the usual time of closing. All fees shall be paid prior to start of the race. This race will be limited to 12 starters, with also eligibles. If more than 12 entries pass the entry box, preference will be given to horses with highest career earnings. Six Furlongs

The FTBOA Florida Sire Stakes – Colts & Geldings Division (*Dr. Fager*)
\$100,000 Guaranteed **Trophy to the Winning Owner**
Colts and Geldings, Two Years Old, that are Florida Sire Stakes Registered. \$1,000 to pass the entry box and \$500 additional to start, with a purse of \$100,000.00. 60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to third, 6% to fourth, 3% to fifth. Weight: 121 lbs., maidens allowed 3 lbs. Starters to be named through the entry box by the usual time of closing. All fees shall be paid prior to start of the race. This race will be limited to 12 starters, with also eligibles. If more than 12 entries pass the entry box, preference will be given to horses with highest career earnings. **Six Furlongs**

The FTBOA Florida Sire Stakes – Fillies Division (*Susan's Girl*)
\$200,000.00 Guaranteed **Trophy to the Winning**
Owner Fillies, Two Years Old, that are Florida Sire Stakes Registered. \$1,750 to pass the entry box and \$750 additional to start, with a purse of \$200,000.00. 60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to third, 6% to fourth, 3% to fifth. Weight: 121 lbs., maidens allowed 3 lbs. Starters to be named through the entry box by the usual time of closing. All fees shall be paid prior to start of the race. This race will be limited to 12 starters, with also eligibles. If more than 12 entries pass the entry box, preference will be given to horses with highest career earnings. **Seven Furlongs**

The FTBOA Florida Sire Stakes – Colts & Geldings Division (*Affirmed*)
\$200,000.00 Guaranteed **Trophy to the Winning**
Owner Colts and Geldings, Two Years Old, that are Florida Sire Stakes Registered. \$1,750 to pass the entry box and \$750 additional to start, with a purse of \$200,000. 60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to third, 6% to fourth, 3% to fifth. Weight: 121 lbs., maidens allowed 3 lbs. Starters to be named through the entry box by the usual time of closing. All fees shall be paid prior to start of the race. This race will be limited to 12 starters, with also eligibles. If more than 12 entries pass the entry box, preference will be given to horses with highest career earnings. **Seven Furlongs**

The FTBOA Florida Sire Stakes – Fillies Division (*My Dear Girl*)
\$300,000.00 Guaranteed **Trophy to the Winning**
Owner Fillies, Two Years Old, that are Florida Sire Stakes Registered. \$2,375 to pass the entry box and \$875 additional to start, with a purse of \$300,000.00. 60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to third, 6% to fourth, 3% to fifth. Weight: 122 lbs. Non-winners of a sweepstake allowed 2 lbs.; a race at a mile or over other than claiming allowed 4 lbs. Starters to be named through the entry box by the usual time of closing. All fees shall be paid prior to start of the race. This race will be limited to 12 starters, with also eligibles. If more than 12 entries pass the entry box, preference will be given to horses with highest career earnings. **One Mile and One Sixteenth**

The FTBOA Florida Sire Stakes – Colts & Geldings Division (*In Reality*)
\$300,000.00 Guaranteed **Trophy to the Winning Owner**
Colts and Geldings, Two Years Old, that are Florida Sire Stakes Registered.
\$2,375 to pass the entry box and \$875 additional to start, with a purse of \$300,000.00.
60% of the purse to be distributed to the owner of the winner, 20% to second, 11% to
third, 6% to fourth, 3% to fifth. Weight: 122 lbs. Non-winners of a sweepstake allowed
2 lbs.; a race at a mile or over other than claiming allowed 4 lbs. Starters to be named
through the entry box by the usual time of closing. All fees shall be paid prior to start
of the race. This race will be limited to 12 starters, with also eligibles. If more than 12
entries pass the entry box, preference will be given to horses with highest career
earnings. **One Mile and One Sixteenth**

Start and Entry Fees. The FTBOA will receive all start and entry fees.

Non-Florida-Breds. Thoroughbred horses produced from the Florida breeding season of 2018 and subsequent years that are sired by stallions standing in Florida appropriately registered with the FTBOA ("Florida stallions") and foaled in other states shall also be eligible to compete in the above races under the following conditions:

- i. The horse must be sired by a Florida stallion for which the FTBOA has timely received double the FSS stallion registration fee for the year the foal was conceived.
- ii. On behalf of the horse, the FTBOA must have timely received all required FSS eligibility payments at double the established rate for FTBOA-registered Florida-Breds.
- iii. The horse will race for only 50% of the FSS purse stated above in the entered stakes race.

Force Majeure Event. If any of the 2023 Races are not run on the originally scheduled date as a result of any governmental order or natural or man-made disaster, including fire, strike, war, hurricane, tropical storm, pandemic or other natural or man-made disaster or event beyond the control of Gulfstream Park ("Force Majeure Event"), Gulfstream Park shall have the right, in its sole and absolute discretion, to determine whether and when to reschedule any of the 2023 Races, but any such rescheduled race must take place in 2023. In such event, Gulfstream Park shall not be in default of this Agreement and shall have no liability whatever to either FTBOA or FTHA, for any damages, including but not limited to breach of contract. Any action by the Florida Gaming Control Commission regarding the regulatory consequences, if any, of such Force Majeure Event shall not alter this covenant.

- b. **Florida-Bred Overnights Purse Supplement Programs ("Florida-Bred Incentive Fund" or "FBIF").** The FTBOA will offer \$450,000.00 in Florida-Bred purse supplements for two-year-olds in maiden-claiming overnight races and for two-year-olds in maiden special weight overnight races at Gulfstream Park in 2023. These purse supplements will be paid only to first place FTBOA-registered Florida-Bred winners. The purse supplement will be a minimum of

\$2,500 and a maximum of \$10,000. Published race conditions (such as in the condition book, overnight sheets, race programs, and results) for FBIF supplemented races funded by the FTBOA will indicate that the purse includes funds from "FTBOA-FBIF" (for example, "Includes \$5,000 from FTBOA-FBIF").

- c. **Two-Year-Old Maiden Special Weight FSS Purse Supplements.** Up to twenty (20) \$5,000 "Win-Only" purse supplements will be offered for two-year-old unrestricted maiden special weight races, available only to thoroughbreds winning those races that are properly registered with the FTBOA as Florida-Breds and as FSS-eligibles. These "Win-Only" purse supplements will be divided equally between colt and filly races, with the conditions and dates of those races subject to the mutual agreement of the Parties. The total of up to \$100,000 in two-year-old purse supplements under this paragraph will be offered in races conducted at Gulfstream Park in 2023. Published race conditions (such as in the condition book, overnight sheets, race programs, and results) for these FSS supplemented races will indicate that the purse "Includes \$5,000 from FTBOA-FSS".
2. **Florida-Bred Preferred Races.** Pursuant to the agreement provided in section 550.5251(2)(a), Florida Statutes, Gulfstream Park shall make a good faith effort to daily write and offer both Florida-Bred preferred races and Florida-Bred restricted races at its track in 2023. The Florida-Bred preferred or restricted races shall be included within the first ten races offered daily in the condition book. The Florida-Bred preferred or restricted races offered daily shall be a mix of all conditions and types of races.
3. **Additional Terms.**
 - a. When offering any race for Florida-bred horses throughout the year, Gulfstream Park will confine such offerings to horses registered as Florida-Breds with the FTBOA and will announce such races as being for "FTBOA Registered Florida-Breds."
 - b. Pursuant to section 550.2625(6)(e), Florida Statutes, the Parties hereby authorize the payment of Florida Owners' Awards ("FOA's") for the 2023 Races as follows: 70% of the FOA to the owner of the winner, 20% to second place, and 10% to third place.
4. **Term.** The term of this Agreement (the "Term") will commence on the Effective Date and shall end at 11:59 p.m. on December 31, 2023, unless sooner terminated by written agreement signed by all Parties or as otherwise provided herein. No party shall have the sole right to terminate this Agreement except in the event of a breach by another party. However, no termination for breach will be effective unless and until the breach continues to exist for thirty (30) days after the breaching party has received written notice specifying the breach and an opportunity to cure such breach, if the breach is curable. If the breach continues after such 30-day notice, the Agreement shall terminate.
5. **FTBOA Report.** By January 31, 2024, the FTBOA will provide Gulfstream Park and the FTHA with a report evidencing that not less than 17% of the funds generated by Gulfstream Park in 2023 and dedicated under Chapter 550 for use as breeder and stallion awards were used as special racing awards in 2023 Races, consistent with Section 550.2625(1), Florida Statutes. In the event that less

than 17% was used in this manner in 2023, the Parties will include any such shortfall in arriving at an agreement for the 2024 Gulfstream Park Florida-Bred Stakes Program, consistent with Section 550.2625(1), Florida Statutes. This Section 5 shall survive termination of the Agreement for any reason.

6. **Conditions Precedent.** This Agreement is subject to approval by the boards of directors or executive committees of the FTBOA and FTFA and shall not be effective until such time. Notwithstanding the foregoing, the Effective Date shall be the date on which this Agreement has been executed by the Parties.
7. **Entire Agreement; Amendments.** This Agreement, together with its Exhibits, comprises all terms and conditions between the Parties regarding the subject matter hereof and may not be altered or amended except by a written agreement executed by all Parties.
8. **Construction; Interpretation.** The Parties acknowledge and agree that they and their counsel have had an active role in the negotiation and drafting of this Agreement, such that the principle of construing any ambiguity in an agreement against its drafter shall not apply. This Agreement shall be construed in accordance with the laws of the State of Florida. If any portion of this Agreement is rendered void or unenforceable, such provision shall be severed from the Agreement and the remainder of the Agreement shall remain in force.
9. **No Third-Party Beneficiaries.** The rights under this Agreement shall inure to each Party's successors, heirs and assigns; however, this Agreement is not intended to benefit any third party or to grant any person not a party to this Agreement any right to enforce its terms or seek damages hereunder.

[SIGNATURES ON THE FOLLOWING PAGE]

GULFSTREAM PARK RACING ASSOCIATION, INC.

By: 

William Badgett
General Manager

Dated: February 9, 2023

**FLORIDA HORSEMEN'S BENEVOLENT & PROTECTIVE ASSOCIATION, INC. d/b/a
FLORIDA THOROUGHBRED HORSEMEN'S ASSOCIATION**

By: 

Joseph Orseno
President

Dated: February 9, 2023

**FLORIDA THOROUGHBRED BREEDERS' ASSOCIATION, INC. d/b/a
FLORIDA THOROUGHBRED BREEDERS' AND OWNERS' ASSOCIATION**

By: 

Lonny T. Powell
CEO & Executive Vice President

Dated: February 9, 2023

Exhibit A

2023 Gulfstream Park Florida-Bred Stakes Program Agreement

| | | Florida-Bred Purse Supplements (FBIF) | Win-Only FSS Purse Supplements | Florida Sire Stakes "FSS" | TOTAL |
|-------------------|--|--|-----------------------------------|------------------------------|-------------|
| 2yo Colt | FTBOA FSS Dr. Fager Stakes | | | \$100,000 | |
| 2yo Filly | FTBOA FSS Desert Vixen Stakes | | | \$100,000 | |
| 2yo Colt | FTBOA FSS Affirmed Stakes | | | \$200,000 | |
| 2yo Filly | FTBOA FSS Susau's Girl Stakes | | | \$200,000 | |
| 2yo Colt | FTBOA FSS In Reality Stakes | | | \$300,000 | |
| 2yo Filly | FTBOA FSS My Dear Girl | | | \$300,000 | |
| 2yo Colts/Fillies | FTBOA Florida-Bred Purse Supplements (FBIF) | \$450,000 | | | |
| 2yo Colt | FTBOA Maiden Special Weight FSS Purse Supplements (10 races @ \$5,000/race) | | \$50,000 | | |
| 2yo Filly | FTBOA Maiden Special Weight FSS Purse Supplements (10 races @ \$5,000/race) | | \$50,000 | | |
| | FTBOA | \$450,000 | \$100,000 | \$1,200,000 | \$1,750,000 |


**Memorandum of
Understanding**
February 9th, 2023
(“Effective Date”)

1. **Parties.** Gulfstream Park Racing Association, Inc. (Gulfstream Park), the Florida Horsemen’s Benevolent & Protective Association, Inc. d/b/a the Florida Thoroughbred Horsemen’s Association (FTHA), and the Florida Thoroughbred Breeders’ Association, Inc. d/b/a the Florida Thoroughbred Breeders’ and Owners’ Association (FTBOA) (collectively “Parties”).
2. **Term.** The term of this Agreement (the “Term”) will commence on the Effective Date and shall end at 11:59 p.m. on December 31, 2023, unless sooner terminated by written agreement signed by all Parties or as otherwise provided herein. No party shall have the sole right to terminate this Agreement except in the event of a breach by another party.
3. **Advance Deposit Wagering (“ADW”).** During the Term of this Agreement, Gulfstream Park and FTHA agree to pay the FTBOA a sum equal to 3.475% of the source market fee collected on all advance deposit wagers placed with an ADW provider that is subject to a binding contract under which the ADW provider has agreed to pay Gulfstream Park and FTHA a source market fee. For this purpose, an “advance deposit wager” is one placed on any race conducted inside or outside of Florida by an account holder whose primary residence is located in Florida, as determined by the address under which the account is registered with the ADW provider. All funds received by the FTBOA under this paragraph will be treated as breeder and stallion awards funds under Chapter 550, Florida Statutes, to include the use of a portion of those funds as special racing awards consistent with s. 550.26165(1). Nothing herein shall require more than 3.475% of the collected source market fee to be paid to the FTBOA or require any Party to renew this Agreement after its expiration. Payments to the FTBOA will be made within 10 business days of the end of each calendar quarter.
4. **Legislation.** The Parties covenant and agree that during the Term they will not directly or indirectly seek to amend or support amendment of (i) Section 550.26165(1), Florida Statutes, with respect to its provisions regulating the payment of “special racing awards,” including any changes with respect to (x) the existing requirements in law for payment of moneys dedicated in Chapter 550 for payment of thoroughbred breeders and stallion awards, and (y) the existing provisions for a written agreement establishing the rate, procedure, and eligibility requirements for such awards entered into by the permitholder, the Florida Thoroughbred Breeders’ Association, and the Florida Horsemen’s Benevolent and Protective Association, Inc., or (ii) any rules and regulations on any of the foregoing issued pursuant thereto.

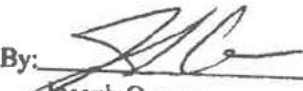
5. **Non-Disparagement.** The Parties covenant and agree that during the Term of this Agreement they will not, directly or indirectly, make disparaging statements that are false or misleading about the other Parties in any written or verbal communications to their members, the media, the public or governmental officials. Any media announcements or press releases by any party regarding agreements between the parties hereto shall be submitted for prior review and approval by the other parties prior to release.
6. **Conditions Precedent.** This Agreement is subject to approval by the boards of directors or executive committees of the FTBOA and FTHA and shall not be effective until such time. Notwithstanding the foregoing, the Effective Date shall be the date on which this Agreement has been executed by the Parties.
7. **Entire Agreement; Amendments.** This Agreement, together with its Exhibits, comprises all terms and conditions between the Parties regarding the subject matter hereof and may not be altered or amended except by a written agreement executed by all Parties.
8. **Construction; Interpretation.** The Parties acknowledge and agree that they and their counsel have had an active role in the negotiation and drafting of this Agreement, such that the principle of construing any ambiguity in an agreement against its drafter shall not apply. This Agreement shall be construed in accordance with the laws of the State of Florida. If any portion of this Agreement is rendered void or unenforceable, such provision shall be severed from the Agreement and the remainder of the Agreement shall remain in force.
9. **No Third-Party Beneficiaries.** The rights under this Agreement shall inure to each Party's successors, heirs and assigns; however, this Agreement is not intended to benefit any third party or to grant any person not a party to this Agreement any right to enforce its terms or seek damages hereunder.

[SIGNATURE PAGE FOLLOWS]

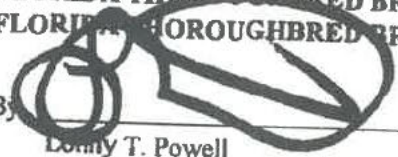
GULFSTREAM PARK RACING ASSOCIATION, INC.

By: 
William Badgett
General Manager
Dated: February 9th, 2023

**FLORIDA HORSEMEN'S BENEVOLENT & PROTECTIVE ASSOCIATION, INC. d/b/a
FLORIDA THOROUGHBRED HORSEMEN'S ASSOCIATION**

By: 
Joseph Orseno
President
Dated: February 9th, 2023

**FLORIDA THOROUGHBRED BREEDERS' ASSOCIATION, INC. d/b/a
FLORIDA THOROUGHBRED BREEDERS' AND OWNERS' ASSOCIATION**

By: 
Lonny T. Powell
CEO & Executive Vice President
Dated: February 9, 2023

**AGREEMENT
BETWEEN
GULFSTREAM PARK RACING ASSOCIATION, INC.
GULFSTREAM PARK THOROUGHBRED AFTER RACING PROGRAM, INC.
AND
THE FLORIDA HORSEMEN'S BENEVOLENT & PROTECTIVE ASSOCIATION, INC.
FOR ALL OF 2022-2024 THOROUGHBRED HORSE RACING MEETINGS**

This agreement (this "Agreement") is made, executed and concluded in Broward County, Florida, by and between *GULFSTREAM PARK RACING ASSOCIATION, INC.* (hereinafter referred to as "GPRA"), *GULFSTREAM PARK THOROUGHBRED AFTER RACING PROGRAM, INC.* (hereinafter referred to as "GPTARP", and together with "GPRA", "GP") and *THE FLORIDA HORSEMEN'S BENEVOLENT & PROTECTIVE ASSOCIATION, INC.* (hereinafter referred to as "FHBPA") (GP and FHBPA are jointly referred to herein as the "Parties" and each as a "Party"), and is effective as of the date the last of the Parties affixes its signature hereto.

WHEREAS, GPRA is a corporation, duly organized and validly existing under and pursuant to the laws of the State of Florida and owns and operates a licensed pari-mutuel wagering facility within the corporate limits of the City of Hallandale, Broward County, Florida ("Gulfstream Park"); and

WHEREAS, GPTARP is a non-profit corporation, duly organized and validly existing under and pursuant to the laws of the State of Florida and holds a license to conduct live thoroughbred horse racing meetings at Gulfstream Park; and,

WHEREAS, FHBPA is a corporation, duly organized and validly existing under and pursuant to the laws of the State of Florida and is recognized by GP as the sole representative of Horsemen (as that term is defined below) in the State of Florida; and,

WHEREAS, the Parties desire to enter into an agreement regarding the structure and payment of thoroughbred horse racing purses for the 2022-2024 thoroughbred horse racing meetings to be conducted at Gulfstream Park, and otherwise set forth and define their relationship for the Term hereof.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is mutually acknowledged, the terms, covenants, mutual promises and undertaking set forth herein, and in consideration of establishing and maintaining a sound and mutually beneficial relationship between the Parties, the Parties, intending to be bound, stipulate, covenant and agree as follows:

1. **Definitions.** The following definitions shall apply to terms used herein:

(a) "Payment to Horsemen" or "Payments to Horsemen" shall mean the aggregate of purse payments, distributions for Florida owner's awards (if any), breeders' awards and stallion awards as provided for in §550.2625, Fla. Stats., and any other distribution or payment required to be made pursuant to the terms of this Agreement, or otherwise agreed to between the Parties by separate agreement(s), or by Florida law to be paid from the purse pool by GP during the Term hereof.

(b) "Revenue from pari-mutuel operations" shall mean aggregate revenues from the revenue sources defined in subparagraphs (i)-(ix) plus (xii) below:

(i) "Revenue from on-track pari-mutuel operations" shall mean the takeout on wagers on races conducted at Gulfstream Park and placed on-track at Gulfstream Park Race less the aggregate of pari-mutuel taxes, and license fees paid to the Division of Pari-Mutuel Wagering and takeout rebates, if any, allowed to GP Advantage cardholders by GP;

(ii) "Revenue from incoming intertrack wagering" shall mean the takeout on intrastate wagers on events conducted at pari-mutuel facilities other than Gulfstream Park in the State of Florida, but that are placed on-track at Gulfstream Park, less the aggregate of pari-mutuel taxes, distributions to the guest track and distributions to the guest track's purse pool (as those terms are defined in Ch. 550, Fla. Stats.);

(iii) "Revenue from out-going interstate and international wagering" shall mean the aggregate proceeds received by GP from all out-of-state (non-Florida resident) wagering entities related to wagers placed on races conducted at Gulfstream Park, but shall not include revenues from Advanced Deposit Wagering facilities ("ADWs") (defined as advance deposit wagering facilities operating in one or more states or countries, excluding New York Racing Association's regional advance deposit wagering platform). Net revenues from ADWs during the Term shall be shared equally between the Parties. GP will secure and provide the FHBPA with all detailed data relevant to correctly and accurately determine the source market fees earned on a timely basis, and in any event, not later than ten (10) days after demand for same by the FHBPA including, but not limited to, all monies referred to in Section 9.4 below;

(iv) "Revenue from incoming interstate wagering on-track" shall mean the takeout on interstate wagers on races conducted at tracks other than Gulfstream Park outside the State of Florida that are placed at pari-mutuel facilities within the State of Florida other than Gulfstream Park less the aggregate of pari-mutuel taxes, payments from broadcast rights to out-of-state (non-Florida resident) racetracks and license fees paid to Division of Pari-Mutuel Wagering, if any;

(v) "Revenue from incoming interstate wagering rebroadcast in state" shall mean the takeout on interstate wagers on races conducted at tracks other than Gulfstream Park outside the State of Florida that are placed at pari-mutuel facilities within the State of Florida other than Gulfstream Park less the aggregate of pari-mutuel taxes, payment for broadcast rights to out-of-state (non-Florida resident) racetracks, distributions to the guest track and distributions to the guest track's purse pool;

(vi) "Revenue from incoming intertrack wagering" shall mean the aggregate of distributions received from the host track.

(vii) "Breaks" which shall have the meaning set forth in §550.002(1), Fla. Stats.

(viii) "Outs" which shall mean all pari-mutuel tickets that are unclaimed, uncashed or abandoned for a period of one year after the date the pari-mutuel ticket was issued at Gulfstream Park. For the purposes of this Agreement, Outs shall be included as a revenue source. Because Outs generated in each calendar year will be collected by GP in the subsequent calendar year, GP agrees to estimate the Outs GP expects to generate during its racing meetings conducted during each calendar year and said estimated sums shall be included by GP as a source of revenue during GP's racing meetings to be conducted during such calendar year. The Parties agree to use for revenue calculations for the racing meetings to be conducted during each Calendar Year the approximate amount of outs collected by GP during its racing meetings conducted during the prior calendar year.

(ix) "Source Market Fees" which shall mean all source market fees collected by ADWs from Florida residents, which shall be shared between the Parties as set forth in the Memorandum of Understanding dated March 9, 2021 ("SMF MOU")

(x) One-half (½) of all net revenue generated from all card room operations conducted or permitted by GP at Gulfstream Park in accordance with the provisions of §849.086, Fla. Stats. It is further agreed, that at arriving at net revenue, other G&A expenses will, for computation purposes, be limited to ten percent (10%) of Gross Gaming Revenue.

EXCLUSIONS

(xi) Net revenue from race sponsorship fees received by GP. All net revenue from race sponsorships fees shall be excluded and be the sole property of GP.

(xii) Surcharges authorized by law and actually collected by GP from any/all source(s).

(c) "Championship Meet" shall mean one (1) separate sixty (60) day (consisting of sixty (60) days of thoroughbred horse racing conducted on not less than four (4) nor greater than five (5) days per calendar week (or up to six (6) days for any calendar week where a Federal Holiday falls on a Monday) thoroughbred race horse championship racing meeting to be conducted by GP at Gulfstream Park.

(d) "Non-Championship Meet" shall mean no less than one hundred and forty-eight (148) separate days of live thoroughbred horse racing to be conducted by GP at Gulfstream Park.

The collective number of separate live racing performances for the Championship and Non-Championship meet during each calendar year during the Term shall be no less than 208 separate live racing performances. In addition, during each calendar year during the Term GP shall not schedule any period of greater than fourteen (14) consecutive days without live thoroughbred horse racing without the consent of the FHBPA, except as a result of an event of Force Majeure or to protect the safety of horses and riders.

(e) Revenue in all instances shall mean proceeds actually received by GP.

(f) In each instance, any revenue source shall be reduced by any minus pools which GP may be required to pay by law or contract.

(g) Terms used herein and not otherwise defined herein shall have the meaning set forth in §550.002, Fla. Stats. or the Interstate Horseracing Act (15 U.S.C. §3001, et seq.), as applicable.

2. Purses; Payments; Calculations.

2.1 (a) Purses. During the Term hereof GP shall make Payment to Horsemen in the form of purses for thoroughbred horse races to be conducted at Gulfstream Park a sum of money equal to fifty (50%) percent of Revenue from all pari-mutuel operations plus the monies referred to in Section 2.3 below (all of which is hereinafter referred to as the "Horsemen's Percent"). GP shall, on Wednesday of each week during the Term hereof, deliver to the FHBPA a report showing all sources of revenue derived from pari-mutuel operations through the preceding Sunday, and the amount of payments made by GP to horsemen through the preceding Sunday, and the amount of payments made by GP to horsemen through the preceding Sunday, utilizing a form mutual agreed to by the parties whereby such form may be modified to the extent necessary so that there is full disclosure of all sources of revenue and remittances paid therefrom. Purses shall be paid

by GP pursuant to the terms of that certain Horsemen's Bookkeeper Agreement dated December 16, 2019 by and between Gulfstream Park Horsemen's Bookkeeper Corp, a Delaware corporation (hereinafter referred to as "GPHBC"), FHBPA and GP (hereinafter referred to as the "Horsemen's Bookkeeper Agreement").

The parties agree that during each year of the Term the \$500,000 of purse funds which would have been utilized for the Donn Handicap, the \$300,000 of purse funds which would have been utilized for the Gulfstream Park Turf, and the \$125,000 of purse funds which would have been utilized for the Marshua's River Stakes will be moved from the Championship Meet purse account ledger to the Non-Championship Meet ledger at the start of the Non-Championship Meet and shall be used for a series of races advertised as the "FHBPA Stakes Series" or such other purses during the Non-Championship Meet as determined by the FHBPA. The parties further agree the above \$950,000 in funds shall not be counted in the Championship Meet purse underpayment/overpayment calculation for the prior calendar year.

(c) ADW. GP agrees that the FHBPA must be included as a party in all future negotiations with all advance deposit wagering platforms ("ADW's) during the Term. Subject to the terms of such future ADW agreement(s) and the terms of the SMF MOU, revenues from ADW's will be allocated between GP and the FHBPA in the same way they were allocated during the Calendar Year 2021 racing meetings at Gulfstream Park.

All new contracts or renewals of expired contracts with Xpressbet and 1st/Bet shall include a requirement for geotracking of wagers made on the grounds of and within a specified distance outside of the property line of Gulfstream Park and its related contiguous properties (e.g. the Villages of Gulfstream Park), provided that such properties are enabled for Wi-Fi, so that all such bets are treated as on-track wagers for purposes of amounts payable to purses from such handle. GP shall use reasonable efforts to include such terms in all new contracts or renewals of expired contracts with other national ADW companies.

2.2 Deductions. From the Horsemen's Percent GP shall make the following deductions and remittances:

- (a) The money required to be paid pursuant to Section 9.1 below;
- (b) The money required by Ch. 550.2625 Fla. Stats. to be paid as breeders' awards and stallion awards;
- (c) The money, if any, paid as Florida Owners' Awards;
- (d) The money if any, to be paid as horsemen's dues to the National Thoroughbred Racing Association (NTRA);
- (e) The money to be paid to horsemen as otherwise agreed by the Parties;
- (f) The money to be paid to the thoroughbred horse retirement program designated by the FHBPA on behalf of the horsemen equal to .70% of purses (including stakes). This 0.70% of purses paid to a thoroughbred retirement program shall be paid directly to Florida Horseman's Charitable Foundation Inc. ("FHCF") as opposed to directly to a designated horse rescue. At any time during the term of this Agreement, the FHBPA shall have the right, but not the obligation, to decrease the above 0.70% amount paid from purses under this provision.

(g) The balance of funds defined as Payments to Horsemen shall be paid as purses (including stakes).

2.3 In addition to the Payments to Horsemen, GP agrees that all money collected from stake nominations, entry and start fees shall be paid in addition to Payments to Horsemen, either through the added money provision of certain stakes races or through the return of said monies to the purse pool. Any waiver or reduction of these monies from amounts listed on published stakes schedule shall be at the sole written discretion of the FHBPA.

2.4 Notwithstanding any provision of this Agreement to the contrary, the Parties recognize that GP's obligation to make Payments to Horsemen in the amount of the Horsemen's Percent may not be less than the minimum purse requirements set forth in Ch. 550, Fla. Stats. The Parties agree that GP is authorized to make such adjustments (including adjustments made after the end of the race meet during the Term hereof on a race meet by race meet basis only) as may be necessary in the allocation of revenue under the various revenue sources defined in Section 1 above (and elsewhere herein) to achieve payment (in the aggregate) of the Horsemen's Percent of Revenue from pari-mutuel operations as Payments to Horsemen while otherwise allowing GP to comply with the applicable statutory provisions regarding the minimum payment of purses and the other payments required under Ch. 550, Fla. Stats.

2.5 The definitions of the various sources of Revenue from pari-mutuel operations shall be liberally construed so as to include any and every item of revenue (including items of revenue contained within one or more separate written agreements between the Parties) or to exclude any item of expense which may have been erroneously included or excluded from any of the definitions of revenue (or liability) sources set forth in Section 1 above or which may be created under legislation enacted after the effective date of this Agreement and applicable during the Term hereof.

2.6 **Takeout.** Each year during the Term, GP shall provide to the FHBPA the takeout rates to be employed by GP during its thoroughbred horse racing meetings. Thereafter during such year, GP shall not change the takeout rate without the prior written consent of the FHBPA. In the event that GP fails to timely cure any violation of this Section, the consent given pursuant to Section 9.2 shall be deemed null and void.

2.7 All funds required to be paid to the Florida Thoroughbred Breeders and Owners Association shall be paid in accordance with §550.26165(1), Fla. Stats.

2.8 **Dark Day Simulcasting.** During each race meet covered herein, Gulfstream Park shall be open for simulcasting at least six (6) days each week.

2.9 **Revenue from Slot Machines.** Notwithstanding any other term, condition or provision of this Agreement, the Parties shall perform in accordance with the FHBPA/Gulfstream Supplement Agreement dated August 9, 2006 as amended September 27, 2008, as amended December 31, 2012, and as amended January 10, 2022 regarding slot machine revenues (the "Slot Supplement Agreement").

Any additional gaming revenue source(s) and payment(s) received in lieu of expanded gaming, will be subject to separate agreement between the Parties prior to becoming effective.

2.10 **Charity Days.** Each year during the Term, the Parties shall enter into a separate side letter in the form attached with respect to the distribution of revenues generated during the operation of charity days at Gulfstream Park, the terms of which are incorporated herein by reference.

2.11 In addition to the Payments to Horsemen GP shall during each calendar year of racing meetings at Gulfstream Park, pay the additional sum of \$350,000 into the purse pool. The Parties agree that these additional monies are a material inducement to both Parties to maintain a revenue sharing agreement. In the event that GP contributes to the Sunshine Millions during any year from GP's own separate funds, then a sum of money equal to the amount so contributed by GP

2.12 **FOA Awards.** All references to "FOA Awards" paid from the purse account shall be referred to as "FHBPA FOA Awards".

3. Purse Overpayment/Underpayment.

If, at the end of each year's racing meetings, GP has paid more money in purses than required by the terms hereof, same shall be deemed a purse overpayment. If, at the end of each year's racing meetings, GP has paid less than the amount of money required to be paid as purses by the terms hereof, same shall be deemed a purse underpayment. Purse overpayment or purse underpayment will be treated as follows:

3.1 **Purse Underpayment.** Any purse underpayment from the Championship Meet may be added by GP to purses for the next ensuing Non-Championship Meet. Unless otherwise required by law, any purse underpayment will otherwise be treated as follows:

(a) In the event that any underpayment is less than two (2.0%) percent of the amount of money required to be paid by GP pursuant to the terms hereof (calculated in accordance with Section 3.3), the monies so underpaid shall be deposited into the account referred to in Section 3.1(c) below and added to purses for the following racing meeting at Gulfstream Park.

(b) In the event that any underpayment is greater than two (2.0%) percent of the amount of money required to be paid by GP pursuant to the terms hereof (calculated in accordance with Section 3.3), the FHBPA shall have the right, but not the duty, to require the monies in excess of the aforesaid two (2.0%) percent shall be distributed proportionately to horse owners earning purses during the current meeting within ninety (90) days after the conclusion of the current racing meeting, provided, however, that no pro rata payment of \$100.00 or less shall be required (such monies shall be added to purses for the following racing meeting at Gulfstream Park). In the event the FHBPA does not exercise such right, then the monies so underpaid shall be deposited into the account referred to in Section 3.1(c) below and added to purses for the following Championship or Non-Championship racing meeting at Gulfstream Park.

(c) GP will deposit any underpayment into a separate interest-bearing account under sole control of the FHBPA. Such account shall separate from and in addition to the "GPHBC Horsemen's Account", as defined in the Horsemen's Bookkeeper Agreement.

3.2 **Final Report.** GP shall prepare a report regarding any underpayment or overpayment of purses and deliver such report to the FHBPA not later than thirty (30) days after the conclusion of each racing meeting, which report shall thereafter be adjusted monthly based upon collections from simulcast outlets received after delivery of the said report, and each adjustment shall be delivered to the FHBPA within five (5) days of the preparation of same. Final reports will be delivered to the FHBPA on or before March 15 of the subsequent calendar year.

3.3 Underpayment or overpayments shall be based on the formula for Payments to Horsemen, plus the previous year's underpayment or minus the previous year's overpayment.

3.4 Notwithstanding provisions of this Agreement to the contrary or termination of this Agreement, any purse overpayment or underpayment shall survive as an ongoing liability regardless of the renewal of this Agreement unless otherwise agreed upon in writing by the Parties. The Parties agree that GP shall continue to be liable for any purse underpayment and shall pay such underpayment over its next racing meet until such underpayment is exhausted. Similarly, the Parties agree that GP may deduct any accrued overpayments (except overpayments from the Championship Meet) from the purse pool of its next racing meet (including deducting any purse overpayment from the Non-Championship Meet from the purse pool of the next Championship Meet) notwithstanding any rule or statute to the contrary. Any overpayment from the Championship Meet in any calendar year will carry over to the next Championship Meet.

4. **Minimum Purse; Condition Book; Daily Races.** The minimum purse during each year of the Term of this Agreement will be U.S. \$15,000.00, the minimum claiming price during each year of the Term of this Agreement will be U.S. \$6,250.00; and during each year of the Term hereof (excluding the Championship Meet) the average daily purse shall be Two Hundred Twenty Thousand (U.S. \$220,000.00) Dollars per racing day; provided, however, that, (i) in the event available purse funds during any year of the Term are less than forecasted the Parties shall, each acting reasonably and in good faith, agree on lower minimum and/or average amounts, and (ii) in the event available purse funds during any year of the Term are greater than forecasted the Parties shall, each acting reasonably and in good faith, agree on higher minimum and/or average amounts.

4.1 **Condition Book.** GP will make its commercially reasonable efforts to: (i) deliver all draft condition books and stake schedules to the President, Executive Director and Chair of the Backstretch Committee of the FHBPA at least 48 hours prior to the deadline for their publication (print or online), printing and distribution; (ii) give precedence to condition book entries of fields equal to or greater than eight (8) over any extra races regardless of the number of entries in a competing extra race (with an extra race defined as a race that has never been offered in the current condition book); (iii) not card any extra race in preference over a condition book race for the same date if the condition book race has equal or more entries; and (iv) give preference to any race restricted to Florida Breds with eight (8) or more entries. For the avoidance of doubt, the obligations of GP above are subject to Section 21.

The racing office shall keep record of all entries for a period of no less than one-hundred and eighty (180) days which shall be made available to the FHBPA upon request.

GP shall continue to make available to the President and Executive Director of the FHBPA, access to the RTO Race Track Operations Application – Entries Rundown at GP and GPW which charts daily entries by race and keeps historical records of these charts.

4.2 **Racing Meetings.** GP shall, each year during the Term, conduct not less than two hundred and eight (208) total separate live racing performances (208 separate days of live thoroughbred horse racing) on each of which days not less than eight (8) live races of thoroughbred horses (or such other number per day as is required by Florida law) will be conducted) at Gulfstream Park which shall be comprised of the Championship Meet and the Non-Championship Meet (the "Racing Performance Covenant").

In the event during the Term there exists a signed written agreement between the FHBPA and another licensed pari-mutuel wagering permit holder conducting live thoroughbred horse racing in Miami-Dade County, Florida, or Broward County, Florida, and such agreement has been approved by GP, the Racing Performance Covenant shall be reduced on a day-for-day basis for each day that live thoroughbred horse racing is conducted at such other facility.

All horse racing activities at Gulfstream Park, whether conducted by GP or a third party (e.g. Pegasus World Cup Invitational, Caribbean Classic, etc.), must be approved in writing by the FHBPA.

4.3 **Daily Racing.** Except as provided below, GPRA shall not field more than ten (10) live races per racing day on each racing day that is a weekday (Monday through Friday). GP shall conduct thoroughbred horse racing at Gulfstream Park on not less than three (3) nor greater than five (5) days per calendar week (Sunday through Saturday). Notwithstanding the foregoing, if during the Term a Federal Holiday falls on a Monday, then, in that event, and for each such week where a Federal Holiday falls on a Monday, GP may conduct up to six (6) days of thoroughbred horse racing during each such week. Unless otherwise agreed to in writing by the FHBPA, all races at Gulfstream Park shall be conducted by GPRA during daylight hours only (without artificial light), and only thoroughbred race horses shall be permitted to compete at Gulfstream Park.

GPTARP shall conduct thoroughbred racing at Gulfstream Park in accordance with the terms of its license and applicable Florida laws in respect of the number of live races per racing day, the number of racing days per calendar week and the post times. Racing shall not be conducted by GPTARP at any location other than Gulfstream Park without the prior written consent of GPTARP and the FHBPA.

5. **Stakes.** GP will pay no more in stakes payments than its published stakes program, excluding sponsorships, Florida owners' awards and split stakes races. No more than twenty-eight (28%) percent of the total purses paid at the racing meetings conducted during the Term hereof shall be paid in stakes races unless the Parties shall otherwise agree in writing. Any non-published stakes races will require the consent of the FHBPA which consent the FHBPA will not unreasonably withhold. The Sunshine Millions are excluded from the above calculation of stakes purses.

6. **Purse Continuity.** GP shall utilize its commercially reasonable efforts to maintain the same level of purses for the same class of horses throughout each racing meeting.

7. **Intentionally Omitted.**

8. **Verification.** The FHBPA (and/or its designated representative) shall have the right to audit and verify the correctness of all calculations made by GP concerning payments to be made by GP pursuant to the terms hereof, all payments required to be made by GP pursuant to the terms hereof, the source of all funds required to be paid by GP pursuant to the terms hereof, all deductions and set offs claimed by GP, and all simulcasting, interstate, international and intrastate wagering agreements, income and fees. GP agrees to make all of its books and other records related or pertaining to the foregoing, and its obligations as set forth herein to the FHBPA or its designee as aforesaid, for review and inspection. GP shall be entitled to ten (10) days prior notice of the FHBPA's intent to conduct the aforesaid audit, which audit will be at the expense of the FHBPA. GP will provide the FHBPA with letters authorizing GP's simulcast sites to provide all wagering and other information to the FHBPA upon request provided that the FHBPA agrees that it shall exercise its right to secure such information in the least obtrusive manner possible so as not to negatively affect GP's relationship with such simulcast sites.

9. **Simulcasting**

9.1 **Simulcasting Consent Fee.** As separate consideration for the provisions of this Section 9, GP shall, monthly, pay to the FHBPA a sum of money equal to two (2.0%) percent of all purses to be paid by GP during the Term hereof, which GP may deduct from the Horsemen's Percent of GP's revenue. The aforesaid monies shall be paid by GP to the FHBPA not later than the fifth (5th) day of the following month for the preceding

month. Not later than five (5) days after the conclusion of the racing meetings conducted by GP during each Calendar Year, GP shall make final payment of all remaining monies due, but not yet paid, to the FHBPA for the racing meetings conducted at Gulfstream Park during such Calendar Year.

Provided this does not result in any adverse consequences to GP, this 2.0% simulcasting consent fee shall be described as a deduction pursuant to FHBPA Bylaws and shall be made in divided amounts totalling 100% of amounts due the FHBPA, directly payable to the FHBPA and/or FHCF, FHBPA's tax exempt benevolent organization, in percentages as directed in writing by the FHBPA from time to time. For the beginning of 2022, such percentages shall be 100% to FHBPA and 0% to FHCF. For greater certainty, this change shall not amend the terms of Section 9.2 below.

9.2 Simulcasting Consent. Provided that GP is not in default of any term, condition or provision hereof, and for so long as GP remains in compliance with the terms hereof, the FHBPA, by separate written letter, will authorize simulcasting and off-track betting agreements which GP may enter into during the Term hereof, subject to the conditions and limitations set forth in the said letter and the limitations set forth in Section 9.3 below.

9.3 Recipients List. GP will provide, if requested, the FHBPA with a complete list of all simulcast outlets, guest tracks and other facilities which will or may accept wagers on races conducted at Gulfstream Park during the Term hereof, including all host fees and source market fees paid or payable. GP will also provide the FHBPA with complete copies of all contracts, agreements and financial arrangements made by GP with each entity that accepts wagers on races conducted at Gulfstream Park during the Term hereof, it being the intention of the Parties that the FHBPA shall have complete access to all information related or pertaining to all Revenue from pari-mutuel operations.

9.4 Simulcasting Agreements. GP agrees that no person, firm or entity shall be permitted to accept wagers on races conducted at Gulfstream Park and transmitted to such recipient unless each such recipient has a written agreement ("Simulcast Agreement") regarding such transmission and wagering thereon with GP. The terms and conditions of each such Simulcast Agreement shall be subject to the approval of the FHBPA (which includes, but is not limited to, the "market area" referred to in Ch. 550, Fla. Stats.) and no such Simulcast Agreement to which the FHBPA has not given its approval shall be effective.

In the event GP decides, in its sole discretion, to negotiate a new cross-simulcasting agreement with another racetrack represented by the FHBPA in the GP market area, GP agrees that any such agreement must be a three-party agreement and the FHBPA must be party thereto.

10. Vanning. GP, at GP's expense, will provide round trip transportation each racing day for racehorses which are entered to race at Gulfstream Park on each such racing day during the Term hereof but which are stabled at Palm Meadows Training Center. GP shall use reasonable efforts to schedule transportation so to minimize the period of time the horses (and their grooms and hotwalkers) spend in the detention barn before and after its schedule race. Additionally, with respect to horses which are claimed at Gulfstream Park during the Term hereof, if the trainer making the claim is not stabled at such Gulfstream Park Race Course, GP will pay the Horse Transportation company to transport each such claimed horse to Palm Meadows Training Center if the trainer making the claim is stabled at such facility, and if any such trainer received a bill or invoice for such transportation from the Horse Transportation company, then such trainer may submit each such bill to GP and GP shall pay same directly to the Horse Transportation company.

11. Live Racing at GP. GP shall, each racing day during the Term hereof, run not less than the minimum number of live thoroughbred horse races required by Florida law. If, for any reason, at any time,

GP fails to comply with the aforesaid requirement, GP shall, without notice from the FHBPA being required, cure same by adding the deficit race(s) to the next available racing day, and not later than the calendar week following the deficient racing day. In no event shall the deficit races exceed 5. The consent given pursuant to Section 9 above is expressly conditioned upon full compliance by GP with the requirements of this Section 11, and upon failure of GP to comply with the requirements of this Section 11, the consent given pursuant to Section 9 above shall be deemed null and void.

12. Stalls; Allocation of Stalls; Notice; Shavings.

12.1 Stalls. GP agrees, at GP's expense, to maintain the Gulfstream Park barn areas, the Palm Meadows Training Center barn areas and racetracks and to maintain sanitary living conditions within said barn areas.

During the Term of this Agreement, the barn areas, backsides, tracks and all amenities servicing Palm Meadows Training Center, and Gulfstream Park shall remain open and be available without cost to approved trainers for training purposes every day of the year except Christmas Day.

12.2 Allocation of Stalls. GP shall provide stalls to owners/trainers of thoroughbred racehorses competing at Gulfstream Park free of cost, fee, rent, imposition or charge. The allocation of stalls is within the sole prerogative of GP. Attached is a copy of the current stall application at GP. No material adverse changes shall be made by GP to future stall applications at GP without prior consent of the FHBPA, including but not limited no language shifting liability for GP conduct to the horsemen nor using language the same or substantially similar to Paragraphs 8, 9 and 10 of the 2017 GP Summer Meet Stall Application.

12.3 Notice to Trainers. GP will notify horsemen who accept an allocation of stalls from GP that trainers accepting an allocation of stalls from GP assume and accept the following obligations:

- (a) The immediate area in and around all stalls shall be kept in a clean and orderly manner.
- (b) All debris, refuse and litter shall be placed in the appropriate containers and not in the bins for straw and manure.
- (c) Straw and manure shall be placed in the manure bins.
- (d) Sleeping rooms assigned to stable assistants shall be kept and maintained in an orderly fashion and assume the cost of repair beyond ordinary wear and tear.
- (e) To use their best efforts in an attempt to provide full fields for all carded races.
- (f) To exercise good judgment in the selection and supervision of their employees and to enforce and abide by all applicable rules and regulations of the Division of Pari-Mutuel Wagering and GP.
- (g) To report to stable security any employee who is discharged and to attempt to deliver such discharged employee's credentials.
- (h) To correctly identify to the official clocker all horses before a workout.
- (i) GP shall refuse to accept an entry from any horseman unless there is on deposit in the Horsemen's Account a sum of money sufficient to pay all expenses that may be incurred by reason of such

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entry. GP shall confirm with the Horsemen's Bookkeeper that there is a sufficient sum of money on deposit in the Horsemen's Account for the particular entry. If GP accepts an entry for which a sufficient sum of money is not on deposit in the Horsemen's Account, GP will be solely responsible for any monetary shortage.

(j) To agree to use their best efforts to assist GP in complying with the requirements of all applicable governmental agencies of the State of Florida and Broward and Miami-Dade County regulating pollution control and other environmental matters. Willful noncompliance may result in either civil or criminal penalties, or both, as may be determined by the applicable governmental authorities.

(k) All horses stabled in GP's barn area will be properly tattooed or chipped.

(l) To obtain and maintain, while stabled at Gulfstream Park or Palm Meadows, Workmen's Compensation Insurance.

12.4 **Shavings.** GP shall not enter into any exclusive contracts or extensions of existing such contracts, which limit or exclude horsemen stabled at GP facilities their ability to purchase feed, bedding and supplies from other vendors.

13. **FHBPA Office.** GP agrees to provide suitable office space on the grounds of Gulfstream Park, that currently being two connected trailers North of the Pegasus statute and adjacent to Biscayne Boulevard, and desk, telephone and computer space at Palm Meadows, during the Term hereof for representatives of the FHBPA and for the use of the FHBPA and its members free of charge, cost, fee or rent.

14. **Term and Renegotiation.** This Agreement shall be effective as of the date of execution hereof by all Parties, shall apply to the thoroughbred horse racing meetings to be conducted by GP during Calendar Year 2022-2024 effective on and after January 1, 2022 and shall remain in effect through and including December 31, 2024 ("**Term**"). Notwithstanding the expiration of this Agreement, the Parties agree that all obligations in this Agreement, including but not limited to the revenue sharing requirements of this Agreement, shall survive and apply to all races and wagering thereon conducted by GP between January 1, 2022 and December 31, 2024. This Agreement may be extended by written mutual consent of the FHBPA and GP.

At any time on or after November 1, 2022, either Party shall have the right to provide written notice to the other Party of its desire to renegotiate the terms of this Agreement (the "**Renegotiation Notice**"). The Parties shall have a period of sixty (60) days from the date of the delivery of the Renegotiation Notice (the "**Renegotiation Period**") to negotiate revised terms of this Agreement, each acting reasonably and in good faith. In the event the Parties are unable to agree on revised terms during the Renegotiation Period, then either Party shall have the right to provide notice to the other Party of the termination of this Agreement on a date no earlier than fifteen (15) days from the date of such notice, provided that such termination right is exercised within ten (10) days of the expiration of the Renegotiation Period.

15. **Partial Invalidity.** Should any part hereof or any provision herein contained be rendered or declared illegal by reason of any existing or subsequently enacted legislation or by a court of competent jurisdiction or by the decisions of any authorized government agency, such invalidation shall not affect the remaining portion of this instrument; however, in such a contingency, the Parties shall promptly meet and negotiate substitute provisions for those parts or provisions rendered or declared illegal.

16. **No Withholding of Entries.** The FHBPA will utilize its best reasonable effort, barring contingencies beyond its control, such as a horse coughing epidemic or similar horse debilitating diseases, to encourage its

members to enter and run a sufficient number of horses so as to fill a full racing card. Furthermore, the FHBPA agrees that, so long as GP has fully complied with its responsibilities hereunder, it will not encourage its members to boycott races at GP or otherwise encourage, support, aid or assist any organized effort to withhold entries at Gulfstream Park.

17. GP, including its officers, directors, agents and representatives, shall not, directly or indirectly, nor through the use of third parties, threaten, intimidate or otherwise coerce any horsemen, FHBPA member, employee or representative of the FHBPA, nor shall GP interfere with or seek to influence or affect the internal affairs of the FHBPA, nor unseat the FHBPA as the organization which represents the majority of thoroughbred race horse owners and trainers participating in thoroughbred horse racing at Gulfstream Park.

18. **Applicable Law.** The provisions hereof shall be subject to the rules, regulations and statutes of the State of Florida and the Interstate Horseracing Act (15 USC §3001, et seq.).

19. **Notice and Cure.** Upon the occurrence of any default or failure of performance by either Party pursuant to this Agreement, the defaulting Party shall be provided forty-eight (48) hours from the time of the receipt of written notice specifying the alleged default or nonperformance to cure the alleged default or nonperformance; provided, however, that with regard to the provisions of Section 16 hereof an eight (8) hour right to cure is agreed to by the FHBPA. The reasonableness of failing to comply and/or cure such default shall be determined by arbitration except with regard to the provisions of Sections 9 and 16 where a court of competent jurisdiction shall be the appropriate forum.

20. **Arbitration.**

20.1 In the event that any breach or default is not cured in accordance with Section 19 of this Agreement, the Parties agree to submit any claim arising from such alleged breach or default to binding arbitration in accordance with the provisions of the Florida Arbitration Code. GP and the FHBPA will each select and designate their respective arbitrator. The respective arbitrators shall jointly agree upon a mutually acceptable third arbitrator. All disputes shall be heard within seventy-two (72) hours of notification of said dispute. The decision of a majority of the arbitrators pursuant to this provision shall be binding upon the Parties hereto, which decision shall be in writing.

20.2 Notwithstanding any contrary provision of Section 20.1 above, all disputes involving the provisions of Sections 9 and 16 shall be resolved before a state court of competent jurisdiction in Broward County, Florida.

21. **Force Majeure.** The Parties shall each be excused from, and shall not be liable in any manner for, any delay or failure in its performance under this Agreement if occasioned by intervention of force majeure or any cause or causes beyond the reasonable control of the Parties, and each of them, including, but not necessarily limited to, strikes, lockouts, or other labor disturbances, epidemics, governmental regulations, acts of government, fire, floods, weather, insurrections, riots, hostilities, wars, or acts of God.

22. **Legislative Matters.** In advance of each legislative session that will occur during the Term of this Agreement, GP and the FHBPA agree to meet and discuss their respective legislative goals and initiatives.

23. **Notice.** Any notice, request or other communication to either Party by the other hereunder shall be made in writing and shall be deemed given on the earlier of the date (i) personally delivered with receipt acknowledge or (ii) three (3) days after mailed by certified mail, return receipt requested, postage prepaid

and addressed to the party at the following respective addresses together with a copy to any addressee as may be designated by a Party by notice hereunder. The address of a Party to which notices or copies of notices are to be given may be changed from time to time by such Party by written notice to the other Party.

Gulfstream Park Racing Association, Inc. or
Gulfstream Park Thoroughbred After Racing Program
Attention: President
901 South Federal Highway
Hallandale, Florida 33009

Florida Horsemen's Benevolent & Protective Association, Inc.
P.O. Box 3507
Hallandale Beach, Florida 33008-3507

24. **Majority Horsemen's Group.** GP recognizes that the FHBPA is the organization which represents the majority of the thoroughbred race horse owners and trainers who will participate in thoroughbred horse racing at Gulfstream Park during the Term hereof within the meaning of the Interstate Horseracing Act (15 USC §3001, et seq.).

24.1 GP shall not enact any house rule that has not first been filed with, and approved by, the Division. GP shall not submit any proposed house rule to the Division unless notice thereof has first been submitted to the FHBPA in writing not less than seven (7) days prior to the proposed date of submission to the Division, and GP shall have also posted notice of such proposed house rule(s) on the Bulletin Board at the Stable Gate Security Office and published in the "overnight" during the aforesaid seven (7) day period of time.

24.2 The Parties agree that up to \$500,000 of the funds currently on deposit as the Backstretch Fund may be used by GP to fund purses for the Championship Meet and/or Non-Championship Meet during the Term and/or to fund obligations in respect of charity days. GP shall make such funding determination at the end of the 2022 Championship Meet. The remainder of the funds currently on deposit as the Backstretch Fund shall remain in their current account and will continue to be used to fund expenditures as mutually agreed by GP and the FHBPA, with a weekly report of the transactions to be provided including the balance. There shall be no further funding of this Account during the Term.

25. **Legal Matters.**

25.1 **Finality; Conflict.** With the exception of the Slot Supplement Agreement and the Multi-Party Agreement, this Agreement supersedes all prior similar agreements (purse contracts) between GP and the FHBPA. Should there be any conflict in the provisions of such prior agreements and this Agreement, then the provisions of this Agreement shall control.

25.2 **Headings.** The headings of the Sections are for convenience of reference only, are not a part of this Agreement and shall not be deemed to affect the meaning or construction of any of the provisions hereof.

25.3 **Execution.** Regardless of place of execution, this Agreement is deemed executed in Broward County, Florida.

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25.4 Assignment. Except as otherwise specifically provided herein, this Agreement shall not be assignable or delegable by either Party. This Agreement shall be binding upon and shall inure to the benefit of the Parties hereto, their representatives, successors and assigns.

25.5 Counterparts. This Agreement may be executed in one or more counterparts each of which shall be deemed an original, all of which together shall constitute one and the same agreement.

25.6 Integration; Modification. This Agreement constitutes the entire understanding among the Parties and there are no representations or warranties, conditions, covenants or agreements other than as set forth expressly herein, and any changes or modifications hereto must be in writing and signed by authorized representatives of both Parties. This Agreement may not be modified unless such modification is in writing and signed by both Parties to this Agreement. This Agreement shall be interpreted in accordance with the laws of the State of Florida.

25.7 Venue. The Parties consent to the jurisdiction the state courts located within Broward County, Florida, and agree that all actions or proceedings arising, directly or indirectly, from this Agreement, to the extent not required to be submitted to arbitration, shall be litigated only in state courts having such situs, and submit themselves to all court having such situs.

26. Racing Committee

A five-member Racing Committee shall be formed comprised of the Racing Secretary and the General Manager of GP and the President and the Executive Director of the FHBPA. If the Racing Secretary and General Manager of GP are the same person then another GP management person shall be designated by GP for this Committee. The fifth (5th) member of the Racing Committee shall be appointed by GP to the Racing Committee subject to the consent to such fifth member by the FHBPA, such consent not to be unreasonably withheld. The Racing Committee shall meet monthly on the first Wednesday of the month at 10:00 am, or at some other monthly date and time as the parties agree to, and participation at the meeting may be done by telephone or other electronic means. The purpose of the meetings is to discuss issues of mutual interest that could affect the business interests of GP and the FHBPA. There shall be no changes to purse structure, house rules, dates, or payments during the Term (and each renewal of the Term) unless such changes have first been submitted to and approved by a majority of the Racing Committee prior to the implementation of such changes. GP and the FHBPA shall work together to increase Revenue.

For greater certainty, all unfulfilled obligations of the Agreement executed by the Parties on July 15, 2021 for racing meets in 2021 survive and are enforceable by the Parties.

(SIGNATURES ON FOLLOWING PAGE)

**GULFSTREAM PARK RACING
ASSOCIATION, INC.**

By: 

Date: February 28, 2022

By: 

Date: FEBRUARY 28, 2022

**GULFSTREAM PARK
THOROUGHBRED AFTER RACING
PROGRAM, INC.**

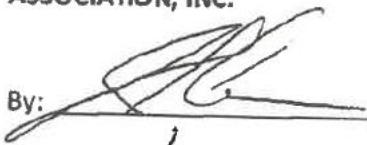
By: 

Date: February 28, 2022

By: 

Date: FEBRUARY 28, 2022

**FLORIDA HORSEMEN'S
BENEVOLENT & PROTECTIVE
ASSOCIATION, INC.**

By: 

Date: 2/24, 2022

Effective January 1, 2022

SIDE LETTER TO THE AGREEMENT BETWEEN GULFSTREAM PARK RACING ASSOCIATION, INC. ("GPRA"), GULFSTREAM PARK THOROUGHBRED AFTER RACING PROGRAM, INC. ("GPTARP") AND THE FLORIDA HORSEMEN'S BENEVOLENT AND PROTECTIVE ASSOCIATION ("FHBPA") FOR THE CALENDAR YEARS 2022-2024.

In accordance with the provisions of Section 2.11 of the Agreement referred to above, GPRA, GPTARP and FHBPA (collectively, the "Parties") further agree as follows:


With respect to the period beginning on January 1, 2022 and ending on December 31, 2022, this side letter provides for the following payouts from funds collected from Charity Days at Gulfstream Park during the calendar year 2022:

1. On the first day of each month beginning on January 1, 2022, and each month thereafter, a check for the sum of \$10,000 shall be delivered to His Place Ministries for its chaplaincy work on the backsides of Gulfstream Park and Palm Meadows Training Center.
2. On the first day of each month beginning on January 1, 2022, and each month thereafter, a check for the sum of \$15,000 shall be delivered to the FHCF solely for the purpose to fund thoroughbred horse retirement and aftercare program(s) designated by the FHBPA. Should Charity Day Funds exceed expectations, the FHBPA and GP management shall meet to determine possible increases in money dispersed to Florida TRAC. Final reconciliation shall be made and fully disclosed within 30 days of the date of this letter.
3. Any other charitable donations from The Stronach Group ("TSG") through its charitable entity Gulfstream Thoroughbred Aftercare Program to either His Place Ministries or the Florida TRAC program shall be in addition to the donations listed in numbers 1 and 2 above; provided, however, that TSG agrees, at a minimum, on the first day of each month beginning on January 1, 2022, and each month thereafter, to deliver a check for the sum of \$20,833.33 to FHCF solely for the purpose to fund thoroughbred horse retirement and aftercare program(s) mutually agreed to by the Parties (the "Stronach Retirement Contribution").

Except for the Stronach Retirement Contribution, the payouts set forth above are contingent on revenues generated during the operation of charity days at Gulfstream Park. In the event revenues are not generated to fund the full payouts set forth above, such payouts shall be adjusted on a pro rata basis.



Mike Rogers
VP Operations, Gulfstream Park



Joseph Orseno
President, FHBPA

PROCEDURES FOR ADMINISTRATION OF BACKSTRETCH FUND

1. Expenditures to be paid out of the Backstretch Fund (the "Fund") must be agreed upon by both the Florida Horsemen's Benevolent and Protective Association ("FHBPA") and Gulfstream Park ("GP") herewith known jointly as the "Parties", in one of two ways:
 - a. After joint discussion, the Parties agree that an expenditure should be authorized or:
 - b. Either of the two Parties may submit a proposal for an expenditure to the other Party. After due consideration, if both Parties give consent, the expenditure will be approved. If either Party opposes the expenditure, payment will be denied.
2. Once both Parties agree upon an expenditure, a "Backstretch Fund Approval Form" must be completed and signed off on by the current President or Executive Director of the FHBPA and the current Executive Director or other authorized representative of GP.
3. Once the Approval Form has been signed off on by both parties, both Parties will immediately be provided a copy of the Form for his organization's files.
4. Next, Gulfstream Park's CFO (or designee) shall immediately be provided the Approval Form and will prepare for signature a check for the approved expenditure within one week.
5. A monthly report will be created by the Gulfstream CFO which will provide a listing of all recent checks written for approved expenditures from the Fund along with a monthly balance in the Backstretch Fund.

Gulfstream Park:



Mike Rogers

FHBPA



Joseph Orseno



TOKIOMARINE
HCC

POWER OF ATTORNEY
AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

KNOW ALL MEN BY THESE PRESENTS: That American Contractors Indemnity Company, a California corporation, Texas Bonding Company, an assumed name of American Contractors Indemnity Company, United States Surety Company, a Maryland corporation and U.S. Specialty Insurance Company, a Texas corporation (collectively, the "Companies"), do by these presents make, constitute and appoint

Sandra King, Vicki Nobinger, Loretta M. Jones, Bonnie Rice, Carolyn E. Wheeler, Michelle Luta-Heatherly, Rachel A. Chaveriat, Sarah Major or Andrea Allman of Knoxville, Tennessee

its true and lawful Attorney(s)-in-fact, each in their separate capacity if more than one is named above, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include riders, amendments, and consents of surety, providing the bond penalty does not exceed *****Five Million***** Dollars (***5,000,000.00***). This Power of Attorney shall expire without further action on January 31st, 2024. This Power of Attorney is granted under and by authority of the following resolutions adopted by the Boards of Directors of the Companies:

Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings, including any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts, and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, The Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 23rd day of September, 2021.

AMERICAN CONTRACTORS INDEMNITY COMPANY TEXAS BONDING COMPANY
UNITED STATES SURETY COMPANY U.S. SPECIALTY INSURANCE COMPANY

State of California
County of Los Angeles



By:
Daniel P. Aguilar, Vice President

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document

On this 23rd day of September, 2021, before me, D. Littlefield, a notary public, personally appeared Daniel P. Aguilar, Vice President of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature (seal)



I, Kio Lo, Assistant Secretary of American Contractors Indemnity Company, Texas Bonding Company, United States Surety Company and U.S. Specialty Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect, furthermore, the resolutions of the Boards of Directors, set out in the Power of Attorney are in full force and effect.

In Witness Whereof, I have hereunto set my hand and affixed the seals of said Companies at Los Angeles, California this 31st day of July, 2023.

Corporate Seal:
Bond No.
Agency No. 16859



Kio Lo, Assistant Secretary

visit tmhcc.com/surety for more information

HCCSMANPOA09/2021

Gulfstream Casino Vendor Licenses 2023

| Vendor Name | Vendor License Number | Expiration Date |
|---|-----------------------|-----------------|
| ✓ Adept Consulting Services Inc | ✓ 13195075 | 6/30/2025 |
| ✓ Ainsworth Game Technology | ✓ 7929049 | 6/30/2024 |
| ✓ AGS LLC | ✓ 8281949 | 6/30/2026 |
| ✓ Apogee Gaming USA Inc | ✓ 11936144 | 6/30/2024 |
| ✓ Aristocrat Technologies Inc | ✓ 7830421 | 6/30/2024 |
| ✓ Aruze Gaming America Inc | ✓ 8587586 | 6/30/2025 |
| ✓ Bluberi Gaming USA Inc | ✓ 11105033 | 6/30/2025 |
| ✓ Everi Games Inc | ✓ 9943621 | 6/30/2024 |
| ✓ Everi Payments Inc | ✓ 9993721 | 6/30/2024 |
| Gaming Analytics Inc | 12315771 | 6/30/2023* |
| ✓ Gaming & Entertainment Touch Technology LLC | ✓ 12864712 | 6/30/2024 |
| ✓ Gaming Arts LLC | ✓ 11905567 | 6/30/2024 |
| ✓ Graphic Controls Acquisition Corp | ✓ 7793413 | 6/30/2024 |
| ✓ Hayes International Inc | ✓ 11018137 | 6/30/2024 |
| ✓ IGT Inc | ✓ 7800936 | 6/30/2024 |
| ✓ Incredible Technologies Casino Games Inc | ✓ 8801896 | 6/30/2024 |
| ✓ Interblock USA L C | ✓ 8759471 | 6/30/2025 |
| ✓ International Gaming Solutions Inc | ✓ 10965598 | 6/30/2024 |
| ✓ JVL Systems Inc | ✓ 12379217 | 6/30/2025 |
| ✓ KGM Gaming LLC | ✓ 8915860 | 6/30/2026 |
| ✓ Konami Gaming Inc | ✓ 7883140 | 6/30/2024 |
| ✓ Landry Holdings LLC (Casinotech) | ✓ 9917921 | 6/30/2024 |
| ✓ Lightning Slot Machines LLC | ✓ 9823078 | 6/30/2024 |
| ✓ LNW Gaming Inc. | ✓ 7805891 | 6/30/2024 |
| ✓ Modern Gaming Inc | ✓ 8523052 | 6/30/2024 |
| ✓ Novomatic Americas Sales LLC | ✓ 9917853 | 6/30/2024 |
| ✓ Parimax Holdings LLC | ✓ 13224199 | 6/30/2025 |
| ✓ Patriot Gaming & Electronics Inc | ✓ 8605145 | 6/30/2025 |
| ✓ Reel Inc | ✓ 7830710 | 6/30/2024 |
| ✓ Sky Gaming LLC | ✓ 8966651 | 6/30/2026 |
| ✓ Spfn Inc | ✓ 8010467 | 6/30/2024 |
| ✓ Suzohapp Gaming Solutions LLC | ✓ 8032829 | 6/30/2025 |
| ✓ Zitro USA, Inc. | ✓ 10019307 | 6/30/2024 |

*License renewal application and payment have been submitted to the State
Rev. 08.02.2023

RECEIVED
2023 AUG -9 PM 12: 55
FLORIDA GAMING
CONTROL COMMISSION

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 297 | Name ADEPT CONSULTING SERVICES INC | Extended To |
| License # 13195075 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 13195075 | Lic Status Current | |

| | | |
|----------------------|-----------------------------------|------------------|
| Address | | |
| Street # 410 | Street W MAIN ST SUITE 201 | |
| Line 2 | | |
| Line 3 | | |
| City LANSDALE | State PA | Zip 19446 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/21/2022 | Rank Date 06/21/2022 | Certificate # |
| Method I-S-1020 | Status Date 06/21/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 57 | Name AINSWORTH GAME TECHNOLOGY LTD | Extended To |
| License # 7929049 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/22/2021 |
| Entity # 7929049 | Lic Status Current | |

| | | |
|---------------------------|-----------------------------|-----------------|
| Address | | |
| Street # 10 | Street HOLKER STREET | |
| Line 2 | | |
| Line 3 | | |
| City NENINGTON NSW | State 99 | Zip 2127 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/30/2018 | Rank Date 07/22/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/22/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2026 |
| File # 103 | Name AGS, LLC | Extended To |
| License # 8281949 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 8281949 | Lic Status Current | |

| | | |
|-----------------------|---|------------------|
| Address | | |
| Street # 6775 | Street SOUTH EDMOND STREET SUITE 300 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89118 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/19/2023 | Rank Date 06/19/2023 | Certificate # |
| Method I-S-1020 | Status Date 06/19/2023 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 243 | Name APOGEE GAMING USA INC | Extended To |
| License # 11936144 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 08/19/2021 |
| Entity # 11936144 | Lic Status Current | |

| | | |
|--------------------------|-------------------------------|--------------------|
| Address | | |
| Street # 19 | Street OCEAN VIEW ROAD | |
| Line 2 | | |
| Line 3 | | |
| City BAS CAP PELE | State NB | Zip E4N 2X5 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 01/03/2019 | Rank Date 08/19/2021 | Certificate # |
| Method I-S-1017 | Status Date 08/19/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 13 | Name ARISTOCRAT TECHNOLOGIES INC | Extended To |
| License # 7830421 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 05/07/2021 |
| Entity # 7830421 | Lic Status Current | |

| | | |
|-----------------------|------------------------------|------------------|
| Address | | |
| Street # 10220 | Street ARISTOCRAT WAY | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89135 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/01/2015 | Rank Date 05/07/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/13/2015 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 123 | Name ARUZE GAMING AMERICA INC | Extended To |
| License # 8587586 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/15/2022 |
| Entity # 8587586 | Lic Status Current | |

| | | |
|-----------------------|--|------------------|
| Address | | |
| Street # 6900 | Street S. DECATUR BLVD. SUITE 100 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89118 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/29/2018 | Rank Date 07/15/2022 | Certificate # |
| Method I-S-1017 | Status Date 07/15/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 227 | Name BLUBERI GAMING USA INC | Extended To |
| License # 11105033 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/30/2022 |
| Entity # 11105033 | Lic Status Current | |

| | | |
|-----------------------|---|------------------|
| Address | | |
| Street # 7370 | Street DEAN MARTIN DRIVE SUITE 407 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89139 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/29/2016 | Rank Date 06/30/2022 | Certificate # |
| Method I-S-1020 | Status Date 07/29/2016 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 174 | Name EVERI GAMES, INC. | Extended To |
| License # 9943621 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/25/2021 |
| Entity # 9943621 | Lic Status Current | |

| | | |
|-----------------------|---------------------------------------|------------------|
| Address | | |
| Street # 7250 | Street S TENAYA WAY, SUITE 100 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89113 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/27/2018 | Rank Date 06/25/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/17/2018 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 178 | Name EVERI PAYMENTS INC | Extended To |
| License # 9993721 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/15/2021 |
| Entity # 9993721 | Lic Status Current | |

| | | |
|-----------------------|--|------------------|
| Address | | |
| Street # 7250 | Street SOUTH TENAYA WAY SUITE 100 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89113 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/27/2018 | Rank Date 07/15/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/15/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2023 |
| File # 253 | Name GAMING ANALYTICS INC | Extended To |
| License # 12315771 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 12315771 | Lic Status Lic Expired | |

| | | |
|------------------------|------------------------------------|------------------|
| Address | | |
| Street # 1005 | Street NORTHGATE DRIVE #136 | |
| Line 2 | | |
| Line 3 | | |
| City SAN RAFAEL | State CA | Zip 94903 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 08/07/2020 | Rank Date 08/07/2020 | Certificate # |
| Method I-S-1020 | Status Date 07/01/2023 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|---|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 284 | Name GAMING & ENTERTAINMENT TOUCH TECHNOLOGY LLC | Extended To |
| License # 12864712 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 12864712 | Lic Status Current | |

| | | |
|-----------------------|---|------------------|
| Address | | |
| Street # 4760 | Street SOUTH POLARIS AVE SUITE B | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89103 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 12/20/2021 | Rank Date 12/20/2021 | Certificate # |
| Method I-S-1020 | Status Date 12/20/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

Select

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 241 | Name GAMING ARTS, LLC | Extended To |
| License # 11905567 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/30/2021 |
| Entity # 11905567 | Lic Status Current | |

| | | |
|-----------------------|------------------------------------|------------------|
| Address | | |
| Street # 323 | Street ORVILLE WRIGHT COURT | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89119 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 11/20/2018 | Rank Date 06/30/2021 | Certificate # |
| Method I-S-1017 | Status Date 01/28/2019 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 1 | Name GRAPHIC CONTROLS ACQUISITION CORP | Extended To |
| License # 7793413 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/22/2021 |
| Entity # 7793413 | Lic Status Current | |

| | | |
|---------------------|-------------------------------|------------------|
| Address | | |
| Street # 400 | Street EXCHANGE STREET | |
| Line 2 | | |
| Line 3 | | |
| City BUFFALO | State NY | Zip 14204 |
| | Routing | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/10/2018 | Rank Date 06/22/2021 | Certificate # |
| Method I-S-1017 | Status Date 09/11/2018 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 225 | Name HAYES INTERNATIONAL INC | Extended To |
| License # 11018137 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/12/2021 |
| Entity # 11018137 | Lic Status Current | |

| | | |
|----------------------|-----------------------------|------------------|
| Address | | |
| Street # 7550 | Street SW 42ND COURT | |
| Line 2 | | |
| Line 3 | | |
| City DAVIE | State FL | Zip 33314 |
| | Routing | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 02/26/2016 | Rank Date 07/12/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/12/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 7 | Name IGT INC | Extended To |
| License # 7800936 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/02/2021 |
| Entity # 7800936 | Lic Status Current | |

| | | |
|-----------------------|-------------------------------|------------------|
| Address | | |
| Street # 6355 | Street S BUFFALO DRIVE | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89113 |
| | Routing | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/29/2018 | Rank Date 06/02/2021 | Certificate # |
| Method I-S-1017 | Status Date 08/01/2018 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 140 | Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC | Extended To |
| License # 8801896 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/30/2021 |
| Entity # 8801896 | Lic Status Current | |

| | | |
|--------------------------|---------------------------------------|------------------|
| Address | | |
| Street # 200 | Street CORPORATE WOODS PARKWAY | |
| Line 2 | | |
| Line 3 | | |
| City VERNON HILLS | State IL | Zip 60061 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 10/25/2010 | Rank Date 06/30/2021 | Certificate # |
| Method I-S-1020 | Status Date 07/01/2015 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 134 | Name INTERBLOCK USA L. C. | Extended To |
| License # 8759471 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/29/2022 |
| Entity # 8759471 | Lic Status Current | |

| | | |
|-----------------------|-----------------------------------|-----------------------|
| Address | | |
| Street # 1106 | Street PALMS AIRPORT DRIVE | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89119-3730 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 08/06/2010 | Rank Date 06/29/2022 | Certificate # |
| Method I-S-1020 | Status Date 07/21/2016 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 224 | Name INTERNATIONAL GAMING SOLUTIONS INC | Extended To |
| License # 10965598 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/28/2021 |
| Entity # 10965598 | Lic Status Current | |

| | | |
|----------------------|-------------------------------|------------------|
| Address | | |
| Street # 7991 | Street WEST 25TH COURT | |
| Line 2 | | |
| Line 3 | | |
| City HIALEAH | State FL | Zip 33016 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 02/03/2016 | Rank Date 07/28/2021 | Certificate # |
| Method I-S-1020 | Status Date 07/28/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|---------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 255 | Name JVL SYSTEMS INC | Extended To |
| License # 12379217 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 12/14/2022 |
| Entity # 12379217 | Lic Status Current | |

Address

| | | |
|-------------------------|-------------------------------|--------------------|
| Street # 1395 | Street MORNINGSIDE AVE | |
| Line 2 | | |
| Line 3 | | |
| City SCARBOROUGH | State ON | Zip M1B 3J1 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 04/02/2020 | Rank Date 12/14/2022 | Certificate # |
| Method I-S-1020 | Status Date 12/14/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2026 |
| File # 152 | Name KGM GAMING, LLC | Extended To |
| License # 8915860 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/19/2023 |
| Entity # 8915860 | Lic Status Current | |

Address

| | | |
|----------------------|----------------------------|------------------|
| Street # 2944 | Street SAMUEL DRIVE | |
| Line 2 | | |
| Line 3 | | |
| City BENSALEM | State PA | Zip 19020 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 09/11/2013 | Rank Date 07/19/2023 | Certificate # |
| Method I-S-1020 | Status Date 07/19/2023 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 30 | Name KONAMI GAMING INC | Extended To |
| License # 7883140 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 05/30/2018 |
| Entity # 7883140 | Lic Status Current | |

Address

| | | |
|-----------------------|-----------------------------|------------------|
| Street # 585 | Street KONAMI CIRCLE | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89119 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 06/30/2021 | Rank Date 07/30/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/30/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
 File # **173** Name **LANDRY HOLDINGS, LLC** Extended To
 License # **9917921** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **04/28/2021**
 Entity # **9917921** Lic Status **Current**

Address
 Street # Street **PO BOX 2167**
 Line 2
 Line 3
 City **CYPRESS** State **TX** Zip **77410**
 Routing

Other
 1st License Date **09/14/2012** Rank Date **04/28/2021** Certificate #
 Method **I-S-1017** Status Date **08/26/2020** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
 File # **164** Name **LIGHTNING SLOT MACHINES LLC** Extended To
 License # **9823078** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/24/2021**
 Entity # **9823078** Lic Status **Current**

Address
 Street # **23** Street **CREEK CIRCLE SUITE 400**
 Line 2
 Line 3
 City **BOOTHWYN** State **PA** Zip **19061**
 Routing

Other
 1st License Date **06/18/2014** Rank Date **06/24/2021** Certificate #
 Method **I-S-1017** Status Date **07/08/2020** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
 File # **164** Name **LIGHTNING SLOT MACHINES LLC** Extended To
 License # **9823078** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/24/2021**
 Entity # **9823078** Lic Status **Current**

Address
 Street # **23** Street **CREEK CIRCLE SUITE 400**
 Line 2
 Line 3
 City **BOOTHWYN** State **PA** Zip **19061**
 Routing

Other
 1st License Date **06/18/2014** Rank Date **06/24/2021** Certificate #
 Method **I-S-1017** Status Date **07/08/2020** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 9 | Name LNW GAMING INC | Extended To |
| License # 7805891 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/22/2012 |
| Entity # 7805891 | Lic Status Current | |

Address

| | | |
|-----------------------|----------------------------------|------------------|
| Street # 6601 | Street SOUTH BERMUDA ROAD | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89119 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 06/28/2021 | Rank Date 06/28/2021 | Certificate # |
| Method I-S-1020 | Status Date 06/28/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 114 | Name MODERN GAMING INC | Extended To |
| License # 8523052 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/04/2013 |
| Entity # 8523052 | Lic Status Current | |

Address

| | | |
|----------------------------|-------------------------|------------------|
| Street # 20415 | Street LA HWY 16 | |
| Line 2 | | |
| Line 3 | | |
| City DENHAM SPRINGS | State LA | Zip 70726 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 08/27/2021 | Rank Date 08/27/2021 | Certificate # |
| Method I-S-1020 | Status Date 08/27/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|--------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 172 | Name NOVOMATIC AMERICAS SALES LLC | Extended To |
| License # 9917853 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/30/2021 |
| Entity # 9917853 | Lic Status Current | |

Address

| | | |
|---------------------------|-------------------------------------|------------------|
| Street # 900 | Street CORPORATE GROVE DRIVE | |
| Line 2 | | |
| Line 3 | | |
| City BUFFALO GROVE | State IL | Zip 60089 |
| | Routing | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 05/01/2015 | Rank Date 06/30/2021 | Certificate # |
| Method I-S-1017 | Status Date 05/07/2015 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|---------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 301 | Name PARIMAX HOLDINGS LLC | Extended To |
| License # 13224199 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 13224199 | Lic Status Current | |

| | | |
|-------------------------|-------------------------|------------------|
| Address | | |
| Street # 11200 | Street PEPPER RD | |
| Line 2 | | |
| Line 3 | | |
| City HUNT VALLEY | State MD | Zip 21031 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/25/2022 | Rank Date 07/25/2022 | Certificate # |
| Method I-S-1020 | Status Date 07/25/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 124 | Name PATRIOT GAMING & ELECTRONICS, INC. | Extended To |
| License # 8605145 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/18/2022 |
| Entity # 8605145 | Lic Status Current | |

| | | |
|----------------------|---------------------------|------------------|
| Address | | |
| Street # 217 | Street N. LINDBERG | |
| Line 2 | | |
| Line 3 | | |
| City GRIFFITH | State IN | Zip 46319 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/25/2010 | Rank Date 07/18/2022 | Certificate # |
| Method I-S-1020 | Status Date 07/18/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 14 | Name REEL GAMES INC | Extended To |
| License # 7830710 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/15/2021 |
| Entity # 7830710 | Lic Status Current | |

| | | |
|-----------------------------|------------------------------|------------------|
| Address | | |
| Street # 1501 | Street NE 13TH AVENUE | |
| Line 2 | | |
| Line 3 | | |
| City FORT LAUDERDALE | State FL | Zip 33304 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 06/27/2018 | Rank Date 06/15/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/09/2018 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2026 |
| File # 154 | Name SKY GAMING, LLC | Extended To |
| License # 8966651 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 06/28/2023 |
| Entity # 8966651 | Lic Status Current | |

| | | |
|-----------------------|---------------------------|------------------|
| Address | | |
| Street # 1714 | Street N DIXIE HWY | |
| Line 2 | | |
| Line 3 | | |
| City HOLLYWOOD | State FL | Zip 33020 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 10/21/2020 | Rank Date 06/28/2023 | Certificate # |
| Method I-S-1020 | Status Date 10/21/2020 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 76 | Name SPIN INC | Extended To |
| License # 8010467 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 05/25/2021 |
| Entity # 8010467 | Lic Status Current | |

| | | |
|-------------------------|-------------------------------------|------------------|
| Address | | |
| Street # 35 | Street SW 12TH AVE SUITE 107 | |
| Line 2 | | |
| Line 3 | | |
| City DANIA BEACH | State FL | Zip 33004 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/20/2009 | Rank Date 05/25/2021 | Certificate # |
| Method I-S-1020 | Status Date 07/18/2012 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

| | | |
|--------------------------|--|------------------------------|
| License | | |
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2025 |
| File # 79 | Name SUZHAPP GAMING SOLUTIONS, LLC | Extended To |
| License # 8032829 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On |
| Entity # 8032829 | Lic Status Current | |

| | | |
|-----------------------|----------------------------------|------------------|
| Address | | |
| Street # 1385 | Street PAMA LANE UNIT 100 | |
| Line 2 | | |
| Line 3 | | |
| City LAS VEGAS | State NV | Zip 89119 |
| Routing | | |

| | | |
|------------------------------------|-------------------------------|------------------|
| Other | | |
| 1st License Date 07/06/2022 | Rank Date 07/06/2022 | Certificate # |
| Method I-S-1020 | Status Date 07/06/2022 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |

License

| | | |
|---------------------------|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1050 - Slot Machine Business | Expires On 06/30/2024 |
| File # 182 | Name ZITRO USA INC. | Extended To |
| License # 10019307 | Rank SBUS - Slot Machine Bus Occupational License | Renewed On 07/15/2021 |
| Entity # 10019307 | Lic Status Current | |

Address

| | | | |
|-----------------------|--|------------------|--|
| Street # | Street VIA AUSTI PARKWAY, SUITE 170 | | |
| Line 2 | | | |
| Line 3 | | | |
| City LAS VEGAS | State NV | Zip 89119 | |
| | Routing | | |

Other

| | | |
|------------------------------------|-------------------------------|------------------|
| 1st License Date 06/29/2015 | Rank Date 07/15/2021 | Certificate # |
| Method I-S-1017 | Status Date 07/15/2021 | Certificate Date |
| Fee Exempt No | Birth Date | Renewal Sent |



MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: Gulfstream Park Racing Association, Inc./b/a Gulfstream Park Racing & Casino Annual Internal Controls Review

DATE: August 22, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of Gulfstream Park Racing Association, Inc., d/b/a Gulfstream Park Racing & Casino. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin.75-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-321 being issued on August 22, 2023.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations

OFFICE OF SLOT OPERATIONS
1400 WEST COMMERCIAL BOULEVARD, SUITE 165
FT. LAUDERDALE, FLORIDA 33309



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023048253 **Incident date:** 08/04/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: GULFSTREAM PARK RACING ASSOCIATION, INC.
 901 SOUTH FEDERAL HIGHWAY, HALLANDALE, FL 33009-3099

Respondent: FLORIDA GAMING CONTROL COMMISSION
 4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, FL 32399

Summary: STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

 PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION
 Permitholder Name: Gulfstream Park – 321 – 1003
 File #3
 App # 69
 Date Received: August 4, 2023
 Reviewer's Name: La'Kesha Jelks

| Chronology: | Effective Date | Type | Lic Type | Code | Description | Responsible Party | Respondent |
|--------------------|-----------------------|-------------|-----------------|-------------|--------------------|--------------------------|-------------------|
| | 08/25/2023 | R | | ljelks | JELKS, LA'KESHA | ljelks | |
| | 08/25/2023 | S | 1003 | 10 | Initial Review | ljelks | |



State of Florida
Department of Business and Professional Regulation
Chronology Report

5. Discussion of consent orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Sarasota Kennel Club Inc.. Case No. 2022-059436; Consent Order
Date: September 28, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Sarasota Kennel Club Inc. (“Respondent”) to resolve Case No. 2023-059436. Pursuant to the terms of the proposed order, Respondent would be issued a \$500 administrative fine for violating rules 75-11.014(4)(d)3 and 75-11.014(4)(a), Florida Administrative Code.

Background

On or about December 11, 2022, Respondent failed to alternate the deck of cards in and out of play, with each deck being used for every other game and failed to withdraw the entire deck of cards when a damaged card was discovered. Respondent has no prior violations of this rule.

Analysis

The Commission has the authority to impose an administrative fine up to \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.¹

Rule 75-11.014(4)(d)3, Florida Administrative Codes, provides that the cardroom operator shall ensure the use of two decks of cards are “continuously alternated in and out of play, which each deck being used for every other game” when using an automated shuffling device.

Rule 75-11.014(4)(a), Florida Administrative Codes, provides, in pertinent part, “[e]ach time a card is determined to be damaged as described in this section, the entire deck shall be withdrawn from play and replaced with a new deck after that

¹ § 849.086(14)(c), Fla. Stat.

new deck is thoroughly inspected under the requirements of this rule.”

Because Respondent failed to alternate the deck of cards in and out of play, with each deck being used for every other game and failed to withdraw the entire deck of cards when a damaged card was discovered. Respondent has no prior violations of this rule, it is subject to an administrative fine of up to \$1000 per count.

Staff Recommendation: The Florida Gaming Control Commission should adopt the settlement and consent order in cased number 2023-059436

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-059436

SARASOTA KENNEL CLUB, INC.,

Respondent.

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and Sarasota Kennel, Club Inc. (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Cardroom permit and license, number 153-1002, issued by the Division.
2. This Consent Order is to be entered in resolution of the two-count Administrative Complaint filed in FGCC Case Number 2022-059436, alleging that on December 11, 2022, Respondent was in violation of:
 - a. Rule 75-11.014(4)(d)3, Florida Administrative Code, by failing to alternate the deck of cards in and out of play, with each deck being used for every other game.
 - b. Rule 75-11.014(4)(a), Florida Administrative Code, by failing to withdraw the entire deck from play after discovering a damaged card.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.
4. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
6. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
7. The Parties acknowledge and agree to waive any further administrative and judicial review.
8. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.
9. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

10. **FINE:** Respondent agrees to, and must pay to the Division, the total sum of **FIVE HUNDRED DOLLARS (\$500.00)**, at the time Respondent submits an executed copy of this Consent Order. The fines are assessed as follows:

a. **Count I: \$250.00**

b. **Count II: \$250.00**

11. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note FGCC Case Number 2022-059436 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, SARASOTA KENNEL CLUB, INC., requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 15 day of August, 2023.



SARASOTA KENNEL CLUB, INC., Respondent

Signed on behalf of Sarasota Kennel, Club Inc. by:

Jack Collins Jr
Printed Name

President
Title

STATE OF Florida

COUNTY OF Manatee

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 15 day of August, 2023, by Jack Collins Jr. who is personally known to me or who produced the following as identification: _____

Rhonda Lipp
Notary Public

My commission expires: 3-12-2026



RHONDALIPP
Commission # HH 226640
Expires March 12, 2026

APPROVED this 18 day of September, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-059436

SARASOTA KENNEL CLUB, INC.,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Sarasota Kennel, Club Inc. (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Cardroom permit and license, number 153-1002, issued by Petitioner.

COUNT I

3. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.
4. On or about December 11, 2022, Respondent failed to alternate the deck of cards in and out of play, with each deck being used for every other game.

5. Rule 61D-11.014(4)(d)3, Florida Administrative Codes, provides that the cardroom operator shall ensure the use of two decks of cards are “continuously alternated in and out of play, which each deck being used for every other game” when using an automated shuffling device.

6. Based on the foregoing, Respondent violated rule 61D-11.014(4)(d)3, Florida Administrative Code, by failing to alternate the deck of cards in and out of play, with each deck being used for every other game.

COUNT II

7. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

8. On or about December 11, 2022, Respondent failed to withdraw the entire deck of cards when a damaged card was discovered.

9. Rule 61D-11.014(4)(a), Florida Administrative Code, provides, in pertinent part, “[e]ach time a card is determined to be damaged as described in this section, the entire deck shall be withdrawn from play and replaced with a new deck after that new deck is thoroughly inspected under the requirements of this rule.”

10. Based on the foregoing, Respondent violated rule 61D-11.014(4)(a), Florida Administrative Code, by failing to withdraw the entire deck from play after discovering a damaged card.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-059436 is signed this 21st day of March, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

| | | | | | | | | | |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|-------------------------|-----------------------|------------------------|-----------------------------|-------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **icampbell**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

| | | |
|---|--|--|
| Lic Type 1002 - Cardroom License | Status 90 Closed | Status Date 02/18/2023 |
| Complaint # 2022059436 | Case Type CMP - Complaint | Disposition |
| Docket# | Respondent SARASOTA KENNEL CLUB, INC. | Responsible rsamson - SAMSON, RANDA |
| | | Private Case |

| | | | |
|---------------------------|----------------------------|-----------------------------|----------------------------|
| Complaint | Respondent | Complainant | Add'l Info |
|---------------------------|----------------------------|-----------------------------|----------------------------|

| | | | |
|--|--|---|---|
| Source INTN - Internal | Security Level 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form INTR - Internal | Priority | | |
| Class'n V-C - Cardroom Violations | Complexity R - Regular | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Security STND - Standard | Incident 12/11/2022 | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Region CR - Central Region | Received 12/14/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Reference 61D-11.014(4)(a) & (b)(1-3) | | <input type="checkbox"/> Inspection | |
| Entered 12/14/2022 | Entered By Imuniz | <input type="checkbox"/> Costs | |
| Summary | 153-Sarasota Kennel Club, Inc. ----- / ----- . Cards: On December 12, 2022, I conducted a routine BI-Weekly Cardroom Inspection at SARASOTA KENNEL CLUB. During my review of damaged cards, I discovered that Floor Person LEE DEREK STRAUSS (PMW LIC# 10363294) failed to remove the entire deck when a damaged card was found. In addition, Poker Dealer GLEN D. BARLOW (PMW LIC#10990015) continued to play with one deck upon discovery of the damaged card, failing to alternate decks (Blue & Red) in and out of play when an automated card shuffling device was in use. | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated 03/14/2023 12:21:52 | By icampbell | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | | | | |
|-----------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| 66 | <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

d

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|-------------------------------------|---|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: DECEMBER 14, 2022 | Case Number: 2022 05 9436 |
| Respondent: SARASOTA KENNEL CLUB, INC. 4404 BEE RIDGE ROAD UNIT #26 SARASOTA, FL TEL# (941) 355-7744 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: 153 / 1002 | Profession: PERMIT HOLDER | Report Date: January 13, 2023 | |
| Period of Investigation: December 14, 2022 – January 13, 2023 | | Type of Report: FINAL | |
| <p>Alleged Violation: 61D-11.014 Cards.</p> <p>(4) Each dealer assigned to a card table shall inspect each deck of playing cards intended for use at that table. The assigned dealer must ensure that cards are not taped, cut, shaved, marked, defaced, bent, crimped, or deformed in any fashion that may permit covert identification of the card by players.</p> <p>(a) Any card that is taped, cut, shaved, marked, defaced, bent, crimped or deformed in any fashion that may permit covert identification of the card during the course of play must be withdrawn from play. Each time a card is determined to be damaged as described in this section, the entire deck shall be withdrawn from play and replaced with a new deck after that new deck is thoroughly inspected under the requirements of this rule.</p> <p>(d) If an automated card shuffling device is being used, a cardroom operator shall use two decks of cards; and,</p> <p>2. One deck shall be shuffled by the automated card shuffling device while the other deck is being dealt or used to play the game; and,</p> <p>3. Both decks shall be continuously alternated in and out of play, with each deck being used for every other game.</p> | | | |
| <p>Synopsis: On December 14, 2022, I conducted a routine Bi-Weekly Cardroom inspection at SARASOTA KENNEL CLUB (ONE-EYED JACKS). As part of my inspection, I reviewed the facility's damaged card procedures, specifically how damaged cards are removed from play, stored, and documented. While reviewing the saved surveillance video of December 11, 2022, I observed Floor Person Lee Derek STRAUSS (PMW LIC# 10363294) fail to replace a deck with a new deck upon discovery of a damaged card. In addition, Poker Dealer Charles C. WIDLE III (PMW LIC# 12012014), and Poker Dealer Glen D. BARLOW (PMW LIC#10990015), who replaced WIDLE (EXHIBIT #2), continued to deal with one deck, not alternating decks, as required.</p> | | | |
| <p>Related Case: Case # 2021 04 3298; 2018 04 2522; 2022 00 6761; 2022 05 7795</p> | | | |
| Investigator / Date <i>/s/ Randa Samson</i> Randa Samson / January 13, 2023 | | Investigator Supervisor / Date <i>C. Derek Washington</i> C. Derek Washington / January 18, 2023 | |
| <p>Chief of Investigations / Date <i>Steven E. Kogan</i> Steven E Kogan / February 18, 2023</p> | | | |

CONTINUATION

On December 14, 2022, I conducted a routine Bi-Weekly Cardroom inspection at **SARASOTA KENNEL CLUB (ONE-EYED JACKS)**. As part of my inspection, I reviewed the facility's damaged card procedures, specifically how damaged cards are removed from play, stored, and documented. While reviewing the saved surveillance video of December 11, 2022, I observed Floor Person **Lee Derek STRAUSS (PMW LIC# 10363294)** fail to replace a deck with a new deck upon discovery of a damaged card. In addition, Poker Dealer Charles C. **WIDLE III (PMW LIC# 12012014)**, and Poker Dealer Glen D. **BARLOW (PMW LIC#10990015)**, who replaced **WIDLE (EXHIBIT #2)**, continued to deal with one deck, and not alternate decks, as required.

A careful review of the video evidence of December 11, 2022, obtained from **SARASOTA KENNEL CLUB (ONE-EYED JACKS)** shows the following facts and violations:

- **4:28:05 PM:** As Poker Dealer Charles C. **WIDLE III** is dealing a hand, the card shuffler's red light comes on, indicating an issue with the red deck.
- **4:29:37 PM:** **WIDLE**, after dealing a hand with the blue deck, then re-shuffles the red deck and the card shuffler's red light comes on again.
- **4:29:41 PM:** **WIDLE** then calls for a Floor Manager to come to his table (#16).
- **4:30:02 PM:** Lee **STRAUSS**, the Floor Manager, comes over, and he and **WIDLE** inspect the red deck. **STRAUSS** returns the deck to **WIDLE**, who then places the deck in his imprest tray and continues to deal with the blue deck, failing to alternate decks (Blue & Red) in and out of play when an automated card shuffling device was in use.
- **4:34:29 PM:** Poker Dealer **GLEN D. BARLOW** replaces **WIDLE** at table #16 and continues to deal with the blue deck failing to alternate decks (Blue & Red) in and out of play when an automated card shuffling device was in use.
- **4:43:59 PM:** **STRAUSS** returns to table #16 and replaces the red Wild Joker in the red deck and does the same with the blue deck, then walks away with the two damaged Wild Joker cards from both decks. **(EXHIBIT #2 & #3)**

According to Rule 61D-11.014(4)(a): Any card that is taped, cut, shaved, marked, defaced, bent, crimped, or deformed in any fashion that may permit covert identification of the card during the course of play must be withdrawn from play. **Each time a card is determined to be damaged as described in this section, the entire deck shall be withdrawn from play and replaced with a new deck after that new deck is thoroughly inspected under the requirements of this rule.**

The Director of Poker Operations, **RYAN R CARTER (PMW Lic #7616143)**, was informed of the violation, and he stated he would speak with **STRAUSS** and both dealers involved.

CONTINUATION

SARASOTA KENNEL CLUB (ONE-EYED JACKS) violated F.A.C. Rules 61D-11.014(4)(a) &(4)(d)(2-3) because the floor supervisor failed to replace a deck with a new deck upon discovery of a damaged card and because the dealers continued to deal with one deck and failing to alternate the decks as required.

A check of Versa Regulation Enforcement database showed no prior violation of this specific rule listed above. However there are other violations of damaged card procedures:

- **Case #2018 04 2522** (Consent Order \$150) – Failed to permanently alter two damaged cards removed from play.
- **Case #2021 04 3298** (Verbal Warning) – Failed to indicate the date the damaged card was removed from play on the envelope.
- **Case #2022 00 6761** (Verbal warning) – Supervisor failed to sign damaged card envelope.
- **Case #2022 05 7795** (In Legal) – Failed to indicate time and table # on envelope.

Case Status: Investigation case closed and case referred to Legal for review.

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III. EXHIBITS

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 3. PHOTOS.....1-8

 4. PRIOR WARNING CASE# 2021 04 3298.....1-10

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) |
 [Complaint Search](#) |
 [Maintain Complaint](#) |
 [License Home](#)

License

Fed Tax # [REDACTED] Lic Type **1002 - Cardroom License** Expires On **06/30/2023**

File # **48** Name **SARASOTA KENNEL CLUB, INC.** Extended To

License # **153** Rank **CLIC - Cardroom Operating License** Renewed On

Entity # **153** Lic Status **Current**

-
-
-
-
-

Address

Street # **5400** Street **BRADENTON ROAD**

Line 2
Line 3

City **SARASOTA** State **FL** Zip **34234**

Routing

Other

1st License Date **03/22/2022** Rank Date **03/22/2022** Certificate #

Method **I-S-1020** Status Date **07/14/2008** Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|-----------------------|----------------|-----------------|
| L | 153 - Sarasota Kennel | 12/11/2006 | |

Alt Keys

BEST LIC NBR **153**

[Get Adobe Reader.](#)

EXHIBIT #1
PAGE 1/1



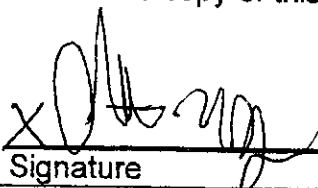
State of Florida
 Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 Investigations Section

PROPERTY RECEIPT

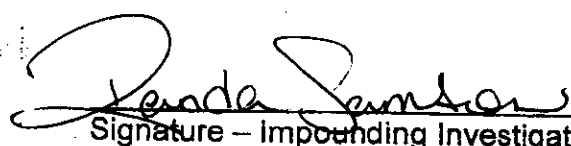
Complaint # 2022 05 9436 Date 12/14/22 Lab # _____

| Item No. | Quantity | Description |
|----------|----------|-----------------------------|
| | 1 | Orange 32G Lexar Thumbdrive |
| | | Damaged Card Violation(s) |
| | | Sarasota Kennel Club, INC. |
| | | (One Eyed Jacks) |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.


 Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.


 Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____ Date _____

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Date: 12/11/22

Table#: ~~102~~ 16

Time: 4:43 PM

Shift Boss: Lee Strauss

License# 10363294



EXHIBIT #3
PHOTO 1/8

Handwritten notes on a lined notebook page, partially obscured by a pen and a yellow paper. The text includes:

- Signature: _____
- License number: _____
- Today's date: _____
- Table/Area: _____
- Date of discrepancy: _____
- Start time of discrepancy: _____
- End time of discrepancy: _____
- Notes: _____

Additional text at the bottom of the page:

- Signature: _____
- License number: _____

Handwritten signature

Discrepancies

| | |
|----------------------------|----------|
| Today's date: | 01/15/19 |
| Table/Area: | 01/15 |
| Date of discrepancy: | 01/15/19 |
| Start time of discrepancy: | 01/15/19 |
| End time of discrepancy: | 01/15/19 |
| Notes: | |
| Signature: | |
| License number: | |

Signature:
License number:

Today's date:
Table/Area:
Date of discrepancy:
Start time of discrepancy:
End time of discrepancy:
Notes:

Signature:
License number:

EXHIBIT #3
PHOTO 2/8

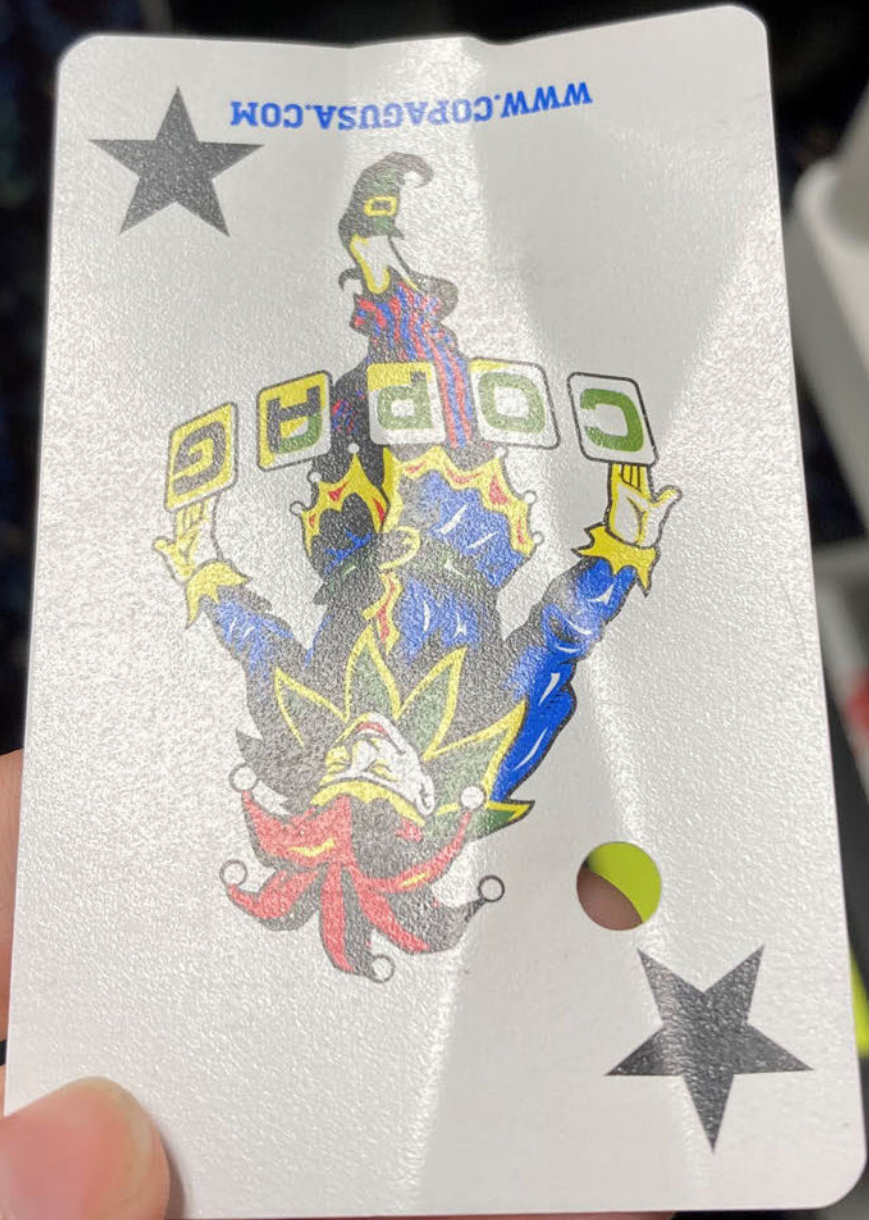
WWW.COPAGUSA.COM



EXHIBIT #3
PHOTO 3/8



EXHIBIT #3
PHOTO 4/8



WWW.COPAGUSA.COM

EXHIBIT #3
PAGE 5/8



EXHIBIT #3
PHOTO 6/8

Date: 12/11/22
Table#: ~~10~~ 16
Time: 4:43 pm
Shift Boss: Lee Strauss
License# 10363294

EXHIBIT #3
PHOTO 7/8

Handwritten text on a label at the top of the envelope.

EXHIBIT #3
PHOTO 8/8



| | | | | | | | | | |
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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

[VR Home](#) | [Complaint Search](#) | [Maintain Complaint](#)

| | | | | | |
|-------------|-------------------------|----------------------------|------------------------|-------------------------|------------------|
| Lic Type | 1002 - Cardroom License | Status | 20 Under Investigation | Status Date | 12/14/2022 |
| Complaint # | 2022059436 | Case Type | CMP Complaint | Disposition | Disposition Date |
| Docket# | Re pondent | SARASOTA KENNEL CLUB, INC. | Re pon ible | rsamson - SAMSON, RANDA | Private Ca e |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Re pondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|---|----------------|-------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 12/11/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 12/14/2022 | <input type="checkbox"/> In pection | |
| Reference | 61D-11.014(4)(a) & (b)(1-3) | | | <input type="checkbox"/> Costs | |
| Entered | 12/14/2022 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | Auto Assign |
| Summary | 153-Sarasota Kennel Club, Inc. ----- / ----- . Cards: On December 12, 2022, I conducted a routine BI-Weekly Cardroom Inspection at SARASOTA KENNEL CLUB. During my review of damaged cards, I discovered that Floor Person LEE DEREK STRAUSS (PMW LIC# 10363294) failed to remove the entire deck when a damaged card was found. In addition, Poker Dealer GLEN D. BARLOW (PMW LIC#10990015) continued to play with one deck upon discovery of the damaged card, failing to alternate decks (Blue & Red) in and out of play when an automated card shuffling device was in use. | | | | History |
| Updated | 12/14/2022 16:52:06 | By | Imuniz | <input type="checkbox"/> Attachments | Print Report |
| | | | | <input type="checkbox"/> Work Note | |

| | | | | |
|--------|------|----|--------|------|
| Change | Save | OK | Cancel | Back |
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**STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING
 OPEN CASE REQUEST
 (OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)**

INCIDENT DATE: 12/11/2022

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: SARASOTA KENNEL CLUB, INC. **LIC #:** 153

RESPONDENT

NAME: SARASOTA KENNEL CLUB, INC.
 Address: 4404 Bee Ridge Road Unit #26, Sarasota, FL. 34233 Tel #: (941) 355-7744
LIC #: 153 **LIC TYPE:** 1002 **OCCUPATION:** PERMIT HOLDER

COMPLAINANT

NAME: _____ Tel #: _____
 Address: _____
LIC #: _____ **LIC TYPE:** _____ **OCCUPATION:** _____

VIOLATION(S) / TITLE(S): 61D-11.014 Cards.

(4) Each dealer assigned to a card table shall inspect each deck of playing cards intended for use at that table. The assigned dealer must ensure that cards are not taped, cut, shaved, marked, defaced, bent, crimped, or deformed in any fashion that may permit covert identification of the card by players.

(a) Any card that is taped, cut, shaved, marked, defaced, bent, crimped or deformed in any fashion that may permit covert identification of the card during the course of play must be withdrawn from play. Each time a card is determined to be damaged as described in this section, the entire deck shall be withdrawn from play and replaced with a new deck after that new deck is thoroughly inspected under the requirements of this rule.

(b) Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:

1. The entire deck of cards containing the damaged card or cards shall be removed from play before card play may resume at the card table and the damaged card or cards shall be placed in a sealed envelope or evidence bag,

(d) If an automated card shuffling device is being used, a cardroom operator shall use two decks of cards; and,

2. One deck shall be shuffled by the automated card shuffling device while the other deck is being dealt or used to play the game; and,

3. Both decks shall be continuously alternated in and out of play, with each deck being used for every other game.

DESCRIPTION: On December 12, 2022, I conducted a routine BI-Weekly Cardroom Inspection at **SARASOTA KENNEL CLUB**. During my review of damaged cards, I discovered that Floor Person **LEE DEREK STRAUSS (PMW LIC# 10363294)** failed to remove the entire deck when a damaged card was found. In addition, Poker Dealer **GLEN D. BARLOW (PMW LIC#10990015)** continued to play with one deck upon discovery of the damaged card, failing to alternate decks (Blue & Red) in and out of play when an automated card shuffling device was in use.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
 (Title of State Employee)

Randa Samson
 (Print Name)

(Signature)

12/14/2022
 (Date)

Muniz, Luz

From: Washington, Derek
Sent: Wednesday, December 14, 2022 2:32 PM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR SARASOTA KENNEL CLUB INC. 12.14.2022
Attachments: OCR SARASOTA KENNEL CLUB INC. 12.14.2022.docx

Luz,
Attached is an OCR for a cardroom violation, please assign to Randa.

Thanks
Derek



| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) |
 [Complaint Search](#) |
 [Maintain Complaint](#) |
 [License Home](#)

| License Fed Tax # [REDACTED] Lic Type 1002 - Cardroom License Expires On 06/30/2023 File # 48 Name SARASOTA KENNEL CLUB, INC. Extended To License # 153 Rank CLIC - Cardroom Operating License Renewed On Entity # 153 Lic Status Current | | | <input type="checkbox"/> <table border="1"> <tr><td>Licensee</td></tr> <tr><td>History</td></tr> <tr><td>Notes</td></tr> <tr><td>Notes History</td></tr> <tr><td>Back</td></tr> </table> | Licensee | History | Notes | Notes History | Back | | |
|--|-----------------------|----------------|--|----------|----------------|-----------------|---------------|-----------------------|------------|--|
| Licensee | | | | | | | | | | |
| History | | | | | | | | | | |
| Notes | | | | | | | | | | |
| Notes History | | | | | | | | | | |
| Back | | | | | | | | | | |
| Address Street # 5400 Street BRADENTON ROAD Line 2 Line 3 City SARASOTA State FL Zip 34234 Routing | | | | | | | | | | |
| Other 1st License Date 03/22/2022 Rank Date 03/22/2022 Certificate # Method I-S-1020 Status Date 07/14/2008 Certificate Date Fee Exempt No Birth Date Renewal Sent | | | | | | | | | | |
| Select Action <input type="text"/>   | | | | | | | | | | |
| Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>153 - Sarasota Kennel</td> <td>12/11/2006</td> <td></td> </tr> </tbody> </table> | | | Type | Modifier | Effective Date | Additional Info | L | 153 - Sarasota Kennel | 12/11/2006 | |
| Type | Modifier | Effective Date | Additional Info | | | | | | | |
| L | 153 - Sarasota Kennel | 12/11/2006 | | | | | | | | |
| Alt Keys BEST LIC NBR 153 | | | | | | | | | | |

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Alvaro Hernan Vasquez Case No. 2023-034324: Consent Order
Date: September 25, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Alvaro Hernan Vasquez (“Respondent”) to resolve Case No. 2023-034324. Pursuant to the terms of the proposed order, Respondent would pay an administrative fine of \$50 for violating rule 75-11.004(8)(a), Florida Administrative Code.

Background

On June 8, 2023, Respondent was a cardroom dealer at Calder Casino.¹ Respondent was seen, contrary to rule 75-11.004(8)(a), Florida Administrative Code, failing to clear his hands when cash, chips, or tokens was exchanged with or provided to a player. To the Division’s knowledge, Respondent has no prior violations of this rule in Florida.

The Division served Respondent with an administrative complaint and included a proposed settlement and consent order seeking a \$50 administrative fine. Respondent has agreed to the terms of the proposed order and has already mailed in a money order for \$50.

Analysis

The Commission may resolve matters informally through a negotiated settlement.² The Commission has the authority to impose an administrative fine of \$1,000.00 for

¹Sarasota Kennel Club is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel, slot machine, and cardroom license.

² See § 120.57(4), Fla. Stat. (“Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.”)

each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.³

Rule 75-11.004(8)(a), Florida Administrative Code, provides, that the dealers shall clear their hands when cash, chips, or tokens are exchanged with or provided to a player. Because Respondent failed to clear his hands at least sixty times after gathering and pushing pots to players, Respondent is subject to an administrative fine not to exceed \$1000.00.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2023-034324.

³ § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and Alvaro Hernan Vasquez (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 13402841-1055, issued by the Division.
2. This Consent Order is to be entered in resolution of the one-count Administrative Complaint filed in FGCC Case Number 2023-034324, alleging that Respondent was in violation of rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about June 8, 2023.
3. Mitigation: This Consent Order was entered into in consideration of Respondent’s previous disciplinary history, including no prior violations of rule 75-11.004(8)(a), Florida Administrative Code.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.
5. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that he is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
8. The Parties acknowledge and agree to waive any further administrative and judicial review.
9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.
10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **FIFTY DOLLARS (\$50.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS)**. The Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and payment to: Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade, Tallahassee, Florida 32399, Attention: Donna Fleming. Please note FGCC Case Number 2023-034324 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this

Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, Respondent, **ALVARO HERNAN VASQUEZ**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 22 day of August, 2023.



ALVARO HERNAN VASQUEZ
Respondent

STATE OF Florida
COUNTY OF Miami-Dade

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 22 day of August, 2023, by ALVARO HERNAN VASQUEZ, who is personally known to me or who produced the following as identification:


Notary Public



My commission expires: May 15, 2026

APPROVED this 18 day of September, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Alvaro Hernan Vasquez (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 13402841-1055, issued by Petitioner.
3. At all times material hereto, Respondent worked as a cardroom dealer at Calder Casino.
4. At all times material hereto, Calder Casino was a facility operated by a permit holder authorized to conduct pari-mutuel wagering and cardroom operations in the state of Florida under chapters 550 and 849, Florida Statutes.
5. On or about June 8, 2023, Respondent failed to clear his hands on one or more occasions when cash, chips, or tokens were exchanged with or provided to a player.

6. Rule 75-11.004(8)(a), Florida Administrative Code, states, in pertinent part, that dealers shall “[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player.”

7. Based on the foregoing, Respondent violated rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about June 8, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-034325 is signed this 28th day of July, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

FAQ | Help | Sign Out

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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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| Complaint Search Update | Change Recording License Type Mass Status Update | Delete Complaint Public Case Info | Mass Activity Update | Mass Discipline |
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dfleming**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|--|-------------|---------------------------------|---------------------|-------------------|
| Lic Type | 1000 - Permit to Conduct Pari-Mutuel Wagering | Status | 112 In Legal | Status Date | 08/23/2023 |
| Complaint # | 2023013135 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent CAPESTRO, PAULA S | Responsible | eleiva - ALVARADO, EMILY | Private Case | |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|--|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | ADMN - Administrative | Complexity | R - Regular |
| Security | STND - Standard | Incident | 09/04/2022 |
| Region | SR - Southern Region | Received | 09/04/2022 |
| Reference | Entered 03/08/2023 | Entered By | elanier |
| Summary | <p>8/23/2023 Corrected Order to Show Cause Filed: Respondent is ORDERED to show cause in writing by September 2, 2023, explaining why the Division shall not require Respondent to return the purse amount of \$15,600. 8/23/2023 - Notice of Scrivener's Error Filed: Pertains to Order to Show Cause filed on 8/18/23 under incorrect case # 2022-046353. Case created in Legal, Assigned to E.ALVARADO</p> | | |
| Updated | 08/23/2023 16:08:20 | By | dfleming |

- Parties Activities
- Allegations Discipline
- Violations Compliance
- Related Disposition
- Inspection
- Costs
- Time Tracking Auto Assign
- Attachments History
- Work Notes Print Report

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| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

_____ /

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This Administrative Complaint for FGCC Case Number 2023-034325 is signed this 28th day of July, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

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Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Closed: 07/26/23
40 Days

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|---|---|---|----------------------------------|--------------------------------------|---------------------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline | | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: nmelvai

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

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|-------------|--|------------|-------------------------------|-------------|---------------------------------|
| Lic Type | 1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination | Status | 90 Closed | Status Date | 07/18/2023 |
| Complaint # | 2023034324 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | VASQUEZ, ALVARO HERNAN | Responsible | dstroud - STROUD, DARRYL |
| | | | | | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|---|----------------|--------------------|
| Source | INTN - Internal | Security Level | 1 |
| Form | INTR - Internal | Priority | |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular |
| Security | STND - Standard | Incident | 06/08/2023 |
| Region | SR - Southern Region | Received | 06/16/2023 |
| Reference | 75-11.004 | | |
| Entered | 06/16/2023 | Entered By | nmelvai |
| Summary | <p>285--Calder Race Course, Inc.---75-11.004----- On June 8, 2023, at approximately 2:55 P.M., I made a direct on-the-floor cardroom observation at Calder casino. While in the Poker room of Calder Casino, the undersigned investigator observed Poker Dealer Alvaro Hernan Vasquez failed to clear his hands when handling cash and chips during a live poker game.</p> | | |
| Updated | 07/26/2023 09:06:02 | By | nmelvai |

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

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| 40 Days | <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
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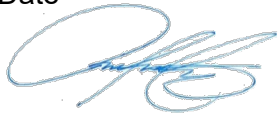

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|---|----------------------------|---|--------------------------------------|
| Office: PMW | Region: SOUTHERN | Date of Complaint: June 16, 2023 | Case Number: 2023 03 4324 |
| Respondent: VASQUEZ, ALVARO HERNAN 2100 NW 27th AVENUE MIAMI, FLORIDA, 33178 | | Complainant: DIVISION OF PARA-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 (954) 202-3900 | |
| License # and Type: 13402841 - 1055 | | Profession: Poker Dealer | Report Date: June 20, 2023 |
| Period of Investigation: June 8, 2023 through June 20, 2023 | | Type of Report: Final | |
| Alleged Violation: 75-11.004 Dealers Responsibility (8)(a) Dealers shall: Clear their hands when cash, chips, or tokens are exchanged with or provided to the player. | | | |
| Synopsis: On June 8, 2023, at approximately 2:55 P.M., while visiting Calder Casino and while in the cardroom, the undersigned investigator made a direct observation of Poker Dealer Alvaro Hernan VASQUEZ at Designated Player (DP) Poker Table #3, failing to clear his hands when handling cash or chips. | | | |
| Related Cases: | | | |
| Investigator / Date  Darryl S. Stroud / June 20, 2023 | | Investigator Supervisor / Date  Julio Minaya / June 22, 2023 | |
| Chief of Investigations / Date  Bradford D. Jones / July 18, 2023 | | | |

CONTINUATION

This case is predicated on my direct observation of Poker Dealer Alvaro Hernan **VASQUEZ** not clearing his hands while dealing a live Poker game at Designated Player (DP) Table #3 in Calder Casino on Thursday, June 8, 2023, at approximately 2:58 P.M.

Upon my observation of numerous and continued violations, I addressed my observations with Cardroom Supervisor Carlos Moreno. Moreno stated that he would discuss my concerns with **VASQUEZ**. A short time later, I observed the two talking to each other; presumably about my observations of how he was not clearing his hands when touching cash, chips, or tokens. After closing table #3 both men proceeded to table #5 where **VASQUEZ** began to deal.

Further investigation revealed that Alvaro Hernan **VASQUEZ** has a current Cardroom Employee Occupational license (1055) that was issued on November 22, 2022 which expires on June 30, 2025 (**Exhibit #1**).

On June 13, 2023, I visited Calder Casino and met with Lead Surveillance Operator Sierra Spencer and obtained a compact disk containing video footage of **VASQUEZ** dealing at (DP) table #3 and (DP) table #5 on June 8, 2023 (**Exhibit #2**).

On June 20, 2023, careful review of surveillance footage from June 8, 2023, of DP table #3, between the hours of 2:58 P.M. - 3:06 P.M., and DP table #5, between the hours of 3:28 P.M. - 3:34 P.M., revealed the following violations:

DP Poker Table #3

- 2:58:46 PM: Respondent moves chips to seat #2 and fails to clear his hands.
- 2:59:54 PM: Respondent receives a black chip from seat #2, places the chip in front of himself, gets additional chips from the imprest tray and provides green chips as change at 2:59:59. He then places the black chip into his tray without ever clearing his hands.
- 3:00:10 PM: Respondent removes chips from bet circle for seat #2 and seat #4 and fails to clear his hands.
- 3:00:20 PM: Respondent removes chips from bet circle for seat #2 spreads them out and counts them and fails to clear his hands.
- 3:00:36 PM: Respondent touches chips in front of seat #4 and seat #6 and fails to clear his hands.
- 3:01:26 PM: Respondent's supervisor approaches the table to close table.
- 3:06:39 PM: Respondent closes table #3 and prepares to go to Table# 5.
- 3:07:10 PM: Cardroom Supervisor Moreno is observed apparently demonstrating to **VASQUEZ** the proper manner of clearing his hands when touching chips. **VASQUEZ** appears to indicate understanding, and is observed repeating, mirroring Moreno's demonstration as though he comprehends what is expected of him.

TABLE OF CONTENTS

DP Poker Table #5

Video review of **VASQUEZ** dealing at DP table #5, confirmed, that despite being coached by his Supervisor, his chip-handling behavior had not changed. During surveillance footage review, **VASQUEZ** was observed between the hours of 3:28:22 P.M. and 3:40:30 P.M., committing numerous violations by not clearing his hands while touching cash, chips or tokens when exchanging or providing them to players.

A review of VERSA revealed that **VASQUEZ** has no prior violations (**Exhibit #1**).

Conclusion: Despite being informed of his violations and being coached by his Cardroom Supervisor, **VASQUEZ** continued his behavior thus violating Rule 75-11.004.

Status: Case closed by Investigations and forwarded to Legal for review.

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II. INVESTIGATIVE REPORT 2

III. EXHIBITS

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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dstroud**

[VR Home](#) > [License Search](#) > [License Home](#)

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
 File # **10937** Name **Vasquez, Alvaro Hernan** Extended To
SCPL -
 License # **13402841** Rank **Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On
 Entity # **13402841** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **20800** Street **NE 12TH COURT**
 Line 2
 Line 3
 City **MIAMI** State **FL** Zip **33179**
 Routing

Other

1st License Date **11/16/2022** Rank Date **11/22/2022** Certificate #
 Method **I-S-1017** Status Date **11/22/2022** Certificate Date
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

| Type | Modifier | Effective Date | Additional Info |
|------|--------------------------------|----------------|-----------------|
| C | DEAL - Dealer | 11/16/2022 | |
| F | LIVE - Live Scan Fee Exemption | 11/16/2022 | |
| I | JLAI - Jai-Alai | 11/16/2022 | |
| L | 285 - Calder | 11/16/2022 | |
| Y | 3YR - 3 Year License | 11/16/2022 | |

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: dstroud

VR Home > License Search > License Home > Basic Entity Data

Basic Entity Data | List of Addresses | List of Names

| | | | | |
|--------------------|---|-----------------|--------------------------|--|
| Lic Type | 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo | | Entity # | 13402841 |
| Fed Tax # | [REDACTED] | Fed Tax Type | SSN # | Name Vasquez, Alvaro Hernan |
| H Last Name | Vasquez | First | Alvaro | Middle Hernan |
| Title | | Suffix | | Qualifier |
| Gender | M | Race | 4 - Hispanic | |
| Driver License # | | Birth Date | [REDACTED] | |
| Mailing Address | <input checked="" type="checkbox"/> | Private Address | <input type="checkbox"/> | Preferred Name |
| Street # | 20800 | Street | NE 12TH COURT | |
| Line 2 | | | | |
| Line 3 | | | | |
| City | MIAMI | County | Dade | |
| Zip | 33179 | State | Florida | Country United States |
| Routing | | | | |
| Phone # | 305-3033905 | Ext | | E-Mail |
| Insp Region | | | | Receive Email <input type="checkbox"/> |
| Updated | 11/16/2022 11:25:51 | By | ppolanco | |

- Notes
- Notes History
- Fix
- Change
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- OK
- Cancel
- Back

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > Display Notes History

List | Detail

| Date/Time | Oper Id | Note | Detail |
|-----------------|---------|------|--------|
| No items found. | | | |

Back

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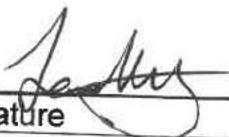
**Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2023 03 4324 Date 6/13/23 Lab # _____


| Item No. | Quantity | Description |
|----------|----------|--|
| 1 | 1 | DVD CONTAINING EVIDENCE OF DEALER - ALVARO VASQUEZ, NOT CLEARING HIS HANDS |
| | | |
| | | |
| | | |
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| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.



Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.



Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

.....

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

6. Discussion of default final orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Hung Pham Case No. 2023-004357; Default Final Order
Date: September 25, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Hung Pham (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida. By failing to respond to the properly served administrative complaint seeking to exclude him, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On January 15, 2023, Respondent was a patron of the Casino at Dania Beach.¹ On January 15, 2023, the Casino at Dania beach discovered that Respondent was found to be involved in a scheme to steal \$1250 from a designated player. Respondent was permanently excluded from the Casino at Dania Beach², on January 20, 2023.

Based on his exclusion from the Casino at Dania Beach, the Division served Respondent with an administrative complaint seeking his exclusion from all pari-mutuel and slot facilities in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on May 17, 2023, which means the Respondent had until June 7, 2023 to respond. He has never responded.

¹ The Casino at Dania Beach is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

² Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” The Casino at Dania Beach is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude him from all pari-mutuel and slot machine facilities in this state, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Hung Pham from all pari-mutuel and slot machine facilities in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-004357

HUNG PHAM,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Hung Pham (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 3160 Southwest 17th Street Fort Lauderdale, Florida 33312.
3. At all times material hereto, the Casino at Dania Beach was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the state of Florida.
4. On or about January 15, 2023 and January 20, 2023, Respondent was a patron of the Casino at Dania Beach.
5. On or about January 20, 2023, Respondent was ejected and permanently excluded from the Casino at Dania Beach.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.
7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes based on his ejection from the Casino at Dania Beach on or about January 20, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-004357 is signed this 8th day of March 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

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|-------------|-------------------------------------|------------|------------------------|-------------|------------------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 90 Closed | Status Date | 02/24/2023 |
| Complaint # | 2023004357 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | HUNG, PHAM | Responsible | bjone JONES, BRADFORD |
| | | | | | Private Case |

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| Complaint | Respondent | Complainant | Add'l Info |
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| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 01/15/2023 | <input checked="" type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 01/24/2023 | <input type="checkbox"/> In pection | |
| Reference | 61D-11.005(4)(a-c) & 550.0251 | | | <input type="checkbox"/> Costs | |
| Entered | 01/24/2023 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | <p>274-Dania Entertainment Center, LLC ----- / ----- . Prohibitions / Powers and duties of the Florida Gaming Control Commission.: PHAM engaged in a theft partnership with CARD TECH Designated Player (DP) YOAN PEREZ JIMENEZ. On various dates in January, 2023 (including January 15th) PHAM positioned himself next to JIMENEZ while JIMENEZ was acting as a DP at Casino Dania Beach. During card games, JIMENEZ simply handed off chips to PHAM totaling approximately \$1250 in value. This partnership theft has resulted in both PHAM and JIMENEZ being Lifetime Excluded from the facility.</p> | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 02/28/2023 12:37:07 | By | Imuniz | <input type="checkbox"/> Work Note | <input type="button" value="Print Report"/> |

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- [Change Recording License Type](#)
- [Public Case Info](#)
- [Delete Complaint](#)
- [Mass Activity Update](#)
- [Mass Discipline Update](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

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|-------------|-------------------------------------|------------|------------------------|-------------|------------------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 90 Closed | Status Date | 02/24/2023 |
| Complaint # | 2023004357 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | HUNG, PHAM | Responsible | bjone JONES, BRADFORD |
| | | | | | Private Case |

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| Complaint | Respondent | Complainant | Add'l Info |
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| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security | STND - Standard | Incident | 01/15/2023 | <input checked="" type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region | SR - Southern Region | Received | 01/24/2023 | <input type="checkbox"/> | In pection | | |
| Reference | 61D-11.005(4)(a-c) & 550.0251 | | | <input type="checkbox"/> | Costs | | |
| Entered | 01/24/2023 | Entered By | Imuniz | <input type="checkbox"/> | Time Tracking | | Auto Assign |
| Summary | <p>274-Dania Entertainment Center, LLC ----- / ----- . Prohibitions / Powers and duties of the Florida Gaming Control Commission.: PHAM engaged in a theft partnership with CARD TECH Designated Player (DP) YOAN PEREZ JIMENEZ. On various dates in January, 2023 (including January 15th) PHAM positioned himself next to JIMENEZ while JIMENEZ was acting as a DP at Casino Dania Beach. During card games, JIMENEZ simply handed off chips to PHAM totaling approximately \$1250 in value. This partnership theft has resulted in both PHAM and JIMENEZ being Lifetime Excluded from the facility.</p> | | | <input type="checkbox"/> | Attachments | | History |
| Updated | 02/28/2023 12:37:07 | By | Imuniz | <input type="checkbox"/> | Work Note | | Print Report |

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|----------------------------|--|------------------------------|
| Office: PMW | Region: SOUTHERN | Date of Complaint: JANUARY 24, 2023 | Case Number: 2023 00 4357 |
| Respondent: PHAM, HUNG 3160 SW 17 TH STREET FORT LAUDERDALE, FLORIDA 33312 561-267-3291 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900 | |
| License # and Type: N/A | Profession: UNKNOWN | Report Date: JANUARY 30, 2023 | |
| Period of Investigation: JANUARY 20, 2023 TO JANUARY 30, 2023 | | Type of Report: FINAL | |
| <p>VIOLATION(S) / TITLE(S): 61D-11.005(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> <p>F.S.S. 550.0251 (6) Powers and duties of the Florida Gaming Control Commission. In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p> | | | |
| <p>Synopsis: PHAM engaged in a theft partnership with Card Tech Designated Player (DP) Yoan Perez Jimenez. On several instances during January 15, 2023, PHAM positioned himself next to Perez Jimenez while Perez Jimenez was acting as a DP at Casino Dania Beach. During card games, Perez Jimenez simply handed off chips to PHAM totaling approximately \$1250 in value. This partnership theft has resulted in both PHAM and Perez Jimenez being Lifetime Excluded from the facility.</p> | | | |
| <p>Related Case(s): PEREZ JIMENEZ 2023 00 5439</p> | | | |
| Investigator / Date | | Investigator Supervisor / Date | |
|  William Smith / January 30, 2023 | |  Bradford D. Jones / February 24, 2023 | |
| Chief of Investigations / Date | | | |
|  Bradford D. Jones for Steven E. Kogan / February 24, 2023 | | | |

CONTINUATION

Hung **PHAM** engaged in a theft partnership with Card Tech Designated Player (DP) Yoan Perez Jimenez. On multiple occasions during January 15, 2023, **PHAM** positioned himself next to Perteza Jimenez while Perez Jimenez was acting as a DP at Casino Dania Beach. During card games, Perez Jimenez simply handed off chips to **PHAM** totaling approximately \$1250 in value. The chips passed off to **PHAM** were capital provided to Perez Jimenez from his employer, Card Tech. Video surveillance recordings confirmed the theft(s). This partnership theft has resulted in both **PHAM** and Perez Jimenez being Lifetime Excluded from the facility (**EXHIBITS #1 & #2**).

Yoan Perez Jimenez was a "Designated Player" for Card Tech (CT) which is one of several organizations which finances players to operate on the organization's behalf. Designated Players are agents of the company they work for. This is a common relationship / activity in the state of Florida due to the prohibition of house (facility) backed table games. While acting as a Designated Player, Perez Jimenez would receive losing wagers and pay winning wagers on behalf of Card Tech using funds provided by Card Tech.

The facility Surveillance Report details the multiple theft events which occurred at four (4) different tables over the course of approximately six hours and thirty minutes. For simplicity of explanation, Perez Jimenez's primary technique of transfer was to shuffle and play with chips in his right hand. **PHAM** was positioned next to him. Perez Jimenez would periodically let go of the chips and **PHAM** would take possession of them from his left. This Investigator reviewed the surveillance recordings (**EXHIBIT #3**) and confirmed the theft activity.

Conclusion: As a result of their actions both **PHAM** and Perez Jimenez have been issued lifetime exclusions from the Casino@Dania Beach and are subject to exclusion from all pari-mutuel facilities in the state of Florida.

Status: Closed by Investigations and forwarded to Legal for review.

CONTINUATION

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I. INVESTIGATIVE REPORT COVERSHEET 1

II. INVESTIGATIVE REPORT 2

III. EXHIBITS

 1. Surveillance Report.....1-9

 2. Exclusions.....1-2

 3. Thumb Drive Video.....1-2

Muniz, Luz

From: Jones, Bradford
Sent: Friday, February 24, 2023 1:34 PM
To: Muniz, Luz
Cc: Kogan, Steven; Smith, William; Futrell, Michelle
Subject: RE: 2023 00 4357 - Hung, Pham - CARD
Attachments: PHAM, HUNG CHEAT DANIA 2023004357.doc

Hi Luz,

This report has been re-approved. Copies are in the usual places.



Bradford D. Jones
Investigations Supervisor
Division of Pari-Mutual Wagering

From: Muniz, Luz
Sent: Thursday, February 23, 2023 3:02 PM
To: Jones, Bradford
Cc: Kogan, Steven; Smith, William; Futrell, Michelle
Subject: RE: 2023 00 4357 - Hung, Pham - CARD

Hi Brad,

This case also need to be reviewed once again and re-sent to me as confirmation of your aproval, since is a related case to Case # 2023 00 5439 - Perez Jimenez, Juan and his name is included as part of the report.

Thank you so much!!!

Respectfully,



Luz E. Muniz

OPERATIONS ANALYST II - Phone: (954) 202-6773
Florida Gaming Control Commission

From: Muniz, Luz
Sent: Thursday, February 23, 2023 2:51 PM
To: Jones, Bradford <Bradford.Jones@fgcc.fl.gov>
Cc: Kogan, Steven <Steven.Kogan@fgcc.fl.gov>; Futrell, Michelle <Michelle.Futrell@fgcc.fl.gov>
Subject: RE: 2023 00 4357 - Hung, Pham - CARD

I see the Due date on this email once again on this email.

2023 00 4357 - Hung, Pham - CARD - Message

FILE MESSAGE ACROBAT

Ignore Delete Reply Reply All Forward Meeting More -

2023-01 (Jan) To Manager Done Create New

Team Email Reply & Delete

Move OneNote Actions -

Mark Unread Categorize Follow Up -

Tags -

Wed 2/22/2023 12:22 PM

Jones, Bradford

2023 00 4357 - Hung, Pham - CARD

To: Muniz, Luz

Cc: Kogan, Steven; Smith, William

Follow up. Start by Wednesday, February 22, 2023. Due by Wednesday, February 22, 2023.

Message PHAM, HUNG CHEAT DANIA 2023004357.doc (115 KB)

Completed.

PDF versions of the Investigative Report and all exhibits are in the investigative folder.

Theft By Patron – Forward to Legal



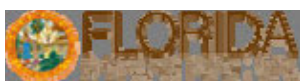
FLORIDA
GAMING CONTROL
COMMISSION

Bradford D. Jones
Investigations Supervisor
Division of Pari-Mutual Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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Respectfully,



Luz E. Muniz

OPERATIONS ANALYST II - Phone: (954) 202-6773

From: Jones, Bradford
Sent: Wednesday, February 22, 2023 12:22 PM
To: Muniz, Luz <Luz.Muniz@fgcc.fl.gov>
Cc: Kogan, Steven <Steven.Kogan@fgcc.fl.gov>; Smith, William <William.Smith@fgcc.fl.gov>
Subject: 2023 00 4357 - Hung, Pham - CARD

Completed.

PDF versions of the Investigative Report and all exhibits are in the investigative folder.

Theft By Patron – Forward to Legal



Bradford D. Jones
Investigations Supervisor
Division of Pari-Mutual Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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SIN-000021607 - Employee - Theft - Reported 01/18/2023 14:28

Author: Travis Schneider

Report Number: SIN-000021607

Start Time: 01/18/2023 14:28

End Time: 01/19/2023 12:20

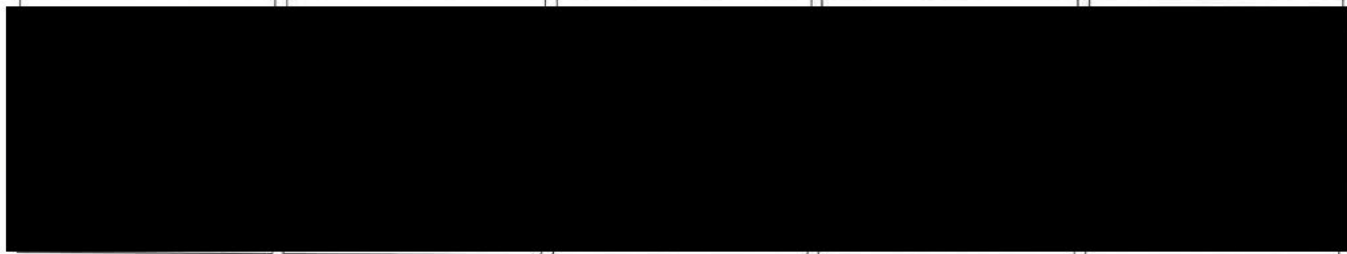
Report Group: Surveillance Reports

Report Type: Employee - Theft

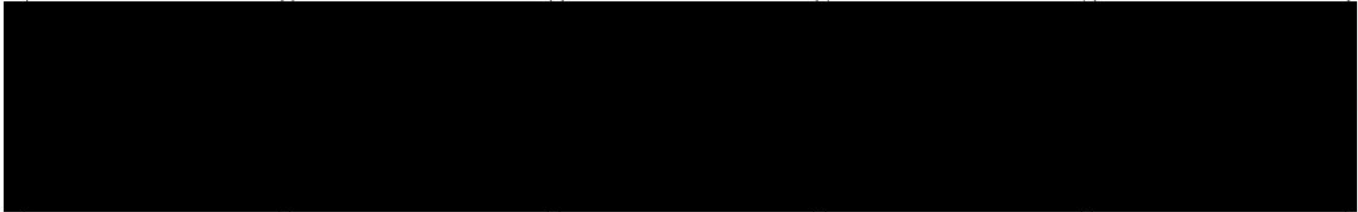
Note: Designated Player Poker Games on January 15th, 2023

Location / Origin: Poker Room

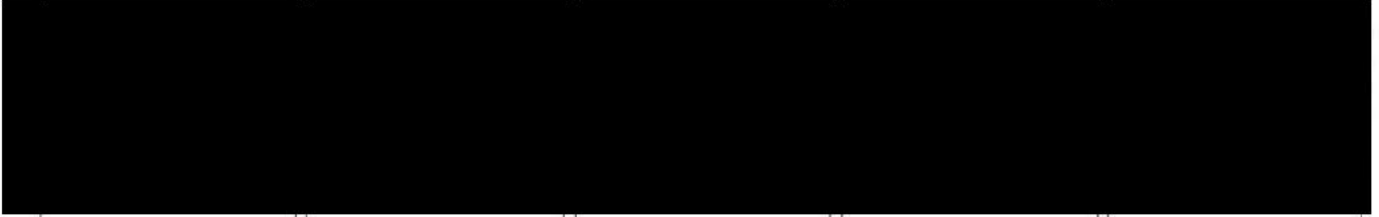
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| 21607.PNG | 22.PNG | 21.PNG | 20.PNG | 19.PNG |
|-----------|--------|--------|--------|--------|



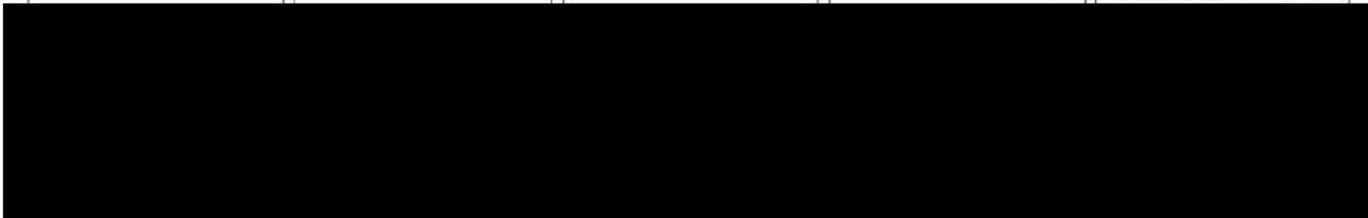
| | | | | |
|--------|--------|--------|--------|--------|
| 18.PNG | 17.PNG | 16.PNG | 15.PNG | 14.PNG |
|--------|--------|--------|--------|--------|



| | | | | |
|--------|--------|--------|--------|-------|
| 13.PNG | 12.PNG | 11.PNG | 10.PNG | 9.PNG |
|--------|--------|--------|--------|-------|



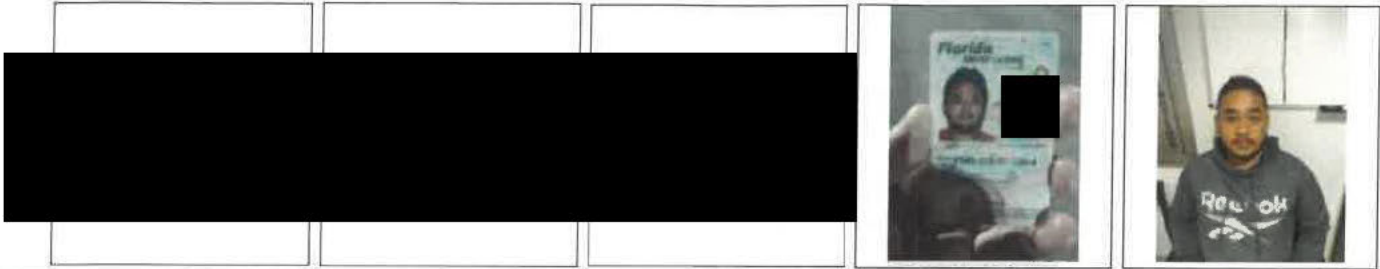
| | | | | |
|-------|-------|-------|-------|-------|
| 8.PNG | 7.PNG | 6.PNG | 5.PNG | 4.PNG |
|-------|-------|-------|-------|-------|



| | | | | |
|-------|-------|-------|-------------------|-------------------|
| 3.PNG | 2.PNG | 1.PNG | thumbnail_Hung Q. | thumbnail_Hung Q. |
|-------|-------|-------|-------------------|-------------------|

EXHIBIT #
PAGE #

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Narrative

Author: Travis Schneier

Created: 01/18/2023 14:28

On January 18th, 2023, at 13:44 hrs, Surveillance was notified of an incident at Poker Table #19 on gaming day, January 15th, of an unknown A/M, later identified as Hung Q Pham at seat # 5 and was given cheques from Designated Player(Yoan Perez Jimenez) at seat # 6.

Summary:

Yoan Perez Jimenez, throughout the time at each table, constantly played with the cheques in his hand after he would place the cheques between himself and Hung Q Pham. Pham had bought in at the Poker Cage for \$200 in cash for cheques. Pham left table # 17 \$1,200 to table #19, where Pham left with \$1,605 in cheques. Pham bought in for \$100 at Poker Cage before heading to The Grill dining area to eat. After Pham ate at The Grill, he arrived at the Poker Room, began wagering at table # 22, and left Poker Table # 22 with \$1,250. Jimenez and Pham conducted the same scam throughout the night until Pham left at 23:00.

The video revealed the following:

- At 11:49:04 hrs, Hung Q Pham entered the Fronton Entrance.
- At 11:59:36 hrs, Pham bought in at the Poker Cage for \$200 cash for cheques.
- At 11:59:56 hrs, Pham was observed leaving Poker Cage and entering the Poker room.
- At 12:00:12 hrs, Pham was observed approaching and speaking to the Designated Player (Yoan Perez Jimenez) at Poker Table # 17 in seat # 6.

Table 17

- At 12:01:50 hrs, Poker Dealer Felix Alberto De Jesus was observed arriving at Deal at Poker Table # 17.
- At 12:01:55 hrs, Pham at Poker Table # 17, seat 6, was observed continually speaking to the Designated Player(Yoan Perez Jimenez) while seated at the table.
- At 12:03:03 hrs, the Designated Player was observed motioning with his right hand for Pham to place his wagering bets and where to place them.
- At 12:03:10 hrs, Pham was observed placing bets on Poker Table # 17, seat 6.
- At 12:03:19 hrs, Poker hands begin.

Note: the Designated Player(Yoan Perez Jimenez) was observed trying to slide over an undisclosed amount of green cheques to Hung Q Pham at Poker Table # 17, seat 6.

- At 12:11:38 hrs, the Designated Player was observed tossing 4 green cheque totaling \$100.00 to Pham after the poker hand was completed.
- At 12:13:31 hrs, Pham, on Poker Table # 17, seat 6, was observed handing the Designated Player 20 red cheques totaling \$100.00.
- At 12:13:45 hrs, the Designated Player was observed handing Pham an undisclosed amount of green cheques on Poker Table # 17, seat 6.
 - undisclosed amount appears clearly over \$100.00 in green cheques.
- At 12:18:47 hrs, Pham was observed handing the Designated Player 3 green cheques totaling \$75.00 at Poker Table \$ 17 seat 6.
- At 12:19:03 hrs, Designated Player was observed handing Pham 20 red cheques totaling \$100.00 and 2 green cheques totaling \$50.00 for a combined total of \$150.00 on Poker Table # 17, seat 6.
 - the Designated played gave Pham an extra \$75.00 in cheques.
- At 12:29:44 hrs, Poker Dealer Ricardo Hernandez was observed arriving at Deal at Poker Table # 17.
- At 12:30:04 hrs, Pham was observed handing the Designated Player 16 red cheques totaling \$80.00 at Poker Table \$ 17 seat 6.
- At 12:30:28 hrs, Designated Player was observed handing Pham 6 green cheques totaling \$150.00 on Poker Table

EXHIBIT #
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17, seat 6.

- the Designated Player gave Pham an extra \$ 70.00 in cheques.
- At 12:35:59 hrs, Pham was observed handing the Designated Player 4 green cheques totaling \$100.00 at Poker Table \$ 17 seat 6.
- At 12:36:26 hrs, Designated Player was observed handing Pham 15 red cheques totaling \$75.00 and 3 green cheques totaling \$75.00 for a combined total of \$150.00 on Poker Table # 17, seat 6.
 - the Designated Player gave Pham an extra \$50.00 in cheques.
- At 12:50:17 hrs, Pham was observed handing the Designated Player 4 green cheques totaling \$100.00 at Poker Table \$ 17 seat 6.
- At 12:50:32 hrs, Designated Player was observed handing Pham 20 red cheques totaling \$100.00 and 4 green cheques totaling \$100.00 for a combined total of \$200.00 on Poker Table # 17, seat 6.
 - the Designated Player gave Pham an extra \$100.00 in cheques.
- At 12:54:07 hrs, Pham was observed handing the Designated Player 3 green cheques totaling \$75.00 at Poker Table \$ 17 seat 6.
- At 12:54:20 hrs, Designated Player was observed handing Pham 15 red cheques totaling \$75.00 and 4 green cheques totaling \$100.00 for a combined total of \$175.00 on Poker Table # 17, seat 6.
 - the Designated Player gave Pham an extra \$100.00.
- At 13:00:00 hrs, Poker Dealer Barbara Hausman was observed arriving at Deal at Poker Table # 17.
- At 13:05:50 hrs, Designated Player was observed purposely spilling a complete stack of greens (20 green cheques totaling \$500.00) and pushing an undisclosed amount to Pham on Poker Table # 17, seat 6.
- At 13:05:56 hrs, Pham was observed retrieving an undisclosed amount of green cheques from Designated Player on Poker Table # 17, seat 6. The cheques were passed between the designated player and Pham.

Note: the undisclosed amount of cheques appear to be between \$200.00 to \$300.00. Between

- At 13:16:20 hrs, Designated Player was observed counting Pham green cheques on Poker Table # 17, seat 6, for \$550.00.
- At 13:30:29 hrs, Poker Dealer Anthony Larocca was observed arriving at Deal at Poker Table # 17.
- At 13:34:14 hrs, Anthony Larocca was observed tossing three red cheques totaling \$15.00 to the Designated player on Poker Table # 17.
- At 13:34:15, Pham was observed sliding the 3 red cheques over to the Designated player on Poker Table # 17, seat 6.
- At 13:34:20 hrs, Designated Player was observed retrieving the 3 red cheques and then placing them on top of Pham bet on Poker Table # 17, seat 6.
- At 13:34:23 hrs, Pham was observed retrieving the 3 red cheques from the top of the bet and combining them with his cheques on Poker Table # 17, seat 6.
- At 13:37:17 hrs, Designated Player was observed placing 3 green cheques totaling \$75.00 directly in front of Pham on Poker Table # 17, seat 6.
- At 13:37:30 hrs, Pham was observed retrieving the 3 green cheques and combining them with his cheques at Poker Table # 17, seat 6.
- At 13:40:03 hrs, Designated Player was observed taking Pair Plus and Tripp's bets off-hand and tossing the 2 red cheques toward Pham on Poker Table # 17, seat 6.
- At 13:46:46 hrs, Pham was observed leaving Poker Table # 17, seat 6 Simulcast, outside the balcony.
- At 13:47:52 hrs, Pham was observed on Simulcast outside the balcony.
- At 13:48:35 hrs, Designated Player was observed adding an undisclosed amount of green cheques to Pham cheques while the patron was away at Poker Table # 17, seat 6.
- At 13:49:31 hrs, Pham was observed leaving Simulcast outside balcony and walking towards Poker room Poker Table # 17.
- At 13:50:41 hrs, Pham was observed on Poker Table # 17, seat 6.
- At 13:58:56 hrs, Pham was observed handing the Designated Player 4 green cheques totaling \$100.00 at Poker Table \$ 17, seat 6.
- At 13:59:25 hrs, Designated Player was observed handing Pham 20 red cheques totaling \$100.00 and 4 green cheques totaling \$100.00 for a combined total of \$200.00 on Poker Table # 17, seat 6.
 - the Designated Player gave Pham an extra \$100.00.
- At 13:59:46 hrs, Poker Dealer Nathan Mann was observed arriving at Deal at Poker Table # 17.
- At 14:04:38 hrs, Designated Player was observed purposely spilling a stack of greens and pushing an undisclosed amount to Pham on Poker Table # 17, seat 6.
- At 14:04:46 hrs, Pham was observed retrieving an undisclosed amount of green cheques from Designated Player on Poker Table # 17, seat 6.
- At 14:09:34 hrs, Pham was observed handing the Designated Player 4 green cheques totaling \$100.00 at Poker Table \$ 17 seat 6.
- At 14:09:42 hrs, Designated Player was observed handing Pham 20 red cheques totaling \$100.00 and 4 green cheques totaling \$100.00 for a combined total of \$200.00 on Poker Table # 17, seat 6.

EXHIBIT # 1
PAGE # 258 3

- At 14:15:30 hrs, Pham on Poker Table # 17, seat 6 was observed handing the Designated Player 20 red cheques totaling \$100.00.
- At 14:15:45 hrs, Designated Player was observed handing Pham 8 green cheques totaling \$200.00 on Poker Table # 17, seat 6.
- At 14:17:32 hrs, Designated Player was observed leaving Poker Table # 17 to Poker Table # 19.
- At 14:24:07 hrs, Pham was observed leaving Poker Table # 17, seat 6, with \$1,200.00 in cheques and walking towards Poker Table # 19.

Table 19

- At 14:17:57 hrs, the Designated player was observed sitting at Poker Table # 19, seat # 7.
- At 14:24:20 hrs, Pham was observed placing bets on Poker Table # 19, seat 6, with \$1,200 in cheques.
- At 14:30:07 hrs, Poker Dealer Barbara Hausman was observed arriving at Deal at Poker Table # 19.
- At 14:40:56 hrs, Designated Player was observed handing Pham 4 green cheques totaling \$100.00 on Poker Table # 19, seat 6.
- At 14:40:58 hrs, Pham was observed retrieving 4 green cheques from Designated Player on Poker Table # 19, seat 6.
- At 14:40:58 hrs, Pham takes the cheques the designated player placed next to him.
- At 14:46:08 hrs, Designated Player was observed handing Pham 8 green cheques totaling \$200.00 on Poker Table # 19, seat 6, between him and Pham.
- At 14:46:10 hrs, Pham was observed retrieving 8 green cheques from Designated Player on Poker Table # 19, seat 6, that the designated player placed next to him.
- At 14:59:17 hrs, Designated Player set approximately \$150 in green cheques between him and Pham.
- At 14:59:26 hrs, Pham takes the cheques the designated player placed next to him.
- At 15:30:00 hrs, the Designated Player set an undisclosed amount of green cheques between him and Pham.
- At 15:30:26 hrs, the Designated Player slightly pushes the greens cheques toward Pham.
- At 15:30:47 hrs, the Designated Player takes back the undisclosed cheques.
- At 15:31:42 hrs, the Designated Player set approximately \$250 in green cheques between him and Pham.
- At 15:32:05 hrs, Pham takes the cheques the designated player placed next to him.
- At 15:33:34 hrs, Pham was observed handing the Designated Player 4 green cheques totaling \$100.00 at Poker Table # 19 seat 6.
- At 15:33:46 hrs, Designated Player was observed handing Pham 20 red cheques totaling \$100.00 and 2 green cheques totaling \$50.00 for a combined total of \$150.00 on Poker Table # 19, seat 6.
- At 15:38:48 hrs, the Designated Player set approximately \$300 in green cheques between him and Pham.
- At 15:39:30 hrs, the Designated Player knocked over the green cheques toward Pham.
- At 15:39:32 hrs, Pham takes an undisclosed amount of cheques the designated player knocked over.
- At 15:42:26 hrs, the Designated Player set approximately \$200 green cheques between him and Pham.
- At 15:42:36 hrs, Pham takes the cheques placed by the Designated Player.
- At 15:49:23 hrs, the Designated Player set approximately \$200 in green cheques between him and Pham.
- At 15:50:10 hrs, Pham takes the cheques placed by the Designated Player.
- At 15:55:12 hrs, the Designated Player set approximately \$400 in green cheques between him and Pham.
- At 15:55:17 hrs, Pham takes the cheques placed by the Designated Player.
- At 16:06:10 hrs, the Designated Player left Poker Table # 19.
- At 16:12:08 hrs, Pham Poker Table # 19 with \$1,605 in cheques.
- At 16:12:57 hrs, Pham redeemed \$1,605 cheques for cash from Cashier Jaseminse Myles at the Poker Cage.
- At 16:23:01 hrs, Pham buys \$200 in red cheques at the Poker Cage at walks to the Poker Floor.
 - Pham speaks with Jimenez while working as the Designated Player at Table #17 (the seat next to Jimenez is occupied). The A/M exits the Poker Room with his cheques.
- At 16:30:57 hrs, Pham begins play at Table #19.
- At 16:51:26 hrs, Pham buys \$100 in red cheques at the Poker Cage.
- At 16:55:40 hrs, the Designated Player was observed sitting in the Grill dining area.
- At 16:56:01 hrs, Pham was observed walking past the Grill to the Fronton restroom.
- At 16:56:09 hrs, Pham was observed entering the Fronton restroom.
- At 16:56:28 hrs, the Designated Player was observed entering the Fronton restroom.
- At 16:57:33 hrs, the Designated Player was observed exiting Fronton restroom.
- At 16:58:19 hrs, Pham was observed exiting the Fronton restroom.

Table 22 / Table 4

- At 17:03:52 hrs, Pham sits at Seat 5 at Table #22 and begins play.
- At 17:07:31 hrs, Pham ends play at Table #22 and walk to Table #4 and begins play.
- At 17:50:53 hrs, Pham gets up from Table #4

Table 17

- At 17:52:40 hrs, Pham sits at Seat 5 at Table #17.
- At 17:53:19 hrs, Pham sits at Seat 6.

Table 22

- At 18:00:00 hrs, the Designated Player was observed at Poker Table # 22.
- At 18:14:13 hrs, Pham was observed at Poker Table # 22, seat 6.
- At 18:16:30 hrs, Pham observed a buy-in for \$200.00 at Poker Table # 22.
- At 18:17:04 hrs, the Designated Player set approximately \$400 in green cheques between him and Pham.
- At 18:17:06 hrs, Pham takes the cheques placed by the Designated Player at Poker Table # 22, seat 6.
- At 18:17:59 hrs, Pham placed the \$400 in cheques inside his pocket.
- At 18:24:57 hrs, the Designated Player set approximately \$400 in green cheques between seats # 5 and # 6.
- At 18:25:05 hrs, Pham takes the cheques placed by the Designated Player.
- At 18:30:53 hrs, Designated Players left Poker Table # 22.

At 18:47:29 hrs, Designated Player left Poker Table # 22 with approximately \$1,250.

Person

Name: Hung Q Pham

Alias:

Race: Asian

Gender: Male

Eye color: Brown

Hair color: Black

Height: 5'02" Inches

Weight: 165 Pounds

DOB: [REDACTED]

Keywords: Ejection, Permanent

Comments: Hung Q Pham was permanently excluded from The Casino @ Dania beach per Upper Management.

Hung Q Pham.jpg



Hung Q Pham..jpg



Signature A

Date

Signature B

Date

EXHIBIT #
PAGE #

260

EXC-000001142 - Patron - Permanent Security Exclusion - Reported 01/20/2023 22:25

Author: Ivan Carrero

Report Number: EXC-000001142

Start Time: 01/20/2023 22:25

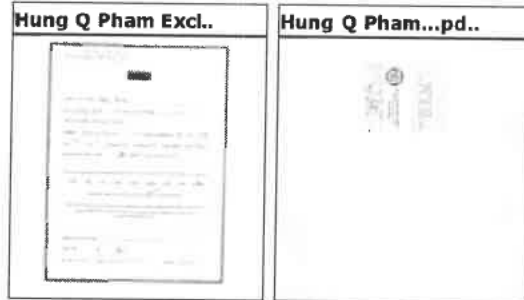
End Time: 01/21/2023 00:48

Report Group: Exclusion Reports

Report Type: Patron - Permanent Security Exclusion

Note: Hung Q Pham was permanently excluded from The Casino @ Dania beach per Upper Management.

Location / Origin: Poker Room



Narrative

Author: Ivan Carrero

Created: 01/21/2023 00:08

On Friday January at approximately 8:20pm Poker Supervisor Christopher Spencer advised Security Supervisor Ivan Carrero that the male patron now identified as Hung Q Pham that upper management wants permanently excluded was in the poker room. S/S Carrero advised Surveillance that I will be approaching Mr. Pham so that they can have visual. S/S Carrero advised Mr. Pham that he was being permanently excluded per upper management, and escorted him to the security office. S/S Carrero requested BSO to meet us at the security office. Mr. Pham was advised not to return to the property and a picture of his ID was taken. S/S Carrero escorted Mr. Pham to his car the he proceeded to leave the property. Nothing further to report at this time.

Person

Name: Hung Q Pham

Alias:

Race: Asian

Gender: Male

Eye color: Brown

Hair color: Black

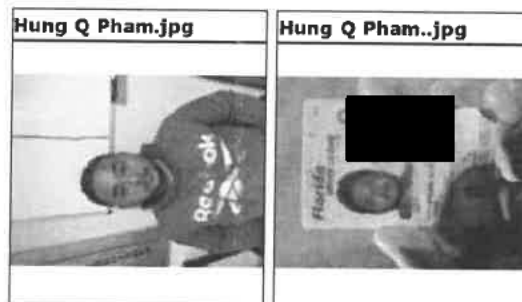
Height: 5'02" Inches

Weight: 165 Pounds

DOB: [REDACTED]

Keywords: Ejection, Permanent

Comments: Hung Q Pham was permanently excluded from The Casino @ Dania beach per Upper Management.



EXC-000001144 - Patron - Permanent Security Exclusion - Reported 01/23/2023 11:10

Author: Jean Maxineau

Report Number: EXC-000001144

Start Time: 01/23/2023 11:10

End Time: 01/23/2023 11:13

Report Group: Exclusion Reports

Report Type: Patron - Permanent Security Exclusion

Note: Yoan Perez Jimenez was caught cheating and passing chips to his accomplice Hung Q Pham

Location / Origin: Poker Room



Narrative

Author: Jean Maxineau

Created: 01/23/2023 11:10

On Thursday, January 19, 2023 at approximately 12:59 pm, a permanent banned was issued against former poker room banker Yoan Perez Jimenez as per upper management decision . Mr. Perez engaged in several poker chip theft while working for card tech company with an accomplice identified as Hung Q Pham. Mr. Perez was observed on multiple occasions, exchanging and passing poker chips to Mr. Pham using different techniques. Mr. Pham would immediately concealed and placed those given chips in his pockets. As a result of the investigation, Yoan Perez Jimenez, along with his accomplice Hung Q Pham, is permanently banned from the casino at Dania Beach. All the relevant document are attached to this report. Nothing further to report at this moment.

Mr. Yoan Perez Jimenez physical address is listed as followed:
228 W 15th Street
Hialeah, FL 33010

Person

Name: Yoan Perez

Alias:

Gender: Male

DOB: [REDACTED]

Keywords: Ejection, Patron, Theft



EXHIBIT # 1
PAGE # 7

Signature A

Date

Signature B

Date



EXHIBIT # 8
PAGE #

Cardroom Tech Employee



| | |
|--------------------|-------------|
| Name: | Perez, Yoan |
| Hire Date: | 8/17/22 |
| Position: | Dp |
| Box Access: | No |

THE CASINO @
DANIA BEACH

Exclusion

NAME / LAST NAME: Hung Pham

D.O.B. [REDACTED] PLAYER'S CLUB NUMBER N/A

PHONE NUMBER [REDACTED]

ADDRESS: 3160 SW 17th St CITY: Fort Lauderdale ST: FL ZIP: 33312

RACE: Asian SEX: M HEIGHT: 5'2 WEIGHT: 165 HAIR: Black EYES: Blond

REASON FOR EXCLUSION: per Upper Management

The above-named person was advised to stay off THE CASINO @ DANIA BEACH property for a period of:

24 Hrs 3 Days 7 Days 30 Days 3 Months 6 Months 1 Year 2 Year Permanent

Notification was given on Friday January 20, 2023 at 9:00pm hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes.

SUBJECT'S SIGNATURE: [Signature]

ARRESTED: YES NO

SECURITY AGENT: [Signature] DATE: 1/20/23

EXHIBIT # 1
PAGE # 1

THE CASINO @
DANIA BEACH

Exclusion

NAME / LAST NAME: YOAN PEREZ JIMENE

D.O.B. [REDACTED] PLAYER'S CLUB NUMBER _____

PHONE NUMBER _____

ADDRESS: 228 W 15th Street CITY: Hialeah ST: FL ZIP: 33010

RACE: Hispanic SEX: M HEIGHT: N/A WEIGHT: N/A HAIR: BLACK EYES: BRO

REASON FOR EXCLUSION: Cheating / Theft (Fired by Card Tech)

The above-named person was advised to stay off THE CASINO @ DANIA BEACH property for a period of:

- 24 Hrs
- 3 Days
- 7 Days
- 30 Days
- 3 Months
- 6 Months
- 1 Year
- 2 Year
- Permanent

Notification was given on 01/19/2023 at 12:59 hours.

If the above-named person returns onto said property during the stated period of time, it may result in his/her ARREST for Criminal Trespass, in accordance with the applicable provisions of Chapter 810 Florida Statutes.

SUBJECT'S SIGNATURE: X did not sign

ARRESTED: YES NO

SECURITY AGENT: Jean Maxineau DATE: 01-19-2023

EXHIBIT # 2
PAGE # 2



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT

Complaint # 2023 004357 2023005439 Date 1/30/23 Lab # _____

| Item No. | Quantity | Description |
|----------|----------|----------------------------|
| 1 | 1 | Thumb Drive - A theft team |
| | | consisting of |
| | | Youn Perez Jimenez and |
| | | Hung Pham |
| | | 2 cases |
| | | Hung Pham 2023004357 |
| | | Perez Jimenez |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____
Date

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT # 3
PAGE # 1

Evidence Receipt

Date: 01/25/2023 M J
Time: 12:14

Received By: William Smith Title: Investigator FGCC

Signature: [Signature]

Description: USB & Paperwork

Supervisor: [Signature] Employee #: 2121

EXHIBIT #
PAGE #

Evidence Receipt

Date : _____
Time: _____

Received By: _____ Title: _____

Signature: _____

Description: _____

Supervisor: _____ Employee #: _____

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

[VR Home](#) | [Complaint Search](#) | [Maintain Complaint](#)

| | | | | | |
|-------------|-------------------------------------|-------------------|-------------------------------|--------------------------------|---------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 20 Under Investigation | Status Date | 01/24/2023 |
| Complaint # | 2023004357 | Case Type | CMP Complaint | Disposition | Disposition Date |
| Docket# | Respondent | HUNG, PHAM | Responsible | wsmith - SMITH, WILLIAM | Private Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|----------------|---|----------------|-------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Classification | V-C - Cardroom Violations | Complexity | R Regular | <input type="checkbox"/> Violation | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 01/15/2023 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 01/24/2023 | <input type="checkbox"/> Inspection | |
| Reference | 61D-11.005(4)(a-c) & 550.0251 | | | | |
| Entered | 01/24/2023 | Entered By | Imuniz | <input type="checkbox"/> Costs | |
| Summary | <p>274-Dania Entertainment Center, LLC ----- / ----- . Prohibitions / Powers and duties of the Florida Gaming Control Commission.: PHAM engaged in a theft partnership with CARD TECH Designated Player (DP) YOAN PEREZ JIMENEZ. On various dates in January, 2023 (including January 15th) PHAM positioned himself next to JIMENEZ while JIMENEZ was acting as a DP at Casino Dania Beach. During card games, JIMENEZ simply handed off chips to PHAM totaling approximately \$1250 in value. This partnership theft has resulted in both PHAM and JIMENEZ being Lifetime Excluded from the facility.</p> | | | | |
| Updated | 01/24/2023 16:09:54 | By | Imuniz | <input type="checkbox"/> Time Tracking | <input type="text" value="Auto Assign"/> |
| | | | | <input type="checkbox"/> Attachments | <input type="text" value="History"/> |
| | | | | <input type="checkbox"/> Work Notes | <input type="text" value="Print Report"/> |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

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**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: JANUARY 15, 2023

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: DANIA ENTERTAINMENT CENTER, LLC **LIC #:** 274

RESPONDENT

| | | |
|---|-----------------------|--------------------------|
| NAME: Pham, Hung | | |
| Address: 3160 SW 17 TH Street Fort Lauderdale, FL 33312 | | Tel: [REDACTED] |
| LIC #: N / A | LIC TYPE: 1098 | OCCUPATION: N / A |

COMPLAINANT

| | | |
|-----------------|------------------|--------------------|
| NAME: | | Tel #: |
| Address: | | |
| LIC #: | LIC TYPE: | OCCUPATION: |

VIOLATION(S) / TITLE(S): 61D-11.005(4) Prohibitions

No person shall, either directly or indirectly:

- (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
- (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
- (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

F.S.S. 550.0251 (6) Powers and duties of the Florida Gaming Control Commission –

(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permit holder to exclude absolutely a patron in this state.

DESCRIPTION: PHAM engaged in a theft partnership with CARD TECH Designated Player (DP) YOAN PEREZ JIMENEZ. On various dates in January, 2023 (including January 15th) PHAM positioned himself next to JIMENEZ while JIMENEZ was acting as a DP at Casino Dania Beach. During card games, JIMENEZ simply handed off chips to PHAM totaling approximately \$1250 in value. This partnership theft has resulted in both PHAM and JIMENEZ being Lifetime Excluded from the facility.

CASE DETAILS FILED BY: William J. Smith

Chief Inspector Judge/Steward Investigator Other:

Investigator Specialist II _____

William J. Smith
(Print Name)

(Signature)

(Title of State Employee)

01-23-23

(Date)

Muniz, Luz

From: Jones, Bradford
Sent: Tuesday, January 24, 2023 2:57 PM
To: Muniz, Luz
Cc: Kogan, Steven; Smith, William
Subject: OPEN CASE REQUEST
Attachments: FGCC OPEN CASE REQUEST PHAM-DANIA EXCLUDED CARD CHEAT.docx

Hello Luz,

This is approved. Please open a case and assign it to Bill Smith.

Thank you!



Bradford D. Jones

Investigations Supervisor
Division of Pari-Mutual Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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| \$ | |
| Extra Services & Fees (check box, add fee as appropriate) | |
| <input type="checkbox"/> Return Receipt (hardcopy) | \$ _____ |
| <input type="checkbox"/> Return Receipt (electronic) | \$ _____ |
| <input type="checkbox"/> Certified Mail Restricted Delivery | \$ _____ |
| <input type="checkbox"/> Adult Signature Required | \$ _____ |
| <input type="checkbox"/> Adult Signature Restricted Delivery | \$ _____ |
| Postage | |

3/9/23
Postmark
Here

Pham Hung
3160 Southwest 17th Street Ste. 165
Fort Lauderdale, FL 33312 272
AC - 2023004357 - PMW

Tracking Number:

Remove X

70202450000050964879

Copy

Add to Informed Delivery (<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to an individual at the address at 4:53 pm on May 17, 2023 in FORT LAUDERDALE, FL 33312.

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Delivered

Delivered, Left with Individual

FORT LAUDERDALE, FL 33312

May 17, 2023, 4:53 pm

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Product Information



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FAQs

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Michelle Celeste Valdivia Palacios No. 2023-014183;
Default Final Order
Date: September 25, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Michelle Celeste Valdivia Palacios (“Respondent”) from all pari-mutuels in the state of Florida. By failing to respond to the properly served administrative complaint seeking to exclude her, Respondent waived her right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuels in the state of Florida.

Background

On February 22, 2023, Respondent was working as a cardroom cocktail waitress at St. Petersburg Kennel Club, Inc.¹ Respondent was seen transferring patron’s meals and meals for herself to a private prime comp check² to be paid by the facility, which is against company policy. Following the discovery of the incident and questioning by Division investigators and facility supervisors, Respondent voluntarily resigned from St. Petersburg Kennel Club, Inc. The facility did not take any further action.

Based on these actions, the Division served Respondent with an administrative complaint seeking her exclusion from all pari-mutuel facilities in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on June 30, 2023, which means the Respondent had until July 21, 2023, to respond. She has never responded.

¹ St. Petersburg Kennel Club, Inc. is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel and cardroom license.

² The private comp check is used to cover complimentary items to encourage patrons to continue to gamble. Employees are not permitted to use the private comp check to cover their own meals.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission.” Rule 75-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.” St. Petersburg Kennel Club, Inc. is both a pari-mutuel facility and cardroom operator in this state. Respondent engaged in an activity that defrauded the cardroom operator. Accordingly, Respondent can be excluded from all pari-mutuel facilities in this state.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude her from all pari-mutuel and slot machine facilities in this state, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Michelle Celeste Valdivia Palacios from all pari-mutuels in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-014183

MICHELLE CELESTE VALDIVIA PALACIOS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Michelle Celeste Valdivia Palacios (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, St. Petersburg Kennel Club, Inc. was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom operations in the State of Florida.
3. At all times material hereto, Respondent held a Pari-Mutuel Wagering General Individual Occupational License, number 11941317-1022, issued by Petitioner.
4. On or about February 22, 2023, Respondent was employed as a Cardroom Cocktail Waitress at St. Petersburg Kennel Club, Inc.

5. On or about February 22, 2023, Respondent was seen transferring patron's meals and meals for herself to a private prime comp check to be paid by the facility, which is against company policy.

6. Following the discovery of the incident and questioning by investigations, Respondent voluntarily resigned from St. Petersburg Kennel Club, Inc.

COUNT I

7. Petitioner realleges and incorporates the allegations contained within paragraphs one through six as though fully set forth herein.

8. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

9. Based on the foregoing, Respondent violated rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator.

COUNT II

10. Petitioner realleges and incorporates the allegations contained within paragraphs one through six as though fully set forth herein.

11. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

12. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities in the state of Florida under section 550.0251(6), Florida Statutes, based on her violation of rule 61D-11.005(4), Florida Administrative Code.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities in the state of Florida, and any other remedy provided by chapters 550 and 849, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-014183 is signed this 23rd day of June, 2023.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
 Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmelvai**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|---|--|------------------------|---------------------------------|---------------------|
| Lic Type | 1022 - Pari-Mutuel General Individual Occupational | Status | 90 Closed | Status Date | 04/23/2023 |
| Complaint # | 2023014183 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | VALDIVIA PALACIOS, MICHELLE CELESTE | Responsible | bjones - JONES, BRADFORD | Private Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|---|----------------|--------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 03/01/2023 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 03/13/2023 | <input type="checkbox"/> Inspection | |
| Reference | 550.0251 & 61D-11.005 | | | <input type="checkbox"/> Costs | |
| Entered | 03/13/2023 | Entered By | icampbell | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | 151 - St. Petersburg Kennel Club, Inc. - 61D-11.005 (Prohibitions): On March 1, 2023, Compliance Officer Adam Justin HLAS at ST. PETERSBURG KENNEL CLUB (DERBY LANE) informed the division via E-mail that Michelle Celeste Valdivia PALACIOUS a cardroom cocktail waitress resigned after being questioned for theft. | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 05/03/2023 08:39:13 | By | nmelvai | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | | | | |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| 51 Days | <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

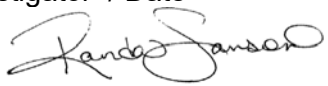
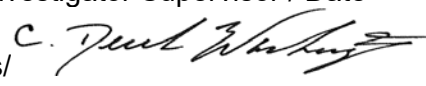

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|---------------------------|---|---------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: MARCH 13, 2023 | Case Number: 2023 01 4183 |
| Respondent: PALACIOUS, MICHELLE CELESTE VALDIVIA 6460 50th AVE NORTH ST. PETERSBURG, FLORIDA 337098 TEL# [REDACTED] | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: 11941317 / 1022 | | Profession: WAITRESS | Report Date: MARCH 16, 2023 |
| Period of Investigation: MARCH 1, 2023 – MARCH 16, 2023 | | Type of Report: FINAL | |
| <p>Alleged Violation: 550.0251 Powers and duties of the Florida Gaming Control Commission. — The commission shall administer this chapter and regulate the pari-mutuel industry under this chapter and the rules adopted pursuant thereto, and</p> <p>(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p> <p>75-11.005 Prohibitions. - (4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> | | | |
| <p>Synopsis: On March 1, 2023, Compliance Officer Adam Justin Hlas at the St. Petersburg Kennel Club (Derby Lane) informed the Division via E-mail that Michelle Celeste Valdivia PALACIOUS, a Cardroom Cocktail Waitress, resigned after being questioned in connection with theft (EXHIBIT #2).</p> | | | |
| Related Case: | | | |
| Investigator / Date /s/  Randa Samson / March 16, 2023 | | Investigator Supervisor / Date /s/  C. Derek Washington / April 5, 2023 | |
| Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / April 23, 2023 | | | |

CONTINUATION

On March 1, 2023, Compliance Officer Adam Justin Hlas (PMW LIC# 79803160) at the St. Petersburg Kennel Club (Derby Lane) informed the Division via E-mail that Michelle Celeste Valdivia **PALACIOUS** (PMW LIC# 11941317) a Cardroom Cocktail Waitress, resigned after being questioned and investigated for theft (**EXHIBIT #2**).

On March 3, 2023, at approximately 6:03 PM, I interviewed **PALACIOUS** via cell phone. **PALACIOUS** stated that she was brought into the office with Poker Room Manager Jason Christopher Hoggatt (PMW LIC #7777471) and Gaming Office Manager Janet Leigh Kiefer (PMW LIC#12478649) and offered two options; either resign immediately or wait another week until the **ST. PETERSBURG KENNEL CLUB (DERBY LANE)** completed its investigation which would likely result in her termination. **PALACIOUS** stated she knew why she was being investigated and was “fed up”, so she voluntarily resigned.

PALACIOUS went on to describe her activities which led to the investigation. She stated that when a player pays their bill, she transfers his/her food bill to a “private prime comp check,” and when the floor person comps the whole check out at the end of the night, **PALACIOUS** then pockets the money instead of putting the money in the register. **PALACIOUS** said she also comps her own food and takes it home at the end of her shift without paying for it (**EXHIBITS #3 & #4**).

On March 7, 2023, Hlas sent me copies of the related the related Audit Reports via E-Mail (**EXHIBIT #3**). After careful review of the Audit Reports and the facility surveillance video, I confirmed that on February 22, 2023, **PALACIOUS**, on multiple occasions, took money from various patrons and then comped their food on the “private prime comp check” while also comping food for her own consumption which is against Derby Lane’s policy that requires all employees to pay for their food.

St. Petersburg Kennel Club (Derby Lane) has indicated the facility will not pursue this matter criminally due to **PALACIOUS’** voluntary resignation. They have however trespassed her from the facility.

Case Status: Closed by Investigations and forwarded to Legal for review.

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| | | | | | | | | | |
|---------|---|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | x | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|---|--------|-------------|---------|------|------|------------|-------------|--------|

[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10** of **Pari-Mutuel Wagering**

Logged in as: **icampbell**

[VR Home](#) |
 [Entity Search](#) >
 [Maintain Complaint](#) >
 [License Home](#)

| | | | |
|----------------|------------|---|------------------------------|
| License | | | |
| Fed Tax # | Lic Type | 1022 - PMW General Individual Occupational | Expires On 06/30/2024 |
| File # | Name | VALDIVIA PALACIOS, MICHELLE CELESTE | Extended To |
| License # | Rank | GIND - General Individual Occupational | Renewed On |
| Entity # | Lic Status | Current | |

- Licensee
- History
- Notes
- Notes History
- Back

| | | | |
|----------------|------------------------------|-------|--------------|
| Address | | | |
| Street # | Street 50TH AVE NORTH | | |
| Line 2 | | | |
| Line 3 | | | |
| City | PETERSBURG | State | FL |
| | | Zip | 33709 |
| | Routing | | |

| | | | |
|------------------|-------------------|------------------|--|
| Other | | | |
| 1st License Date | 06/11/2021 | Rank Date | |
| Method | I-S-1020 | Status Date | |
| Fee Exempt | No | Birth Date | |
| | | Certificate # | |
| | | Certificate Date | |
| | | Renewal Sent | |

| | | | |
|---------------|----------------------|--|--|
| Select | | | |
| Action | <input type="text"/> | | |

| Modifiers | | | |
|----------------------------------|--|----------------|-----------------|
| <input type="button" value="X"/> | | | |
| Type | Modifier | Effective Date | Additional Info |
| C | FOOD - Food Service | 06/11/2021 | |
| I | GHND - Greyhound | 06/11/2021 | |
| L | 151 - St. Petersburg Kennel Club, Inc. | 06/11/2021 | |
| Y | 3YR - 3 Year License | | |

| | |
|-----------------|------------------------------|
| Alt Keys | BEST LIC NBR 11941317 |
|-----------------|------------------------------|

[Get Adobe Reader.](#)

Samson, Randa

From: Adam Hlas <adam.hlas@derbylane.com>
Sent: Wednesday, March 1, 2023 2:07 PM
To: Jelks, La'Kesha
Cc: Samson, Randa
Subject: Re: Employee Resignation

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Michelle Valdivia Palacios
11941317 (1022)
Cocktail server
Theft

On Wed, Mar 1, 2023 at 1:43 PM Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov> wrote:

Greetings,

Please provide the employee's name, title, reason for termination, and license number.

Best,

La'Kesha Jelks

La'Kesha Jelks

Operations Review Specialist



Florida Gaming Control Commission

Pari-Mutuel Wagering
Phone: (850) 717-1095

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From: Adam Hlas [mailto:adam.hlas@derbylane.com]
Sent: Wednesday, March 1, 2023 1:15 PM
To: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>
Cc: Samson, Randa <Randa.Samson@fgcc.fl.gov>
Subject: Employee Resignation

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Hi La'Kesha,

We had an employee resign at the conclusion of an investigation as to whether or not she was stealing. Is there a specific form or notice we need to send and if so, who would I send that to?

Thanks

--

Adam Hlas

Director of Compliance

Corporate Secretary, St. Petersburg Kennel Club, Inc.

Office: 727-812-3247

Mobile: 727-481-9448

Fax: 727-812-3305

PRIVACY/CONFIDENTIALITY NOTICE:

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--

Thanks

Audit Report

Date of Business: 02/22/2023

| Time | Type | Transaction |
|----------|-------------------|--|
| | | 0.00 \$Honey Mustard |
| | | 9.95 \$9.95 |
| | | 0.00 GRILLED SALMON NO SAUCE |
| | | 4.95 Vegetables |
| | | 0.00 JUST BROCCOLI // SOFT |
| | | 10.95 Tuna Melt |
| | | 0.00 White |
| | | 3.00 Sub Fries |
| | | 16.50 Beef & Broccoli |
| | | 0.00 SAUCE ON THE SIDE |
| | | 4.95 Garden Salad |
| | | 0.00 \$Lite Italian |
| | | 15.95 Garlic Shrimp |
| | | 0.00 Blackened |
| | | 5.50 Soup of Day |
| | | 14.95 Chicken Dinner |
| | | 0.00 Blackened |
| | | 0.00 Rice & Beans |
| | | 10.95 \$10.95 |
| | | 10.95 \$10.95 |
| | | 2.75 Soft Drink |
| | | 5.00 BT Corona |
| | | 2.50 Bottled Water |
| | | 10.95 \$10.95 |
| | | 5.50 Soup of Day |
| | | 9.95 Quesadilla |
| | | 5.50 Soup of Day |
| | | 2.50 Bottled Water |
| | | 2.50 Bottled Water |
| 09:05 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 14.90 Orig Order # 10009 Check # 20052 Split Check Order # 10009/54 Check 20052 9.95 Chicken Tenders 0.00 \$Honey Mustard 4.95 French Fries |
| 09:05 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 2.50 Orig Order # 10009 Check # 20085 Split Check Order # 10009/55 Check 20085 2.50 Bottled Water |
| 09:05 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 4.00 Orig Order # 10009 Check # 20086 Split Check Order # 10009/56 Check 20086 4.00 Red Bull Blue |
| 09:05 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 10085 Split Check Order # 10009/57 Check 10085 |
| 09:05 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 10086 Split Check Order # 10009/58 Check 10086 |
| 09:05 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:20085 Printed 1 time(s) |
| 09:05 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:20085 Printed 2 time(s) |
| 09:05 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:20085 Printed 3 time(s) |
| 09:05 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:20086 Printed 1 time(s) |
| 09:08 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 09:27 PM | LOG IN | Log In Emp: 131 Michelle |
| 09:27 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 16.95 for W12 Chk:20050 16.95 Pork Chop 0.00 Rice & Beans |
| 09:31 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 09:39 PM | LOG IN | Log In Emp: 131 Michelle |

Comped to "Prime comp check"

Ordered for herself
Charged to W12
her food.

To go

Audit Report

Date of Business: 02/22/2023

| Time | Type | Transaction |
|----------|----------------|--|
| | ITEMS | 10009/58 Check 10086 |
| 07:12 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 5.00 for W12 Chk:10019 5.00 DF Goose Island |
| 07:12 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 14.90 for W12 Chk:20052 9.95 Chicken Tenders 0.00 \$Honey Mustard 4.95 French Fries |
| 07:12 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 07:19 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:20 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 27.65 for W13 Chk:20059 19.95 Sirloin Steak 0.00 Medium 0.00 Rice & Beans 4.95 Vegetables 2.75 Soft Drink |
| 07:20 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 07:27 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:27 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 2.50 for W13S6 Chk:10030 2.50 Bottled Water |
| 07:27 PM | PRINT CHECK | Emp: 131 Michelle printed W13S6 Chk:10030 Printed 1 time(s) |
| 07:27 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 07:30 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:30 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:20052 Printed 1 time(s) |
| 07:30 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 07:38 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:38 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 4.10 for W9S2 Chk:20060 4.10 BT Yuengling |
| 07:39 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 2.00 for W9S3 Chk:20061 2.00 Asst Chips 0.00 POPCORN |
| 07:39 PM | TYPED MESSAGES | Emp 131 Michelle typed message for W9S3 Chk:20061 x 0.00 POPCORN |
| 07:39 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 2.50 for W9S6 Chk:20062 2.50 Bottled Water 0.00 RT |
| 07:39 PM | TYPED MESSAGES | Emp 131 Michelle typed message for W9S6 Chk:20062 x 0.00 RT |
| 07:39 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 15.90 for W11S9 Chk:20063 10.95 Cuban 4.95 Onion Rings |
| 07:39 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 2.50 for W14S5 Chk:20064 2.50 Bottled Water |
| 07:39 PM | PRINT CHECK | Emp: 131 Michelle printed W14S5 Chk:20064 Printed 1 time(s) |
| 07:39 PM | PRINT CHECK | Emp: 131 Michelle printed W11S9 Chk:20063 Printed 1 time(s) |
| 07:40 PM | PRINT CHECK | Emp: 131 Michelle printed W9S6 Chk:20062 Printed 1 time(s) |
| 07:40 PM | PRINT CHECK | Emp: 131 Michelle printed W9S3 Chk:20061 Printed 1 time(s) |
| 07:40 PM | PRINT CHECK | Emp: 131 Michelle printed W9S2 Chk:20060 Printed 1 time(s) |
| 07:41 PM | LOG OUT | Log Out Emp: 131 Michelle |
| 07:47 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:48 PM | PRINT CHECK | Emp: 131 Michelle printed W13 Chk:20059 Printed 1 time(s) |
| 07:48 PM | LOG OUT | Log Out Emp: 131 Michelle |

*Delivered to W10-8
Cust pd in cash @ 19:35*

Comped to "prime comp check"

Audit Report

Date of Business: 02/22/2023

| Time | Type | Transaction |
|----------|-------------------|--|
| | | 0.00 \$Lite Italian |
| | | 10.95 Tuna Melt |
| | | 0.00 White |
| | | 3.00 Sub Tater Tots |
| | | 9.95 Chicken Tenders |
| | | 0.00 \$BBQ |
| | | 0.00 \$Honey Mustard |
| | | 9.95 \$9.95 |
| | | 0.00 GRILLED SALMON NO SAUCE |
| | | 4.95 Vegetables |
| | | 0.00 JUST BROCCOLI // SOFT |
| | | 10.95 Tuna Melt |
| | | 0.00 White |
| | | 3.00 Sub Fries |
| | | 16.50 Beef & Broccoli |
| | | 0.00 SAUCE ON THE SIDE |
| | | 4.95 Garden Salad |
| | | 0.00 \$Lite Italian |
| | | 15.95 Garlic Shrimp |
| | | 0.00 Blackened |
| | | 2.95 Perrier |
| 06:49 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 20052 Split Check Order # 10009/54 Check 20052 |
| 06:49 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 20085 Split Check Order # 10009/55 Check 20085 |
| 06:49 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 20086 Split Check Order # 10009/56 Check 20086 |
| 06:49 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 10085 Split Check Order # 10009/57 Check 10085 |
| 06:49 PM | SPLIT CHECK ITEMS | Emp 131 Michelle moved \$ 0.00 Orig Order # 10009 Check # 10086 Split Check Order # 10009/58 Check 10086 |
| 06:50 PM | ORDER ITEMS | Emp 131 Michelle ordered \$ 5.50 for W12 Chk:10018 <i>Delivered to W10-8 @ 18:51. Pd cash</i> -5.50 Soup of Day |
| 06:50 PM | PRINT CHECK | Emp: 131 Michelle printed W12 Chk:10018 Printed 1 time(s) |
| 06:50 PM | LOG OUT | Log Out Emp: 131 Michelle comped to "prime comp check" |
| 07:11 PM | LOG IN | Log In Emp: 131 Michelle |
| 07:12 PM | SPLIT CHECK | Emp: 131 Michelle For Shift 00 Had 003 Split Checks Orig Order #10019 Chk:10019 Split Check Order # 10019/1 Check # 10018 Split Check Order # 10019/2 Check # 10018 Split Check Order # 10019/3 Check # 10019 Split Check Order # 10019/4 Check # 10018 Split Check Order # 10019/5 Check # 10019 Split Check Order # 10019/6 Check # 10018 Split Check Order # 10019/7 Check # 10019 Split Check Order # 10019/8 Check # 20050 Split Check Order # 10019/9 Check # 10018 Split Check Order # 10019/10 Check # 10019 Split Check Order # 10019/11 Check # 20050 Split Check Order # 10019/12 Check # 10018 Split Check Order # 10019/13 Check # 10019 Split Check Order # 10019/14 Check # 20050 Split Check Order # 10019/15 Check # 10019 Split Check Order # 10019/16 Check # 20050 Split Check Order # 10019/17 Check # 10019 Split Check Order # 10019/18 Check # 20050 Split Check Order # 10019/19 Check # 20052 |



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT

Complaint # 2023 01 4183 Date 3/13/23 Lab # _____

| Item No. | Quantity | Description |
|----------|----------|--|
| | 1 | 16 GB Pink Thumbdrive |
| | | Shelf Case Michelle Celeste Palacios |
| | | Camera Coverage of transferring food to comp account and taking money from paying customers and buying her own food. |

| | |
|---|---|
| <p>I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.</p> <p><u>[Signature]</u> Signature</p> | <p>I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.</p> <p><u>[Signature]</u> Signature – Impounding Investigator</p> |
|---|---|

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

.....

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE

7006 2150 0005 6847 1589

| | |
|---|----|
| Postage | \$ |
| Certified Fee | |
| Return Receipt Fee (Endorsement Required) | |
| Restricted Delivery Fee (Endorsement Required) | |

6/28/23
Postmark
Here

Michelle Celeste Valdivia Palacios

St
or
City

6460 50th Avenue North
St. Petersburg, FL 33709 295
AC - 2023014183 - PMW

Tracking Number:

Remove X

70062150000568471589

[Copy](#)

[Add to Informed Delivery \(https://informedelivery.usps.com/\)](https://informedelivery.usps.com/)

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Your item was delivered to an individual at the address at 9:33 am on June 30, 2023 in SAINT PETERSBURG, FL 33709

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Delivered

Delivered, Left with Individual

SAINT PETERSBURG, FL 33709

June 30, 2023, 9:33 am

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FAQs

7. Discussion of recommended orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Anthony Wilson Case Number 2022-052207; Final Order
Date: October 3, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to adopt the hearing officer's recommended order recommending permanent exclusion of Anthony Wilson ("Respondent") from all pari-mutuel facilities in the state of Florida. On March 28, 2023, Respondent requested an informal hearing pursuant to section 120.57(2), Florida Statutes. A hearing was held on July 25, 2023. Following the hearing, the hearing officer recommended permanent exclusion from all pari-mutuel facilities for a period of one year. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel facilities in the state of Florida for a period of one year.

Background

On October 15, 2022, Respondent was a patron of Tampa Greyhound Track.¹ Respondent was ultimately ejected and permanently excluded from Tampa Greyhound Track² for taking another patron's chip at a poker tournament table.

Based on his exclusion from Tampa Greyhound Track, the Division served Respondent with an administrative complaint seeking his exclusion from all pari-mutuel facilities in the state of Florida. Respondent requested an informal hearing pursuant to 120.57(2), Florida Statutes, which was held on July 25, 2023. The hearing officer issued their recommended order on October 3, 2023 recommending permanent exclusion from all pari-mutuel facilities for a period of one year.

Analysis

Respondent can be excluded from all pari-mutuel facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that "[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state." Tampa Greyhound Track is a pari-

¹ Tampa Greyhound Track is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel and cardroom license.

² Pari-mutuel permitholders have the right to exclude patrons. §§ 550.0251(6), Fla. Stat.

mutuel facility in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel facilities in this state. Therefore, the Florida Gaming Control Commission may enter a final order adopting the hearing officer's recommended order and excluding Respondent from all pari-mutuel facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order adopting the hearing officer's recommended order in case number 2022-052207.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

_____ /

HEARING OFFICER'S RECOMMENDED ORDER

THIS MATTER came before Elizabeth Stinson, designated Hearing Officer for the Florida Gaming Control Commission ("Commission"), on July 25, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of the Commission's Administrative Complaint filed against Anthony Wilson ("Respondent"), in FGCC Case Number 2022-052207 ("Administrative Complaint"). The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Respondent appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, proffer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On December 5, 2022, the Commission filed an Administrative Complaint against Respondent alleging that on October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track, a licensed pari-mutuel facility in the state of Florida, for a period of one year and that he is subject to exclusion from all licensed pari-mutuel facilities in the state of Florida.

2. On March 10, 2023, the Commission filed an Amended Administrative Complaint against Respondent alleging that on October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track, a licensed pari-mutuel facility in the state of Florida, for a period of one year and that he is subject to exclusion from all licensed pari-mutuel facilities in the state of Florida.

3. On March 28, 2023, Respondent sent the Commission an Election of Rights (“EOR”), requesting a formal administrative hearing, but the EOR was found to be defective. The Commission sent Respondent a new EOR and Respondent failed to respond. Therefore, this matter was set for an informal hearing pursuant to section 120.57(2), Florida Statutes.

4. At the informal hearing on June 16, 2023, the Respondent notified the Commission for the first time of Respondent’s intent to call witnesses at the informal hearing. The hearing officer continued the informal hearing to July 25, 2023, to provide the Commission with the opportunity prepare for the presentation of additional witnesses.

The July 25, 2023, Informal Hearing

5. At the informal hearing on July 25, 2023, the Commission presented the issues raised in its Amended Administrative Complaint. The Hearing Officer granted the Commission’s motion to accept the Findings of Fact in the Amended Administrative Complaint as the undisputed facts in the case and accepted the investigative report into the record.

6. At the hearing, Respondent testified about the incident that led to his exclusion at Tampa Greyhound Track:

- a. Respondent stated that he was playing at a poker table during a tournament.
- b. Respondent stated that he was speaking to the player next to him who

indicated that he knew how many chips he had. Respondent stated that he took a 25k chip from the other player as a joke to show him that he would not notice he was missing a chip.

- c. Respondent testified that he intended to return the chip to the other player, but the player got up and moved to another table.
- d. Respondent stated that he told the floor manager that he had taken the chip as a joke and placed the chip under the railing with the intention of returning it to the player. Respondent testified that the chip was later returned to the player.
- e. He further explained that this type of incident happens frequently at Tampa Greyhound Track and Respondent as well as other players regularly engage in "horseplay."
- f. Respondent defined horseplay as doing things that they should not be doing at the poker table.

7. Respondent called his first witness, Michael Lux ("Mr. Lux"), who testified about the incident at Tampa Greyhound Track:

- a. Mr. Lux indicated he had known Respondent for twenty-one years.
- b. Mr. Lux stated that he has been going to pari-mutuel facilities with Respondent for the past four to five years. Mr. Lux indicated that he is a patron at pari-mutuels approximately once or twice a month.
- c. Mr. Lux testified that Respondent grabbed the chip from the patron next to him, but he did not use the chip.
- d. Mr. Lux stated that he has seen this behavior happen frequently at Tampa Greyhound Track.

- e. Mr. Lux testified that it is his opinion that Respondent would not have taken the chip to be malicious.

8. Respondent also called David Cooper (“Mr. Cooper”), to testify regarding the incident at Tampa Greyhound Track:

- a. Mr. Cooper testified that he has been going to Tampa Greyhound Track for over twenty years.
- b. Mr. Cooper further testified that he was not present the day that Respondent was excluded but he saw the video footage of what happened.
- c. Mr. Cooper stated that he knows Respondent from playing poker with him and that they had a very different way of playing poker. He further explained that it is his opinion that taking a chip from another player’s stack is an act of cheating.
- d. When Mr. Cooper was asked if he has taken a chip from another player’s stack, Mr. Cooper stated that he has never done this or seen this done before.

9. Respondent asked that the video footage of the incident be provided to the hearing officer.

10. On July 31, 2023, the Commission provided Respondent and the hearing officer with the footage via email.

11. On August 10, 2023, the Commission resent the video footage at the request of the hearing officer.

12. The hearing officer has viewed the video footage and it appears to the hearing

officer that Respondent did take a chip from another patron's stack of chips.

FINDINGS OF FACT

13. At all times pertinent to the allegations contained herein, Respondent was a patron at Tampa Greyhound Track, a licensed pari-mutuel facility in the state of Florida.

14. On or about October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track for a period of one year.

CONCLUSIONS OF LAW

15. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

16. The Commission has jurisdiction over this matter pursuant to chapters 120 and 550, Florida Statutes.

17. At all times material, Tampa Greyhound Track was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom activities in the State of Florida.

18. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in the state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

19. Respondent is subject to exclusion from all licensed pari-mutuel facilities in the

state of Florida based on his exclusion from Tampa Greyhound Track on October 15, 2022. *See* § 550.0251(6), Fla. Stat.

20. Respondent's testimony neither mitigates the fact that Respondent was excluded from Tampa Greyhound Track for one year, nor precludes the Commission from excluding Respondent from all licensed pari-mutuel facilities in the State of Florida. *See Id.*

21. There is competent substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

Based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission issue a Final Order excluding Respondent from all pari- mutuel facilities in the State of Florida for a period of one year.

This Recommended Order in FGCC Case Number 2022-052207 is submitted this 3rd day of October, 2023.

/s/ Elizabeth K. Stinson
Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 3rd day of October, 2023, that a true copy of the foregoing “Hearing Officer's Recommended Order” has been provided by email to:

Emily A. Alvarado
Emily.Alvarado@flgaming.gov
Counsel for Petitioner

Anthony Wilson
Olewis39@gmail.com
Respondent

/s/ Elizabeth K. Stinson
Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

_____ /

PROPOSED RECOMMENDED ORDER

THIS MATTER came before Elizabeth Stinson, designated Hearing Officer for the Florida Gaming Control Commission (“Commission”), on July 25, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of the Commission’s Administrative Complaint filed against Anthony Wilson (“Respondent”), in FGCC Case Number 2022-052207 (“Administrative Complaint”). The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Respondent appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, offer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On March 10, 2023, the Commission filed an Amended Administrative Complaint against Respondent alleging that on October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track for a period of one year and that he is subject to exclusion from all licensed pari-mutuel facilities in the state of Florida.

2. On March 28, 2023, Respondent sent an Election of Rights, but it was found to be defective. The Commission sent Respondent a new Elections of Rights and Respondent failed to respond. Therefore, this was set for an informal hearing pursuant to section 120.57(2), Florida Statutes.

3. At the informal hearing on July 25, 2023, the Division presented the issues raised in its Administrative Complaint. The Hearing Officer granted the Division's motion to accept the Findings of Fact in the Administrative Complaint as the undisputed facts in the case, and accepted the investigative report into the record.

4. At the hearing, Respondent explained the incident that lead to his exclusion at Tampa Greyhound Track.

5. Respondent stated that he was playing at a poker table during a tournament.

6. Respondent stated that he was speaking to the player next to him who indicated that he knew how many chips he had. Respondent stated that he took a 25k chip from the other player as a joke to show him that he would not notice he was missing a chip.

7. Respondent indicated that he intended to return he chip immediately to the other player but the player got up and moved to another table.

8. Respondent stated that he told the floor manager Jason that he had taken the chip as a joke and placed the chip under the railing with the intention of returing it to the player. He indicated that the chip was later returned to the player.

9. He further explained that this type of incident happens frequently at Tampa Greyhound and the players constantly engage in "horseplay."

10. Respondent described horseplay as doing things that they should not be doing at the poker table.

11. Respondent called his first witness Michael Lux, who indicated he had known Respondent for twenty-one years.

12. Mr. Lux stated that he has been going to pari-mutuel facilities with Respondent for the past four to five years. He indicated that he is a patron at pari-mutuel approximately once or twice a month.

13. Mr. Lux testified that Respondent grabbed the chip from the patron next to him, but he did not use the chip.

14. He stated that he has seen this happen frequently at Tampa Greyhound Track.

15. He testified that it is his opinion that Respondent would not have taken the chip to be malicious.

16. Respondent also called David Cooper to testify.

17. Mr. Cooper testified that he has been going to Tampa Greyhound Track for over twenty years.

18. He further testified that he was not present the day that Respondent was excluded but he saw the video footage of what happened.

19. He stated that he knows Respondent from playing poker with him and that they had a very different way of playing poker. He further explained that it is his opinion that taking a chip from another player's stack is an act of cheating.

20. When asked if he has taken a chip from another player's stack, he stated that he has never done this or seen this done before.

21. Respondent asked that the video footage of the incident be provided to the hearing officer.

22. On July 31, 2023, the Commission provided Respondent and the hearing officer with the footage via email.

23. On August 10, 2023, the Commission resent the video footage at the request of the hearing officer.

FINDINGS OF FACT

24. At all times pertinent to the allegations contained herein, Respondent was a patron at Tampa Greyhound Track.

25. On or about October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track for a period of one year.

CONCLUSIONS OF LAW

26. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

27. The Commission has jurisdiction over this matter pursuant to chapters 120 and 550, Florida Statutes.

28. At all times material, Tampa Greyhound Track was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom in the State of Florida.

29. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in the state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

30. Respondent is subject to exclusion from all licensed pari-mutuel facilities in the state of Florida based on his permanent exclusion from Tampa Greyhound Track on October 15, 2022. *See* § 550.0251(6), Fla. Stat.

31. Respondent's testimony neither mitigates the fact that Respondent was permanently excluded from Big Easy Casino, nor precludes the Commission from excluding Respondent from all licensed pari-mutuel facilities in the state of Florida. *See Id.*

32. There is competent substantial evidence to support the conclusions of law.

PROPOSED RECOMMENDED ORDER

Based upon the Findings of Fact and Conclusions of Law, it is hereby requested that the Hearing Officer issue a Recommended Order permanently excluding Respondent from all pari-mutuel facilities in the state of Florida.

This Proposed Recommended Order in FGCC Case Number 2022-052207 is submitted this 10th day of August, 2023.

s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

CERTIFICATE OF SERVICE

I hereby certify this 10th day of August, 2023, that a true copy of the foregoing “Petitioner’s Proposed Recommended Order” has been provided by mail to:

Anthony Wilson
9764 Troncais Circle
Thonotosassa, Florida 33592

Anthony Wilson
Case No. 2022-052207
Informal Hearing Packet

Documents Included in Case File

- Exhibit 1 Notice of Hearing
- Exhibit 2 Defective Election of Rights (2)
- Exhibit 3 Amended Administrative Complaint
- Exhibit 4 Administrative Complaint
- Exhibit 5 Report of Investigation

May 10, 2023

Anthony Wilson
9764 Troncais Circle
Thonotosassa, Florida 33592

RE: FGCC v. Anthony Wilson
Case No.: 2022-052207

Dear Mr. Wilson:

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Thursday, May 25, 2023 between 10:00 a.m. and 12:00 p.m. (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location and instructions for the hearing. A copy of the Commission's case file has been mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov, and Emily.Alvarado@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

Please note: If you choose not to attend the hearing in person or by video conference, we will be conducting the hearing telephonically; you will be contacted **between 10:00 AM and 1:00 PM (EST) at the following number: (813) 522-2802.** If that number is incorrect, please contact me as soon as possible to notify me of the correct number at which to reach you. Failure to answer the telephone, promptly return a voicemail, or hold an open line will result in the hearing proceeding without you.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing;
2. Approximately 14 to 21 days after the hearing, Proposed Recommended Orders, or recommendations for what the Hearing Officer's ruling should be, are sent to Hearing Officer;
3. Approximately 21 to 45 days after the Proposed Recommended Orders are submitted, the Hearing Officer will submit his or her recommended ruling to the Clerk of the Commission's office;
4. A Final Order will be issued within approximately 90 days after the date of the hearing. The Final Order is final agency action and will describe the resolution of your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at 850-717-1663 or Ebonie.Lanier@flgaming.gov.

Sincerely,

/s/ Ebonie Lanier

Ebonie Lanier
Administrative Assistant III

Enclosures: Notice of Hearing and Case File



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

_____ /

NOTICE OF TELEPHONIC HEARING

TO: Anthony Wilson
9764 Troncais Circle
Thonotosassa, Florida 33592
Olewis39@gmail.com

YOU ARE HEREBY NOTIFIED that the Commission's designated Hearing Officer will conduct a hearing in this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present oral or written evidence, you must attend the hearing. The hearing is scheduled for **Thursday, May 25, 2023. If you choose not to attend the hearing in person or by video conference, the Hearing Officer will call you at (813) 522-2802 sometime between 10:00 a.m. – 12:00 p.m. (EST).** Please be available to take the Hearing Officer's call. Failure to answer the telephone, promptly return a voicemail, or hold an open line may result in the hearing proceeding without you.

You may elect to attend the hearing in person or by video conference via GoToMeeting. If you wish to do so, you must contact the Commission by email at Ebonie.Lanier@flgaming.gov or telephone at (850) 717-1663, at least seven (7) days prior to your hearing date. If you do not elect to attend by video conference or in person, the hearing will automatically be held by telephone only. You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence

that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov, and Emily.Alvarado@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at (hearing officer email) and Opposing Counsel at (opposing counsel email) at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to: the above-named parties via certified mail, on this 11th day of May, 2023.

By: /s/ Ebonie Lanier

Ebonie N. Lanier
Administrative Assistant III
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1663
Facsimile: (850) 921-1311
Ebonie.Lanier@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier at (850) 717-1663. If you are hearing or speech impaired, please contact the agency by calling 1-800-955-8771.



April 4, 2023

Anthony Wilson
9764 Troncais Circle
Thonotosassa, Florida 33592

Case No.: **2022-052207**
Subject: **SERVICE OF ADMINISTRATIVE COMPLAINT**

Dear Mr. Wilson:

We are in receipt of the email and completed Election of Rights forms you submitted on March 9, 2023 and March 27, 2023. You have requested an evidentiary hearing involving disputed issues of material fact before the Division of Administrative Hearings, pursuant to Section 120.57(1), Florida Statutes (“formal hearing”). Attached to the Amended Election of Rights is a sheet called “Explanation of the Election of Rights”. Please review that very carefully and follow the correct procedures outlined.

The Commission carefully reviewed your petition for a formal evidentiary hearing to determine if it contains all the information required by section 120.569(2)(c), Florida Statutes, and rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. This is to inform you that the Commission has determined that your request for formal hearing does not identify a dispute of material fact. Therefore, your request does not comply with the requirements of the law.

In order to proceed with a formal hearing, you must submit an amended request for a formal hearing. *The response must specifically identify issues of material fact you dispute.* An amended request for formal must be **received** by the Commission at the address at the bottom of the form within twenty-one (21) days after the date you receive this letter.

If the **amended** request for formal hearing still does not dispute issues of material fact, you will be scheduled for a hearing not involving disputed issues of material fact (“informal hearing”) pursuant to Sections 120.569 and 120.57(2), Florida Statutes. Please contact me if you have any questions.

Sincerely,

/s/ Emily A. Alvarado
Emily A. Alvarado
Deputy Chief Attorney
850-717-1783

Enclosure: Amended Election of Rights form

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

AMENDED ELECTION OF RIGHTS

FGCC v. ANTHONY WILSON

CASE NO.: 2022-052207

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) **I do not dispute the allegations** of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes (“informal hearing”) and that this oral and written evidence be considered before any penalty and fines are imposed.

Option (2) **I do dispute the allegations** of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, (“formal hearing”) before an Administrative Law Judge of the Division of Administrative Hearings. **I specifically dispute the following paragraphs in the Administrative Complaint** (attach extra pages or write on the back if needed):

In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

Section 120.569(2)(a), Florida Statutes, requires the Department to send this case to the Division of Administrative Hearings (DOAH) for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Department.

Option (3) **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case. The Final Order will be placed on the next available Florida Gaming Control Commission Meeting. Respondent is not required to attend, but may check the Florida Gaming Control Commission website at www.fgcc.fl.gov for the meeting materials, agenda, and contact information.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

PRINTED NAME

ATTORNEY OR QUALIFIED REPRESENTATIVE

Street Address

Street Address (where service shall be made)

City State Zip

City State Zip

Telephone Number Facsimile Number (if any)

Telephone Number Facsimile Number (if any)

E-mail

E-mail

SIGNATURE

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@fgcc.fl.gov

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

EXPLANATION OF THE ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney or call the Commission's attorney named in the cover letter if you do not understand your options.

You can only select **ONE** of the options numbered (1), (2) and (3) on the Election of Rights form. You must sign the form. The form must be **received** by the Commission at the address at the bottom of the form within 21 days after the date **you receive** the complaint.

The three options are:

1. Check option (1) if you **do not dispute** any material fact alleged in the Administrative Complaint. You will be given a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and have the opportunity to present written and oral evidence in mitigation at the informal hearing.

2. Check option (2) if you **do dispute** any material fact alleged in the Administrative Complaint. This is considered a petition for an evidentiary administrative hearing ("formal hearing") pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, and requires the Department to send this case to the Division of Administrative Hearings (DOAH) within 15 days of your completed petition requesting a formal hearing. This election is made by checking the box marked (2) on the Election of Rights. Pursuant to Rule 28-106.201, F.A.C., you must also state which material fact(s) alleged in the Administrative Complaint you dispute. Please use the space provided on form, and attach any additional pages or use the back of the form if needed.

After electing option (2) explained above and **regardless** of whether you dispute any material fact alleged in the Administrative Complaint, you may **also** request the opportunity to discuss settlement of the case pursuant to Section 120.57(4), Florida Statutes.

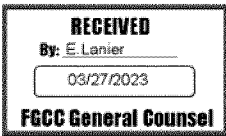
3. Check option (3) if you **do not dispute** any material fact and waive your right to any form of hearing. This means that the Department will recommend a penalty on the charges set forth in the Administrative Complaint without input from you.

If the Department does not **receive** your Election of Rights within **21** days after you **receive** the Administrative Complaint, this will be considered a waiver of your right to elect any form of hearing and a Final Order may be entered against you imposing a penalty and fines.

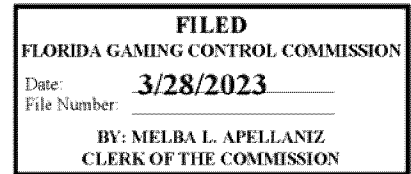
YOU MUST LET THE COMMISSION KNOW IF YOUR MAILING ADDRESS CHANGES

**THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE
COMMISSION WITHIN 21 DAYS AND SENT TO:**

Office of the General Counsel
Florida Gaming Control Commission
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@fgcc.fl.gov



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION



AMENDED ELECTION OF RIGHTS

FGCC v. ANTHONY WILSON

CASE NO.: 2022-052207

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) I do not dispute the allegations of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

Option (2) I do dispute the allegations of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, ("formal hearing") before an Administrative Law Judge of the Division of Administrative Hearings. I specifically dispute the following paragraphs in the Administrative Complaint (attach extra pages or write on the back if needed):

In the amended complaint received on 3/23/2023, paragraph 6 states the allegations are listed in Exhibit 1, but exhibit 1 is missing.

In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

Section 120.569(2)(a), Florida Statutes, requires the Department to send this case to the Division of Administrative Hearings (DOAH) for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Department.

Option (3) I do not dispute the allegations of material fact in the Administrative Complaint and waive my right to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case. The Final Order will be placed on the next available Florida Gaming Control Commission Meeting. Respondent is not required to attend, but may check the Florida Gaming Control Commission website at www.fgcc.fl.gov for the meeting materials, agenda, and contact information.

THIS IS A LEGALLY BINDING DOCUMENT. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Anthony Wilson
PRINTED NAME

ATTORNEY OR QUALIFIED REPRESENTATIVE

9764 Troncais Cir
Street Address

Street Address (where service shall be made)

Thonotosassa FL 33592
City State Zip

City State Zip

Telephone Number Facsimile Number (if any)

Telephone Number Facsimile Number (if any)

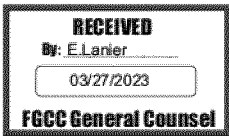
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E-mail

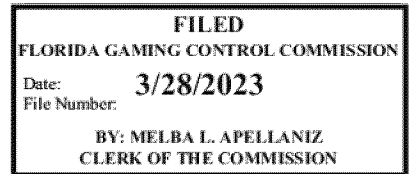
Anthony Wilson
SIGNATURE

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@fgcc.fl.gov



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION



AMENDED ELECTION OF RIGHTS

FGCC v. ANTHONY WILSON

CASE NO.: 2022-052207

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) I do not dispute the allegations of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

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In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

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Anthony Wilson
PRINTED NAME

9764 Troncais Cir
Street Address

Thonotassau FL 33592
City State Zip

Telephone Number Facsimile Number (if any)

E-mail

Anthony Wilson
SIGNATURE

ATTORNEY OR QUALIFIED REPRESENTATIVE

Street Address (where service shall be made)

City State Zip

Telephone Number Facsimile Number (if any)

E-mail

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@fgcc.fl.gov

STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION

ELECTION OF RIGHTS

FGCC v. ANTHONY WILSON

CASE NO.: 2022-052207

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) I do not dispute the allegations of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

Option (2) I do dispute the allegations of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, ("formal hearing") before an Administrative Law Judge of the Division of Administrative Hearings. I specifically dispute the following paragraphs in the Administrative Complaint (attach extra pages or write on the back if needed):

paragraph 6 of the Administrative Complaint. I was excluded for one year not permanently.

In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

Section 120.569(2)(a), Florida Statutes, requires the Department to send this case to the Division of Administrative Hearings (DOAH) for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Department.

Option (3) I do not dispute the allegations of material fact in the Administrative Complaint and waive my right to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case. The Final Order will be placed on the next available Florida Gaming Control Commission Meeting. Respondent is not required to attend, but may check the Florida Gaming Control Commission website at www.fgcc.fl.gov for the meeting materials, agenda, and contact information.

THIS IS A LEGALLY BINDING DOCUMENT. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Anthony Wilson
 PRINTED NAME

 ATTORNEY OR QUALIFIED REPRESENTATIVE

9764 Troncais Cir.
 Street Address

 Street Address (where service shall be made)

Thonotosassa FL 33592
 City State Zip

 City State Zip

 Telephone Number Facsimile Number (if any)

 Telephone Number Facsimile Number (if any)

 E-mail

 E-mail

Anthony Wilson
 SIGNATURE

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
 Florida Gaming Control Commission
 2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant III
 Telephone: (850) 717-1663 Fax: (850) 921-1311
 Email: Ebonie.Lanier@fgcc.fl.gov

Lanier-FGCC, Ebonie

From: Octavia Lewis <olewis39@gmail.com>
Sent: Thursday, March 9, 2023 10:23 AM
To: Lanier-FGCC, Ebonie
Subject: Case No. 2022-052207 Election of Rights
Attachments: AJW FGCC Election of Rights.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Please find attached the Election of Rights for Anthony Wilson in the above-referenced case.

Please find attached the amended Election of Rights for Anthony Wilson in the above-referenced case.

On Thu, Mar 9, 2023 at 10:23 AM Octavia Lewis <olewis39@gmail.com> wrote:

Please find attached the Election of Rights for Anthony Wilson in the above-referenced case.

Ebonie Lanier

From: Octavia Lewis <olewis39@gmail.com>
Sent: Monday, March 27, 2023 12:18 PM
To: Ebonie Lanier
Subject: Re: Case No. 2022-052207 Election of Rights

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Thank you. This was not included in the amended complaint that I received.

On Mon, Mar 27, 2023 at 12:08 PM Lanier-FGCC, Ebonie <Ebonie.Lanier-FGCC@fgcc.fl.gov> wrote:

Good Morning,

Thank you for submitting the Amended Election of Rights form. Please see the attached 16 page Administrative complaint.

Note: Exhibit 1 begins on page 5.

Thanks,

Ebonie Lanier

From: Octavia Lewis [<mailto:olewis39@gmail.com>]
Sent: Monday, March 27, 2023 11:50 AM
To: Lanier-FGCC, Ebonie <Ebonie.Lanier-FGCC@fgcc.fl.gov>
Subject: Re: Case No. 2022-052207 Election of Rights

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

_____ /

AMENDED ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Anthony Wilson (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 18118 North US Hwy 41, Lot #26C, Lutz, Florida 33549.
3. At all times material hereto, Tampa Greyhound Track was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom operations in the State of Florida.
4. On or about October 15, 2022, Respondent was a patron of Tampa Greyhound Track.
5. On or about October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track for a period of one year.

6. Respondent was excluded for the reasons alleged in Exhibit 1.
7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under section 550.0251(6), Florida Statutes based on his ejection from Tampa Greyhound Track on or about October 15, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, along with any other remedy provided by chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Amended Administrative Complaint for FGCC Case Number 2022-052207 is signed this 9th day of March 2023.

/s/ *Emily A. Alvarado*

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Anthony Wilson (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 18118 North US Hwy 41, Lot #26C, Lutz, Florida 33549.
3. At all times material hereto, Tampa Greyhound Track was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom operations in the State of Florida.
4. On or about October 15, 2022, Respondent was a patron of Tampa Greyhound Track.
5. On or about October 15, 2022, Respondent was ejected and excluded from Tampa Greyhound Track.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.
7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under Section 550.0251(6), Florida Statutes based on his ejection from Tampa Greyhound Track on or about October 15, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-052207 is signed this 5th day of December 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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| Complaint Search Mass Status Update | Change Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline Update |
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

VR Home Complaint Search Maintain Complaint

| | | | | | |
|-------------|------------------------------|-------------|----------------------|--------------|------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 90 Closed | Status Date | 11/28/2022 |
| Complaint # | 2022052207 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | Responsible | ram on SAMSON, RANDA | Private Case | |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Re pondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|---|----------------|-------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 10/15/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 10/28/2022 | <input type="checkbox"/> Inspection | |
| Reference | 550.0251(6) & 61D-11.005(4) | | | <input type="checkbox"/> Co t | |
| Entered | 10/31/2022 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | Auto Assign |
| Summary | <p>140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack.</p> | | | <input type="checkbox"/> Attachments | History |
| Updated | 11/28/2022 12:18:10 | By | Imuniz | <input type="checkbox"/> Work Notes | Print Report |

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| Change | Save | OK | Cancel | Back |
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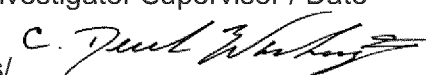
Get Adobe Reader.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|---------------------------|---|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: OCTOBER 31, 2022 | Case Number: 2022 05 2207 |
| Respondent: WILSON, ANTHONY [REDACTED] [REDACTED] | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: N/A / 1098 | Profession: UNK | Report Date: NOVEMBER 8, 2022 | |
| Period of Investigation: OCTOBER 18, 2022 – NOVEMBER 8, 2022 | | Type of Report: FINAL | |
| <p>Alleged Violation: 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation - (6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p> <p>61D-11.005 Prohibitions. - (4) No person shall, either directly or indirectly: (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator. (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> | | | |
| Synopsis: On October 18, 2022, the Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON , a cardroom patron was trespassed for stealing a 25K tournament chip off of another player's chip stack. | | | |
| Related Case: | | | |
| Investigator / Date /s/  Randa Samson / November 8, 2022 | | Investigator Supervisor / Date /s/  C. Derek Washington / November 21, 2022 | |
| Chief of Investigations / Date /s/  Steven Kogan / November 28, 2022 | | | |

CONTINUATION

On October 18, 2022, I received an email from the Director of Poker Operations, Jacob Darrell **MAST (PMW LIC #7050031)**, at the **TAMPA GREYHOUND TRACK**. The email reported that a cardroom patron, identified as **ANTHONY WILSON**, received a one-year facility exclusion for stealing a 25K tournament chip from another player during a tournament on October 15, 2022. **(EXHIBITS #1)**

On November 8, 2022, at approximately 11:15 AM, during a routine bi-weekly cardroom inspection, I requested and received a copy of the saved surveillance video of the incident. **(EXHIBIT #2)**

A careful review of the video evidence of October 15, 2022, shows **WILSON** playing at Table #9 in seat #9 at approximately 5:22:10 PM. **WILSON** took the 25k tournament chip from a chip stack belonging to a player seated to Wilson's right in seat #8.

On November 8, 2022, at approximately 12:40 PM, I interviewed Floor Supervisor JASON EDWARDS **SOWARDS (PMW LIC# 10598540)**. **SOWARDS** stated that when he was notified by a player in seat #8 at table #9 that he was missing a 25k chip, **SOWARDS** told the player he would go back and review the surveillance video. **SOWARDS** said, while reviewing the surveillance video, he witnessed **WILSON** take the 25K chip from the player in seat #8 and place the chip on top of his own chip stack. **WILSON** is then seen sliding the 25K tournament chip under the table rail. **SOWARDS** said after he reviewed the surveillance video, he returned to the table and questioned **WILSON**. **WILSON** then claimed he found the chip under the rail.

TAMPA GREYHOUND TRACK banned **WILSON** for one year from **TAMPA GREYHOUND TRACK** and their sister company **TAMPA BAY DOWNS**. The facility declined to pursue any further charges.

Conclusion: Anthony **WILSON** violated Florida Rule 61D-11.005(4), and because he was excluded from the facility, **WILSON** may be considered for statewide exclusion under F.S.S. 550.0251(6).

Status: Investigation case closed, case forwarded to Legal for review.

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET1-1

II. INVESTIGATIVE REPORT1-3

III. EXHIBITS

1. E-MAIL.....1-1

2. PROPERTY RECEIPT & PHOTO OF 25K TOURNAMENT CHIP.....1-2

3. COPY OF **WILSON'S** DRIVERS LICENSE.....1-2

Samson, Randa

From: poker@luckycards.com
Sent: Tuesday, October 18, 2022 10:58 AM
To: Samson, Randa
Subject: TGT

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

[REDACTED]

[REDACTED]

Anthony Wilson -1 year- Took 25k tournament chip from another players stack

[REDACTED]

EXHIBIT #1
PAGE 1/1



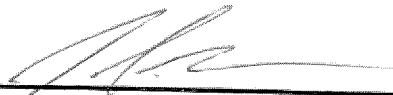
State of Florida
 Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 Investigations Section

PROPERTY RECEIPT

Complaint # 2022 05 2207 Date 11/8/22 Lab # _____

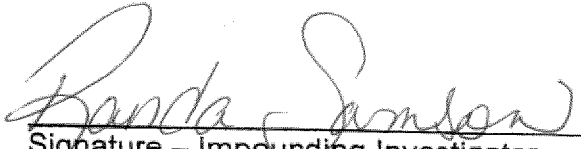
| Item No. | Quantity | Description |
|----------|----------|------------------------|
| | 1 | Red 18 GB Thumbdrive |
| | | Player Anthony Wilson |
| | | stealing 25k chip off |
| | | another Players stack. |
| | | |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.



Signature _____

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.



Signature - Impounding Investigator _____

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

Received by: _____ Date: _____

Received by: _____ Date: _____

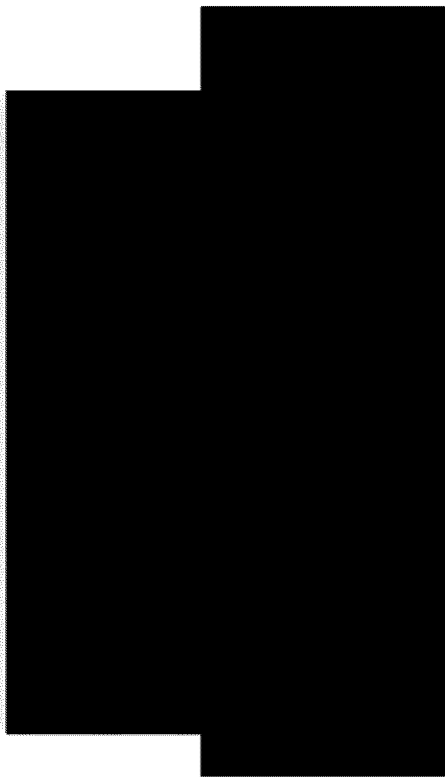
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT #2
 PAGE 1/2







| | | | | | | | | | |
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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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| Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update |
| Mass Status Update | Public Case Info | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|-------------------------------------|------------|-------------------------------|-------------|--------------------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 20 Under Investigation | Status Date | 10/31/2022 |
| Complaint # | 2022052207 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | | Respondent | WILSON, ANTHONY | Responsible | rsamson - SAMSON, RANDA |
| | | | | | Private Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

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|-----------|--|----------------|--------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 10/15/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 10/28/2022 | <input type="checkbox"/> Inspection | |
| Reference | 550.0251(6) & 61D-11.005(4) | | | <input type="checkbox"/> Costs | |
| Entered | 10/31/2022 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | <p>140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack.</p> | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 10/31/2022 09:51:15 | By | Imuniz | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

Get Adobe Reader.

Muniz, Luz

From: Washington, Derek
Sent: Friday, October 28, 2022 12:46 PM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR WILSON 10 28 2022
Attachments: OCR WILSON 10 28 2022.docx

Hello Luz,
Attached is an OCR for State Exclusion case, please assign to Randa.

Thanks
Derek

VR Home | **Inbox** | Entity | Application | ~~License~~ | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
 Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **Imuniz**

VR Home > Complaint Search > **Maintain Complaint**

| | | | |
|--|-----------------------------------|--|-------------------------------|
| Lic Type 1098 - Unlicensed Complaints | | Status 90 Closed | Status Date 11/28/2022 |
| Complaint # 2022052207 | Case Type CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent WILSON, ANTHONY | Responsible rsamson - SAMSON, RANDA | Private Case |

Complaint | Respondent | Complainant | Add'l Info

| | | | | | |
|--|---|-------------------------------------|----------------------|-------------------------------------|---------------------|
| Source INTN - Internal | Security Level 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| Form INTR - Internal | Priority | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n V-C - Cardroom Violations | Complexity R - Regular | <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security STND - Standard | Incident 10/15/2022 | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region CR - Central Region | Received 10/28/2022 | <input type="checkbox"/> | Inspection | | |
| Reference 550.0251(6) & 61D-11.005(4) | | <input type="checkbox"/> | Costs | | |
| Entered 10/31/2022 | Entered By Imuniz | <input type="checkbox"/> | Time Tracking | | Auto Assign |
| Summary | 140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack. | <input type="checkbox"/> | Attachments | | History |
| | | <input type="checkbox"/> | Work Notes | | Print Report |
| Updated 11/28/2022 12:18:10 | By Imuniz | | | | |

28

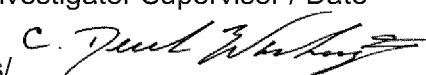

Get Adobe Reader.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|---------------------------|---|-------------------------------------|
| Office: PMW | Region: CENTRAL | Date of Complaint: OCTOBER 31, 2022 | Case Number: 2022 05 2207 |
| Respondent: WILSON, ANTHONY 18118 NORTH OS HWY 41 LOT#26C LUTZ, FL. 33549 | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: N/A / 1098 | Profession: UNK | Report Date: NOVEMBER 8, 2022 | |
| Period of Investigation: OCTOBER 18, 2022 – NOVEMBER 8, 2022 | | Type of Report: FINAL | |
| <p>Alleged Violation: 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation - (6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p> <p>61D-11.005 Prohibitions. - (4) No person shall, either directly or indirectly: (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator. (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> | | | |
| Synopsis: On October 18, 2022, the Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON , a cardroom patron was trespassed for stealing a 25K tournament chip off of another player's chip stack. | | | |
| Related Case: | | | |
| Investigator / Date /s/  Randa Samson / November 8, 2022 | | Investigator Supervisor / Date /s/  C. Derek Washington / November 21, 2022 | |
| Chief of Investigations / Date /s/  Steven Kogan / November 28, 2022 | | | |

CONTINUATION

On October 18, 2022, I received an email from the Director of Poker Operations, Jacob Darrell **MAST (PMW LIC #7050031)**, at the **TAMPA GREYHOUND TRACK**. The email reported that a cardroom patron, identified as **ANTHONY WILSON**, received a one-year facility exclusion for stealing a 25K tournament chip from another player during a tournament on October 15, 2022. **(EXHIBITS #1)**

On November 8, 2022, at approximately 11:15 AM, during a routine bi-weekly cardroom inspection, I requested and received a copy of the saved surveillance video of the incident. **(EXHIBIT #2)**

A careful review of the video evidence of October 15, 2022, shows **WILSON** playing at Table #9 in seat #9 at approximately 5:22:10 PM. **WILSON** took the 25k tournament chip from a chip stack belonging to a player seated to Wilson's right in seat #8.

On November 8, 2022, at approximately 12:40 PM, I interviewed Floor Supervisor JASON EDWARDS **SOWARDS** [REDACTED]). **SOWARDS** stated that when he was notified by a player in seat #8 at table #9 that he was missing a 25k chip, **SOWARDS** told the player he would go back and review the surveillance video. **SOWARDS** said, while reviewing the surveillance video, he witnessed **WILSON** take the 25K chip from the player in seat #8 and place the chip on top of his own chip stack. **WILSON** is then seen sliding the 25K tournament chip under the table rail. **SOWARDS** said after he reviewed the surveillance video, he returned to the table and questioned **WILSON**. **WILSON** then claimed he found the chip under the rail.

TAMPA GREYHOUND TRACK banned **WILSON** for one year from **TAMPA GREYHOUND TRACK** and their sister company **TAMPA BAY DOWNS**. The facility declined to pursue any further charges.

Conclusion: Anthony **WILSON** violated Florida Rule 61D-11.005(4), and because he was excluded from the facility, **WILSON** may be considered for statewide exclusion under F.S.S. 550.0251(6).

Status: Investigation case closed, case forwarded to Legal for review.

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I. INVESTIGATIVE REPORT COVERSHEET1-1

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III. EXHIBITS

 1. E-MAIL.....1-1

 2. PROPERTY RECEIPT & PHOTO OF 25K TOURNAMENT CHIP.....1-2

 3. COPY OF **WILSON'S** DRIVERS LICENSE.....1-2

Samson, Randa

From: poker@luckycards.com
Sent: Tuesday, October 18, 2022 10:58 AM
To: Samson, Randa
Subject: TGT

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

[REDACTED]

[REDACTED]

Anthony Wilson -1 year- Took 25k tournament chip from another players stack

[REDACTED]

EXHIBIT #1
PAGE 1/1



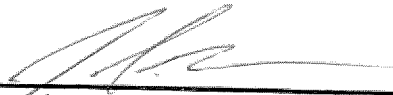
State of Florida
 Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 Investigations Section

PROPERTY RECEIPT

Complaint # 2022 05 2207 Date 11/8/22 Lab # _____

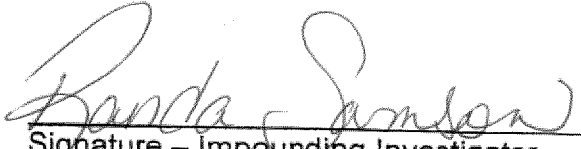
| Item No. | Quantity | Description |
|----------|----------|------------------------|
| | 1 | Red 18 GB Thumbdrive |
| | | Player Anthony Wilson |
| | | stealing 25k chip off |
| | | another Players stack. |
| | | |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.



Signature _____

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.



Signature - Impounding Investigator _____

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

Received by: _____ Date: _____

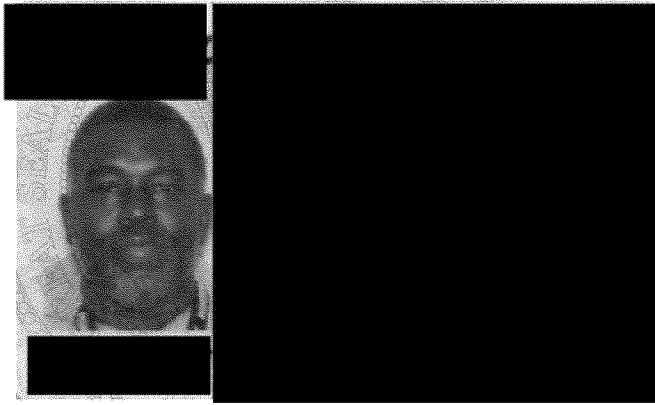
Received by: _____ Date: _____

Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT #2
 PAGE 1/2







[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|-------------------------------------|------------|-------------------------------|-------------|--------------------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 20 Under Investigation | Status Date | 10/31/2022 |
| Complaint # | 2022052207 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | WILSON, ANTHONY | Responsible | rsamson - SAMSON, RANDA |
| | | | | | Private Case |

[Complaint](#) | [Respondent](#) | [Complainant](#) | [Add'l Info](#)

| | | | | | |
|-----------|---|----------------|--------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 10/15/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 10/28/2022 | <input type="checkbox"/> Inspection | |
| Reference | 550.0251(6) & 61D-11.005(4) | | | <input type="checkbox"/> Costs | |
| Entered | 10/31/2022 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | 140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack. | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 10/31/2022 09:51:15 | By | Imuniz | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | |

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Muniz, Luz

From: Washington, Derek
Sent: Friday, October 28, 2022 12:46 PM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR WILSON 10 28 2022
Attachments: OCR WILSON 10 28 2022.docx

Hello Luz,
Attached is an OCR for State Exclusion case, please assign to Randa.

Thanks
Derek

FLORIDA GAMING CONTROL COMMISSION,

Petitioner,

v.

DBPR Case No.: 2022-052207

ANTHONY WILSON,

Respondent.

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

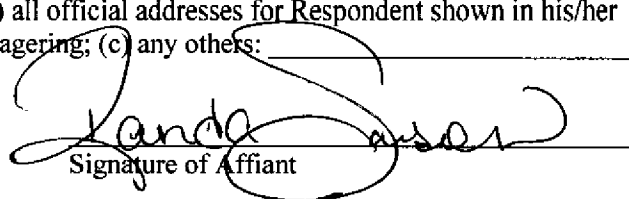
COMES NOW, the Affiant, an employee of the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) _____, Affiant made a diligent effort to locate Respondent, to serve: Administrative Complaint and related papers; ___ Subpoena(s); ___ Final order; ESO and related papers; ___ (other) _____.

(PLEASE CHECK APPLICABLE ANSWER)

Affiant made personal service on Respondent, on (date), February 27, 2023, at (location) St. Petersburg Kennel Club, Inc. (Derby Lane).

___ Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____


Signature of Affiant

STATE OF Florida
COUNTY OF Manatee

Before me, appeared Randa Samson; ___ who is personally known to me or ___ whose identity I proved on the basis of _____; and who acknowledges that his/her signature appears above.

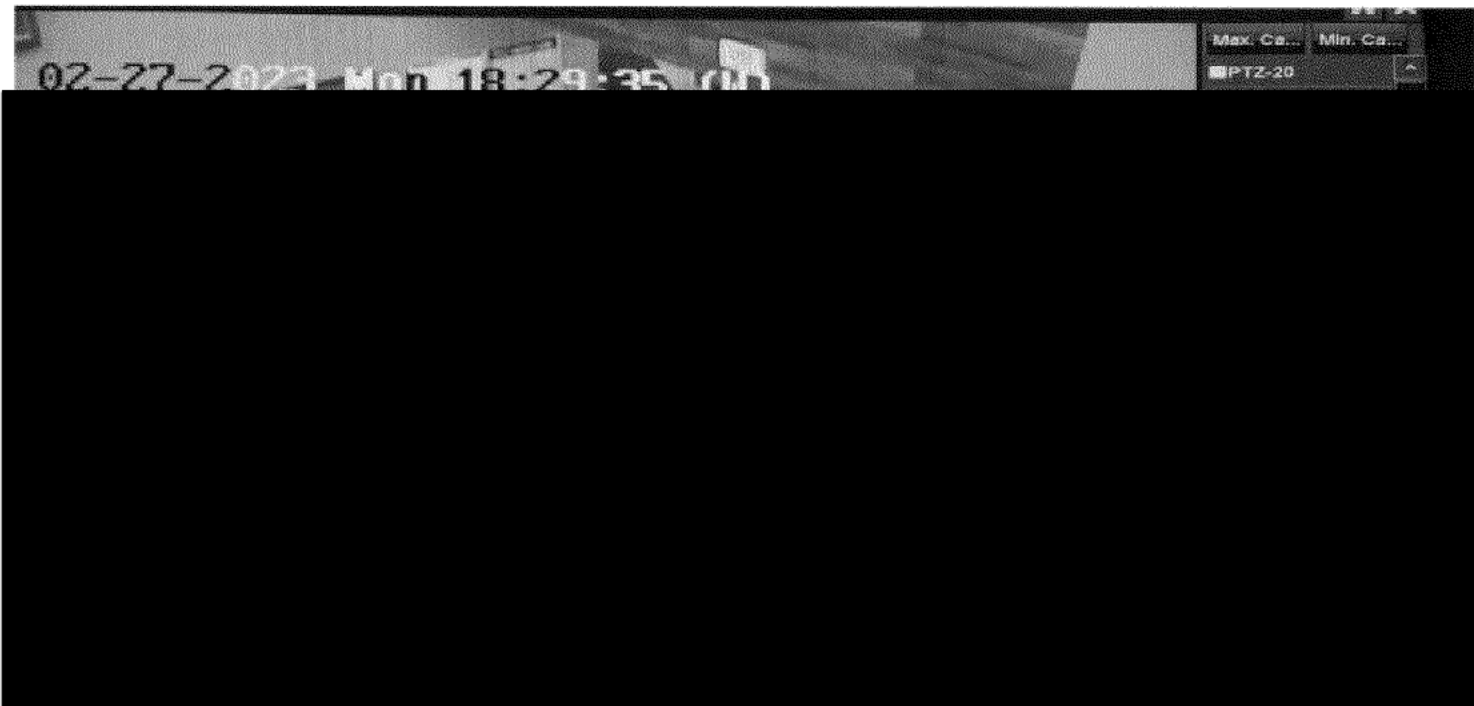
Sworn to or affirmed by Affiant before me this 27 day of February 2023.

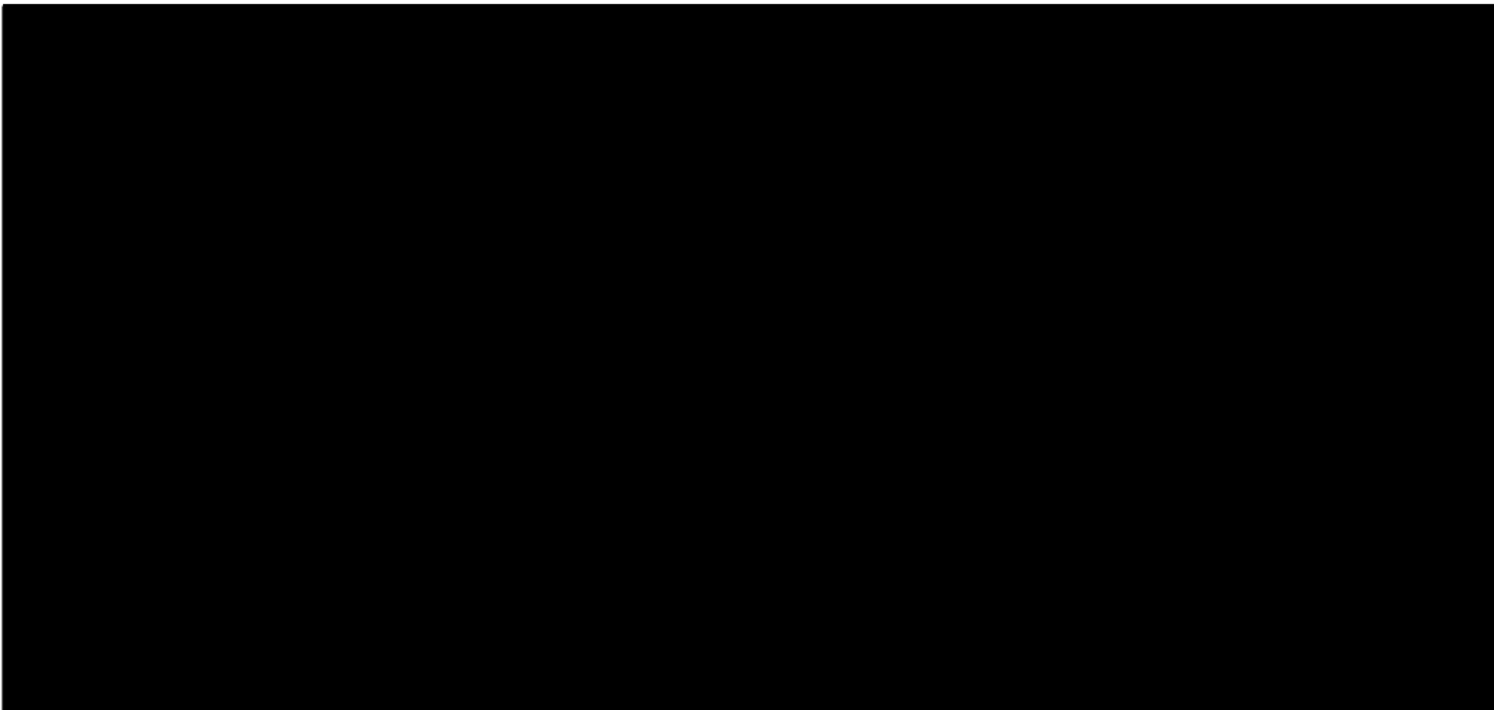
Rhonda Lipp
Notary Public

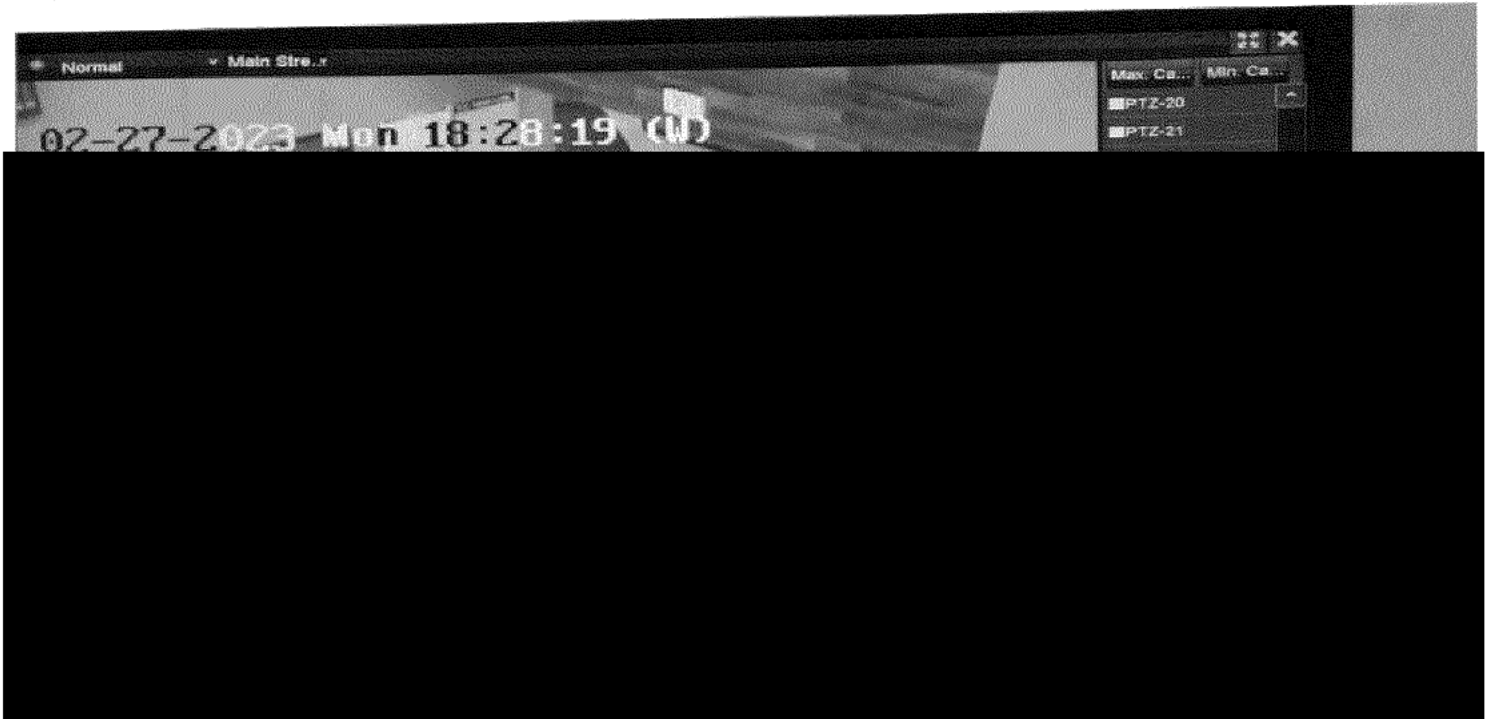
Rhonda Lipp
Type or Print Name



RHONDA LIPP
Commission # HH 226540
Expires March 12, 2026







[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) | [Complaint Search](#) | [Maintain Complaint](#)

| | | | |
|--|-----------------------------------|--|-------------------------------|
| Lic Type 1098 - Unlicensed Complaints | | Status 90 Closed | Status Date 11/28/2022 |
| Complaint # 2022052207 | Case Type CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent WILSON, ANTHONY | Responsible r am on SAMSON, RANDA | Private Case |

[Complaint](#) | [Re pondent](#) | [Complainant](#) | [Add'l Info](#)

| | | | | | |
|--|-------------------------------|-------------------------------------|-------------------------------|-------------------------------------|------------------------------|
| Source INTN - Internal | Security Level 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| Form INTR - Internal | Priority | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n V-C - Cardroom Violations | Complexity R - Regular | <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security STND - Standard | Incident 10/15/2022 | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region CR - Central Region | Received 10/28/2022 | <input type="checkbox"/> | Inspection | | |
| Reference 550.0251(6) & 61D-11.005(4) | | <input type="checkbox"/> | Co t | | |
| Entered 10/31/2022 | Entered By Imuniz | <input type="checkbox"/> | Time Tracking | | Auto Assign |
| Summary 140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack. | | <input type="checkbox"/> | Attachments | | History |
| | | <input type="checkbox"/> | Work Notes | | Print Report |
| Updated 11/28/2022 12:18:10 | By Imuniz | | | | |

[Change](#) | [Save](#) | [OK](#) | [Cancel](#) | [Back](#)


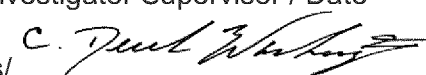

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

| | | | |
|--|---------------------------|---|---|
| Office: PMW | Region: CENTRAL | Date of Complaint: OCTOBER 31, 2022 | Case Number: 2022 05 2207 |
| Respondent: WILSON, ANTHONY [REDACTED] [REDACTED] | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # and Type: N/A / 1098 | | Profession: UNK | Report Date: NOVEMBER 8, 2022 |
| Period of Investigation: OCTOBER 18, 2022 – NOVEMBER 8, 2022 | | Type of Report: FINAL | |
| <p>Alleged Violation: 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation - (6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p> <p>61D-11.005 Prohibitions. - (4) No person shall, either directly or indirectly: (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator. (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> | | | |
| <p>Synopsis: On October 18, 2022, the Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed for stealing a 25K tournament chip off of another player's chip stack.</p> | | | |
| Related Case: | | | |
| Investigator / Date /s/  Randa Samson / November 8, 2022 | | Investigator Supervisor / Date /s/  C. Derek Washington / November 21, 2022 | |
| Chief of Investigations / Date /s/  Steven Kogan / November 28, 2022 | | | |

CONTINUATION

On October 18, 2022, I received an email from the Director of Poker Operations, Jacob Darrell **MAST (PMW LIC #7050031)**, at the **TAMPA GREYHOUND TRACK**. The email reported that a cardroom patron, identified as **ANTHONY WILSON**, received a one-year facility exclusion for stealing a 25K tournament chip from another player during a tournament on October 15, 2022. **(EXHIBITS #1)**

On November 8, 2022, at approximately 11:15 AM, during a routine bi-weekly cardroom inspection, I requested and received a copy of the saved surveillance video of the incident. **(EXHIBIT #2)**

A careful review of the video evidence of October 15, 2022, shows **WILSON** playing at Table #9 in seat #9 at approximately 5:22:10 PM. **WILSON** took the 25k tournament chip from a chip stack belonging to a player seated to Wilson's right in seat #8.

On November 8, 2022, at approximately 12:40 PM, I interviewed Floor Supervisor JASON EDWARDS **SOWARDS (PMW LIC# 10598540)**. **SOWARDS** stated that when he was notified by a player in seat #8 at table #9 that he was missing a 25k chip, **SOWARDS** told the player he would go back and review the surveillance video. **SOWARDS** said, while reviewing the surveillance video, he witnessed **WILSON** take the 25K chip from the player in seat #8 and place the chip on top of his own chip stack. **WILSON** is then seen sliding the 25K tournament chip under the table rail. **SOWARDS** said after he reviewed the surveillance video, he returned to the table and questioned **WILSON**. **WILSON** then claimed he found the chip under the rail.

TAMPA GREYHOUND TRACK banned **WILSON** for one year from **TAMPA GREYHOUND TRACK** and their sister company **TAMPA BAY DOWNS**. The facility declined to pursue any further charges.

Conclusion: Anthony **WILSON** violated Florida Rule 61D-11.005(4), and because he was excluded from the facility, **WILSON** may be considered for statewide exclusion under F.S.S. 550.0251(6).

Status: Investigation case closed, case forwarded to Legal for review.

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III. EXHIBITS

 1. E-MAIL.....1-1

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Samson, Randa

From: poker@luckycards.com
Sent: Tuesday, October 18, 2022 10:58 AM
To: Samson, Randa
Subject: TGT

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

[REDACTED]

[REDACTED]

Anthony Wilson -1 year- Took 25k tournament chip from another players stack

[REDACTED]

EXHIBIT #1
PAGE 1/1



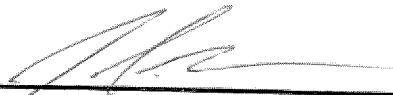
State of Florida
 Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 Investigations Section

PROPERTY RECEIPT

Complaint # 2022 05 2207 Date 11/8/22 Lab # _____

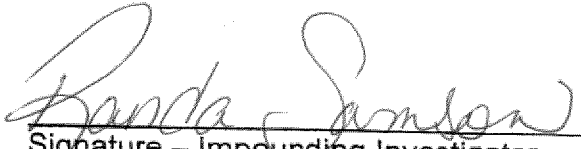
| Item No. | Quantity | Description |
|----------|----------|------------------------|
| | 1 | Red 18 GB Thumbdrive |
| | | Player Anthony Wilson |
| | | stealing 25k chip off |
| | | another Players stack. |
| | | |
| | | |
| | | |
| | | |

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.



Signature _____

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.



Signature - Impounding Investigator _____

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

Received by: _____ Date: _____

Received by: _____ Date: _____

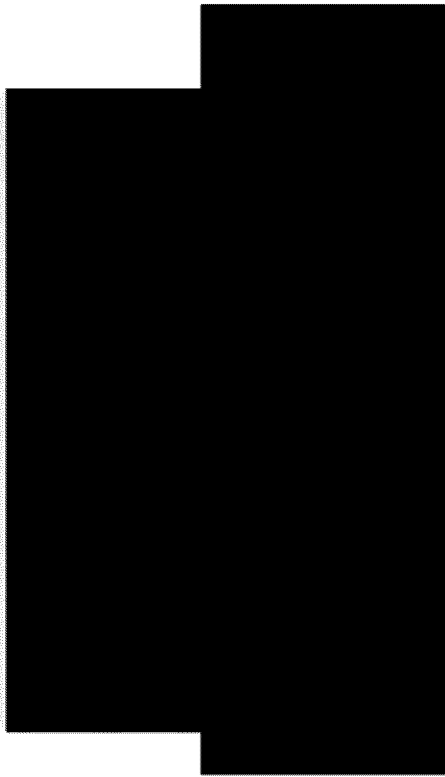
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT #2
 PAGE 1/2







| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

| | | | | |
|--------------------|-------------------------------|------------------|----------------------|------------------------|
| Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update |
| Mass Status Update | Public Case Info | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|-------------------------------------|------------|-------------------------------|-------------|--------------------------------|
| Lic Type | 1098 - Unlicensed Complaints | Status | 20 Under Investigation | Status Date | 10/31/2022 |
| Complaint # | 2022052207 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | WILSON, ANTHONY | Responsible | rsamson - SAMSON, RANDA |
| | | | | | Private Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|--|----------------|--------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 10/15/2022 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 10/28/2022 | <input type="checkbox"/> Inspection | |
| Reference | 550.0251(6) & 61D-11.005(4) | | | <input type="checkbox"/> Costs | |
| Entered | 10/31/2022 | Entered By | Imuniz | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | <p>140-TBDG Acquisition, LLC ----- / ----- . Prohibitions / The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation: On October 18, 2022, Director of Poker Operations Jacob Darrell MAST at TAMPA GREYHOUND TRACK informed me via E-mail that on October 15, 2022, ANTHONY WILSON, a cardroom patron was trespassed and excluded from the facility for stealing a 25K tournament off of another players stack.</p> | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 10/31/2022 09:51:15 | By | Imuniz | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

Get Adobe Reader.

Muniz, Luz

From: Washington, Derek
Sent: Friday, October 28, 2022 12:46 PM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR WILSON 10 28 2022
Attachments: OCR WILSON 10 28 2022.docx

Hello Luz,
Attached is an OCR for State Exclusion case, please assign to Randa.

Thanks
Derek

CONSOLIDATED MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Gilfredo Gonzalez v. FGCC; Case No. 2022-061179 and 2008-012886;
License Denial and Motion to Vacate and/or Amend Final Order
Date: October 4, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to adopt the hearing officer's recommended order in Case No. 2022-061179 denying Gilfredo Gonzalez's ("Applicant") application for a Pari-Mutuel Wagering Professional Individual Occupational License. Applicant applied for a Pari-Mutuel Wagering Professional Individual Occupational License on December 6, 2022. On February 9, 2023, the Commission filed a Notice of Intent to Deny Petitioner's license application based on a final order issued in Case No. 2008-012886 permanently excluding the Applicant from all pari-mutuel facilities in the state of Florida. Applicant requested an informal hearing pursuant to section 120.57(2), Florida Statutes, on April 3, 2023. A hearing was held on June 15, 2023, in Tallahassee, Florida. On August 29, 2023, the Applicant's counsel filed a motion to vacate and/or amend the final order in Case No 2008-012886. On October 3, 2023, the hearing officer recommended denying Applicant's license in Case No. 2022-061179.

Pertinent Facts

On December 6, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Pari-Mutuel Wagering Professional Individual Occupational License. Upon review of the completed application, it appears that Applicant was excluded from all pari-mutuel facilities in the state of Florida in 2010.

Prior to the informal hearing held on June 15, 2023, Applicant submitted two letters of recommendation. At the informal hearing, one witness testified for the Applicant as to his good moral character. Applicant also testified as to the circumstances that led to his exclusion, and his motivation to apply for a Pari-Mutuel Wagering Professional Individual Occupational License. On August 29, 2023, the Applicant's counsel filed a motion to vacate and/or amend the final order in Case No 2008-012886 asking the Commission to find that Respondent's attendance at pari-mutuel facilities in Florida is not adverse to the public interest or to the integrity of the sport

or industry, to vacate the provisions of the Final Order in this matter to the extent that the order excludes Mr. Gonzalez from pari-mutuel facilities other than Gulfstream Park Racing and Casino, order that the Final Orders in this case, Case No. 2011-037755, and Case No. 2013-013267¹ not serve, either individually or collectively, as a basis for denial in Case No. 2022-061179, and grant any other relief deemed appropriate. Following the June 15, 2023 hearing, the hearing officer issued a recommended order denying Applicant’s license.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons.”

Section 550.0251(6), Florida Statutes, provides in pertinent part that:

“[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.”

¹ Petitioner was denied a license in two prior occasions following his initial exclusion from all pari-mutuels in the state of Florida.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

GILFREDO E. GONZALEZ

Petitioner,

v.

FGCC Case No. 2022-061179

FLORIDA GAMING CONTROL
COMMISSION, DIVISION OF
PARI-MUTUEL WAGERING

HEARING OFFICER'S RECOMMENDED ORDER

THIS MATTER came before Elizabeth K. Stinson, designated Hearing Officer for the Florida Gaming Control Commission ("Commission"), on June 15, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of Mr. Gilfredo E. Gonzalez's ("Petitioner") petition for a hearing in response to the Commission's Letter of License Denial filed in FGCC Case Number 2022-061179. The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Respondent was represented by Andriy Lytvyn, Esq. ("Mr. Lytvyn") and the hearing was held via video conference. Both sides were permitted to present witnesses, proffer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about December 6, 2022, Petitioner submitted to the Commission a Pari-Mutuel Wagering Professional Individual Occupational License application.
2. On or about February 9, 2023, the Commission filed a Letter of License Denial, seeking to deny Petitioner's license application based on his 2010 exclusion from all pari-mutuel facilities in the state of Florida.

3. On or about April 3, 2023, Petitioner sent an email to the Commission requesting an informal hearing, not disputing any issues of material fact, and briefly explaining why he should be granted a license.

4. Prior to the hearing, Petitioner submitted two letters of recommendation to the Commission.

5. The first letter was from Petitioner's attorney and son-in-law, Mr. Lytvyn. In the letter, Mr. Lytvyn stated that Petitioner is a good, honest man with high morals and integrity.

6. The second letter was from Pamela Palumbo, the human resource clerk at Hard Rock Casino in Tampa, Florida. She stated that Petitioner has been an employee at the Hard Rock Casino since March 2021. She also stated that he originally was hired at the Hard Rock Casino in July of 2008.

The June 15, 2023, Informal Hearing

7. A hearing pursuant to section 120.57(2), Florida Statutes, was held on June 15, 2023, during which the Commission presented the issues raised in the Letter of License Denial.

8. At the hearing, the Hearing Officer granted the Commission's motion to accept the findings of fact in the Letter of License Denial as the undisputed facts in the case and accepted the investigative packet into the record.

9. The investigative packet contained information regarding DBPR case number 2013-013267.

10. DBPR case number 2013-013267 was a license denial case in which Petitioner was contesting the denial of his Pari-Mutuel Wagering Professional Individual Occupational License application due to Petitioner's permanent statewide exclusion from all licensed pari-

mutuel facilities in the state of Florida in 2010 in DBPR case number 2008-012886.

11. In the Final Order for DBPR case number 2013-013267, the Division accepted the recommendation of the hearing officer to deny Petitioner's license application, without prejudice to re-apply at such time that the Petitioner (i) submits documentation to the Division that he has been officially removed from the exclusion list at Gulfstream Park, and (ii) successfully petitions the Division to vacate or suspend the exclusion order against him.

12. At the hearing, Petitioner presented one witness to testify to his character, Mr. Lytvyn.

13. Mr. Lytvyn personally testified that he has known Petitioner since around 2003 to 2004 and has always known him to be someone he can trust, respect, and has good character.

14. Mr. Lytvyn also stated that he is on the certification board for the IP section of the Florida Bar and due to this role, he is a good judge of moral character.

15. Mr. Lytvyn also presented the case as Petitioner's attorney stating that Petitioner has been in the horse racing industry since he was a child.

16. Mr. Lytvyn stated that Petitioner's father worked as a jockey and Petitioner worked with his father at a young age with a valid license in the Boston area. He explained that Petitioner has held all positions in the horse racing industry.

17. Mr. Lytvyn further testified that Petitioner eventually moved to New Jersey where he began working as a cardroom dealer. He worked for thirty years with no incident other than the incident that led to his exclusion.

18. Mr. Lytvyn testified that in 2008 Petitioner was excluded in the state of Florida for improperly taking a five-dollar chip. Following this permanent exclusion, he began working with the Hard Rock Casino in Hollywood, Florida. During his fifteen years

at the Hard Rock Casino, Mr. Lytvyn testified that Petitioner has had a spotless record.

19. Mr. Lytvyn explained that Petitioner moved to Tampa, Florida to be with his father who was ill and has since passed away.

20. Petitioner then testified on his own behalf.

21. Petitioner personally testified that he regrets and apologizes for the mistake that led to his permanent exclusion.

22. Petitioner explained that he is not the same person that he was before and has never made the same mistake since this incident happened. He testified that reliving this incident is embarrassing for him because he has turned his life around.

23. Petitioner further explained that he would like his Pari-Mutuel Wagering Professional Individual Occupational License in order to work around horses again but does not want to obtain a cardroom license.

24. Petitioner testified that it is his dream to work in the horse industry because his son works in the industry, and he would like to be able to join his son in the backside of Tampa Bay Downs.

25. He testified that he has been wanting to obtain a Pari-Mutuel Wagering License but waited to apply to show good moral character.

FINDINGS OF FACT

26. On or about February 27, 2008, Petitioner was observed taking poker chips while working as a poker dealer at Gulfstream Park Racing & Casino, a licensed pari-mutuel facility in the State of Florida.

27. On January 20, 2010, Petitioner was permanently excluded from all pari-mutuel facilities in the State of Florida in DBPR case number 2008-012886.

CONCLUSIONS OF LAW

28. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

29. The Commission has jurisdiction over this matter pursuant to chapters 120 and 550, Florida Statutes.

30. Section 550.105(5)(b), Florida Statutes, states, in pertinent part, that “[t]he Commission may deny, suspend, revoke, or declare ineligible any occupational license application if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons.”

31. Section 550.0251(6), Florida Statutes, states, in pertinent part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

32. Rule 75-11.005(4), Florida Administrative Code, provides: No person shall, either directly or indirectly:

- (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
- (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
- (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

33. Petitioner’s license was previously denied due to Petitioner’s statewide exclusion from all pari-mutuel facilities in the state of Florida in DBPR Case No. 2008-012886.

34. Petitioner's exclusion was due to Petitioner stealing a chip from a licensed pari-mutuel wagering facility. Stealing a chip is a violation of Rule 75-11.005(4), Florida Administrative Code, which states that no person shall, "engage in any act... that would constitute a deceit upon any participant or the cardroom operator."

35. In a Final Order in DBPR case number 2013-013267, Petitioner's Pari-Mutuel Wagering Professional Individual Occupational License was denied without prejudice to re-apply at such time when Petitioner submits documentation to the Division that he has been officially removed from the exclusion list at Gulfstream Park, and successfully petitions the Division to vacate or suspend the exclusion order against him.

36. Petitioner presented no evidence that either one of these conditions have been met.

37. Additionally, Petitioner was excluded from all pari-mutuel facilities in the state in 2008, and therefore ineligible for employment at a pari-mutuel facility.

38. Alternatively, pursuant to section 550.0251(6), Florida Statutes, the Commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry.

39. There is competent substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission enter a Final Order DENYING Petitioner's application for a Pari-Mutuel Wagering Professional Individual Occupational License without prejudice to re-apply at such time that the Florida Gaming Control Commission finds that the attendance of Petitioner at pari-mutuel facilities would not be adverse to the public

interest or to the integrity of the sport or industry, and Petitioner is no longer excluded from all pari-mutuel facilities in the state of Florida.

This Recommended Order in FGCC Case Number 2022-061179 is submitted this 3rd day of October, 2023.

/s/ Elizabeth K. Stinson
Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 3rd day of October, 2023, that a true copy of the foregoing

“Hearing Officer’s Recommended Order” has been provided by e-mail to:

Daniel J. McGinn
Counsel for Petitioner
dmcginn@deanmead.com

Emily A. Alvarado
Counsel for Respondent
Emily.Alvarado@flgaming.gov

/s/ Elizabeth K. Stinson
Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

GILFREDO E. GONZALEZ

Petitioner,

v.

FGCC Case No.: 2022-061179

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.

_____ /

RESPONDENT’S PROPOSED RECOMMENDED ORDER

THIS MATTER came before Elizabeth Stinson, designated Hearing Officer for the Florida Gaming Control Commission (“Commission”), on June 15, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of Mr. Gilfredo E. Gonzalez’s (“Petitioner”) petition for a hearing in response to the Commission’s Letter of License Denial filed in FGCC Case Number 2022-061179. The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Respondent appeared represented by Andriy Lytvyn, Esq. (“Mr. Lytvyn”). and the hearing was held via video conference. Both sides were permitted to present witnesses, offer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about December 6, 2022, Petitioner submitted to the Commission a Pari-Mutuel Wagering Professional Individual Occupational License application.
2. On or about February 9, 2023, the Commission filed a Letter of License Denial, seeking to deny Petitioner’s license application based on his 2010 exclusion from all pari-mutuel facilities in the state of Florida.

3. On or about April 3, 2023, Petitioner's sent an email to the Commission requesting an informal hearing, not disputing any issues of material fact, and briefly explaining why he should be granted a license.

4. Prior to the hearing, the Commission received two letters of recommendation from Petitioner.

5. The first letter was from Petitioner's attorney and son-in-law, Mr. Lytvyn. Mr. Lytvyn stated that Petitioner is a good, honest man with high morals and integrity.

6. The second letter was from Pamela Palumbo, the human resource clerk at Hard Rock Casino. She stated that Petitioner has been an employee at the Hard Rock Casino since March 2021. She also stated that he originally was hired at the Hard Rock Casino in July of 2008.

7. A hearing pursuant to section 120.57(2), Florida Statutes, was held on June 15, 2023, during which the Commission presented the issues raised in the Letter of License Denial.

8. At the hearing, Petitioner presented one witness to testify to his character, Mr. Lytvyn.

9. Mr. Lytvyn personally testified that he has known Petitioner since around 2003 to 2004 and has always known him to be someone he can trust, respect, and having good character.

10. He also stated that he is on the board certification for the IP section of the Florida Bar and due to this role, he has good judgment for good moral character.

11. Mr. Lytvyn also presented the case as Petitioner's attorney stating that Petitioner has been in the horse racing industry since he was a child.

12. He stated that Petitioner's father worked as a jockey and Petitioner worked with his father at a young age with a valid license in the Boston area. He explained that Petitioner has held all positions in the horse racing industry.

13. He further testified that Petitioner eventually moved to New Jersey where he began working as a cardroom dealer. He worked for thirty years with no incident other than the incident that lead to his exclusion.

14. Mr. Lytvyn testified that in 2008 Petitioner was excluded in the state of Florida for improperly taking a five-dollar chip. Following this permanent exclusion, he began working with the Hard Rock Casino. During his fifteen years at the Hard Rock Casino, Petitioner has had a spotless record.

15. Mr. Lytvyn explained that Petitioner moved to Tampa, Florida to be with his father who was ill and has since passed away.

16. Petitioner personally testified that he regrets and apologizes for the mistake that lead to his permanent exclusion.

17. He explained that he is not the same person that he was before and has never made the same mistake since this incident happened. He testified that reliving this incident is embarrassing for him because he has turned his life around.

18. He further explains that he would like his Pari-Mutuel Wagering Professional Individual Occupational License in order to work around horses again but does not want to obtain a cardroom license.

19. He testified that it is his dream to work in the horse industry because his son works in the industry and he would like to be able to join him in the backside of Tampa Bay Downs.

20. He testified that he has been wanting to obtain a Pari-Mutuel Wagering License but waited to apply to show good moral character.

21. At the hearing, the Hearing Officer granted the Commission's motion to accept the findings of fact in the Letter of License Denial as the undisputed facts in the case and accepted the investigative packet into the record.

FINDINGS OF FACT

22. On or about February 27, 2008, Petitioner was observed taking poker chips while working as a poker dealer at Gulfstream Parking Racing & Casino.

23. On January 20, 2010, Petitioner was permanently excluded from all pari-mutuel facilities in the state of Florida in DBPR case number 2008-012886.

CONCLUSIONS OF LAW

24. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

25. The Commission has jurisdiction over this matter pursuant to chapters 120 and 550, Florida Statutes.

26. Section 550.105(5)(b), Florida Statutes, states, in pertinent part, that "[t]he Commission may deny, suspend, revoke, or declare ineligible any occupational if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons."

27. Section 550.10251(6), Florida Statutes, states, in pertinent part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority

exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

28. Rule 75-11.005(4), Florida Administrative Code, provides:

No person shall, either directly or indirectly:

(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.

(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.

(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

29. There is competent substantial evidence to support the conclusions of law.

PROPOSED RECOMMENDED ORDER

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby requested that the Hearing Officer enter a Recommended Order DENYING Petitioner's application for a Pari-Mutuel Wagering Professional Individual Occupational License.

This Proposed Recommended Order in FGCC Case Number 2022-061179 is submitted this 30th day of June, 2023.

s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

CERTIFICATE OF SERVICE

I hereby certify this 30th day of June, 2023, that a true copy of the foregoing “Respondent’s Proposed Recommended Order” has been provided by mail to:

Gilfredo Gonzalez
460 Cypress Lake Court
Oldsmar, Florida 34677

GONZALEZ, GILFREDO
Case No. 2022-061179
Informal Hearing
Packet

Documents Included in Case File

- Exhibit 1 Cover Letter
Exhibit 2 Notice of Informal Hearing
Exhibit 3.....Letter of License Denial
Exhibit 4 Election of Rights
Exhibit 5 Report of Investigation



FLORIDA GAMING CONTROL COMMISSION

CHARLES "C.B." UPTON, CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

May 10, 2023

Gilfredo Gonzalez
460 Cypress Lake Court
Oldsmar, FL 34677

RE: FGCC v. Gilfredo Gonzalez
Case No.: 2022-061179

Dear Ms. Patel:

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Thursday, May 25, 2023.** Please read the Notice of Hearing for more details about the date, time, location and instructions for the hearing. A copy of the Commission's case file has been mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

Please note: If you choose not to attend the hearing in person or by video conference, we will be conducting the hearing telephonically; you will be contacted **between 10:00 AM and 12:00 PM (EST) at the following number: (904) 454-9762.** If that number is incorrect, please contact me as soon as possible to notify me of the correct number at which to reach you. Failure to answer the telephone, promptly return a voicemail, or hold an open line will result in the hearing proceeding without you.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing;
2. Approximately 14 to 21 days after the hearing, Proposed Recommended Orders, or recommendations for what the Hearing Officer's ruling should be, are sent to Hearing Officer;
3. Approximately 21 to 45 days after the Proposed Recommended Orders are submitted, the Hearing Officer will submit his or her recommended ruling to the Clerk of the Commission's office;
4. A Final Order will be issued within approximately 90 days after the date of the hearing. The Final Order is final agency action and will describe the resolution of your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at 850-717-1663 or Ebonie.Lanier@flgaming.gov.

Sincerely,

/s/ Ebonie Lanier

Ebonie Lanier
Administrative Assistant III

Enclosures: Notice of Hearing and Case File

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-061179

GILFREDO GONZALEZ,

Respondent.

NOTICE OF TELEPHONIC HEARING

TO: Gilfredo Gonzalez
460 Cypress Lake Court
Oldsmar, FL 34677

YOU ARE HEREBY NOTIFIED that the Commission's designated Hearing Officer will conduct a hearing in this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present oral or written evidence, you must attend the hearing. The hearing is scheduled for **Thursday, May 25, 2023. If you choose not to attend the hearing in person or by video conference, the Hearing Officer will call you at (954) 849-0711 sometime between 10:00 a.m. – 12:00 p.m. (EST).** Please be available to take the Hearing Officer's call. Failure to answer the telephone, promptly return a voicemail, or hold an open line may result in the hearing proceeding without you.

You may elect to attend the hearing in person or by video conference via GoToMeeting. If you wish to do so, you must contact the Commission by email at Ebonie.Lanier@flgaming.gov or telephone at (850) 717-1663, at least seven (7) days prior to your hearing date. If you do not elect to attend by video conference or in person, the hearing will automatically be held by telephone only. You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence

FGCC vs. Gilfredo Gonzalez
FGCC Case No. 2022-061179

that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.stinson@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at elizabeth.stinson@flgaming.gov at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to: the above-named parties via certified mail, on this 10th day of May, 2023.

By: /s/ Ebonie Lanier

Ebonie N. Lanier
Administrative Assistant III
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1663
Facsimile: (850) 921-1311
Ebonie.Lanier@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier at (850) 717-1663. If you are hearing or speech impaired, please contact the agency by calling 1-800-955-8771.



FLORIDA
GAMING CONTROL
COMMISSION

MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

February 9, 2023

2/09/2023

Mr. Gilfredo Gonzalez
460 Cypress Lake Ct.
Oldsmar, Florida 34677

Letter of License Denial

Dear Mr. Gonzalez:

This Letter of License Denial ("Denial") serves to inform you that your application for a Pari-Mutuel Wagering General Individual Occupational License is denied in accordance with the provisions of chapters 550, Florida Statutes, and the rules promulgated thereto. This Denial is based upon the following:

1. 01/20/2010 – Excluded from all pari-mutuel facilities in the state of Florida, by the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, in DBPR Case Number 2008-012886.

This License Denial and Revocation are grounds for denial of licensure under section 550.105(5), Florida Statutes. You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to section 120.57, Florida Statutes, you may request a hearing to challenge the Division's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

Melba L. Apellaniz
Clerk of the Commission

Attachment: Notice of Rights

OFFICE OF THE GENERAL COUNSEL
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

CERTIFICATE OF SERVICE

I hereby certify this 9th day of FEBRUARY, 2023, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Mr. Gilfredo Gonzalez
460 Cypress Lake Ct.
Oldsmar, Florida 34677



CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2022-061179

7020 2450 0000 5094 3676

| | |
|--|--------------------------|
| U.S. Postal Service™ | |
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| Domestic Mail Only | |
| For delivery information, visit our website at www.usps.com ®. | |
| OFFICIAL USE | |
| Certified Mail Fee | |
| \$ | |
| Extra Services & Fees (check box, add fee as appropriate) | |
| <input type="checkbox"/> Return Receipt (hardcopy) | \$ |
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| Sent To | Gilfredo Gonzalez |
| Street and Apt. | 460 Cypress Lake Ct. |
| City, State, ZIP+4® | Oldsmar, FL 34677 |
| | LLD 2022061179 PMW |
| PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions | |

2/9/23

NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Division within 21 days of your receipt of this notice.

If the Division determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Division will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

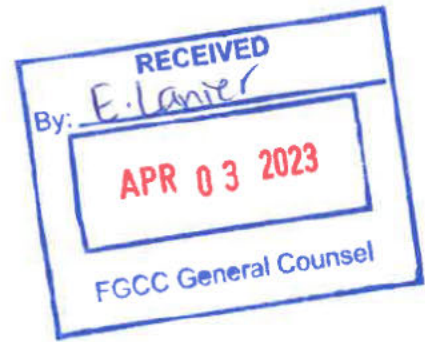
In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: Clerk@fgcc.fl.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

4/03/2023



Gilfredo Gonzalez
460 Cypress Lake Court
Oldsmar, FL 34677
April 1, 2023

Marc D. Taupier
Chief Attorney
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL 32399

Case No.: 2022-061179
Subject: Response to Letter of License Denial

Dear Florida Gaming Control Commission:

On March 24, 2023, via USPS Certified Mail, I received the Letter of License Denial dated March 7, 2023, notifying me about the deficiencies in my hearing request dated February 23, 2023. Pursuant to rules 28-106.111(2) and 28-106.301, I hereby respectfully petition for an informal hearing to explain the reasons why the Florida Gaming Control Commission ("the Commission") should grant my occupational license application, as set forth below.

Florida Statute 120.569(c) provides that "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission." The reason for the denial of my license application is based on an infraction that occurred in March of 2008. The incident occurred at Gulfstream Park Racing in Hollandale Beach, Florida, where I was working in a poker room and improperly placed a \$5 chip from the bank into my tip jar. This incident was resolved internally and did not lead to any criminal charges. I sincerely regret this infraction and respectfully submit that this was an isolated incident due to a momentary lapse of judgment and is not representative of my character and the high moral and ethical standards to which I hold myself.

I request the Commission to consider my successful 14-year employment record with the Hard Rock Hotel & Casino ("the Hard Rock") as evidence of my good moral character and my demonstrated ability to work in the gaming industry with honesty, integrity, and professionalism. In July 2008, I started working as a table games dealer at the Hard Rock in Hollywood, Florida. In October 2012, the Hard Rock promoted me to a position of the Table Games Supervisor—which is a testament to my good character, reliability, and diligent work ethic. In this role, I continued to build my

April 1, 2023

Page 2

reputation and impeccable record of professionalism in the gaming industry. As a result, in March 2021, the Hard Rock offered me a highly esteemed position of the Table Games Pit Manager at the Hard Rock Casino in Tampa, Florida. I have accepted that offer and have been thriving and continue to thrive in this role. In support of my good moral character that I have continuously demonstrated over my 14-year tenure with the Hard Rock, I am enclosing a letter of good standing from this organization.

In addition, I am enclosing a letter of good character from Andriy Lytvyn, who is a member of the Florida Bar and has known me personally for over a decade.

If the Commission considers my good moral character and my full and complete rehabilitation from the isolated incident that occurred in March 2008 to be disputed material facts, I hereby request an informal hearing to address these issues. If the Commission determines that my good moral character and rehabilitation are not disputed facts, I request, pursuant to Florida Statute 120.569(c), reversal of the Commission's denial of my occupational license application. Finally, the Notice of Rights enclosed with the Commission's letter provides that even "[i]f there are no disputed issues of material fact, the Division will schedule an informal hearing" when such hearing is requested. Thus, pursuant to the pertinent Florida statutes and rules and based on the explanation and the evidence set forth herein, I hereby respectfully request an informal hearing and also request that my occupation license application be granted.

Very Respectfully,

A handwritten signature in black ink that reads "Gilfredo Gonzalez". The signature is written in a cursive, flowing style.

Gilfredo Gonzalez

Enclosure

Andriy Lytvyn
8721 Palisades Dr
Tampa, FL 33615
April 2, 2023

Marc D. Taupier
Chief Attorney
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL 32399

Case No.: 2022-061179
Subject: Letter of Good Character

Dear Florida Gaming Control Commission:

My name is Andriy Lytvyn, and I am Florida Bar Board Certified attorney. As a member of the Florida Bar and a practicing attorney, I know what constitutes good moral character.

Gilfredo Gonzalez is my father-in-law, and I have known him for over a decade. We see each other frequently, and during the time spent together, I got to know Mr. Gonzalez well. I wholeheartedly trust Mr. Gonzalez and believe him to be a good, honest man of high morals and integrity.

If you have any questions or would like me to provide additional information, my cell phone number is 617-820-4988 and my email is andriy.lytvyn@hwhlaw.com.

Sincerely,



Andriy Lytvyn
Attorney at Law
FL Bar No. 100298

04/03/2023

Seminole Hard Rock Hotel & Casino – Tampa
5223 N. Orient Rd.
Tampa, FL 33610

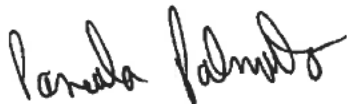
Re: Gilfredo Gonzalez

To Whom It May Concern:

Gilfredo Gonzalez has been employed with the Seminole Hard Rock Hotel & Casino – Tampa since 03/25/2021. He is working Full Time, 40 hours a week as a Table Games Floor Supervisor and he makes \$33.02 an hour. *OT and Bonus expected but not guaranteed. He previously worked at the Seminole Hard Rock Hotel & Casino - Hollywood with a hire date of 07/28/2008.

If you have any questions, please feel free to contact me at 813.627.7880

Sincerely,



Pamela Palumbo
Human Resources Clerk
5223 Orient Road
Tampa, FL 33610
813.627.7880
Fax: 813-621-1153

5223 ORIENT ROAD | TAMPA, FL 33610 | T: 813.627.7625 (ROCK) | F: 813.623.6862

seminolehardrock.com

PRINTED ON RECYCLED PAPER. SAVE THE PLANET.



Licensing Administrator Review – PMW Occupational License

RE: GONZALEZ, GILFREDO– E# 6143103
 (APPLICANT'S NAME– LICENSE #)

Case No: 2022061179


| | |
|--------------------------------|-----------------|
| INITIAL APPLICATION RECEIVED: | 11/8/2022 |
| COMPLETE APPLICATION RECEIVED: | 12/6/2022 |
| 90-DAY DEADLINE: | 3/6/2023 |

Miriam Achens
 Application Processor

325 - Calder Race Crs
 Facility (d/b/a name)

ATRN - Asst. Trainer/1021
 Occupation/Job Title/ Lic Type

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

| Licensing Administrator Review | | | | |
|---|-----------------|-----------------------|--------------|--------------------|
| Conviction | | | | |
| Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input type="checkbox"/> No | | | | |
| <input type="checkbox"/> Felony : Count(s) | | | | |
| <input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | |
| <input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only) | | | | |
| Comments: | | | | |
| Arrest Date | Location | Charge | Level | Disposition |
| 3/8/1996 | Pinellas Co, FL | Obstruct w/o violence | M1 | Adj. Whh. |
| Disposition Unknown | | | | |
| <input type="checkbox"/> Felony Arrest(s) : | | | | |
| <input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | |
| Enforcement/Jurisdiction Offenses | | | | |
| <input checked="" type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. - PMW Case 2008012886 | | | | |
| Related Licenses | | | | |
| Check VR License Relations to Determine if Applicant is Related to a Business. | | | | |
| Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below. | | | | |
| Business License Number: | | Business Name: | | |
| Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/> | | | | |
| Date Deficiency Letter Issued: | | Initials: | | |
| Licensing Administrator Review | | | | |
| Disposition Confirmation | | | | |
| Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval | | | | |
| Forward to <input checked="" type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director Initials:  12/6/22 | | | | |
| Comments: see Case 2008 01 2886 | | | | |

RECEIVED
NOV 08 REC'D
18



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

| PERSONAL INFORMATION | | | | | |
|--|----------|---|--------|--------|--------|
| Social Security Number/Federal Employer ID Number | | | | | |
| IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Last Name | First | Middle | Title | Suffix | |
| GONZALEZ | Gilfredo | | | | |
| Birth Date (MM/DD/YYYY) | | | | | |
| [REDACTED] | | | | | |
| IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Representative's Name Last | | First | Middle | Title | Suffix |
| Permitholder Name | | | | | |
| Official Capacity | | | | | |
| ATTEST STATEMENT | | | | | |
| I, <u>Gilfredo Gonzalez</u> , do hereby instruct all law enforcement | | | | | |
| (name of applicant/representative) | | | | | |
| or criminal justice agencies, present and former employers or institutions with whom I or my business | | | | | |
| have a present or past business relationship, as well as all present or past social associates, to release all | | | | | |
| requested information to the bearer of this release form, who is an authorized representative of the State | | | | | |
| of Florida, Department of Business and Professional Regulation. | | | | | |
| I further authorize any individual, agency, corporation, or other entity to release any and all information | | | | | |
| requested by the bearer of this release form with respect to myself or my business. Additionally, I do | | | | | |
| release such individuals or entities from any and all liability due to the release of information requested. | | | | | |
| (if individual applicant - legal name and any nickname or alias in parentheses) | | | | | |
| Applicant/Representative Signature: | | Date: 11-8-2022 | | | |
| [Signature] | | [Signature] | | | |
| NOTARIZATION | | | | | |
| The foregoing application was sworn to and subscribed before me this 8 Day of Nov. 20 22 | | | | | |
| by <u>GILFREDO GONZALEZ</u> | | [Signature] | | | |
| Type or print name of applicant | | Signature of applicant | | | |
| who is personally known to me or who has produced the following as identification. | | | | | |
| [REDACTED] | | | | | |
| Type of identification | | | | | |
| [Signature] | | Signature of person taking acknowledgment | | | |
| Notary Seal (Rubber Stamp and Expiration) | | | | | |
| | | | | | |

RECEIVED
FLORIDA STATE CONTROL COMMISSION
2022 NOV 15 PM 3:13

RECEIVED
NOV 08 REC'D
BY: YB



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

TO: Gilfredo Gonzalez
Full Legal Name of Applicant



Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
- Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Expired, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:
- New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, time requirement regarding the processing of this application.

Gilfredo Gonzalez
Signature of Applicant

11-8-2022
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3185 - REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

RECEIVED
2022 NOV 11 PM 3:11
FLORIDA GAMING
CONTROL COMMISSION



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARIMUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
NOV 08 2022
10
SIGN & DATE

| PERSONAL INFORMATION | | | | | |
|--|----------|--------|------------------------|--------|--|
| Social Security Number/Federal Employer ID Number [REDACTED] | | | | | |
| IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Last Name | First | Middle | Title | Suffix | |
| Gonzalez | Gilfredo | | | | |
| Birth Date (MM/DD/YYYY) | | | | | |
| [REDACTED] | | | | | |
| IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Representative's Name: Last First Middle Title Suffix | | | | | |
| Permitholder Name | | | | | |
| Official Capacity | | | | | |
| ATTEST STATEMENT | | | | | |
| I, <u>Gilfredo Gonzalez</u> do hereby instruct all law enforcement | | | | | |
| (name of applicant/representative) | | | | | |
| or criminal justice agencies, present and former employers or institutions with whom I or my business | | | | | |
| have a present or past business relationship, as well as all present or past social associates, to release all | | | | | |
| requested information to the bearer of this release form, who is an authorized representative of the State | | | | | |
| of Florida, Department of Business and Professional Regulation. | | | | | |
| I further authorize any individual, agency, corporation, or other entity to release any and all information | | | | | |
| requested by the bearer of this release form with respect to myself or my business. Additionally, I do | | | | | |
| release such individuals or entities from any and all liability due to the release of information requested. | | | | | |
| <u>Gilfredo Gonzalez</u> | | | | | |
| (if individual applicant - legal name and any nicknames or alias in parentheses) | | | | | |
| Applicant/Representative Signature: <u>[Signature]</u> Date: <u>11-8-2022</u> | | | | | |
| NOTARIZATION | | | | | |
| The foregoing application was sworn to and subscribed before me this <u>8</u> Day of <u>Nov.</u> 20 <u>22</u> | | | | | |
| by <u>GILFREDO GONZALEZ</u> | | | <u>[Signature]</u> | | |
| Type or print name of applicant | | | Signature of applicant | | |
| who is personally known to me or who has produced the following as identification. | | | | | |
| <u>FL DL G524280-60-185-0</u> | | | | | |
| Type of identification | | | | | |
| <u>[Signature]</u> | | | | | |
| Signature of person taking acknowledgment | | | | | |
| Notary Seal (Rubber Stamp and Expiration) | | | | | |
| | | | | | |

FLORIDA PARIMUTUEL WAGERING CONTROL COMMISSION

RECEIVED
SIGN & DATE
11-09-22

SIGN & DATE

Previous AP#

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NOV 08 2008
REC'D
YRS

6143103

BACKGROUND INFORMATION ATTACH ADDITIONAL PAGES AS NECESSARY

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or not guilty to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCED |
|---------------------|-----------|-------|---------------|------------------------|----------------|
| 4/22/1996 | Pineellas | FL | Opnose Office | Misde | NOV 15 PM 3:18 |
| | | | | | |
| | | | | | |

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Work in poker room at Gulfstream Park, I was let go for taking a \$5.00 chip extra from my bank Very Embarrassing (2008 year) I regret

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 400.2577, 400.2698, and 550.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 550.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or not guilty to any disqualifying offense, regardless of adjudication.

Signature of Applicant

24-7-11 0-000000 Date

11-8-2008

RECEIVED
 NOV 8 RECD
 BY: YB
 DBPR PMW-3120 - Individual Occupational License Application

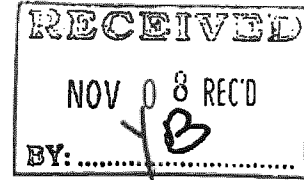
Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|---|---------------------------------|---|--|
| Social Security Number | Birth Date (MM/DD/YYYY) | Gender | <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female |
| Last Name <u>GONZALEZ</u> | | First <u>Gilfredo</u> | Middle Suffix |
| Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, list the name or names used: <u>Gilfredo Gonzalez Rodriguez</u> | | | |
| Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input checked="" type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Current Mailing Address | | Email Address (optional) | |
| <u>460 Cypress Lake Ct</u> | | | |
| City <u>DUDMAN</u> | State <u>FL</u> | Zip Code (+4 optional) <u>34677</u> | Country, if other than USA |
| Primary Phone Number | | Secondary/Cell Phone Number (optional) | |
| | | | |
| Current Street Address | | | |
| <u>8840 NW 11 St</u> | | | |
| City <u>Pembroke Pines</u> | State <u>FL</u> | Zip Code (+4 optional) <u>33009</u> | Country, if other than USA |
| Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input checked="" type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee | | Facility where employed and/or doing business: <u>TAMPA BAY Downs</u> | |
| Occupation: <u>Jockey Agent</u> | | | |
| Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Do you own or lease animals intended for racing in Florida? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____ | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY | | | |
| Type of professional license (attach a copy of Florida professional license): | | Florida License Number | |
| | | | |
| FOR DIVISION USE ONLY | | | |
| License Code <u>1021</u> | License # <u>6143103</u> | File # <u>39270</u> | App # <u>239267</u> |
| Association Code <u>320</u> | Date Received <u>11/08/2022</u> | Entered By <u>YB</u> | License Year <u>2025</u> |
| License Fee <u>\$80</u> | FP Date <u>11/08/2022</u> | FP Fee <u>37.25</u> | Total Fee <u>\$117.25</u> |
| Off Temp | Waiver Requested | ARCI | Enforcement |
| | | | Minor |

OPEN (1020)

DBPR PMW-3120 – Individual Occupational License Application



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridallicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions. *Format 3195, 3180*
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel General Occupational License - \$15.00* ** does not include fingerprint fee*
 - Pari-Mutuel Professional Occupational License - \$80.00* *(1020) OPEN*
 - Cardroom Employee Occupational License - \$100.00*

Fingerprints – Choose One Option:

- Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

- Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

- Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

Previous APP

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NOV 08 REC'D
YRS

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|---------|------------------------|----------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

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Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Work in poker room at Golfstream Park, I was let go for taking a \$5.00 chip extra from my bank
VERY EMBARRASSING (2008 year) I REGRET

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

Date

11-8-2022

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 100 - Division of Pari-Mutuel Wagering

Logged in as: ybotero

VR Home > Application Search > Display Alerts > Maintain License Modifiers - BASE_CLONE

Search Criteria | Results | Detail

Modifier Type A - Alert
Modifier ENFO - Enforcement Alert
Effective 02/25/2009

Expires .

Additional Info :

Prompt

Value

See Case 2008 01 2888: Respondant was accused of stealing chips while employed as a card dealer at Gulfstream. A/C issued but could not be served because Respondant moved and left no forwarding address. Contact investigations prior to accepting a license application.

Notes

Updated 02/25/2009 09:21:51

By jbarnes

Notes History

Change

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>

Add

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain 100 - Division of Pari-Mutuel Wagering

Logged in as: ybotero

VR Home > License Search > License Home > Application Search > Transaction Check List

102 After (1021) and (1012)

| | | | |
|-------------------------|---|---|--------------------------------|
| Fed Tax # | Name GONZALEZ, GILFREDO | Lic Type 1022 - PMW General Individual Occupational | Trans Class 1 - Initial |
| File # 86346 | Rank General Individual Occupational | App # 95790 | Sec Class 8 - Standard |
| License # | Lic Status Applic Denied | Status Denied | |
| Entity # 0143103 | Expires On | Trans Code 1020 - Initial Gen Indiv Occupational License | |

| Initial Gen Indiv Occupational License | Req'd | Ok | Deficiency | More | Notes | Actions |
|--|-------|----|------------|------|-------|---------|
| Name,Address,Phone,Email | Y | N | | | | [Icons] |
| License Data | Y | N | | | | [Icons] |
| Supporting Documents | Y | N | | | | [Icons] |
| SQL Rules | Y | N | | | | [Icons] |
| Fees | N | N | | | | [Icons] |
| Discipline | N | N | | | | [Icons] |
| Fingerprint Record | N | N | | | | [Icons] |
| Web Application Information | N | N | | | | [Icons] |
| General Information | N | N | | | | [Icons] |
| Records Checked | N | N | | | | [Icons] |
| Request Letter or Print License | N | N | | | | [Icons] |
| License Control Number | N | N | | | | [Icons] |

Header | Release | Transfer | Approve | Exit

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State of Florida
Department of Business and Professional Regulation
Enforcement Search Listing

| Rec Lic Type | Number | Entered | Source | Status | Incident | Disp | Respondent Name | Lic Type | File No | Lic No | Public Case |
|-----------------|------------|------------|--------|--------|------------|------|----------------------------|----------|---------|---------|----------------|
| 1012 | 2006012886 | 02/22/2010 | INTN | 90 | 03/05/2008 | 60 | GONZALEZ, GILFREDO FREDDIE | 1012 | 2255 | 6143103 | ADMC |
| 1012 | 2004043321 | 08/01/2004 | LIC | 90 | 07/20/2004 | 100 | GONZALEZ, FREDDIE | 1012 | 2255 | 6143103 | CASC |

NOV 15 2022 3:18 PM
FLORIDA GAMING
CONTROL COMMISSION



Licensee: JR., GILDREDO GONZALEZ

No Picture Available

Reports on JR., GILDREDO GONZALEZ

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

| Date of Birth | Federal ID/SSN | Country | Add a New Identification Record | Sex |
|---------------|----------------|---------|---------------------------------|-----|
| [REDACTED] | [REDACTED] | | | |

Name Information

| Name Type | Prefix | First Name | Middle Name | Last Name | Add a New Name Record | Suffix |
|--------------------|--------|------------|-------------|-----------|-----------------------|--------|
| Current Legal Name | | JR., | GILDREDO | GONZALEZ | | |
| Previous Legal | | GILFREDO | FREDDIE | GONZALEZ | | |
| Previous Legal | | Freddie | | Gonzalez | | |

Address Information

| Address Type | Street Address | City | State | Zip Code |
|--------------|----------------|------|-------|----------|
| | | | | |

Phone Information

| Phone Number Type | Phone Number |
|-------------------|--------------|
| | |

License Information

| License Number | License Type | Issued Date | Expiration Date | Licensing Commission | Add a New License Record |
|----------------|--------------|-------------|-----------------|--|--------------------------|
| 6143103 | Trainer | 5/9/2008 | 6/30/2009 | Florida Division of Pari-Mutuel Wagering | |

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 FLORIDA GAMING CONTROL COMMISSION

Comprehensive Ruling Report

Rulings Against: JR., GILDREDO GONZALEZ

Legal Name: JR., GILDREDO GONZALEZ

Birth Date: 5/25/1960

4 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

Ruling #: 1

| | | | |
|-------------------|------------------------------------|----------------------|---------------|
| Ruling Number: | *N*207590 | Date: | 10/20/1989 |
| Issued By: | Massachusetts Racing Commission | Facility: | Suffolk Downs |
| Ruling Type: | Disorderly Conduct | | |
| Division: | Unknown | Breed: | Unknown |
| Effective Date: | N/A | Race Date: | N/A |
| Infraction Date: | N/A | Infraction Facility: | N/A |
| Race Number: | N/A | Animal Name: | N/A |
| Under Appeal: | False | Appeal Date: | N/A |
| Fine Amount: | \$ 0 | Fine Paid: | N/A |
| Suspension Start: | None | Suspension End: | None |

Actions:

Alpha Ruling: *N*207590

Action Type: Initial Ruling

Issue Date: 10/20/1989

Action Text:

00\$100 AND PLACED ON PROBATION FOR REMAINDER OF THE YEAR 1989 - BEING EXTREMELY DISRESPECTFUL AND THREATENING A SECURITY GUARD.

FLORIDA GAMING
CONTROL COMMISSION

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Ruling #: 2

Ruling Number: *N*207593 **Date:** 8/28/1987
Issued By: New Jersey Racing Commission **Facility:** Unknown
Ruling Type: Reinstatement to Good Standing in State
Division: Unknown **Breed:** Unknown
Effective Date: N/A **Race Date:** N/A
Infraction Date: N/A **Infraction Facility:** N/A
Race Number: N/A **Animal Name:** N/A
Under Appeal: False **Appeal Date:** N/A
Fine Amount: \$ 0 **Fine Paid:** N/A
Suspension Start: None **Suspension End:** None
Actions:

Alpha Ruling: *N*207593 **Action Type:** Initial Ruling **Issue Date:** 8/28/1987
Action Text:
0 RESTORED TO GOOD STANDING.

Ruling #: 3

Ruling Number: *N*207591 **Date:** 8/15/1987
Issued By: New Jersey Racing Commission **Facility:** Unknown

Ruling Type: License Denied,
Rescinded,
Revoked,
Suspended,
Withdrawn or
Exclusion

Division: Unknown **Breed:** Unknown
Effective Date: N/A **Race Date:** N/A
Infraction Date: N/A **Infraction Facility:** N/A
Race Number: N/A **Animal Name:** N/A

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CONTROL COMMISSION

Under Appeal: **False** Appeal Date: **N/A**
Fine Amount: **\$ 0** Fine Paid: **N/A**
Suspension Start: **None** Suspension End: **None**
Actions:

Alpha Ruling: ***N*207591** Action Type: **Initial Ruling** Issue Date: **8/15/1987**
Action Text:
00SUSPENDED (FAILURE TO PAY \$50 FINE).

Ruling #: 4

Ruling Number: ***N*207592** Date: **9/1/1985**
Issued By: **New Hampshire Racing Commission** Facility: **Rockingham Park**
Ruling Type: **Careless/Unsafe/Improper Riding or Driving**
Division: **Unknown** Breed: **Unknown**
Effective Date: **N/A** Race Date: **N/A**
Infraction Date: **N/A** Infraction Facility: **N/A**
Race Number: **N/A** Animal Name: **N/A**
Under Appeal: **False** Appeal Date: **N/A**
Fine Amount: **\$ 0** Fine Paid: **N/A**
Suspension Start: **None** Suspension End: **None**
Actions:

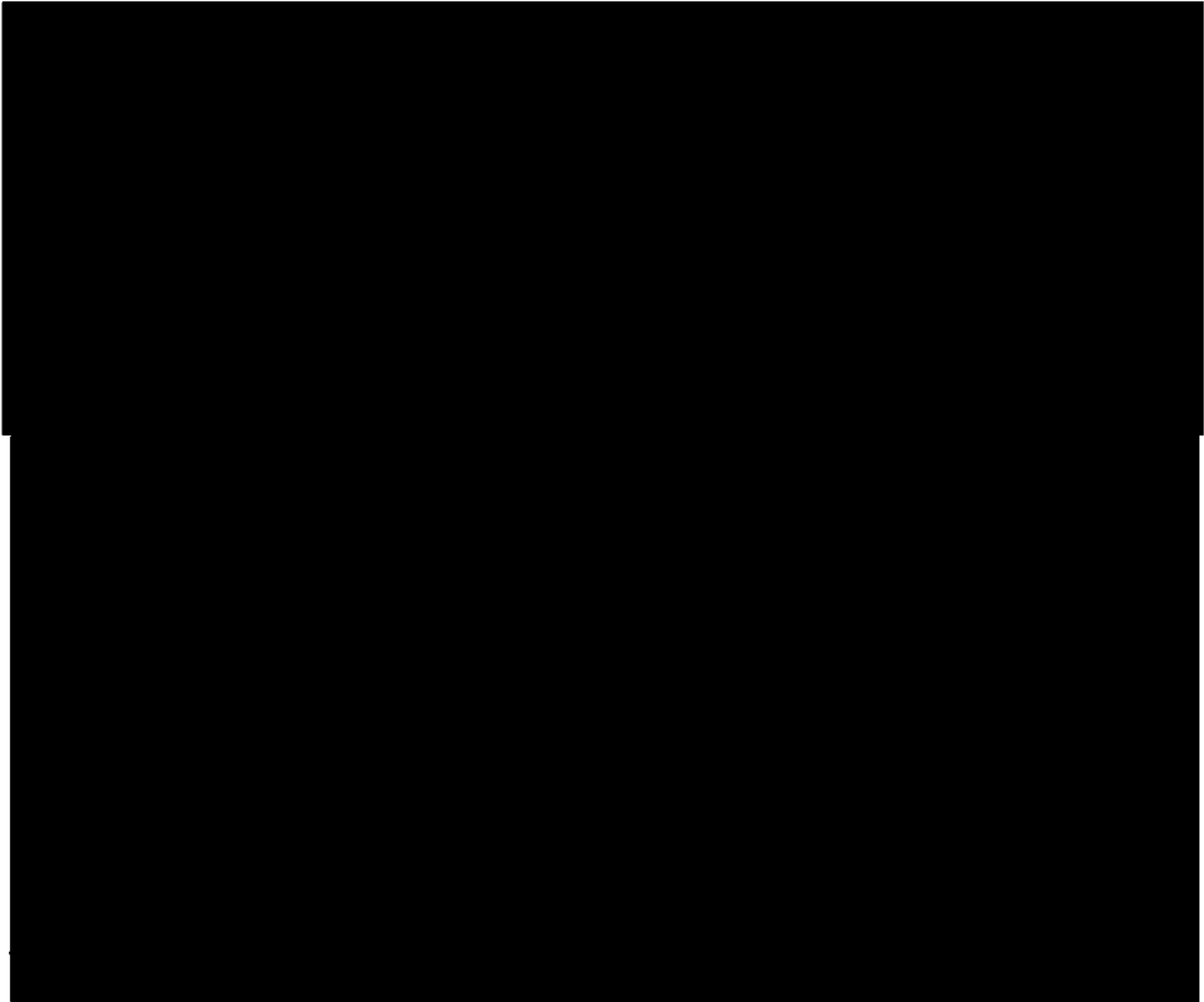
Alpha Ruling: ***N*207592** Action Type: **Initial Ruling** Issue Date: **9/1/1985**
Action Text:
7 DAYS - CARELESS RIDING. DISQUALIFIED FROM 1ST.

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2922 NOV 15 PM 3:18
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CONTROL COMMISSION

FDLE Information Notification System

Sent Date: 11/16/22 2:40 PM Purge Date: 5/15/23 2:40 PM Mail Ref.No: ORG002044-6979265

Subject : *Results of check for GONZALEZ, GILFREDO (80GBPR040000093931)*



SID NUMBER: 04200725 FURTHER CODE: Non-US Employment and Licensing

=====

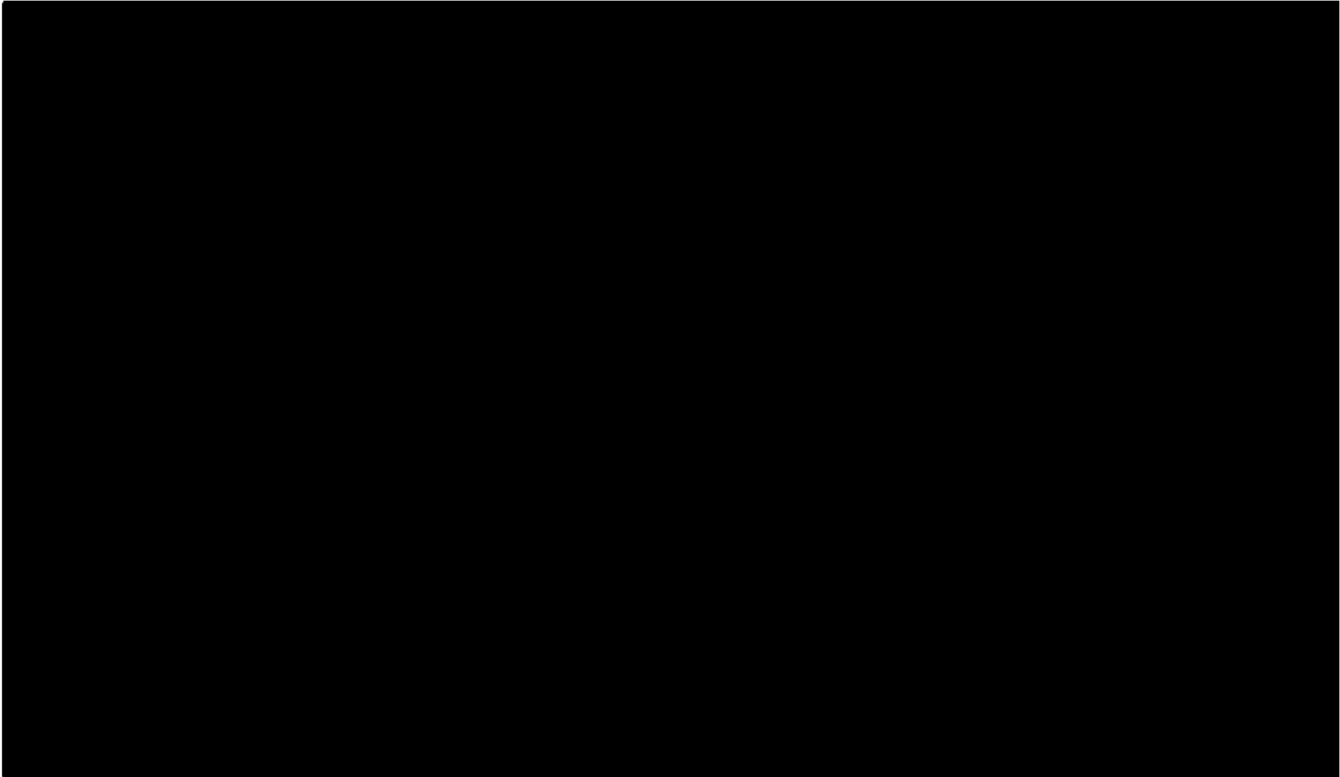
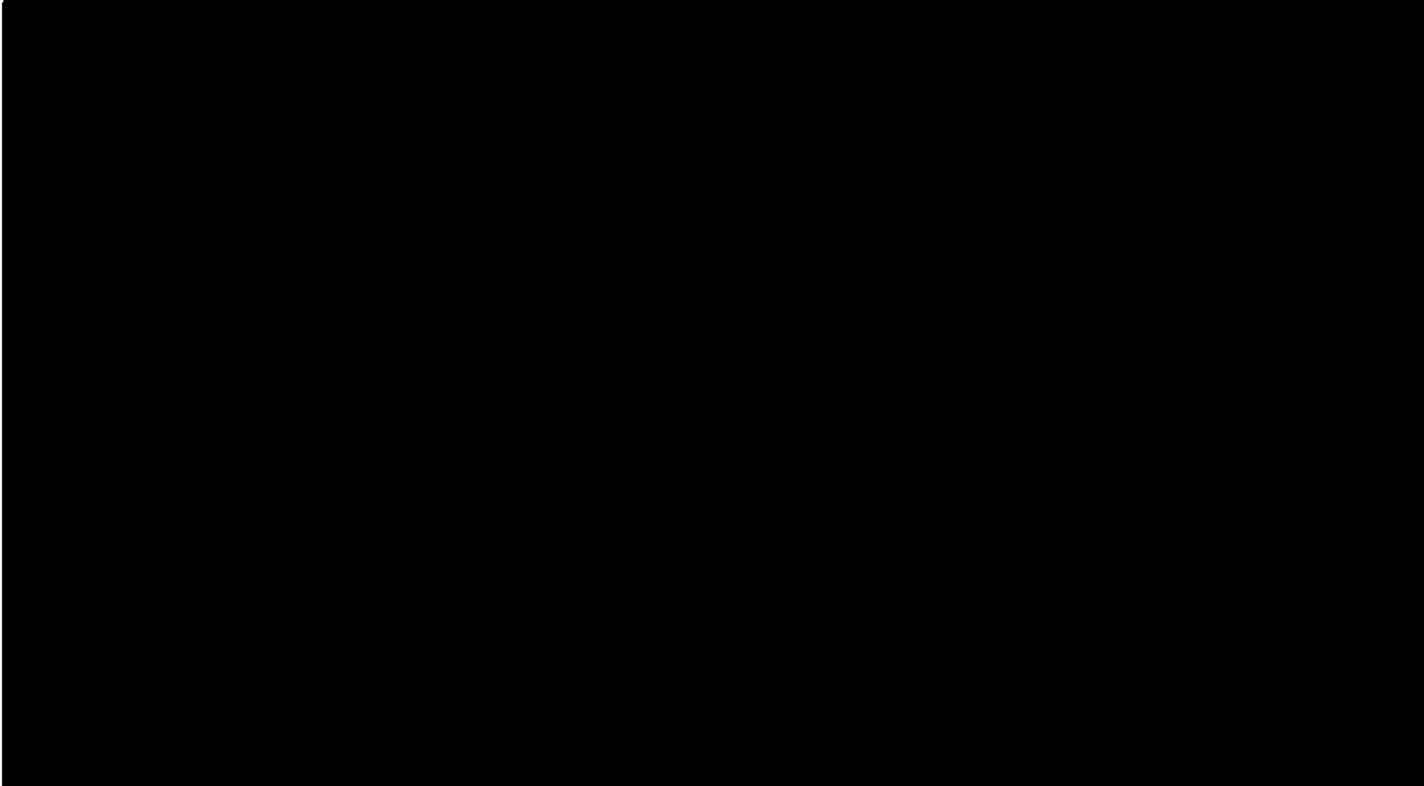
Because additions or deletions may be made at any time, a new copy should be requested when needed for future use.

=====

=====

- Florida Criminal History -

Subject : *Results of check for GONZALEZ, GILFREDO (80GBPR040000093931)*



Subject : Results of check for GONZALEZ, GILFREDO (80GBPR040000093931)



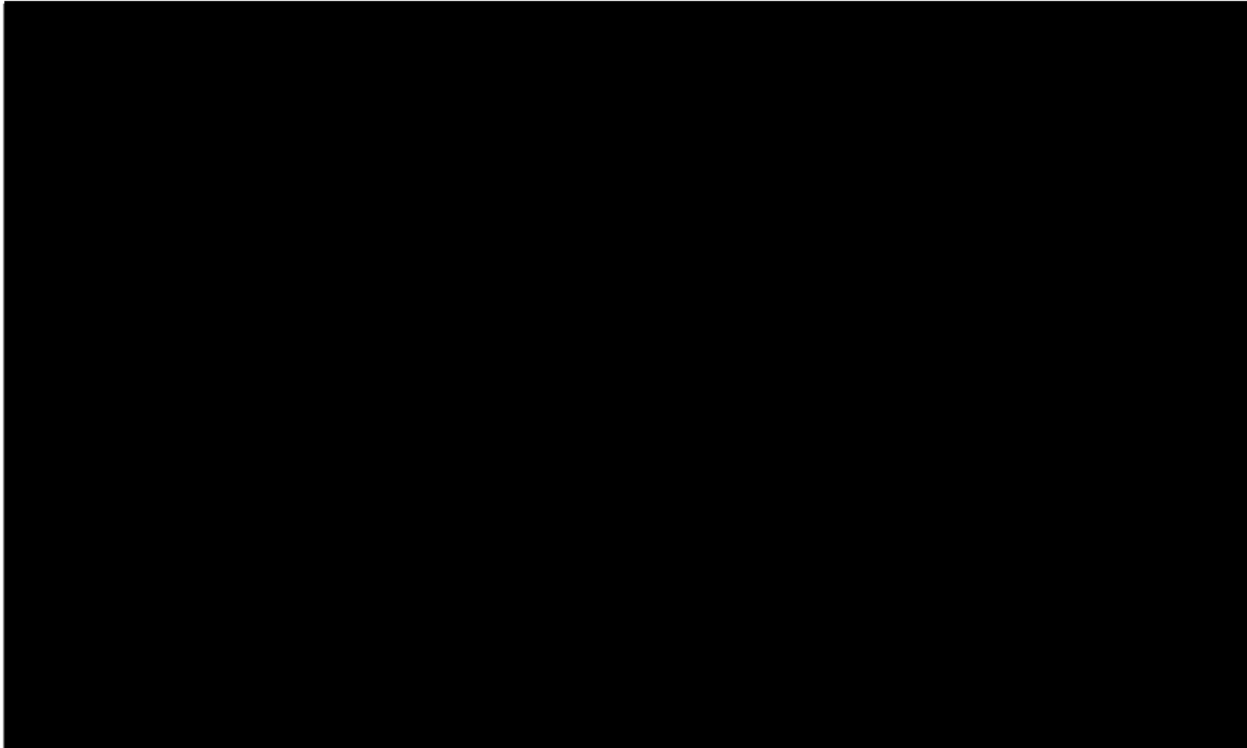
Restitution (\$) 0.00

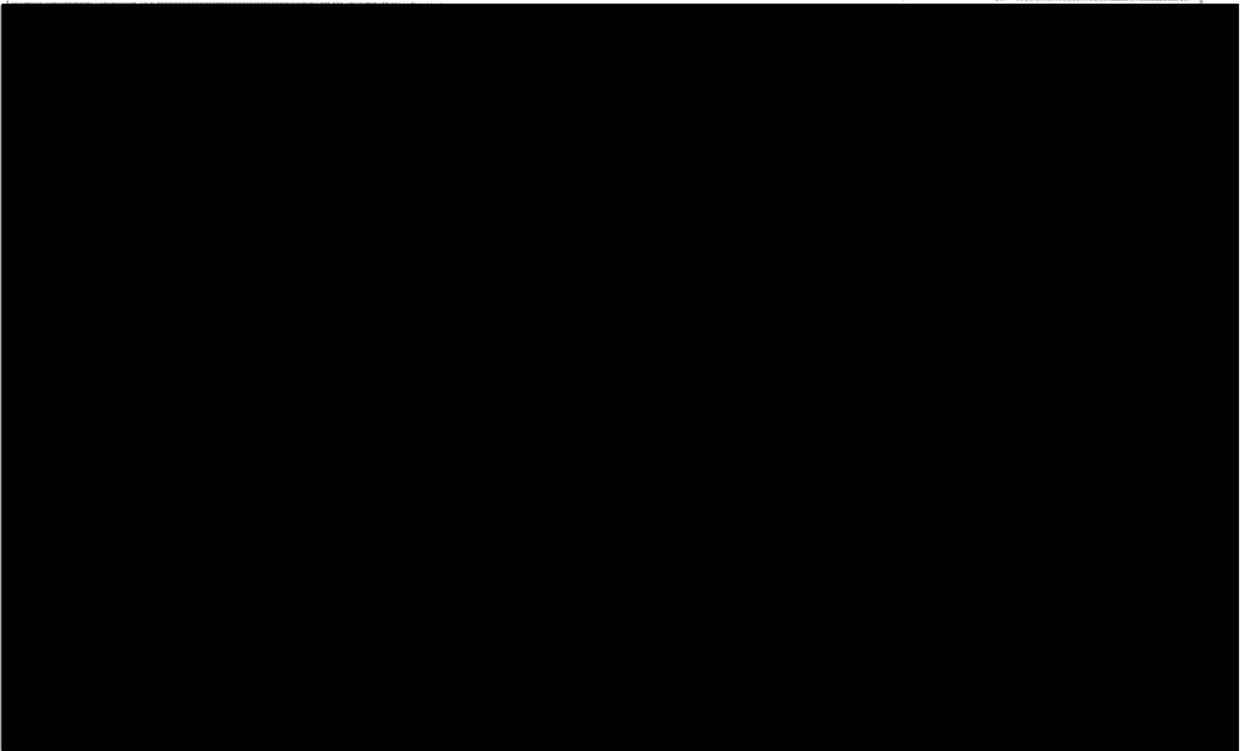
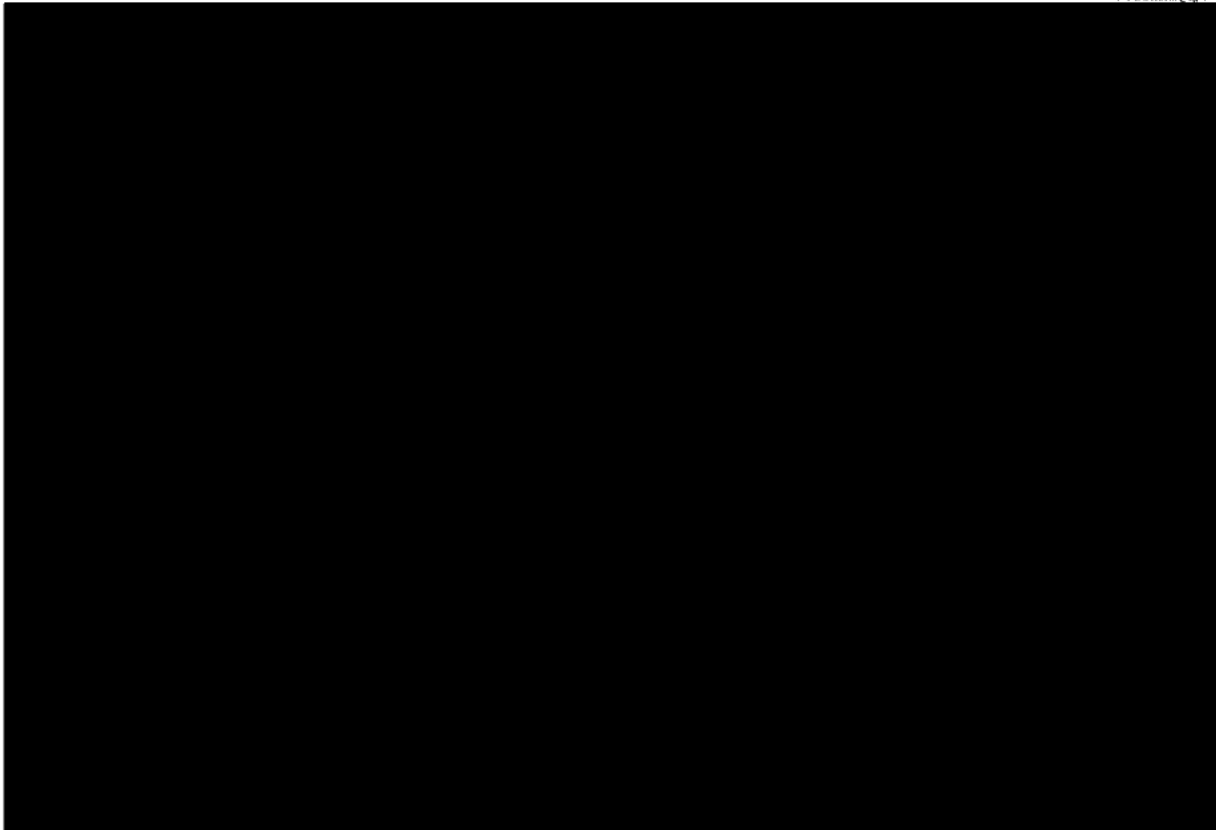
=====
This is a single-source offender record.
=====

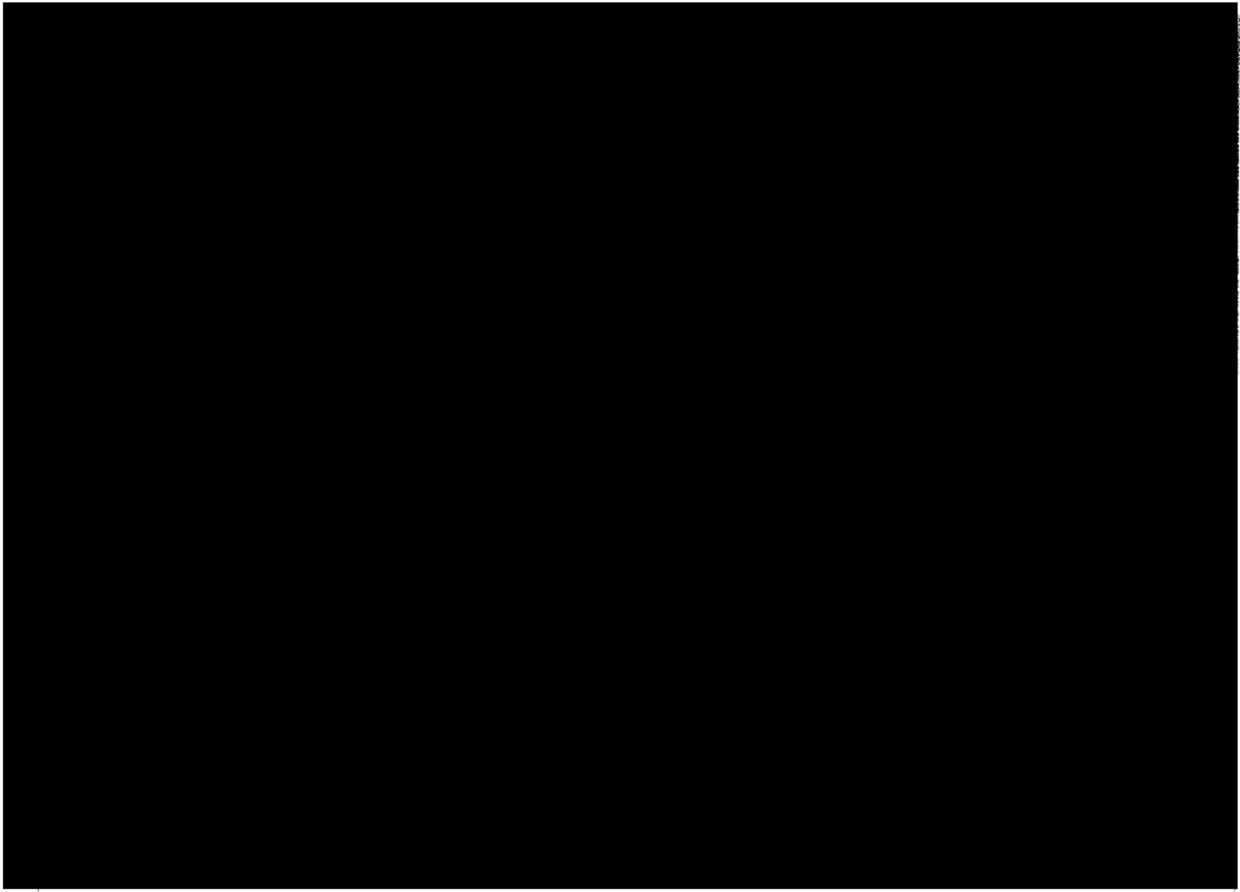
This record contains Florida information only. When explanation of a charge or disposition is needed, communicate directly with the agency that contributed the record information.
=====

This record may only be used for the purpose requested as defined by the Code

Subject : *Results of check for GONZALEZ, GILFREDO (80GBPR040000093931)*







CIRCUIT/COUNTY COURT, PINELLAS COUNTY, FLORIDA
CRIMINAL DIVISION
CASE NO. CTC96-08252MNANO-L

STATE OF FLORIDA

vs.

GIRFRADO GONZALES

OBSTRUCT OR OPPOSE AN OFFICER
WITHOUT VIOLENCE

SPN: 01714736

[] CHANGE OF PLEA/GUILTY
[] CHANGE OF PLEA/NOLO CONTENDERE

Comes now the defendant, _____
being present in person, () without counsel () with counsel _____

into open court and after having first obtained permission so to do
withdraws the former plea of not guilty and enters a plea of
[] Guilty [] Nolo Contendere
to _____

as () charged () included in the () Information \ () Complaint
filed herein; and the Court having inquired of the defendant if there
were any inducements or promises of leniency of any kind offered to
influence the plea, and the defendant having replied that there were
not and that the plea is voluntarily and freely given, thereupon,
[] The Court accepts the defendant's plea of guilty as being freely
and voluntary given.

[] The Court accepts the defendant's plea of nolo contendere to the
charges contained in this cause and upon stipulation by counsel as to
the facts of the case, the Court finds the defendant to be guilty.

IT IS CONSIDERED AND ORDERED THAT _____

[XX] PLEA ENTERED AT ARRAIGNMENT

The defendant, being present, (XX) without counsel
() with counsel, _____
is at this time arraigned in open court, waives the reading of the (XX)
Information \ () Complaint, and enters a plea of (XX) guilty,
() nolo contendere, () not guilty to the charge contained in the
() Information () Complaint filed herein.

[XX] The Court accepts the defendant's plea of guilty as being freely
and voluntarily given.
[] The Court accepts the defendant's plea of nolo contendere to the
charges contained in this cause and upon stipulation by counsel as to
the facts of the case, the Court finds the defendant to be guilty.

IT IS CONSIDERED AND ORDERED THAT adjudication is hereby withheld
and defendant shall pay \$100.00 court costs, out of bond. Defendant
shall pay \$51.00 investigative costs to PCSO, within 30 days.

DONE AND ORDERED on April 22, 1996, in Clearwater, Florida.

JUDGE 

KAH/CF
(COP-4/11/95)

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FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA - PINELAS COUNTY

I hereby certify that the foregoing is
a true copy as the same appears among
the files and records of this court.

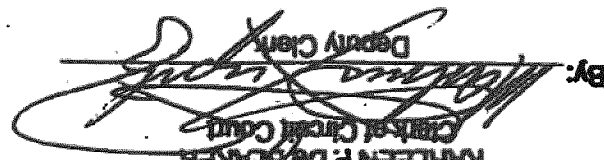
day of December 2022

KARLEEN E. DE BECKER

Clerk of Circuit Court

By:

Deputy Clerk



To Florida Commission

I Gilfredo Gonzalez currently working at HARD ROCK Casino in TAMPA AS a Table games Supervisor with requiend a gaming commission license. I have been with this company for 14 years and still going.

I'm requesting a the FL COMMISSION please to my license after 14 years. It means a lot to me to get back in to horse racing business.

If need to verify please do so AT 866-388-4263

Seminole Hard Rock
5223 Orient Rd
TAMPA FL 33610

FLORIDA GAMING
CONTROL COMMISSION

2012 DEC -5 PM 2:48

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Thank you

AA
Gilfredo Gonzalez



I like to notify that I have
try to contact Massachusetts Racing
Commission several times by phone
and emails and I get no respond

I deeply appreciate
your understanding

Thank you very much
Gilfredo González

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CONTROL COMMISSION

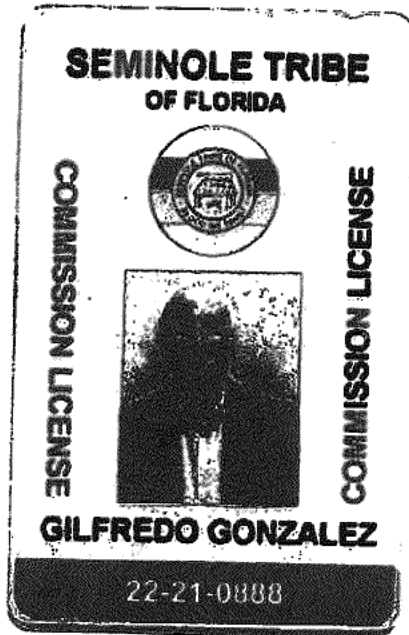
PS

I have provide a gaming license
that I currently have in my position
at my work (CASINO) it is in effect

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FLORIDA GAMING
CONTROL COMMISSION



Currently gaming license

FAQ | Help | Sign Out

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

| | | | |
|----------------|---------------|-------------------------|-----------------------------|
| License Search | Entity Search | Modify License Standing | Maintain License CE Control |
|----------------|---------------|-------------------------|-----------------------------|

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > License Search > License Home > Maintain License Modifiers - BASE_CLONE

| | | |
|-----------------|---------|--------|
| Search Criteria | Results | Detail |
|-----------------|---------|--------|

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcemt Alert**
 Effective **02/25/2009**
 Expires -
 Additional Info :
 Prompt
 Value

Notes
See Case 2008 01 2886: Respondent was accused of stealing chips while employed as a card dealer at Gulfstream. A/C issued but could not be served because Respondent moved and left no forwarding address. Contact Investigations prior to accepting a license application.

Updated **02/25/2009 09:21:51**
 By **jbarnes**

Notes History

Change

Delete

Save

Cancel

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| | |
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| Add | Back |
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| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update
 Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: ddonaldson

VR Home > Complaint Search > Maintain Complaint

| | | | | | |
|------------------|---------------------------------------|------------|----------------------------|-------------|-----------------------|
| Lic Type | 1012 - Cardroom Employee Occupational | Status | 90 Closed | Status Date | 02/22/2010 |
| Complaint # | 2008012886 | Case Type | CMP - Complaint | Disposition | 60 Final Order |
| Disposition Date | 01/20/2010 | Respondent | GONZALEZ, GILFREDO FREDDIE | Responsible | dperry - PERRY, DAVID |
| Docket# | | | | | Public Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | | | | |
|-----------|--|----------------|-------------|-------------------------------------|--------------------------|-------------------------------------|--------------------------|------------|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> | Parties | <input type="checkbox"/> | Activities | |
| Form | FORM - Complaint Form | Priority | 2 | | | | | |
| Class'n | V-C - Cardroom Violations | Complexity | R - Regular | <input type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline | |
| Security | STND - Standard | Incident | 03/05/2008 | | | | | |
| Region | SR - Southern Region | Received | 03/06/2008 | <input checked="" type="checkbox"/> | Violations | | Compliance | |
| Reference | | | | | | | | |
| Entered | 03/06/2008 | Entered By | | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition | |
| Summary | <p>1-20-10 Final Order Issued: Respondent is excluded from all PMW facilities in the state 7/22/09 Rec'd & notarized Election of Rights on 7/17/09; original e-mailed & mailed to Mary Polombo. Case re-opened (EOR 7/17/09) - re-assigned to Inv Miriam Roca 7-9-09 AC clerked 9-12-09 and dismissed on 2-20-09 reopened and mailed to new address see below. 7-9-09 - Respondent requesting to continue w/ process in order to get licensed. Bob Fronrath emailed legal a correct address in which to re-send AC. Address change was updated in L/Ease by EG Barnes. 321-Gulfstream Park 2/20/09-Order of Dismissal - No forwarding address, license expired, contact investigations prior to renewal Incident Report: Dealer Gonzalez was caught on Surveillance palming Poker Chips into his Tip Box. PMW Rule 61D-11.005 Prohibitions. (5) No person shall, either directly or indirectly: (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a card game, or the cardroom operator. (b) Engage in any act, practice, or course of operation as would operate as a fraud or deceit upon any participant in a game, or any cardroom operator. (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator to gain an advantage in the game over a participant(s) or cardroom operator.</p> | | | | | | <input type="checkbox"/> | Inspection |
| | | | | | <input type="checkbox"/> | Costs | | |
| | | | | <input checked="" type="checkbox"/> | Time Tracking | | Auto Assign | |
| | | | | | <input type="checkbox"/> | Attachments | History | |
| | | | | | <input type="checkbox"/> | Work Notes | Print Report | |
| Updated | 02/22/2010 16:38:02 | By | brovario | | | | | |

| | | | | |
|--------|------|----|--------|------|
| Change | Save | OK | Cancel | Back |
|--------|------|----|--------|------|

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| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update
 Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > Complaint Search > Maintain Complaint

| | | | | | |
|------------------|---------------------------------------|------------|----------------------------|-------------|-----------------------|
| Lic Type | 1012 - Cardroom Employee Occupational | Status | 90 Closed | Status Date | 02/22/2010 |
| Complaint # | 2008012886 | Case Type | CMP - Complaint | Disposition | 60 Final Order |
| Disposition Date | 01/20/2010 | Respondent | GONZALEZ, GILFREDO FREDDIE | Responsible | dperry - PERRY, DAVID |
| Docket# | | | | | Public Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

Source **INTN - Internal** Security Level 1
 Form **FORM - Complaint Form** Priority 2
 Class'n **V-C - Cardroom Violations** Complexity **R - Regular**
 Security **STND - Standard** Incident **03/05/2008**
 Region **SR - Southern Region** Received **03/06/2008**

- Parties Activities
- Allegations Discipline
- Violations Compliance
- Related Disposition

Reference

Entered **03/06/2008** Entered By

Summary

1-20-10 Final Order Issued: Respondent is excluded from all PMW facilities in the state 7/22/09 Rec'd & notarized Election of Rights on 7/17/09; original e-mailed & mailed to Mary Polombo. Case re-opened (EOR 7/17/09) - re-assigned to Inv Miriam Roca 7-9-09 AC clerked 9-12-09 and dismissed on 2-20-09 reopened and mailed to new address see below. 7-9-09 - Respondent requesting to continue w/ process in order to get licensed. Bob Fronrath emailed legal a correct address in which to re-send AC. Address change was updated in L/Ease by EG Barnes. 321-Gulfstream Park 2/20/09-Order of Dismissal - No forwarding address, license expired, contact investigations prior to renewal Incident Report: Dealer Gonzalez was caught on Surveillance palming Poker Chips into his Tip Box. PMW Rule 61D-11.005 Prohibitions. (5) No person shall, either directly or indirectly: (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a card game, or the cardroom operator. (b) Engage in any act, practice, or course of operation as would operate as a fraud or deceit upon any participant in a game, or any cardroom operator. (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator to gain an advantage in the game over a participant(s) or cardroom operator.

- Inspection
- Costs
- Time Tracking Auto Assign
- Attachments History
- Work Notes Print Report

Updated **02/22/2010 16:38:02**

By **brosario**

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| Complaint Search Mass Status Update | Change Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline Update |
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > Complaint Search > Maintain Complaint

| | | | | | |
|-------------|--|------------|--------------------------|-------------|--------------------------------|
| Lic Type | 1012 - Cardroom Employee Occupational | Status | 90 Closed | Status Date | 09/01/2004 |
| Complaint # | 2004043321 | Case Type | CMP - Complaint | Disposition | 100 No Action Taken |
| Docket# | | Respondent | GONZALEZ, FREDDIE | Responsible | nmiyar - MIYAR, NICOLAS |
| | | | | | Public Case |

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| Complaint | Respondent | Complainant | Add'l Info |
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| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | WALK - Walk-in | Priority | 2 | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | V-B - Cardroom Applicant (Individual) | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 07/20/2004 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | CR - Central Region | Received | 08/26/2004 | <input type="checkbox"/> Inspection | |
| Reference | | Entered | 08/26/2004 | <input type="checkbox"/> Costs | |
| | | Entered By | | <input type="checkbox"/> Time Tracking | <input type="checkbox"/> Auto Assign |
| Summary | 151-St Petersburg Kennel Club Resp Lic #61443103 Cardroom Background 7/23/04 Pinellas County Courthouse - CTC96097263RANC DWLSR 7/15/96 Adjudication Withheld. CTC9608252MMANO Disorderly Intoxication & Obstruct/Oppose Officer W/O Violence. 4/22/96 Adjudication Withheld. 7/16/04 Cardroom Employee Interview Form completed. | | | <input type="checkbox"/> Attachments | <input type="checkbox"/> History |
| Updated | 09/21/2004 09:37:52 | By | brosario | <input type="checkbox"/> Work Notes | <input type="checkbox"/> Print Report |

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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update
 Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > Complaint Search > Maintain Complaint

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|-------------|--|------------|---------------------------|-------------|------------------------------------|
| Lic Type | 1021 - Pari-Mutuel Wagering Individual Occupational | Status | 90 Closed | Status Date | 05/22/2009 |
| Complaint # | 2009025270 | Case Type | CMP - Complaint | Disposition | Disposition Date 05/22/2009 |
| Docket# | | Respondent | GONZALEZ, GILFREDO | Responsible | dnelra - NEIRA, DIANA |
| | | | | | Public Case |

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| Complaint | Respondent | Complainant | Add'l Info |
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|-----------|--|----------------|--------------------|---|---|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | WALK - Walk-in | Priority | 2 | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 05/08/2009 | <input checked="" type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 05/13/2009 | <input type="checkbox"/> Inspection | |
| Reference | | Entered | 05/13/2009 | <input type="checkbox"/> Costs | |
| | | Entered By | | <input type="checkbox"/> Time Tracking | <input type="checkbox"/> Auto Assign |
| Summary | 325-Calder Race Course, Inc. 5/8/09 Respondent submitted license application. 5/13/09 Investigations rec'd Request for Waiver package from Leigh Ponder and case assigned to Inv. Neira. 5/21/09 Respondent submitted note withdrawing his license application and request for wavier. 5/22/09 Copy of respondent's note was forwarded to Jim Barnes. | | | <input type="checkbox"/> Attachments | <input type="checkbox"/> History |
| Updated | 05/22/2009 12:18:36 | By | dnelra | <input type="checkbox"/> Work Notes | <input type="checkbox"/> Print Report |

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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > Complaint Search > Maintain Complaint

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|------------------|---|---------------------------|------------------------|----------------------------------|--------------------------|
| Lic Type | 1022 - Pari-Mutuel General Individual Occupational | Status | 90 Closed | Status Date | 02/06/2014 |
| Complaint # | 2013013267 | Case Type | CMP - Complaint | Disposition | 130 Waiver Denied |
| Disposition Date | 01/29/2014 | | | | |
| Docket# | Respondent | GONZALEZ, GILFREDO | Responsible | jtorres3 - TORRES, JUAN A | Public Case |

| | | | |
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| Complaint | Respondent | Complainant | Add'l Info |
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|-----------|---|----------------|--------------------|---|---|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | WALK - Walk-in | Priority | 2 | <input checked="" type="checkbox"/> Allegations | <input checked="" type="checkbox"/> Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input checked="" type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 01/17/2013 | <input checked="" type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 03/26/2013 | <input type="checkbox"/> Inspection | |
| Reference | Entered 03/26/2013 | | | | <input type="checkbox"/> Costs |
| | Entered By | | | | <input type="checkbox"/> Time Tracking |
| | 01/29/2014 - Final Order issued: Petitioner's pmw occupational license and waiver application is DENIED, but without prejudice to re-apply. 6/28/13 Case closed. 6/25/13 Application for a pmw occupational license and request for waiver are denied. 325 - Calder Race Course, Inc. 4/19/13 Waiver interview completed at the State Licensing Office at Calder Race Course. 7/8/13 Election of Rights submitted; Informal Hearing requested. 11/6/13 Informal hearing scheduled. Waiting for decision. | | | | <input type="checkbox"/> Attachments |
| Summary | | | | | <input type="checkbox"/> Work Notes |
| | Updated 02/06/2014 16:41:59 | | | | <input type="checkbox"/> Auto Assign |
| | By Imuniz | | | | <input type="checkbox"/> History |
| | | | | | <input type="checkbox"/> Print Report |

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| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
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Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update
 Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: machens

VR Home > Complaint Search > Maintain Complaint

| | | | | | |
|------------------|---|---------------------------|------------------------|---------------------------------|--------------------------|
| Lic Type | 1022 - Pari-Mutuel General Individual Occupational | Status | 90 Closed | Status Date | 06/20/2012 |
| Complaint # | 2011037755 | Case Type | CMP - Complaint | Disposition | 130 Waiver Denied |
| Disposition Date | 05/21/2012 | | | | |
| Docket# | Respondent | GONZALEZ, GILFREDO | Responsible | rmichels - MICHELS, RALF | Public Case |

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| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

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|-----------|---|----------------|--------------------|---|---|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | WALK - Walk-in | Priority | 2 | <input checked="" type="checkbox"/> Allegations | <input checked="" type="checkbox"/> Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input checked="" type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 07/28/2011 | <input checked="" type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 07/29/2011 | <input type="checkbox"/> Inspection | |
| Reference | Entered 07/29/2011 | | | | <input type="checkbox"/> Costs |
| | Entered By | | | | <input type="checkbox"/> Time Tracking |
| Summary | May 23, 2012 Final Order issued: denied 11/8/11 Application for pmw occupational license and request for waiver DENIED. 325-Calder Race Course, Inc. 8/27/11 Waiver interview completed. | | | | <input type="checkbox"/> Attachments |
| | Updated 06/25/2012 14:14:20 | | | | <input type="checkbox"/> Work Notes |
| | By Imuniz | | | | <input type="checkbox"/> Auto Assign |
| | | | | | <input type="checkbox"/> History |
| | | | | | <input type="checkbox"/> Print Report |

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Comprehensive Ruling Report

Rulings Against: JR., GILDREDO GONZALEZ

Legal Name: JR., GILDREDO GONZALEZ
[REDACTED]

4 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

Ruling #: 1

Ruling Number: *N*207590 Date: 10/20/1989
Issued By: Massachusetts Racing Commission Facility: Suffolk Downs
Ruling Type: Disorderly Conduct
Division: Unknown Breed: Unknown
Effective Date: N/A Race Date: N/A
Infraction Date: N/A Infraction Facility: N/A
Race Number: N/A Animal Name: N/A
Under Appeal: False Appeal Date: N/A
Fine Amount: \$ 0 Fine Paid: N/A
Suspension Start: None Suspension End: None

Actions:

Alpha Ruling: *N*207590

Action Type: Initial Ruling

Issue Date: 10/20/1989

Action Text:

00\$100 AND PLACED ON PROBATION FOR REMAINDER OF THE YEAR 1989 - BEING EXTREMELY DISRESPECTFUL AND THREATENING A SECURITY GUARD.

Ruling #: 2

Ruling Number: *N*207593 Date: 8/28/1987
Issued By: New Jersey Racing Commission Facility: Unknown
Ruling Type: Reinstatement to Good Standing in State
Division: Unknown Breed: Unknown
Effective Date: N/A Race Date: N/A
Infraction Date: N/A Infraction Facility: N/A
Race Number: N/A Animal Name: N/A
Under Appeal: False Appeal Date: N/A
Fine Amount: \$ 0 Fine Paid: N/A

Suspension Start: None

Suspension End: None

Actions:

Alpha Ruling: *N*207593

Action Type: Initial Ruling

Issue Date: 8/28/1987

Action Text:

0 RESTORED TO GOOD STANDING.

Ruling #: 3

Ruling Number: *N*207591 Date: 8/15/1987

Issued By: New Jersey Racing Commission Facility: Unknown

Ruling Type: License Denied,
Rescinded,
Revoked,
Suspended,
Withdrawn or
Exclusion

Division: Unknown Breed: Unknown

Effective Date: N/A Race Date: N/A

Infraction Date: N/A Infraction Facility: N/A

Race Number: N/A Animal Name: N/A

Under Appeal: False Appeal Date: N/A

Fine Amount: \$ 0 Fine Paid: N/A

Suspension Start: None Suspension End: None

Actions:

Alpha Ruling: *N*207591

Action Type: Initial Ruling

Issue Date: 8/15/1987

Action Text:

00SUSPENDED (FAILURE TO PAY \$50 FINE).

Ruling #: 4

Ruling Number: *N*207592 Date: 9/1/1985

Issued By: New Hampshire Racing Commission Facility: Rockingham Park

Ruling Type: Careless/Unsafe/Improper
Riding or Driving

Division: Unknown Breed: Unknown

Effective Date: N/A Race Date: N/A

Infraction Date: N/A Infraction Facility: N/A

Race Number: N/A Animal Name: N/A

Under Appeal: False Appeal Date: N/A

Fine Amount: \$ 0 Fine Paid: N/A

Suspension Start: None Suspension End: None

Actions:

Alpha Ruling: *N*207592

Action Type: Initial Ruling

Issue Date: 9/1/1985

Action Text:

7 DAYS - CARELESS RIDING. DISQUALIFIED FROM 1ST.



Licensee: JR., GILDREDO GONZALEZ

No Picture Available

Reports on JR., GILDREDO GONZALEZ

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

Add a New Identification Record

| Date of Birth | Federal ID/SSN | Country | Sex |
|---------------|----------------|---------|-----|
| [REDACTED] | [REDACTED] | | |

Name Information

Add a New Name Record

| Name Type | Prefix | First Name | Middle Name | Last Name | Suffix |
|--------------------|--------|------------|-------------|-----------|--------|
| Current Legal Name | | JR., | GILDREDO | GONZALEZ | |
| Previous Legal | | GILFREDO | FREDDIE | GONZALEZ | |
| Previous Legal | | Freddie | | Gonzalez | |

Address Information

| Address Type | Street Address | City | State | Zip Code |
|--------------|----------------|------|-------|----------|
| | | | | |

Phone Information

| Phone Number Type | Phone Number |
|-------------------|--------------|
| | |

License Information

Add a New License Record

| License Number | License Type | Issued Date | Expiration Date | Licensing Commission |
|----------------|------------------|-------------|-----------------|--|
| 6143103 | Trainer | 5/9/2008 | 6/30/2009 | Florida Division of Pari-Mutuel Wagering |
| | Trainer | 3/25/2008 | 6/30/2008 | Florida Division of Pari-Mutuel Wagering |
| | Other/OTB/Casino | 6/19/2007 | 6/30/2008 | Florida Division of Pari-Mutuel Wagering |
| | Other/OTB/Casino | 6/29/2006 | 6/30/2007 | Florida Division of Pari-Mutuel Wagering |
| | Other/OTB/Casino | 6/24/2005 | 6/30/2006 | Florida Division of Pari-Mutuel Wagering |
| | Trainer | 12/2/2004 | 6/30/2005 | Florida Division of Pari-Mutuel Wagering |
| | Other/OTB/Casino | 7/20/2004 | 6/30/2005 | Florida Division of Pari-Mutuel Wagering |
| | Trainer | 5/23/2003 | 6/30/2004 | Florida Division of Pari-Mutuel Wagering |
| | Trainer | 12/3/2002 | 6/30/2003 | Florida Division of Pari-Mutuel Wagering |
| | Trainer | 12/3/2002 | 6/30/2004 | Florida Division of Pari-Mutuel Wagering |
| *N*587390 | Exercise Person | 6/8/1998 | 12/31/1998 | New Hampshire Racing Commission |

Fingerprint Information

Add a New Fingerprint Record

| Processing Commission | Date Taken | Status | Notes | RCI Card Submitted Date |
|-----------------------|---|--------|-------------|--|
| Ruling Number | Ruling Type | | Ruling Date | Fine Paid? Suspension Start Suspension End |
| *N*207590 | Disorderly Conduct | | 10/20/1989 | N/A |
| *N*207593 | Reinstatement to Good Standing in State | | 8/28/1987 | N/A |
| *N*207591 | License Denied, Rescinded, Revoked, Suspended, Withdrawn or Exclusion | | 8/15/1987 | N/A |
| *N*207592 | Careless/Unsafe/Improper Riding or Driving | | 9/1/1985 | N/A |

Applicable Reports

Comprehensive Ruling Report

Comprehensive Licensee Report

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Jamil Watson v. FGCC; Case No. 2023-021423; License Denial
Date: October 3, 2023

Executive Summary

On April 12, 2023, Jamil Watson (“Applicant”) applied for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. On May 4, 2023, the Commission issued a notice of intent to deny. Applicant requested an informal hearing pursuant to section 120.57(2), Florida Statutes. A hearing was held on July 25, 2023. The Division recommended denying Applicant’s license while the hearing officer recommended granting Respondent’s application.

Pertinent Facts

On April 12, 2023, Applicant submitted their application to the Division of Pari-Mutuel Wagering for Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant was convicted of one felony crime in the state of Pennsylvania. Specifically, Applicant was convicted of Fleeing or Attempting to Elude an Officer in 2010.

At the informal hearing, Applicant testified to the circumstances that led to this denial. On October 3, 2023, the hearing officer issued a recommended order granting Applicant’s license.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson;

trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Rule 75-14.009, Florida Administrative Code, provides that:

“[t]he [commission] shall deny the application for a slot machine occupational license if a review of the application or the investigation of the applicant demonstrates . . . [t]he applicant has been convicted of any disqualifying offense under Section 551.107(6), F.S.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any

occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Rule 75-5.006(2), Florida Administrative Code, provides that “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: The Division of Pari-Mutuel Wagering recommended the Florida Gaming Control Commission deny Respondent’s License application. The Hearing Officer recommended granting Respondent’s license application.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

JAMIL WATSON

Petitioner,

v.

FGCC Case No.: 2023-021423

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.

_____ /

HEARING OFFICER’S RECOMMENDED ORDER

THIS MATTER came before Elizabeth K. Stinson, designated Hearing Officer for the Florida Gaming Control Commission (“Commission”), on July 25, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of Mr. Jamil Watson’s (“Petitioner”) petition for a hearing in response to the Commission’s Letter of License Denial filed in Case Number 2023-021423. The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Petitioner appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, proffer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about January 27, 2023, Petitioner submitted to the Commission a Slot Machine/Cardroom/Pari-Mutuel Wagering Combination Occupational License application.
2. On or about April 12, 2023, Petitioner submitted to the Commission an amended Slot Machine/Cardroom/Pari-Mutuel Wagering Combination Occupational License application that listed Petitioner’s disqualifying felony conviction.

3. On or about May 4, 2023, the Commission filed a Letter of License Denial, seeking to deny Petitioner's license application based on his criminal record, which includes one felony conviction.

4. On May 24, 2023, Petitioner requested an informal hearing pursuant to section 120.57(2), Florida Statutes.

The July 25, 2023 Informal Hearing

5. A hearing pursuant to section 120.57(2), Florida Statutes, was held on July 25, 2023, during which the Commission presented the issues raised in the Letter of License Denial.

6. At the hearing, Petitioner testified about a variety of topics.

- a. Petitioner explained the circumstances that led to the felony conviction.
- b. Petitioner explained that he was falsely accused of this crime and there was a lack of evidence presented in the case.
- c. Petitioner explained that he was living with his grandmother when the police came to the door looking for his uncle, who was not present.
- d. The police asked his grandmother, while he was sleeping, if anyone else was present in the house and she replied "no".
- e. Petitioner heard his grandmother speaking with the officers and went to speak to them and presented his license.
- f. Petitioner testified that the police officers asked Petitioner to come with them to the scene of the incident to see if the other officers can confirm that he was not involved. Petitioner went with the officers to the scene and two officers confirmed that he was the individual involved.
- g. Petitioner explained that his uncle attempted to admit to his crimes and his grandmother testified that Petitioner had not left the house the night the crime

occurred. Nonetheless, he was convicted of the crime.

h. Petitioner explained that the officer who arrested him has since been fired and has had many cases he was involved in overturned.

i. Petitioner also explained he was told by a lawyer he did not need to report this conviction because it occurred over seven years ago.

j. Petitioner further testified to his four-month employment at Casino Miami as a security officer, stating it was best job he ever had, and he loved the environment and his co-workers.

k. Petitioner stated that he was considered reliable and was offered the job as security supervisor. He is currently working driving for door dash and uber but would like to regain employment at Casino Miami.

l. Prior to his move to Miami, Petitioner testified that he worked as a security officer at a school in Philadelphia and considers himself an advocate for the youth.

m. When asked about his support system in Miami, Petitioner stated that he has a small, good community which includes his girlfriend and stepdaughter. He stated that he intends to continue to move forward with his life.

FINDINGS OF FACT

7. On April 6, 2011, Petitioner was convicted of Fleeing or Attempting to Elude an Officer, a felony out of the First Judicial District of Pennsylvania in Case No. CP-51-CR0008053-2010.

CONCLUSIONS OF LAW

8. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

9. The Commission has jurisdiction over this matter pursuant to chapters 120, 550, 551, and 849, Florida Statutes.

10. Section 550.105(5)(b), Florida Statutes, provides, in pertinent part:

The Commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

11. Pursuant to section 550.105(5)(b), Florida Statutes, Petitioner's felony convictions are disqualifying offenses.

12. Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s.550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

13. Section 551.107(6)(a), Florida Statutes, provides that:

The commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with slot machine gaming. In addition, the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.

14. Section 550.105(5)(b), Florida Statutes, states “the Commission *may* deny...any occupational license if the applicant for such license has been convicted” of certain crimes. (Emphasis supplied). This statute gives the Commission the discretion to grant or deny a pari-mutuel wagering occupational license application when an applicant has committed certain disqualifying criminal offenses.

15. Section 550.105(5)(c), Florida Statutes, provides, in pertinent part, that “[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

16. Section 551.107(6)(a), Florida Statutes states “the Commission *may* deny... any slot machine occupational license if the applicant” has committed certain crimes. (Emphasis supplied). This statute gives the Commission the discretion to grant or deny a slot machine license application when an applicant has committed certain disqualifying criminal offenses.

17. An applicant for licensure bears the burden of ultimate persuasion at each and every step of the licensure proceedings. *Dep’t of Banking & Fin., Div. of Sec. & Investor Prot. v. Osborne Stern & Co.*, 670 So. 2d 932, 934 (Fla. 1996).

18. A petitioner must prove by a preponderance of the evidence that he is entitled to the license. *N.W v. Department of Children & Family Servs.*, 981 So. 2d 599, 601 (Fla. 3rd DCA 2008).

19. In the present case, Petitioner’s disqualifying criminal conviction occurred in 2011. Petitioner has had no criminal convictions since 2011, over 12 years ago.

20. Additionally, prior to Petitioner's relocation to Florida, Petitioner worked in a position of trust, as a security officer in a school in Pennsylvania.

21. Petitioner testified at the hearing that he has a support network in Florida and had been offered a job as a security supervisor at Casino Miami.

22. Petitioner has presented compelling mitigating evidence of good character and rehabilitation that would entitle him to a Slot Machine/Cardroom/Pari-Mutuel Wagering Combination Occupational License. Additionally, Petitioner's crime was not related to pari-mutuel wagering.

23. There is competent substantial evidence to support the conclusions of law.

RECOMMENDED ORDER

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Florida Gaming Control Commission enter a Final Order GRANTING Petitioner's application for a Slot Machine/Cardroom/Pari-Mutuel Occupational License.

This Recommended Order in FGCC Case 2023-021423 is submitted this 3rd day of October, 2023.

s/ Elizabeth K. Stinson

Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I hereby certify this 3rd day of October, 2023, that a true copy of the foregoing “Hearing Officer’s Recommended Order” has been provided by mail and email to:

Jamil Watson
Petitioner
JamilWatson215@gmail.com

Emily A. Alvarado
Counsel for Respondent
Emily.Alvarador@flgaming.gov

s/Elizabeth K. Stinson
Elizabeth K. Stinson
Hearing Officer
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

JAMIL WATSON

Petitioner,

v.

FGCC Case No.: 2023-021423

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.

_____ /

RESPONDENT’S PROPOSED RECOMMENDED ORDER

THIS MATTER came before Elizabeth Stinson, designated Hearing Officer for the Florida Gaming Control Commission (“Commission”), on July 25, 2023, in Tallahassee, Florida, in accordance with the provisions of sections 120.569 and 120.57(2), Florida Statutes, for consideration of Mr. Jamil Watson’s (“Petitioner”) petition for a hearing in response to the Commission’s Letter of License Denial filed in Case Number 2023-021423. The Commission was represented by Emily A. Alvarado, Deputy Chief Attorney. Petitioner appeared *pro se* and the hearing was held telephonically. Both sides were permitted to present witnesses, offer items into evidence, and otherwise fully participate in the hearing.

PRELIMINARY STATEMENT

1. On or about April 12, 2023, Petitioner submitted to the Commission a Slot Machine/Cardroom/Pari-Mutuel Wagering Combination Occupational License application.
2. On or about May 4, 2023, the Commission filed a Letter of License Denial, seeking to deny Petitioner’s license application based on his criminal record, which includes one felony conviction.

3. On May 24, 2023, Petitioner requested an informal hearing pursuant to section 120.57(2), Florida Statutes.

4. A hearing pursuant to section 120.57(2), Florida Statutes, was held on July 25, 2023, during which the Commission presented the issues raised in the Letter of License Denial.

5. At the hearing, Petitioner explained the circumstances that led to the felony conviction.

6. Petitioner explained that he was falsely accused of this crime and there was a lack of evidence presented in the case.

7. He explained that he was living with his grandmother when the police came to the door looking for his uncle, who was not present.

8. The police asked his grandmother, while he was sleeping, if anyone else was present in the house and she replied “no”.

9. Petitioner heard his grandmother speaking with the officers and went to speak to them and presented his license.

10. The police officers asked Petitioner to come with them to the scene of the incident to see if the other officers can confirm that he was not involved. Petitioner went with the officers to the scene and two officers confirmed that he was the individual involved.

11. He explained that his uncle attempted to admit to his crimes and his grandmother testified that Petitioner had not left the house the night the crime occurred. Nonetheless, he was convicted of the crime.

12. Petitioner explained that the officer who arrested him has since been fired and has had many cases he was involved in overturned.

13. He also explained he was told by a lawyer he did not need to report this conviction because it occurred over seven years ago.

14. Petitioner further testified to his four-month employment at Casino Miami as a security officer, stating it was best job he ever had, and he loved the environment and his co-workers.

15. He stated that he was considered reliable and was offered the job as security supervisor. He is currently working driving for door dash and uber but would like to regain employment at Casino Miami.

16. Prior to his move to Miami, he worked as a security officer at a school in Philadelphia and considers himself an advocate for the youth.

17. When asked about his support system in Miami, he stated that he has a small, good community which includes his girlfriend and stepdaughter. He stated that he intends to continue to move forward with his life.

FINDINGS OF FACT

18. On April 6, 2011, Petitioner was convicted of Fleeing or Attempting to Elude an Officer, a felony out of the First Judicial District of Pennsylvania in Case No. CP-51-CR0008053-2010.

CONCLUSIONS OF LAW

19. The Hearing Officer has jurisdiction over this matter and the parties pursuant to section 120.57(2), Florida Statutes.

20. The Commission has jurisdiction over this matter pursuant to chapters 120, 550, 551, and 849, Florida Statutes.

21. Section 550.105(5)(b), Florida Statutes, provides, in pertinent part:

The Commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

22. Pursuant to section 550.105(5)(b), Florida Statutes, Petitioner's felony convictions are disqualifying offenses.

23. Section 550.105(5)(c), Florida Statutes, provides, in pertinent part, that "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission."

24. Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

25. Section 551.107(6)(a), Florida Statutes, provides that:

The commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with slot machine gaming. In addition, the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of

good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.

26. Petitioner failed to establish good moral character or rehabilitation as set out in sections 550.105(5)(c), Florida Statutes.

27. There is competent substantial evidence to support the conclusions of law.

PROPOSED RECOMMENDED ORDER

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby requested that the Hearing Officer enter a Recommended Order DENYING Petitioner's application for a Slot Machine/Cardroom/Pari-Mutuel Occupational License.

This Proposed Recommended Order in FGCC Case 2023-021423 is submitted this 21st day of August, 2023.

s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

CERTIFICATE OF SERVICE

I hereby certify this 23rd day of August, 2023, that a true copy of the foregoing “Respondent’s Proposed Recommended Order” has been provided by mail and email to:

Jamil Watson
7771 Northwest 7t Street, Apt. 504
Miami, Florida 33126

Documents Included in Case File

Exhibit 1 Cover Letter
Exhibit 2 Notice of Informal Hearing
Exhibit 3.....Letter of License Denial
Exhibit 4 Election of Rights
Exhibit 5 Report of Investigation
Exhibit 6.....Supporting Documents



FLORIDA GAMING CONTROL COMMISSION

C.B. UPTON, CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

July 5, 2023

Jamil Watson
7771 NW 7th Street Apt. 504
Miami, FL 33126

RE: FGCC v. Jamil Watson
Case No.: 2023-021423

Dear Mr. Watson:

Enclosed please find a Notice of Hearing for the informal hearing that has been scheduled in the above-referenced case. **Your hearing is scheduled to be heard on Tuesday, July 25, 2023 between 10:00 a.m. and 12:00 p.m. (Eastern Time).** Please read the Notice of Hearing for more details about the date, time, location and instructions for the hearing. A copy of the Commission's case file has been mailed to your address of record. Please ensure that you have this case file available during the hearing, as you may need to refer to it throughout the hearing.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.Stinson@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

Please note: If you choose not to attend the hearing in person or by video conference, we will be conducting the hearing telephonically; you will be contacted **between 10:00 AM and 12:00 PM (EST) at the following number: (267) 968-2109.** If that number is incorrect, please contact me as soon as possible to notify me of the correct number at which to reach you. Failure to answer the telephone, promptly return a voicemail, or hold an open line will result in the hearing proceeding without you.

Below please find information about the informal hearing process:

1. The Informal Hearing is held on the date and time noted in the Notice of Hearing;
2. Approximately 14 to 21 days after the hearing, Proposed Recommended Orders, or recommendations for what the Hearing Officer's ruling should be, are sent to Hearing Officer;
3. Approximately 21 to 45 days after the Proposed Recommended Orders are submitted, the Hearing Officer will submit his or her recommended ruling to the Clerk of the Commission's office;
4. A Final Order will be issued within approximately 90 days after the date of the hearing. The Final Order is final agency action and will describe the resolution of your case.

Should you have any questions or need any assistance, please feel free to contact me via telephone or email at 850-794-8072 or Ebonie.Lanier@flgaming.gov.

Sincerely,

/s/ Ebonie Lanier

Ebonie Lanier
Administrative Assistant III

Enclosures: Notice of Hearing and Case File

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent,

v.

FGCC Case No.: 2023-021423

JAMIL WATSON,

Petitioner.

NOTICE OF TELEPHONIC HEARING

TO: Jamil Watson
7771 NW 7th Street., Apt. 504
Miami, FL 33126

YOU ARE HEREBY NOTIFIED that the Commission's designated Hearing Officer will conduct a hearing in this matter, pursuant to Section 120.57(2), Florida Statutes. If you wish to present oral or written evidence, you must attend the hearing. The hearing is scheduled for **Tuesday, July 25, 2023, at 10:00 a.m. (Eastern Time)**. **If you choose not to attend the hearing in person or by video conference, the Hearing Officer will call you at (267) 968-2109 sometime between 10:00 a.m. – 12:00 p.m. (EST)**. Please be available to take the Hearing Officer's call. Failure to answer the telephone, promptly return a voicemail, or hold an open line may result in the hearing proceeding without you.

You may elect to attend the hearing in person or by video conference via GoToMeeting. If you wish to do so, you must contact the Commission by email at Ebonie.Lanier@flgaming.gov or telephone at (850) 794-8072, at least seven (7) days prior to your hearing date. If you do not elect to attend by video conference or in person, the hearing will automatically be held by telephone only.

You may also provide written or oral evidence or have witnesses testify on your behalf. Any evidence

that you wish to present to the Hearing Officer and any names and contact information of witnesses you plan to call at the hearing should be emailed to Elizabeth.stinson@flgaming.gov at least 7 days before the date of the hearing. If you do not have an email address, please contact me for an alternative method to provide the requested information.

If you cannot attend the hearing and wish to request a continuance for good cause, you must notify the Hearing Officer at (hearing officer email) and Opposing Counsel at (opposing counsel email) at least five (5) days prior to your hearing date. Continuance requests made within five (5) days of the hearing can only be granted for emergencies.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been furnished to: the above-named parties via certified mail, on this 5th day of July, 2023.

By: /s/ *Ebonie Lanier*

Ebonie N. Lanier
Administrative Assistant III
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32399
Telephone: (850) 794-8072
Facsimile: (850) 921-1311
Ebonie.Lanier@flgaming.gov

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this hearing is asked to advise the agency at least 48 hours before the hearing by contacting Ebonie Lanier at (850) 794-8072. If you are hearing or speech impaired, please contact the agency by calling 1-800-955-8771.



May 4, 2023

5/04/2023

Mr. Jamil Watson
7771 Northwest 7th Street
Miami, Florida 33126

Letter of License Denial

Dear Mr. Watson:

This Letter of License Denial ("Denial") serves to inform you that your application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License is denied in accordance with the provisions of chapters 550, 849, and 551, Florida Statutes, and the rules promulgated thereto. This Denial is based upon your following criminal disposition:

04/06/2011 – Fleeing or Attempting to Elude Officer (F3) – Adjudicated Guilty –
First Judicial District of Pennsylvania (Case No. CP-51-CR0008053-2010)

This conviction is a disqualifying offense under sections 550.105(5), 551.107(5), and 849.086(6)(g), Florida Statutes.

You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to section 120.57, Florida Statutes, you may request a hearing to challenge the Division's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

Melba Apellaniz
Clerk of the Commission

Attachment: Notice of Rights

CERTIFICATE OF SERVICE

I hereby certify this 4th day of MAY, 2023, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Mr. Jamil Watson
7771 Northwest 7th Street
Miami, Florida 33126



CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2023-021423

7020 2450 0000 5094 3775

| | |
|--|---------------------------------------|
| U.S. Postal Service™ | |
| CERTIFIED MAIL® RECEIPT | |
| Domestic Mail Only | |
| For delivery information, visit our website at www.usps.com ®. | |
| OFFICIAL USE | |
| Certified Mail Fee | \$ |
| Extra Services & Fees (check box, add fee as appropriate) | \$ |
| <input type="checkbox"/> Return Receipt (hardcopy) | MAILED 5/4/2023 |
| <input type="checkbox"/> Return Receipt (electronic) | |
| <input type="checkbox"/> Certified Mail Restricted Delivery | |
| <input type="checkbox"/> Adult Signature Required | |
| <input type="checkbox"/> Adult Signature Restricted Delivery | |
| Postage | \$ |
| Total Postage and Fees | \$ |
| Sent To | Jamil Watson |
| Street and Apt. No., or PO Box | 7771 Northwest 7 th Street |
| City, State, ZIP+4® | Miami, FL 33126 |
| | NOID 2023021423 PMW |
| PS Form 3800, April 2015 PSN 7530-02-000-8047 See Reverse for Instructions | |

NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Division within 21 days of your receipt of this notice.

If the Division determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Division will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: Clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

5/24/2023

From: [Jamil Watson](#)
To: [Clerk](#)
Subject: Appeal
Date: Wednesday, May 24, 2023 12:04:30 PM

[You don't often get email from jamilwatson215@gmail.com. Learn why this is important at <https://aka.ms/LearnAboutSenderIdentification>]

Hello I am writing to request an informal hearing for my appeal.

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering

Licensing Administrator Review – SLOT Occupational License

RE: WATSON, JAMIL – 13468024
 (APPLICANT'S NAME– LICENSE #)

Case No: 2023021423

| | |
|--------------------------------|-------------------------|
| INITIAL APPLICATION RECEIVED: | <u>1/27/2023</u> |
| COMPLETE APPLICATION RECEIVED: | <u>4/12/2023</u> |
| 90-DAY DEADLINE: | <u>7/11/2023</u> |

| | | |
|-------------------------|---------------------------|------------------------|
| <u>Randall Kitchens</u> | <u>273 – Casino Miami</u> | <u>1055 – Security</u> |
| Application Processor | Facility (d/b/a name) | Occupation/Job Title |


Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

| Licensing Administrator Review | | | | |
|---|------------------|--|--------------|--------------------|
| Conviction | | | | |
| Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | |
| <input checked="" type="checkbox"/> Felony : 1 Count(s) | | | | |
| <input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | |
| <input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only) | | | | |
| Comments: | | | | |
| Arrest Date | Location | Charge | Level | Disposition |
| 12/28/2009 | Philadelphia, Pa | Fleeing or Attempt to Elude Off CP-51-CR-0008053-2010 | F3 | Guilty |

| Disposition Unknown |
|--|
| <input type="checkbox"/> Felony Arrest(s) : |
| <input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking |
| <input type="checkbox"/> Animal Cruelty |

| Enforcement/Jurisdiction Offenses |
|---|
| <input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. |

| Related Licenses | |
|---|----------------|
| Check VR License Relations to Determine if Applicant is Related to a Business. | |
| Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below. | |
| Business License Number: | Business Name: |
| Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/> | |
| Date Deficiency Letter Issued: | Initials: |

| Licensing Administrator Review | |
|--|---|
| Disposition Confirmation | |
| Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval | |
| Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director | Initials:  |
| Comments: | |

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline Update
 Mass Status Update Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **rkitchens**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|--|-------------|--------------------------------------|---------------------|-------------------|
| Lic Type | 1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination | Status | 10 Initial Review | Status Date | 04/14/2023 |
| Complaint # | 2023021423 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent WATSON, JAMIL | Responsible | ddonaldson - DONALDSON, DAVID | Private Case | |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|---|----------------|--------------------|--|---|
| Source | INTN - Internal | Security Level | 1 | <input type="button" value="Parties"/> | <input type="button" value="Activities"/> |
| Form | INTR - Internal | Priority | | <input type="button" value="Allegations"/> | <input type="button" value="Discipline"/> |
| Class'n | CHIS - Criminal History | Complexity | R - Regular | <input type="button" value="Violations"/> | <input type="button" value="Compliance"/> |
| Security | STND - Standard | Incident | 01/27/2023 | <input type="button" value="Related"/> | <input type="button" value="Disposition"/> |
| Region | SR - Southern Region | Received | 04/14/2023 | <input type="button" value="Inspection"/> | |
| Reference | | | | <input type="button" value="Costs"/> | |
| Entered | 04/14/2023 | Entered By | rkitchens | <input type="button" value="Time Tracking"/> | <input type="button" value="Auto Assign"/> |
| Summary | Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. Facility - 273 - Casino Miami. | | | <input type="button" value="Attachments"/> | <input type="button" value="History"/> |
| Updated | 04/14/2023 08:42:05 | By | rkitchens | <input type="button" value="Work Notes"/> | <input type="button" value="Print Report"/> |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

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**First Judicial District of Pennsylvania
Court Summary**

Styles, Jamil A.
Philadelphia, PA 19141
Aliases:
Jamil A. Styles
Jamil A. Watson
Jamil Styles
Jamil Watson



Sex: Male
Eyes: Brown
Hair: Black
Race: Black

Closed

Chester

CP-15-CR-0004865-2008

Proc Status: Sentenced/Penalty Imposed DC No: OTN:K 695214-2

Arrest Dt: Disp Date: 02/10/2009 Disp Judge: Nagle, Ronald C.
Def Atty: Dewese, Susanna E. - (PD)

| <u>Seq No</u> | <u>Statute</u> | <u>Grade</u> | <u>Description</u> | <u>Disposition</u> |
|---------------|---------------------|----------------------|-----------------------|-------------------------------|
| | <u>Sentence Dt.</u> | <u>Sentence Type</u> | <u>Program Period</u> | <u>Sentence Length</u> |
| 1 | 35 § 780-113 §§ A31 | M | Poss Of Marijuana | Guilty Plea |
| | 02/10/2009 | Probation | Other | Min: 30 Day(s) Max: 30 Day(s) |

CP-15-CR-0004581-2009

Proc Status: Sentenced/Penalty Imposed DC No: OTN:K 903685-6

Arrest Dt: Disp Date: 05/10/2010 Disp Judge: Bortner, David F.
Def Atty: Crichton, Stuart Rolf - (PD)

| <u>Seq No</u> | <u>Statute</u> | <u>Grade</u> | <u>Description</u> | <u>Disposition</u> |
|---------------|---------------------|----------------------|-------------------------------------|-------------------------------|
| | <u>Sentence Dt.</u> | <u>Sentence Type</u> | <u>Program Period</u> | <u>Sentence Length</u> |
| 4 | 35 § 780-113 §§ A32 | M | Use/Poss Of Drug Paraph | Guilty Plea |
| | 05/10/2010 | Probation | 1 Year | Min: 1 Year(s) Max: 1 Year(s) |
| 7 | 35 § 780-113 §§ A16 | M | Int Poss Contr Subst By Per Not Reg | Guilty Plea |
| | 05/10/2010 | Probation | 1 Year | Min: 1 Year(s) Max: 1 Year(s) |

Philadelphia

CP-51-CR-0008053-2010

Proc Status: Sentenced/Penalty Imposed DC No: 0939083606 OTN:N 656445-6

Arrest Dt: 12/28/2009 Disp Date: 04/06/2011 Disp Judge: Patrick, Paula A.
Def Atty: Weaver, Sandjai - (PR)

| <u>Seq No</u> | <u>Statute</u> | <u>Grade</u> | <u>Description</u> | <u>Disposition</u> |
|---------------|---------------------|----------------------|---|------------------------|
| | <u>Sentence Dt.</u> | <u>Sentence Type</u> | <u>Program Period</u> | <u>Sentence Length</u> |
| 1 | 18 § 3304 §§ A2 | M2 | Crim'l Misch-Tamper W/Property | Guilty |
| | 06/28/2011 | No Further Penalty | | |
| 6 | 75 § 3733 §§ A | F3 | Fleeing or Attempting to Elude Officer | Guilty |
| | 06/28/2011 | Probation | 3 years | Max: 3 Year(s) |
| 7 | 18 § 2705 | M2 | Recklessly Endangering Another Person | Guilty |
| | 06/28/2011 | No Further Penalty | | |
| 8 | 18 § 4914 §§ A | M3 | False Identification To Law Enforcement Officer | Guilty |
| | 06/28/2011 | No Further Penalty | | |

CPCMS 3541

Printed: 4/14/2023 8:27 AM

Recent entries made in the court filing offices may not be immediately reflected on the court summary report. Neither the courts of the Unified Judicial System of the Commonwealth of Pennsylvania nor the Administrative Office of Pennsylvania Courts assume any liability for inaccurate or delayed data, errors or omissions on these reports. Court Summary Report information should not be used in place of a criminal history background check which can only be provided by the Pennsylvania State Police. Moreover an employer who does not comply with the provisions of the Criminal History Record Information Act may be subject to civil liability as set forth in 18 Pa.C.S. Section 9183.

Please note that if the offense disposition information is blank, this only means that there is not a "final disposition" recorded in the Common Pleas Criminal Court Case Management System for this offense. In such an instance, you must view the public web docket sheet of the case wherein the offense is charged in order to determine what the most up-to-date disposition information is for the offense.



**First Judicial District of Pennsylvania
Court Summary**

Styles, Jamil A. (Continued)

Closed (Continued)

Philadelphia (Continued)

CP-51-MD-0006815-2010

Proc Status: Completed

DC No:

OTN:K 903685-6

Arrest Dt:

Disp Date:

Disp Judge:

Def Atty: Crichton, Stuart Rolf - (PD)

Seq No

Statute

Grade

Description

Disposition

4

35 § 780-113

Use/Poss Of Drug Paraph

7

35 § 780-113

Int Poss Contr Subst By Per Not Reg

CP-51-MD-0008308-2011

Proc Status: Completed

DC No:

OTN:K 903685-6

Arrest Dt:

Disp Date:

Disp Judge:

Def Atty: Crichton, Stuart Rolf - (PD)

Seq No

Statute

Grade

Description

Disposition

4

35 § 780-113

Use/Poss Of Drug Paraph

7

35 § 780-113

Int Poss Contr Subst By Per Not Reg

MC-51-CR-0058689-2009

Proc Status: Completed

DC No: 0939083606

OTN:N 656445-6

Arrest Dt: 12/28/2009

Disp Date: 06/18/2010

Disp Judge: Moore, Jimmie

Def Atty: Whittington, Jay M. - (PR)

Seq No

Statute

Grade

Description

Disposition

1

18 § 3304 §§ A4

Criminal Mischief

Held for Court

MC-51-CR-0058690-2009

Proc Status: Completed

DC No: 0939083606

OTN:N 656445-6

Arrest Dt: 12/28/2009

Disp Date: 06/18/2010

Disp Judge: Moore, Jimmie

Def Atty: Whittington, Jay M. - (PR)

Seq No

Statute

Grade

Description

Disposition

1

18 § 3304 §§ A4

Criminal Mischief

Held for Court

MC-51-CR-0058691-2009

Proc Status: Completed

DC No: 0939083606

OTN:N 656445-6

Arrest Dt: 12/28/2009

Disp Date: 06/18/2010

Disp Judge: Moore, Jimmie

Def Atty: Whittington, Jay M. - (PR)

Seq No

Statute

Grade

Description

Disposition

1

18 § 3304 §§ A4

Criminal Mischief

Held for Court

MC-51-CR-0058692-2009

Proc Status: Completed

DC No: 0939083606

OTN:N 656445-6

Arrest Dt: 12/28/2009

Disp Date: 06/18/2010

Disp Judge: Moore, Jimmie

Def Atty: Whittington, Jay M. - (PR)

Seq No

Statute

Grade

Description

Disposition

1

18 § 3304 §§ A4

Criminal Mischief

Held for Court

MC-51-CR-0058693-2009

Proc Status: Completed

DC No: 0939083606

OTN:N 656445-6

CPCMS 3541

2

Printed: 4/14/2023 8:27 AM

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**First Judicial District of Pennsylvania
Court Summary**

Styles, Jamil A. (Continued)

Closed (Continued)

Philadelphia (Continued)

Arrest Dt: 12/28/2009 Disp Date: 06/18/2010 Disp Judge: Moore, Jimmie
Def Atty: Whittington, Jay M. - (PR)

| <u>Seq No</u> | <u>Statute</u> | <u>Grade</u> | <u>Description</u> | <u>Disposition</u> |
|---------------|------------------|--------------|---|--------------------|
| 1 | 18 § 2705 | M2 | Recklessly Endangering Another Person | Held for Court |
| 2 | 75 § 3733 §§ A | F3 | Fleeing or Attempting to Elude Officer | Held for Court |
| 3 | 18 § 4914 §§ A | M3 | False Identification To Law Enforcement Officer | Held for Court |
| 5 | 18 § 6106 §§ A1 | F3 | Firearms Not To Be Carried W/O License | Held for Court |
| 6 | 18 § 6110.2 §§ A | F2 | Posses Firearm W/Manufacturer Number Altered, Etc | Held for Court |

MC-51-CR-0058694-2009

Proc Status: Completed

DC No: 0939083606 OTN:N 656445-6

Arrest Dt: 12/28/2009 Disp Date: 06/18/2010 Disp Judge: Moore, Jimmie
Def Atty: Whittington, Jay M. - (PR)

| <u>Seq No</u> | <u>Statute</u> | <u>Grade</u> | <u>Description</u> | <u>Disposition</u> |
|---------------|-----------------|--------------|--------------------|--------------------|
| 1 | 18 § 3304 §§ A4 | | Criminal Mischief | Held for Court |

Recent entries made in the court filing offices may not be immediately reflected on the court summary report. Neither the courts of the Unified Judicial System of the Commonwealth of Pennsylvania nor the Administrative Office of Pennsylvania Courts assume any liability for inaccurate or delayed data, errors or omissions on these reports. Court Summary Report information should not be used in place of a criminal history background check which can only be provided by the Pennsylvania State Police. Moreover an employer who does not comply with the provisions of the Criminal History Record Information Act may be subject to civil liability as set forth in 18 Pa.C.S. Section 9183.

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STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 2601 Blair Stone Road
 Tallahassee, FL 32399-1037

WATSON, JAMIL
 7771 NW 7TH ST
 MIAMI, FL 33126

FILE NUMBER 11132

AMOUNT PAID \$100.00

INITIAL PIN 0369

APPROVAL DATE JANUARY 27, 2023



STATE OF FLORIDA **AC#T 0087745**
 FLORIDA GAMING CONTROL
 COMMISSION

LIC NO. 13468024 01/27/2023

TEMPORARY SLOT/CARDROOM/PARI-MUTUEL
 INDIV COMBO (1055)
 WATSON, JAMIL

IS LICENSED UNDER THE PROVISIONS OF CH. 551 F.S.
 EXPIRATION DATE: **PENDING APPLICATION ACTION**

DETACH HERE

THIS DOCUMENT HAS A COLORED BACKGROUND • MICROPRINTING • LINEMARK™ PATENTED PAPER

AC#T 0087745

STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION

SEQ# 23012713468024

| DATE | LICENSE FEE | LICENSE NUMBER | FILE NUMBER 11132 |
|------------|--------------------|----------------|-------------------|
| 01/27/2023 | AMOUNT PD \$100.00 | 13468024 | |

TEMPORARY SLOT/CARDROOM/PARI-MUTUEL INDIV COMBO LICENSEE
 NAMED BELOW IS LICENSED UNDER THE PROVISIONS OF CH. 551 F.S.
EXPIRATION DATE: PENDING APPLICATION ACTION

WATSON, JAMIL
 7771 NW 7TH ST
 MIAMI, FL 33126

RON DESANTIS
 GOVERNOR

DISPLAY AS REQUIRED BY LAW

474

**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application**

JAN 27 2023
RECEIVED

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | | | |
|--|-------------------------------------|--|---|--|--|
| [REDACTED] | | | Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female | | |
| Last Name | | First | Middle | Suffix | |
| Watson | | Jami | | | |
| Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| If yes, list the name or names used: _____ | | | | | |
| Race/Ethnicity (check only one): | | | | | |
| <input checked="" type="checkbox"/> Black or African American | | <input type="checkbox"/> Asian or Pacific Islander | | <input type="checkbox"/> Native American or Alaskan Native | |
| <input type="checkbox"/> White or Caucasian | | <input type="checkbox"/> Hispanic/Latino | | <input type="checkbox"/> Other | |
| Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | | | |
| If no, provide the name of the country of which you are a citizen: _____ | | | | | |
| Current Mailing Address | | | | Email Address (optional) | |
| 7771 NW 7th St | | | | | |
| City | State | Zip Code (+4 optional) | Country | | |
| Miami | FL | 33126 | U.S.A. | | |
| Primary Phone Number | | | Secondary/Cell Phone Number (optional) | | |
| 267-968-2109 | | | | | |
| Current Street Address | | | | | |
| 7771 NW 7th St | | | | | |
| City | State | Zip Code (+4 optional) | Country | | |
| Miami | F | 33126 | U.S.A. | | |
| Type of Slot Machine Occupational License applying for: | | | | Is this your first time applying for a racing/gaming license in Florida? | |
| <input type="checkbox"/> General Individual | | <input type="checkbox"/> Professional Individual | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| <input checked="" type="checkbox"/> Slot Machine/Cardroom/PMW Combination | | | | Facility where employed and/or doing business: | |
| Job title(s): Security Supervisor | | | | Casino Miami | |
| _____ | | | | Employer name: | |
| _____ | | | | Casino Miami | |
| *Applicants for a Combo license should disclose all job titles | | | | | |
| FOR DIVISION USE ONLY | | | | | |
| License Code | License # | File # | App # | | |
| 1055 | 13408024 | 11132 | 391075 | | |
| Association Code | Date Received | Entered By | License Year | | |
| 273 | 01/27/23 | JR | 22-25 | | |
| License Fee | FP Date | FP Fee | Total Fee | | |
| \$100.00 | 01/27/23 | \$0.00 | \$100.00 | | |
| Waiver Requested (Combo Only) | ARCI | Enforcement | | | |
| | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | | | |

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

| Name of Employer | Address | Start Date (Month/ Year) - End Date (Month/ Year) | Title/Position Held & Supervisor Name | Reason for Leaving |
|------------------|---------|--|--|--------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

| State(s) or Jurisdiction(s) Where Licensed | Date of Expiration | Is this license currently suspended or subject to fines or other discipline? Explain why. |
|---|-----------------------|--|
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.


I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Jami L. Watson
Print Legal Name (First Middle Last)

03/06/1987
Birth Date (MM/DD/YYYY)

203-68-0369
Social Security Number

Casino Miami LLC
Name of Employer


Signature of Applicant

1-26-2023
Date



Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: [REDACTED] |
| Date of Birth: |
| First Name: Jamil |
| Middle Name: |
| Last Name: Watson |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search Public Case Info | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jrodriguez

VR Home > Complaint Search

Search Criteria | Results

Complaint

Board Complaint #

Lic Type Reference

Case Type Status

Assigned To

Incident From Incident To

Key Phrase in Summary

-
-
-
-

Respondent

Fed Tax # Watson, Jamil Street #

File # 11132 Slot/Cardroom/Pari-Mutuel Indiv Combo Street

License # 13468024 Temporary City

Entity # 13468024 Expires 02/26/2023 Postal/Zip

Key Name State

County

Complainant Key Name

Involved Party Key Name

Get Adobe Reader.

APR 2 3/21/2023

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

| Date of Disposition | County | State | Offense | Misdemeanor or Felony? | Sentence |
|---------------------|---------|-------|--|------------------------|-------------------|
| 2/10/2009 | Chester | PA | Poss of marijuana | M | Probation 30 days |
| 6/18/2010 | Phila. | PA | False Identification Reckless endangering | M2bF3 | Held for court |
| 11/10/2009 | Chester | PA | Use/Poss drug paraph | M | Fine |
| | | | | | |

Yes
 No Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

APR 12 2023
RECEIVED

9/12/2008

COURT OF COMMON PLEAS OF CHESTER COUNTY

DOCKET



Docket Number: CP-15-CR-0004865-2008

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

Page 1 of 5

v.

Jamil Styles

CASE INFORMATION

| | | |
|---|---|--|
| <u>Judge Assigned:</u> Nagle, Ronald C. | <u>Date Filed:</u> 12/17/2008 | <u>Initiation Date:</u> 09/24/2008 |
| <u>OTN:</u> K 695214-2 | <u>LOTN:</u> | <u>Originating Docket No:</u> MJ-15101-CR-0000259-2008 |
| <u>Initial Issuing Authority:</u> Mark A. Bruno | <u>Final Issuing Authority:</u> Mark A. Bruno | |
| <u>Arresting Agency:</u> W Chester Univ Police Dept | <u>Arresting Officer:</u> Paris, Matthew J. | |
| <u>Complaint/Citation No.:</u> | <u>Incident Number:</u> WC0802560 | |
| <u>County:</u> Chester | <u>Township:</u> W Chester Boro | |
| <u>Case Local Number Type(s)</u> | <u>Case Local Number(s)</u> | |

STATUS INFORMATION

| | | | |
|----------------------------|--------------------|---------------------------|-----------------------------------|
| <u>Case Status:</u> Closed | <u>Status Date</u> | <u>Processing Status</u> | <u>Complaint Date:</u> 09/24/2008 |
| | 02/10/2009 | Sentenced/Penalty Imposed | |
| | 02/10/2009 | Awaiting Sentencing | |
| | 02/10/2009 | Sentenced/Penalty Imposed | |
| | 12/18/2008 | Awaiting Trial | |
| | 12/17/2008 | Awaiting Original Papers | |

CALENDAR EVENTS

| <u>Case Calendar</u> | <u>Schedule</u> | <u>Start</u> | <u>Room</u> | <u>Judge Name</u> | <u>Schedule</u> |
|----------------------|-------------------|--------------|-------------|-----------------------|-----------------|
| <u>Event Type</u> | <u>Start Date</u> | <u>Time</u> | | | <u>Status</u> |
| Formal Arraignment | 12/31/2008 | 9:30 am | | | Scheduled |
| Criminal Trial | 02/10/2009 | 9:30 am | Courtroom 8 | Judge Ronald C. Nagle | Scheduled |

DEFENDANT INFORMATION

Date Of Birth: 03/06/1987 City/State/Zip: Philadelphia, PA 19120

CASE PARTICIPANTS

| <u>Participant Type</u> | <u>Name</u> |
|-------------------------|---------------|
| Defendant | Styles, Jamil |

BAIL INFORMATION

Styles, Jamil **Nebbia Status: None**

| | | | | | |
|--------------------|--------------------|-----------------------|--------------------------|----------------------|---------------------|
| <u>Bail Action</u> | <u>Date</u> | <u>Bail Type</u> | <u>Originating Court</u> | <u>Percentage</u> | <u>Amount</u> |
| Set | 11/18/2008 | ROR | Common Pleas | | \$0.00 |
| <u>Surety Type</u> | <u>Surety Name</u> | <u>Posting Status</u> | <u>Posting Date</u> | <u>Security Type</u> | <u>Security Amt</u> |
| Self | Styles, Jamil | Posted | 11/18/2008 | Bond Signature | \$0.00 |

CHARGES

| <u>Seq.</u> | <u>Orig Seq.</u> | <u>Grade</u> | <u>Statute</u> | <u>Statute Description</u> | <u>Offense Dt.</u> | <u>OTN</u> |
|-------------|------------------|--------------|---------------------|----------------------------|--------------------|------------|
| 1 | 1 | M | 35 § 780-113 §§ A31 | Poss Of Marijuana | 09/12/2008 | K 695214-2 |

COURT OF COMMON PLEAS OF CHESTER COUNTY

DOCKET



Docket Number: CP-15-CR-0004865-2008

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

Page 2 of 5

v.

Jamil Styles

DISPOSITION SENTENCING/PENALTIES

Disposition

| <u>Case Event</u> | <u>Disposition Date</u> | <u>Final Disposition</u> | |
|---|--|-------------------------------|---------------------|
| <u>Sequence/Description</u> | <u>Offense Disposition</u> | <u>Grade</u> | <u>Section</u> |
| <u>Sentencing Judge</u> | <u>Sentence Date</u> | <u>Credit For Time Served</u> | |
| <u>Sentence/Diversion Program Type</u> | <u>Incarceration/Diversionary Period</u> | <u>Start Date</u> | |
| <u>Sentence Conditions</u> | | | |
| Lower Court Proceeding (generic) | | | |
| Lower Court Disposition | 12/16/2008 | Not Final | |
| 1 / Poss Of Marijuana | Waived for Court (Lower Court) | M | 35 § 780-113 §§ A31 |
| Guilty Plea | | | |
| Trial | 02/10/2009 | Final Disposition | |
| 1 / Poss Of Marijuana | Guilty Plea | M | 35 § 780-113 §§ A31 |
| Nagle, Ronald C. | 02/10/2009 | | |
| Probation | Min of 30.00 Days | | |
| | Max of 30.00 Days | | |
| | Other | | |

COMMONWEALTH INFORMATION

Name: Deborah S. Ryan
District Attorney

Supreme Court No: 082302

Phone Number(s):
610-344-6801 (Phone)

Address:
PO Box 2746
West Chester, PA 19380-0989

Name: Andrea M. Cardamone
District Attorney

Supreme Court No: 084383

Phone Number(s):
610-344-6801 (Phone)

Address:
Chester County District Attorney's Office
17 N Church St PO Box 2748
West Chester, PA 19380

ATTORNEY INFORMATION

Name: Susanna E. Dewese
Public Defender

Supreme Court No: 089647

Rep. Status: Active

Phone Number(s):
610-344-6940 (Phone)

Address:
201 W Market PO Box 2746
West Chester, PA 19380-0989

Representing: Styles, Jamil

ENTRIES

| <u>Sequence Number</u> | <u>CP Filed Date</u> | <u>Document Date</u> | <u>Filed By</u> |
|-----------------------------|----------------------|----------------------|-----------------|
| 1 | 11/18/2008 | | Styles, Jamil |
| Bail Posted - Styles, Jamil | | | |

COURT OF COMMON PLEAS OF CHESTER COUNTY

DOCKET



Docket Number: CP-15-CR-0004865-2008

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

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v.

Jamil Styles

ENTRIES

| <u>Sequence Number</u> | <u>CP Filed Date</u> | <u>Document Date</u> | <u>Filed By</u> |
|---|----------------------|----------------------|--|
| 2 | 11/18/2008 | | Bruno, Mark A. |
| Bail Set - Styles, Jamil | | | |
| 1 | 12/18/2008 | | MDJ-15-1-01 |
| Original Papers Received from Lower Court | | | |
| 1 | 01/12/2009 | 01/12/2009 | Redmond, P. J. |
| Ready for Trial - Waived Arraignment | | | |
| 2 | 01/12/2009 | 01/12/2009 | Commonwealth of Pennsylvania |
| Information Filed | | | |
| 1 | 01/23/2009 | | Court of Common Pleas - Chester County |
| Hearing Notice | | | |
| 1 | 01/29/2009 | | Dewese, Susanna E. |
| Entry of Appearance | | | |
| 1 | 02/10/2009 | | Nagle, Ronald C. |
| Plea Agreement | | | |
| 2 | 02/10/2009 | | Nagle, Ronald C. |
| Guilty Plea | | | |
| 3 | 02/10/2009 | | Nagle, Ronald C. |
| Disposition Filed | | | |
| 4 | 02/10/2009 | | Nagle, Ronald C. |
| Order - Sentence/Penalty Imposed | | | |
| 5 | 02/10/2009 | | Court of Common Pleas - Chester County |
| Trial Chronology | | | |
| 1 | 02/11/2009 | | Peters, Teena A. |
| DL-21D prepared | | | |

COURT OF COMMON PLEAS OF CHESTER COUNTY

DOCKET



Docket Number: CP-15-CR-0004865-2008

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

v.

Jamil Styles

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ENTRIES

| <u>Sequence Number</u> | <u>CP Filed Date</u> | <u>Document Date</u> | <u>Filed By</u> |
|---|----------------------|----------------------|--|
| 2 | 02/11/2009 | | Court of Common Pleas - Chester County |
| Penalty Assessed | | | |
| 1 | 02/13/2009 | | Court of Common Pleas - Chester County |
| Payment Plan Introduction Letter | | | |
| 1 | 03/27/2009 | | Chester County Adult Probation Unit |
| Vop Hearing Notice | | | |
| 1 | 04/29/2009 | | Court of Common Pleas - Chester County |
| Delinquency Notice Filed - 50 Days Overdue | | | |
| 1 | 05/29/2009 | | Court of Common Pleas - Chester County |
| Delinquency Notice Filed - 80 Days Overdue | | | |
| 1 | 06/17/2009 | | Chester County Adult Probation Unit |
| VOP Hearing Notice | | | |
| 1 | 07/29/2009 | | Chester County Adult Probation Unit |
| VOP Hearing Notice | | | |
| 1 | 10/20/2009 | | Chester County Adult Probation Unit |
| VOP Hearing Notice | | | |
| 1 | 12/22/2009 | | Chester County Adult Probation Unit |
| VOP Hearing Notice | | | |
| 1 | 02/02/2010 | | Nagle, Ronald C. |
| Order to Close Case - Fines, Costs and/or Restitution Uncollectible | | | |
| 1 | 02/04/2010 | | Styles, Jamil |
| Penalty Satisfied | | | |

COURT OF COMMON PLEAS OF CHESTER COUNTY

DOCKET



Docket Number: CP-15-CR-0004865-2008

CRIMINAL DOCKET

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CASE FINANCIAL INFORMATION

Last Payment Date:

Total of Last Payment:

| Styles, Jamil Defendant | <u>Assessment</u> | <u>Payments</u> | <u>Adjustments</u> | <u>Non Monetary Payments</u> | <u>Balance</u> |
|---|-------------------|-----------------|--------------------|----------------------------------|----------------|
| Costs/Fees | | | | | |
| State Court Costs (Act 204 of 1976) | \$10.30 | \$0.00 | (\$10.30) | \$0.00 | \$0.00 |
| Commonwealth Cost - HB627 (Act 167 of 1992) | \$8.90 | \$0.00 | (\$8.90) | \$0.00 | \$0.00 |
| County Court Cost (Act 204 of 1976) | \$28.80 | \$0.00 | (\$28.80) | \$0.00 | \$0.00 |
| Crime Victims Compensation (Act 96 of 1984) | \$35.00 | \$0.00 | (\$35.00) | \$0.00 | \$0.00 |
| Domestic Violence Compensation (Act 44 of 1988) | \$10.00 | \$0.00 | (\$10.00) | \$0.00 | \$0.00 |
| Victim Witness Service (Act 111 of 1998) | \$25.00 | \$0.00 | (\$25.00) | \$0.00 | \$0.00 |
| Crime Lab User Fee - State Police | \$365.00 | \$0.00 | (\$365.00) | \$0.00 | \$0.00 |
| Firearm Education and Training Fund | \$5.00 | \$0.00 | (\$5.00) | \$0.00 | \$0.00 |
| Judicial Computer Project | \$8.00 | \$0.00 | (\$8.00) | \$0.00 | \$0.00 |
| ATJ | \$2.00 | \$0.00 | (\$2.00) | \$0.00 | \$0.00 |
| Clerks Cost (Chester) | \$75.00 | \$0.00 | (\$75.00) | \$0.00 | \$0.00 |
| Clerk of Courts UDS Automation Fee (Chester) | \$5.00 | \$0.00 | (\$5.00) | \$0.00 | \$0.00 |
| Costs/Fees Totals: | \$578.00 | \$0.00 | (\$578.00) | \$0.00 | \$0.00 |
| Fines | | | | | |
| Crimes Code, etc. | \$25.00 | \$0.00 | (\$25.00) | \$0.00 | \$0.00 |
| Fines Totals: | \$25.00 | \$0.00 | (\$25.00) | \$0.00 | \$0.00 |
| Grand Totals: | \$603.00 | \$0.00 | (\$603.00) | \$0.00 | \$0.00 |

** - Indicates assessment is subrogated

12/9/2008

Magisterial District Judge 15-1-01**DOCKET**

Docket Number: MJ-15101-CR-0000235-2009

Criminal Docket

Commonwealth of Pennsylvania

v.

Jamil Styles

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CASE INFORMATION

| | | | |
|--------------------------|-----------------------------|--------------------------|----------------------|
| <u>Judge Assigned:</u> | Marc J. Lieberman | <u>Issue Date:</u> | 09/03/2009 |
| <u>OTN:</u> | K 903685-6 | <u>File Date:</u> | 09/03/2009 |
| <u>Arresting Agency:</u> | West Chester, University PD | <u>Arrest Date:</u> | |
| <u>Complaint No.:</u> | WC0804041 | <u>Incident No.:</u> | |
| <u>Disposition:</u> | Held for Court | <u>Disposition Date:</u> | 11/10/2009 |
| <u>County:</u> | Chester | <u>Township:</u> | West Chester Borough |
| <u>Case Status:</u> | Closed | | |

STATUS INFORMATION

| <u>Case Status</u> | <u>Status Date</u> | <u>Processing Status</u> |
|--------------------|--------------------|---|
| Closed | 11/12/2009 | Case Transferred to Court of Common Pleas |
| | 11/10/2009 | Completed |
| | 10/13/2009 | Awaiting Preliminary Hearing |
| | 09/04/2009 | Awaiting Preliminary Hearing |
| | 09/03/2009 | Awaiting Preliminary Hearing |

CALENDAR EVENTS

| <u>Case Calendar</u> | <u>Schedule</u> | <u>Start Time</u> | <u>Room</u> | <u>Judge Name</u> | <u>Schedule Status</u> |
|----------------------|-----------------|-------------------|------------------------|--|------------------------|
| Preliminary Hearing | 10/13/2009 | 10:30 am | Courtroom: MDJ-15-1-01 | Magisterial District Judge Mark A. Bruno | Continued |
| Preliminary Hearing | 11/10/2009 | 10:00 am | Courtroom: MDJ-15-1-01 | Magisterial District Judge Mark A. Bruno | Scheduled |

DEFENDANT INFORMATION

| | | | |
|------------------------|---------------|--------------|-------|
| <u>Name:</u> | Styles, Jamil | <u>Sex:</u> | Male |
| <u>Date of Birth:</u> | 03/06/1987 | <u>Race:</u> | Black |
| <u>Address(es):</u> | | | |
| Other | | | |
| Philadelphia, PA 19120 | | | |

| | |
|--|-----|
| Advised of His Right to Apply for Assignment of Counsel? | No |
| Public Defender Requested by the Defendant? | Yes |
| Application Provided for Appointment of Public Defender? | Yes |
| Has the Defendant Been Fingerprinted? | Yes |

CASE PARTICIPANTS

| <u>Participant Type</u> | <u>Participant Name</u> |
|-------------------------|-------------------------|
| Arresting Officer | Paris, Matthew J. |
| Defendant | Styles, Jamil |

Magisterial District Judge 15-1-01

DOCKET

Docket Number: MJ-15101-CR-0000235-2009



Commonwealth of Pennsylvania
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Jamil Styles

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BAIL

Bail Set:

Nebbia Status: None

| <u>Bail Action Type</u> | <u>Bail Action Date</u> | <u>Bail Type</u> | <u>Court</u> | <u>Percentage</u> | <u>Amount</u> |
|-------------------------|-------------------------|------------------|----------------------------|-------------------|---------------|
| Set | 11/10/2009 | ROR | Magisterial District Court | | \$0.00 |

Bail Posted:

| <u>Surety Type</u> | <u>Surety Name</u> | <u>Posting Status</u> | <u>Posted Dt</u> | <u>Security Type</u> | <u>Security Amt</u> |
|--------------------|---|-----------------------|------------------|----------------------|---------------------|
| Self | Styles, Jamil 800 Wellens Philadelphia, PA 19120 | Posted | 11/10/2009 | Bond Signature | \$0.00 |

Bail Depositor(s):

| <u>Depositor Name</u> | <u>Amount</u> |
|-----------------------|---------------|
|-----------------------|---------------|

CHARGES

| <u># Charge</u> | <u>Grade</u> | <u>Description</u> | <u>Offense Dt.</u> | <u>Disposition</u> |
|-----------------------|--------------|-------------------------|--------------------|--------------------|
| 4 35 § 780-113 §§ A32 | M | Use/Poss Of Drug Paraph | 12/09/2008 | Held for Court |

DISPOSITION / SENTENCING DETAILS

| <u>Case Disposition</u> | <u>Disposition Date</u> | <u>Was Defendant Present?</u> |
|-------------------------|-------------------------|-------------------------------|
| Held for Court | 11/10/2009 | Yes |

| <u>Offense Seq./Description</u> | <u>Offense Disposition</u> |
|---------------------------------|----------------------------|
| 4 Use/Poss Of Drug Paraph | Held for Court |

ATTORNEY INFORMATION

District Attorney

Name: Chester County District Attorney's Office

Representing: Commonwealth of Pennsylvania

Counsel Status: Active

Supreme Court No.:

Phone No.: 610-344-6801

Address: 17 N Church St Ste 218
Box 2748
West Chester, PA 19380-0991

Public Defender

Name: James Patrick Green, Esq.

Representing: Styles, Jamil

Counsel Status: Active

Supreme Court No.: 045666

Phone No.: 610-344-6940

Address: Chester Co Public Defender
201 W Market PO Box 2746
West Chester, PA 19380-0989

Magisterial District Judge 15-1-01

DOCKET

Docket Number: MJ-15101-CR-0000235-2009

Criminal Docket



Commonwealth of Pennsylvania

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DOCKET ENTRY INFORMATION

| Filed Date | Entry | Filer | Applies To |
|------------|------------------------------|--|-------------------------|
| 11/10/2009 | Held for Court | Magisterial District Judge Mark A. Bruno | Jamil Styles, Defendant |
| 09/14/2009 | First Class Summons Accepted | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |
| 09/14/2009 | Certified Summons Accepted | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |
| 09/04/2009 | Summons Issued | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |
| 09/04/2009 | First Class Summons Issued | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |
| 09/04/2009 | Certified Summons Issued | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |
| 09/03/2009 | Criminal Complaint Filed | Magisterial District Court 15-1-01 | Jamil Styles, Defendant |

12/28/2009

MUNICIPAL COURT OF PHILADELPHIA COUNTY

DOCKET



Docket Number: MC-51-CR-0058693-2009

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

v.

Jamil A. Styles

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CASE INFORMATION

Cross Court Docket Nos: CP-51-CR-0008053-2010

Judge Assigned:

Date Filed: 12/29/2009

Initiation Date: 12/28/2009

OTN: N 656445-6

LOTN:

Originating Docket No: MC-51-CR-0058693-2009

Initial Issuing Authority:

Final Issuing Authority:

Arresting Agency: Philadelphia Pd

Arresting Officer: Affiant

Complain/Citation No.:

Incident Number: 0939083606

County: Philadelphia

Township: Philadelphia City

Case Local Number Type(s)

Case Local Number(s)

District Control Number

0939083606

RELATED CASES

| Related Docket No | Related Case Caption | Related Court | Association Reason |
|-------------------------------------|---------------------------|---------------|--|
| Consolidated Defendant Cases | | | |
| MC-51-CR-0058689-2009 | Comm. v. Styles, Jamil A. | MC-01-51-Crim | Same District Control Number and Primary Participant |
| MC-51-CR-0058690-2009 | Comm. v. Styles, Jamil A. | MC-01-51-Crim | Same District Control Number and Primary Participant |
| MC-51-CR-0058691-2009 | Comm. v. Styles, Jamil A. | MC-01-51-Crim | Same District Control Number and Primary Participant |
| MC-51-CR-0058692-2009 | Comm. v. Styles, Jamil A. | MC-01-51-Crim | Same District Control Number and Primary Participant |
| MC-51-CR-0058694-2009 | Comm. v. Styles, Jamil A. | MC-01-51-Crim | Same District Control Number and Primary Participant |

STATUS INFORMATION

| Case Status: | Status Date | Processing Status | Arrest Date: |
|--------------|-------------|------------------------------|----------------------------|
| Closed | 06/18/2010 | Completed | 12/28/2009 |
| | 06/18/2010 | Awaiting Preliminary Hearing | |
| | 01/28/2010 | Awaiting Trial | |
| | 12/29/2009 | Awaiting Status Listing | |
| | 12/29/2009 | Awaiting Preliminary Hearing | |
| | | | Complaint Date: 12/29/2009 |

Recent entries made in the court filing offices may not be immediately reflected on these docket sheets. Neither the courts of the Unified Judicial System of the Commonwealth of Pennsylvania nor the Administrative Office of Pennsylvania Courts assume any liability for inaccurate or delayed data, errors or omissions on these reports. Docket Sheet information should not be used in place of a criminal history background check which can only be provided by the Pennsylvania State Police. Moreover an employer who does not comply with the provisions of the Criminal History Record Information Act may be subject to civil liability as set forth in 18 Pa.C.S. Section 9183.

MUNICIPAL COURT OF PHILADELPHIA COUNTY

DOCKET



Docket Number: MC-51-CR-0058693-2009

CRIMINAL DOCKET

Court Case

Commonwealth of Pennsylvania

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v.

Jamil A. Styles

CALENDAR EVENTS

| <u>Case Calendar</u> <u>Event Type</u> | <u>Schedule</u> <u>Start Date</u> | <u>Start</u> <u>Time</u> | <u>Room</u> | <u>Judge Name</u> | <u>Schedule</u> <u>Status</u> |
|---|--------------------------------------|-----------------------------|-------------|---|----------------------------------|
| Preliminary Arraignment | 12/29/2009 | 9:02 am | B08 | Arraignment Court Magistrate Sheila M. Bedford | Scheduled |
| Arraignment Status | 01/28/2010 | 10:00 am | 404 | Senior Judge Marsha H. Neifield | Scheduled |
| Trial | 04/12/2010 | 8:30 am | 903 | President Judge Patrick F. Dugan | Scheduled |
| Preliminary Hearing | 06/18/2010 | 8:30 am | 903 | Senior Judge Jimmie Moore | Scheduled |
| Formal Arraignment | 07/09/2010 | 11:00 am | 505 | | Cancelled |

DEFENDANT INFORMATION

Date Of Birth: 03/06/1987 City/State/Zip: Philadelphia, PA 19141

CASE PARTICIPANTS

| <u>Participant Type</u> | <u>Name</u> |
|-------------------------|------------------|
| Defendant | Styles, Jamil A. |

BAIL INFORMATION

Styles, Jamil A. **Nebbia Status: None**

| <u>Bail Action</u> | <u>Date</u> | <u>Bail Type</u> | <u>Originating Court</u> | <u>Percentage</u> | <u>Amount</u> |
|--------------------|-------------|------------------|--------------------------|-------------------|---------------|
| Set | 12/29/2009 | ROR | Municipal Court | | \$0.00 |

| <u>Surety Type</u> | <u>Surety Name</u> | <u>Posting Status</u> | <u>Posting Date</u> | <u>Security Type</u> | <u>Security Amt</u> |
|--------------------|--------------------|-----------------------|---------------------|----------------------|---------------------|
| Self | Styles, Jamil A. | Posted | 12/29/2009 | Bond Signature | \$0.00 |

CHARGES

| <u>Seq.</u> | <u>Orig Seq.</u> | <u>Grade</u> | <u>Statute</u> | <u>Statute Description</u> | <u>Offense Dt.</u> | <u>OTN</u> |
|-------------|------------------|--------------|------------------|---|--------------------|------------|
| 1 | 1 | M2 | 18 § 2705 | Recklessly Endangering Another Person | 12/28/2009 | N 656445-6 |
| 2 | 2 | F3 | 75 § 3733 §§ A | Fleeing or Attempting to Elude Officer | 12/28/2009 | N 656445-6 |
| 3 | 3 | M3 | 18 § 4914 §§ A | False Identification To Law Enforcement Officer | 12/28/2009 | N 656445-6 |
| 5 | 6 | F3 | 18 § 6106 §§ A1 | Firearms Not To Be Carried W/O License | 12/28/2009 | N 656445-6 |
| 6 | 7 | F2 | 18 § 6110.2 §§ A | Posses Firearm W/Manufacturer Number Altered, Etc | 12/28/2009 | N 656445-6 |

DISPOSITION SENTENCING/PENALTIES

| <u>Disposition</u> | <u>Disposition Date</u> | <u>Final Disposition</u> |
|--|--|-------------------------------|
| <u>Case Event</u> | <u>Offense Disposition</u> | <u>Grade</u> <u>Section</u> |
| <u>Sequence/Description</u> | <u>Sentence Date</u> | <u>Credit For Time Served</u> |
| <u>Sentencing Judge</u> | <u>Incarceration/Diversionary Period</u> | <u>Start Date</u> |
| <u>Sentence/Diversion Program Type</u> | | |
| <u>Sentence Conditions</u> | | |

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MUNICIPAL COURT OF PHILADELPHIA COUNTY

DOCKET



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DISPOSITION SENTENCING/PENALTIES

Disposition

| <u>Case Event</u> | <u>Disposition Date</u> | <u>Final Disposition</u> | |
|--|--|-------------------------------|----------------|
| <u>Sequence/Description</u> | <u>Offense Disposition</u> | <u>Grade</u> | <u>Section</u> |
| <u>Sentencing Judge</u> | <u>Sentence Date</u> | <u>Credit For Time Served</u> | |
| <u>Sentence/Diversion Program Type</u> | <u>Incarceration/Diversionary Period</u> | <u>Start Date</u> | |
| <u>Sentence Conditions</u> | | | |

Proceed to Court

| | | | |
|---|------------------|-----------|----------------|
| Preliminary Arraignment | 12/29/2009 | Not Final | |
| 1 / Recklessly Endangering Another Person | Proceed to Court | M2 | 18 § 2705 |
| 2 / Fleeing or Attempting to Elude Officer | Proceed to Court | F3 | 75 § 3733 §§ A |
| 3 / False Identification To Law Enforcement Officer | Proceed to Court | M3 | 18 § 4914 §§ A |

Held for Court

| | | | |
|---|----------------|-------------------|------------------|
| Preliminary Hearing | 06/18/2010 | Final Disposition | |
| 1 / Recklessly Endangering Another Person | Held for Court | M2 | 18 § 2705 |
| 2 / Fleeing or Attempting to Elude Officer | Held for Court | F3 | 75 § 3733 §§ A |
| 3 / False Identification To Law Enforcement Officer | Held for Court | M3 | 18 § 4914 §§ A |
| 5 / Firearms Not To Be Carried W/O License | Held for Court | F3 | 18 § 6106 §§ A1 |
| 6 / Posses Firearm W/Manufacturer Number Altered, Etc | Held for Court | F2 | 18 § 6110.2 §§ A |

COMMONWEALTH INFORMATION

Name: Philadelphia County District Attorney's Office
Prosecutor

Supreme Court No:
Phone Number(s): 215-686-8000 (Phone)

Address:
3 South Penn Square
Philadelphia, PA 19107

ATTORNEY INFORMATION

Name: Jay M. Whittington
Private

Supreme Court No: 092669

Rep. Status: Active

Phone Number(s):

Address:

ENTRIES

| <u>Sequence Number</u> | <u>CP Filed Date</u> | <u>Document Date</u> | <u>Filed By</u> |
|------------------------|----------------------|----------------------|---------------------------------------|
| 1 | 12/29/2009 | | Municipal Court - Philadelphia County |
| ----- | | | |
| 2 | 12/29/2009 | | Bedford, Sheila M. |
| ----- | | | |
| 3 | 12/29/2009 | | Styles, Jamil A. |
| ----- | | | |

MUNICIPAL COURT OF PHILADELPHIA COUNTY

DOCKET



Docket Number: MC-51-CR-0058693-2009

CRIMINAL DOCKET

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ENTRIES

| <u>Sequence Number</u> | <u>CP Filed Date</u> | <u>Document Date</u> | <u>Filed By</u> |
|---|----------------------|----------------------|---|
| 1 | 01/28/2010 | | Municipal Court - Philadelphia County |
| Trial Scheduled 4/12/2010 8:30AM | | | |
| 1 | 04/12/2010 | | Dugan, Patrick F. |
| Trial | | | |
| 3 | 06/18/2010 | | Court of Common Pleas - Philadelphia County |
| Formal Arraignment Scheduled Jul 9 2010 12:00AM 11:00AM | | | |
| 4 | 06/18/2010 | | Moore, Jimmie |
| Held for Court | | | |
| 5 | 06/18/2010 | | Moore, Jimmie |
| Disposition Filed | | | |
| 1 | 06/21/2010 | | Active Criminal Records Department |
| Formal Arraignment Cancelled | | | |
| 2 | 06/21/2010 | | Ford, Jaclyn |
| Common Pleas Case Created | | | |

Achens, Miriam

From: Lewis, Kereene
Sent: Friday, March 3, 2023 9:43 AM
To: Achens, Miriam
Subject: RE: PMW - DEF LTR - Key Name: WATSON, JAMIL - Complaint Number: License Number: 13468024 License Type: 1055 Case #: 2/28/2023

Good Morning,

I have scanned Mr. Watson's address change form onto Onbase. You are correct, he did not put his apt # on his application.

Respectfully,

Kereene Lewis, Slot Operations Supervisor
Florida Gaming Control Commission
Important: Confidentiality & Public Records Statement

From: Achens, Miriam
Sent: Thursday, March 2, 2023 3:24 PM
To: Lewis, Kereene <Kereene.Lewis@fgcc.fl.gov>
Subject: RE: PMW - DEF LTR - Key Name: WATSON, JAMIL - Complaint Number: License Number: 13468024 License Type: 1055 Case #: 2/28/2023

Thank you very much, Kereene!



FLORIDA
GAMING CONTROL
COMMISSION

PMW Operations / MA

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
850.488-3211 Office 850.410.5350 FAX

From: Lewis, Kereene
Sent: Thursday, March 2, 2023 3:23 PM
To: Achens, Miriam <Miriam.Achens@fgcc.fl.gov>
Subject: RE: PMW - DEF LTR - Key Name: WATSON, JAMIL - Complaint Number: License Number: 13468024 License Type: 1055 Case #: 2/28/2023

Good Afternoon,

I have given him a copy of his deficiency letter a few weeks ago and I will verify his address as soon as he comes in to work.

Respectfully,

Kereene Lewis, Slot Operations Supervisor
Florida Gaming Control Commission
Important: Confidentiality & Public Records Statement

From: Achens, Miriam

Sent: Thursday, March 2, 2023 2:25 PM

To: Lewis, Kereene <Kereene.Lewis@fgcc.fl.gov>

Subject: PMW - DEF LTR - Key Name: WATSON, JAMIL - Complaint Number: License Number: 13468024 License Type: 1055 Case #: 2/28/2023

Good afternoon Kereene,

The deficiency letter we sent to this applicant on 2/9/2023 came back to us as 'Return to sender – Insufficient address'.

I am afraid he might have omitted an apartment number. Could you contact him at the facility, verify his address and hand-deliver the attached deficiency letter?

He needs to provide court documents and sign and date his amendment to the application.

Sincerely,



FLORIDA
GAMING CONTROL
COMMISSION

PMW Operations / MA

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering

Office of Operations

850.488-3211 Office 850.410.5350 FAX

8. Discussion of orders to vacate final order or amend final order

CONSOLIDATED MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Gilfredo Gonzalez v. FGCC; Case No. 2022-061179 and 2008-012886;
License Denial and Motion to Vacate and/or Amend Final Order
Date: October 4, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to adopt the hearing officer's recommended order in Case No. 2022-061179 denying Gilfredo Gonzalez's ("Applicant") application for a Pari-Mutuel Wagering Professional Individual Occupational License. Applicant applied for a Pari-Mutuel Wagering Professional Individual Occupational License on December 6, 2022. On February 9, 2023, the Commission filed a Notice of Intent to Deny Petitioner's license application based on a final order issued in Case No. 2008-012886 permanently excluding the Applicant from all pari-mutuel facilities in the state of Florida. Applicant requested an informal hearing pursuant to section 120.57(2), Florida Statutes, on April 3, 2023. A hearing was held on June 15, 2023, in Tallahassee, Florida. On August 29, 2023, the Applicant's counsel filed a motion to vacate and/or amend the final order in Case No 2008-012886. On October 3, 2023, the hearing officer recommended denying Applicant's license in Case No. 2022-061179.

Pertinent Facts

On December 6, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Pari-Mutuel Wagering Professional Individual Occupational License. Upon review of the completed application, it appears that Applicant was excluded from all pari-mutuel facilities in the state of Florida in 2010.

Prior to the informal hearing held on June 15, 2023, Applicant submitted two letters of recommendation. At the informal hearing, one witness testified for the Applicant as to his good moral character. Applicant also testified as to the circumstances that led to his exclusion, and his motivation to apply for a Pari-Mutuel Wagering Professional Individual Occupational License. On August 29, 2023, the Applicant's counsel filed a motion to vacate and/or amend the final order in Case No 2008-012886 asking the Commission to find that Respondent's attendance at pari-mutuel facilities in Florida is not adverse to the public interest or to the integrity of the sport

or industry, to vacate the provisions of the Final Order in this matter to the extent that the order excludes Mr. Gonzalez from pari-mutuel facilities other than Gulfstream Park Racing and Casino, order that the Final Orders in this case, Case No. 2011-037755, and Case No. 2013-013267¹ not serve, either individually or collectively, as a basis for denial in Case No. 2022-061179, and grant any other relief deemed appropriate. Following the June 15, 2023 hearing, the hearing officer issued a recommended order denying Applicant’s license.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons.”

Section 550.0251(6), Florida Statutes, provides in pertinent part that:

“[t]he commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.”

¹ Petitioner was denied a license in two prior occasions following his initial exclusion from all pari-mutuels in the state of Florida.

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,
n/k/a, FLORIDA GAMING CONTROL
COMMISSION,

CASE NO.: 2008-012886

Petitioner,

v.

GILFREDO GONZALEZ,

Respondent.

MOTION TO VACATE AND/OR AMEND FINAL ORDER

Respondent Gilfredo Gonzalaz, by and through the undersigned counsel, respectfully requests that the Florida Gaming Control Commission (“FGCC” or “Commission”) reconsider the scope of the exclusion order against him and requests that the Commission amend the Final Order in this matter to vacate or suspend Respondent’s exclusion from Florida pari-mutuel facilities other than Gulfstream Park Racing and Casino (“Gulfstream”). In support thereof, Respondent states as follows:

1. Since July 28, 2008, Respondent has worked at Seminole Hard Rock pari-mutuel facilities across the state. Currently, Respondent is employed as a Table Games Supervisor at the Tampa facility. Employment verification previously provided to the Commission is attached as **Exhibit A**.
2. For the past fifteen years, Respondent has maintained employment in various gaming related positions and has advanced through promotion to a position of responsibility.

3. Prior to his employment with the Seminole Hard Rock facilities, on March 5, 2008, Respondent was placed on the excluded persons log at Gulfstream based on an incident where Respondent, employed as a table game dealer, diverted chips from the table into his tip box.

4. As a result of this exclusion the predecessor to the Commission, the Department of Business and Professional Regulation, Division of Pari-mutuel Wagering (“PMW”) entered a Final Order in this matter excluding Respondent from all pari-mutuel facilities in this state on January 7, 2010. A copy of the Final Order is attached as **Exhibit B**.

5. In the decade-and-a-half since the Gulfstream incident and while employed at a tribal pari-mutuel facility, Respondent has unsuccessfully applied to the Division for pari-mutuel occupational licenses on multiple occasions. In each instance, the Final Order in this matter served as a basis for the denial of Respondent’s application.

6. In Case No. 2013-013267, the Final Order denying Respondent’s license recognized Respondent’s situation, and stated that Respondent’s application was denied without prejudice to re-apply at such time when Respondent:

- (a) Successfully petitioned PMW to vacate or suspend the exclusion order against him, and;
- (b) Submitted documentation to PMW that he was removed from the exclusion list at Gulfstream.

7. Further, it was ordered that neither the instant denial in 2013-013267, prior license denials, or the exclusion order could be used together or separately as the sole reason to deny Respondent a license or waiver in the future, should Respondent meet the aforementioned requirements. A copy of the Final Order in Case No. 2013-013267 is attached as **Exhibit C**.

Current Need for Relief

8. Respondent has once again applied for an occupational license with the Commission, was informed of the Commission’s intent to deny the license, and has completed an

“informal” hearing before the Commission’s hearing officer pursuant to section 120.57(2), Florida Statutes, in Case No. 2022-061179.

9. In the Commission’s Letter of License Denial.in the pending case, attached as **Exhibit D**, the sole basis for the denial of Respondent’s application is the Final Order in this matter excluding Mr. Gonzalez from all pari-mutuel facilities in the state of Florida.

10. At the hearing, Respondent testified with candor about the underlying incident. Respondent noted that he regrets and apologizes for the mistake committed many years ago. Furthermore, Respondent presented evidence of his continued employment in the gaming industry and his lack of further disqualifying disciplinary incidents, and indicated his intention to remain on the racetrack grounds rather than to work in the cardroom.

11. In the time since the hearing, Respondent, through counsel, contacted counsel for Gulfstream regarding the possibility of removing Respondent from their exclusion log. Counsel for Gulfstream indicated that the facility is not inclined to provide Respondent with the requested relief. Thus, the path to relief set forth in Case No. 2013-013267 is closed.

12. The pending license application matter is currently awaiting the issuance of a Recommended Order, likely in part or in whole on Respondent’s continued efforts to obtain relief.

13. Providing the requested relief in this motion would likely impact Respondent’s ability to obtain approval of the pending license application, for which Respondent intends to use to work solely in the backside of a racetrack with his son.

Legal Basis for Modification

14. While exclusion pursuant to section 550.0251(6), Florida Statutes, is a well-recognized power of the Commission, the Commission also possesses the ability to perform the inverse action. Section 550.0251(6), Florida Statutes, reads in pertinent part:

The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.

15. Respondent is not alleged to be, nor has he been found to be, a generalized risk to the integrity of the industry nor would his admittance to pari-mutuel facilities be adverse to the public interest. For over fifteen years, Respondent has performed as a satisfactory employee at a tribal pari-mutuel facility in Florida. Respondent has been candid with the Commission and its predecessor about the underlying incident and expressed remorse for his action. Consistently across his applications, the sole basis for his denial has been the exclusion provisions of the Final Order in this matter.

16. As such, the Commission could reasonably make a finding based on the information provided to it in Case No. 2022-061179 that Respondent's attendance and admittance to pari-mutuel facilities in this state is not adverse to the public interest or to the integrity of the sport or industry.

17. Respondent recognizes that the Commission may not order Gulfstream to admit Respondent should he remain on Gulfstream's excluded persons log. Respondent is not seeking employment at Gulfstream nor to be removed from Gulfstream's exclusion log by this motion. The relief sought would enable his admittance to all other facilities he is currently excluded from, including the location he seeks to work at through the current application.

WHEREFORE, Respondent Gilfredo Gonzalez respectfully requests the Florida Gaming Control Commission to enter an order:

- A. Finding that Respondent's attendance at pari-mutuel facilities in Florida is not adverse to the public interest or to the integrity of the sport or industry;
- B. Vacating the provisions of the Final Order in this matter to the extent that the order excludes Mr. Gonzalez from pari-mutuel facilities other than Gulfstream Park Racing and Casino;
- C. Ordering that the Final Orders in this case, Case No. 2011-037755, and Case No. 2013-013267 may not serve, either individually or collectively, as a basis for denial in Case No. 2022-061179.
- D. Granting any other relief deemed appropriate.

Respectfully submitted,

/s/ Daniel J. McGinn

Daniel J. McGinn, FBN 121596

dmcginn@deanmead.com

jherzfeldt@deanmead.com

DEAN MEAD & DUNBAR

106 East Park Avenue

Suite 1200

Tallahassee, Florida 32301

Telephone: (850)-999-4100

Facsimile: (850)-577-0095

EXHIBIT A

04/03/2023

Seminole Hard Rock Hotel & Casino – Tampa
5223 N. Orient Rd.
Tampa, FL 33610

Re: Gilfredo Gonzalez

To Whom It May Concern:

Gilfredo Gonzalez has been employed with the Seminole Hard Rock Hotel & Casino – Tampa since 03/25/2021. He is working Full Time, 40 hours a week as a Table Games Floor Supervisor and he makes \$33.02 an hour. *OT and Bonus expected but not guaranteed. He previously worked at the Seminole Hard Rock Hotel & Casino - Hollywood with a hire date of 07/28/2008.

If you have any questions, please feel free to contact me at 813.627.7880

Sincerely,



Pamela Palumbo
Human Resources Clerk
5223 Orient Road
Tampa, FL 33610
813.627.7880
Fax: 813-621-1153

5223 ORIENT ROAD | TAMPA, FL 33610 | T: 813.627.7625 (ROCK) | F: 813.623.6862

seminolehardrock.com

PRINTED ON RECYCLED PAPER. SAVE THE PLANET.



EXHIBIT B

| | |
|---|-----------------|
| FILED | |
| Department of Business and Professional Regulation Deputy Agency Clerk | |
| CLERK | Brandon Nichols |
| Date | 1/20/2010 |
| File # | 2010-00261 |

STATE OF FLORIDA
DEPARTMENT OF BUSINESS & PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS &
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR CASE No. 2008012886

GILFREDO GONZALEZ,

Respondent,

_____ /

FINAL ORDER

THIS MATTER came before the Presiding Officer of the Division of Pari-Mutuel Wagering on October 7, 2009, in Tallahassee, Florida, in accordance with the provisions of Section 120.57(2), Florida Statutes, for consideration of the Division's Administrative Complaint issued against Gilfredo Gonzalez ("Respondent") in DBPR Case No. 2008012886. The Division of Pari-Mutuel Wagering ("Division") was represented by David N. Perry, Assistant General Counsel. Respondent appeared pro se by telephone.

PRELIMINARY STATEMENT

1. On or about September 12, 2008, the Division of Pari-Mutuel Wagering issued an Administrative Complaint against the Respondent which alleged that he been ejected from Gulfstream Park Racing & Casino ("Gulfstream"), a pari-mutuel facility in Florida, due to his repeatedly stealing poker chips and placing them in his tip box. The

EXHIBIT B

Administrative Complaint sought to exclude Respondent from all pari-mutuel facilities within the state, pursuant to Section 550.0251(6), Florida Statutes.

2. After several failed attempts to serve the Complaint upon Respondent, the Division voluntarily dismissed the Complaint without prejudice on February 20, 2009. When Respondent contacted the Division about obtaining licensure, the case was reopened and Respondent was served with the Administrative Complaint on or about July 9, 2009.

3. Respondent filed his Election of Rights, in which he requested an informal hearing. The Respondent's Election of Rights indicates that Respondent's address is 2755 SW 187 Avenue, Miramar, Florida 33029.

4. At the informal hearing on October 7, 2009, the Division presented the issues raised in its September 12, 2008, Administrative Complaint and cited Respondent's Election of Rights, in which Respondent requested an informal hearing. The facts are therefore accepted as presented in the Administrative Complaint.

FINDINGS OF FACT

5. At all times material hereto, Respondent held a cardroom employee occupational license, number 6143103-1012, issued by the Division.

6. Gulfstream is a pari-mutuel facility in Florida licensed by the Division.

7. At all times material hereto, Respondent was a poker dealer at the "Sport of Kings" poker room at Gulfstream.

8. In an affidavit dated March 5, 2008, Mike Chapple, Director of Surveillance Security, stated that Respondent was "directed to leave the property and not return to the property." The affidavit instructs the Hallandale Beach Police Department to arrest Respondent for trespassing upon his return to the Gulfstream property.

EXHIBIT B

9. In addition, the Gulfstream "Excluded Persons Log" shows that Respondent was excluded indefinitely for theft on March 5, 2008.

10. At the informal hearing, Respondent did not dispute that Gulfstream was a licensed pari-mutuel facility in Florida, nor that Gulfstream had instituted an exclusion against him.

11. Respondent admitted to taking chips and putting them in his tip box. He stated that he was upset with the way the system was being run, and the good tables were unfairly given to other dealers.

12. Respondent wanted to quit, but he had to stay there until he could get another job, which was hard to find.

13. Respondent stated he had made a mistake, but it would never happen again. He stated that everyone deserves a second chance.

CONCLUSIONS OF LAW

14. The Division has jurisdiction over this matter pursuant to Chapters 120 and 550, Florida Statutes.

15. Section 550.0251(6), Florida Statutes, states in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

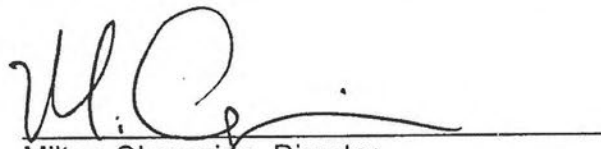
16. The Division has established by clear and convincing evidence that the Respondent has been ejected from a pari-mutuel facility in Florida, and as such, is

EXHIBIT B

subject to exclusion from all pari-mutuel facilities in the state pursuant to Section 550.0251 (6), Florida Statutes.

WHEREFORE, based upon the Findings of Fact and Conclusions of Law, it is hereby ORDERED and ADJUDGED that: Respondent shall be excluded from all pari-mutuel facilities in this state. This Final Order shall become effective on the date filed with the Agency Clerk.

DONE AND ORDERED this 7th day of January, 2010.



Milton Champion, Director
Division of Pari-Mutuel Wagering
Department of Business and
Professional Regulation
1940 North Monroe Street
Tallahassee, Florida 32399-1035

NOTICE OF RIGHT TO APPEAL UNLESS WAIVED

Unless expressly waived, any party substantially affected by this final order may seek judicial review by filing an original Notice of Appeal with the Clerk of the Department of Business and Professional Regulation, and a copy of the notice, accompanied by the filing fees prescribed by law, with the clerk of the appropriate District Court of Appeal within thirty (30) days rendition of this order, in accordance with Rule 9.110, Fla. R. App. P., and Section 120.68, Florida Statutes.

EXHIBIT B

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Final Order has been provided by U.S. Certified Mail to GILFREDO GONZALEZ, 3520 Jackson Street, Apt 105, Hollywood, Florida 33029, on this 20th day of January, 2010.



Agency Clerk's Office
Department of Business & Professional Regulation

Copies furnished to:

Amy Toman, Informal Hearing Officer
David N. Perry, Assistant General Counsel

EXHIBIT C

| | |
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| FILED | |
| Department of Business and Professional Regulation Deputy Agency Clerk | |
| CLERK | Brandon Nichols |
| Date | 1/29/2014 |
| File # | 2014-00575 |

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS & PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**

GILFREDO GONZALEZ,

Petitioner,

v.

**DEPARTMENT OF BUSINESS &
PROFESSIONAL REGULATION, DIVISION
OF PARI-MUTUEL WAGERING,**

DBPR CASE No. 2013013267

Respondent,

FINAL ORDER

The Department of Business & Professional Regulation, Division of Pari-Mutuel Wagering, hereby enters this Final Order for the above styled matter. On November 6, 2013, J. Yvette Pressley, Hearing Officer for the Division, issued the Recommended Order in this matter. That Recommended Order is attached to the Final Order and incorporated herein by reference.

FINDINGS OF FACT

The Findings of Fact contained in the Recommended Order are hereby adopted as the Findings of Fact of the Division.

CONCLUSIONS OF LAW

The Conclusions of Law contained in the Recommended Order are hereby adopted as the Conclusions of Law of the Division.

EXHIBIT C

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law adopted from the Recommended Order of the Division's Informal Hearing, it is hereby ORDERED that:

(1) Petitioner's pari-mutuel occupational license and waiver application is DENIED, but without prejudice to re-apply at such time that the Petitioner (i) submits documentation to the Division that he has been officially removed from the exclusion list at Gulfstream Park, and (ii) successfully petitions the Division to vacate or suspend the exclusion order against him (this can consist of a letter from the Petitioner, addressed to the Director of the Division of Pari-Mutuel Wagering, requesting a hearing to reconsider the exclusion issued in the Final Order in DBPR Case No. 2008012886); and further ordering that neither the instant denial, the previous license denial in Case No. 2011037755, or the Division's previous statewide exclusion issued in the Final Order in DBPR Case No. 2008012886, be used as a sole basis, together or separately, to deny the Petitioner a license or waiver in the future if he complies with the above requirements.

(2) The Final Order shall become effective on the date of filing with the Agency Clerk of the Department of Business and Professional Regulation.

DONE AND ORDERED this 24th day of JANUARY 2014, in Tallahassee,
Florida.


Leon M. Biegalski, Director
Division of Pari-Mutuel Wagering
1940 North Monroe Street
Northwood Centre, Suite 50
Tallahassee, Florida 32399-1035

EXHIBIT C

CERTIFICATE OF SERVICE

I hereby certify that the foregoing has been provided by Certified US Mail to Petitioner, Gilfredo Gonzalez, 2050 N.W. 81st Avenue, Apartment 214, Pembroke Pines, Florida 33024, this 29th day of January, 2014.


Agency Clerk's Office

CC:

J. Yvette Pressley, Hearing Officer
Tomoka Brady, AAIL OGC/PMW

NOTICE OF RIGHT TO APPEAL UNLESS WAIVED

A party who is adversely affected by this Final Order is entitled to judicial review pursuant to Section 120.68, Florida Statutes. Review Proceedings are governed by Rules 9.110 and 9.190, Florida Rules of Appellate Procedure. Such proceedings are commenced by filing one copy of a Notice of Appeal with the Department of Business and Professional Regulation, Attn: Ronda L. Bryan, Agency Clerk, 1940 North Monroe Street, Suite 92, Tallahassee, Florida 32399 and a Second copy, accompanied by filing fees prescribed by law, with the District Court of Appeal, First District, or with the District Court of Appeal in the Florida Appellate District where the Party Resides. The Notice of Appeal must be filed within thirty (30) Days of Rendition of the Order to be reviewed.

EXHIBIT C

STATE OF FLORIDA
DEPARTMENT OF BUSINESS & PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

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| FILED | |
| <small>Department of Business and Professional Regulation Deputy Agency Clerk</small> | |
| CLERK | Evette Lawson-Proctor |
| Date | 1/9/2014 |
| File # | |

GILFREDO GONZALEZ,

Petitioner,

v.

DBPR CASE NO. 2013013267

STATE OF FLORIDA, DEPARTMENT OF
BUSINESS & PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Respondent.

HEARING OFFICER'S RECOMMENDED ORDER

THIS MATTER came before the Presiding Officer of the Division of Pari-Mutuel Wagering on November 6, 2013, in Tallahassee, Florida, in accordance with the provisions of Section 120.57(2), Florida Statutes, for consideration of the Division's License Application and Waiver Denial issued against Gilfredo Gonzalez (the Petitioner) in DBPR Case No. 2013013267. The Division of Pari-Mutuel Wagering was represented by Ralf E. Michels, Assistant General Counsel. The Petitioner attended the hearing by telephone.

STATEMENT OF FACTS

1. On January 17, 2013, Petitioner submitted an application for a pari-mutuel wagering occupational license as a Hot Walker at Calder Race Course. On his application, Respondent answered "Yes" to the question that asks "Has your pari-mutuel license ever been suspended, revoked, or denied in this or any other state or country?"

2. Petitioner disclosed to the Division that on March 5, 2008, his cardroom license was revoked [sic] for taking chips and placing them in his tip box while employed as a poker

EXHIBIT C

dealer at Gulfstream Park in Hallandale, Florida. A Final Order in DBPR Case No. 2008012886 was issued by the Division dated January 20, 2010, excluding Petitioner from all pari-mutuel facilities in the state; at the time of the Final Order's issuance, any pari-mutuel occupational or cardroom licenses in Petitioner's possession had already expired previously and were not formally revoked. The Petitioner currently remains subject to this exclusion order. Also, the Petitioner has been denied a license (as a Groom at Calder) previously, due to his exclusion by Final Order in Case No. 2011037755.

3. As part of the license application/waiver process, an investigative interview was conducted at the Calder Race Course State Office by Investigator Diana Neira on April 19, 2013. Petitioner attended the interview in person. During the interview, Petitioner was questioned about the circumstances leading to his termination and exclusion from Gulfstream Park Racing & Casino. Petitioner explained that in 2008 he was caught stealing chips and placing them in his tip box. He admitted to stealing poker chips but stated that it didn't happen every day. Petitioner was subsequently terminated and permanently ejected from Gulfstream Park.

4. By correspondence dated June 24, 2013, the Division informed Petitioner that his application for a pari-mutuel wagering occupational license and request for a waiver were specifically denied based upon a Final Order issued by the Division, dated January 20, 2010, excluding Petitioner from all pari-mutuel facilities in the state, and generally denied for failure to establish rehabilitation and good moral character.

5. Petitioner returned an Election of Rights form within the required time, requesting an informal hearing pursuant to Section 120.57(2), Florida Statutes.

6. During the informal hearing, the Petitioner offered his oral testimony in a frank, contrite and personable manner. The Petitioner spoke with candor about the poor decision he made which led to his ejection from Gulfstream Park, characterizing his behavior as simply

EXHIBIT C

“stupid and embarrassing.” Petitioner stated he apologized to management and has been allowed back to the Gulfstream Park facility. However, Petitioner still has not been officially removed from Gulfstream’s exclusion list.

7. The Petitioner’s credible testimony and candid demeanor at the informal hearing created the impression that the Petitioner has remorse for his past action and is trying to rehabilitate himself as a productive, law-abiding member of society.

CONCLUSIONS OF LAW

8. The Division has jurisdiction over this matter pursuant to Chapters 120 and 550, Florida Statutes.

9. Section 550.105(10), Florida Statutes, provides:

Upon application for an occupational license, the division may require the applicant’s full legal name; any alias, or maiden name for the applicant; name of the applicant’s spouse; the applicant’s date of birth, residence address, mailing address, residence address and business phone number, and social security number; disclosure of any felony or any conviction involving bookmaking, illegal gambling, or cruelty to animals; disclosure of any past or present enforcement or actions by any racing or gaming agency against the applicant; and any information the division determines is necessary to establish the identity of the applicant or to establish that the applicant is of good moral character. [Emphasis added.]

10. Section 550.0251(6), Florida Statutes, provides:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The division may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state. [Emphasis added.]

EXHIBIT C

11. There is competent substantial evidence to support the conclusions of law.

RECOMMENDATION

Based upon the Findings of Fact and Conclusions of Law, it is hereby recommended that the Department of business and Professional Regulation, Division of Pari-Mutual Wagering, enter a Final Order that:

12. Petitioner's pari-mutuel occupational license and waiver application is DENIED, but without prejudice to re-apply at such time that the Petitioner (i) submits documentation to the Division that he has been officially removed from the exclusion list at Gulfstream Park, and (ii) successfully petitions the Division to vacate or suspend the exclusion order against him (this can consist of a letter from the Petitioner, addressed to the Director of the Division of Pari-Mutuel Wagering, requesting a hearing to reconsider the exclusion issued in the Final Order in DBPR Case No. 2008012886); and further ordering that neither the instant denial, the previous license denial in Case No. 2011037755, or the Division's previous statewide exclusion issued in the Final Order in DBPR Case No. 2008012886, be used as a sole basis, together or separately, to deny the Petitioner a license or waiver in the future if he complies with the above requirements.

13. The Final Order shall become effective on the date of filing with the Agency Clerk of the Department of business and Professional Regulation.

Respectfully submitted on this 8th day of January, 2014.

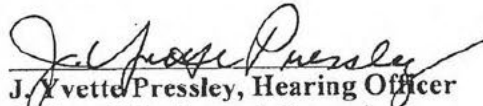

J. Yvette Pressley, Hearing Officer
Office of the General Counsel
Dept of Business & Professional Regulation
1940 North Monroe Street
Tallahassee, Florida 32399-2201

EXHIBIT C

CERTIFICATE OF SERVICE

I hereby certify that the foregoing has been provided by Certified US Mail to Petitioner, Gilfredo Gonzalez, 2050 N.W. 81st Avenue, Apartment 214, Pembroke Pines, Florida 33024, this 9 day of January, 2014.

Lyette L. Proctor

Agency Clerk's Office

Cc:
Yvette Pressley, Deputy General Counsel
Tomoka Brady, AAI OGC/PMW

NOTICE OF RIGHT TO SUBMIT EXCEPTIONS

All parties have the right to submit written exceptions within 15 days from the date of this Recommended Order. Any exceptions to this Recommended Order should be filed with the Department.

EXHIBIT C

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| FILED | |
| Department of Business and Professional Regulation Deputy Agency Clerk | |
| CLERK | Evette Lawson-Proctor |
| Date | 6/25/2013 |
| File # | |

Ken Lawson, Secretary

June 24, 2013

Mr. Gilfredo Gonzalez
2050 N.W. 81st Avenue #214
Pembroke Pines, FL 33024

Dear Mr. Gonzalez:

This is to inform you that your application for a Pari-Mutuel Wagering occupational license and request for a waiver are denied in accordance with the provisions of Chapter 550, Florida Statutes, and the rules promulgated thereto. This Denial is based upon a Final Order issued by the Division of Pari-Mutuel Wagering, dated January 20, 2010, excluding you from all pari-mutuel facilities in this state, which are disqualifying offenses under Sections 550.105(5) and 550.0251(6), Florida Statutes. Review of your application and waiver package does not sufficiently establish rehabilitation and present good moral character. You are advised that you may not engage in any activity at any Florida Pari-Mutuel facility, which would require an occupational license.

Pursuant to Section 120.57, Florida Statutes, you may request a formal or informal hearing to challenge the Division's decision, within twenty-one (21) days of receipt of this Denial, as provided for in Chapter 28-106, Florida Administrative Code. If you request a formal hearing, you must specify each material fact contained in this Denial which you dispute.

At a formal hearing you will be entitled to respond, present evidence and argument on all issues involved, conduct cross-examination and submit rebuttal evidence, file exceptions to any order or hearing officer's recommended order, and be represented by counsel. Failure to allege a material fact in your petition will result in that petition being construed as a request for an informal hearing.

At an informal hearing you will be entitled to present written or oral evidence in accordance with Section 120.57(2), Florida Statutes. You must realize, however, that the facts as alleged in this Denial will be deemed admitted.

You will be notified by the Division of Pari-Mutuel Wagering of the time, date and place of said hearing, if requested.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have thirty (30) days to file for an appeal pursuant to Section 120.68, Florida Statutes.

EXHIBIT C

Mr. Gilfredo Gonzalez
June 24, 2013
Page Two

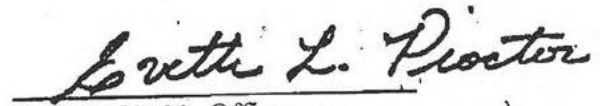
Dated this 24th day of June, 2013.

Sincerely,


Leon M. Biegalski, Director
Division of Pari-Mutuel Wagering

CERTIFICATE OF SERVICE

I hereby certify that a true copy of the foregoing Letter of License Denial has been served upon Mr. Gilfredo Gonzalez, 2050 N.W. 81st Avenue #214, Pembroke Pines, FL 33024, by U.S. Certified Mail, this 25 day of June, 2013.


Agency Clerk's Office
Department of Business and
Professional Regulation

Case No. 2013013267

EXHIBIT D



MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

February 9, 2023

2/09/2023

Mr. Gilfredo Gonzalez
460 Cypress Lake Ct.
Oldsmar, Florida 34677

Letter of License Denial

Dear Mr. Gonzalez:

This Letter of License Denial ("Denial") serves to inform you that your application for a Pari-Mutuel Wagering General Individual Occupational License is denied in accordance with the provisions of chapters 550, Florida Statutes, and the rules promulgated thereto. This Denial is based upon the following:

1. 01/20/2010 – Excluded from all pari-mutuel facilities in the state of Florida, by the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, in DBPR Case Number 2008-012886.

This License Denial and Revocation are grounds for denial of licensure under section 550.105(5), Florida Statutes. You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to section 120.57, Florida Statutes, you may request a hearing to challenge the Division's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

A handwritten signature in black ink, appearing to read "Melba L. Apellaniz".

Melba L. Apellaniz
Clerk of the Commission

Attachment: Notice of Rights

OFFICE OF THE GENERAL COUNSEL
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

9. Discussion of license denials

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steven Woods
Re: Aleksandr N. Belozarov; Case No. 2023-038900
Date: September 28, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Aleksandr N. Belozarov's, ("Applicant") application for a Cardroom Employee Occupational License. Applicant submitted a completed application for a Cardroom Employee Occupational License on June 8, 2023; he applied for a waiver of his convictions. A waiver interview was conducted on July 13, 2023. The Executive Director reviewed the file along with the waiver interview notes and declined to waive the convictions. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On May 8, 2023, Applicant submitted his completed application to the Division of Pari-Mutuel Wagering for a Cardroom Employee Occupational License. Upon review of the completed application, it appears the Applicant was convict in Florida of the following felonies:

- Failure to Redeliver Vehicle in 2023; and
- Grand Theft Auto in 2023.

Relevant Law

Section 550.105(5)(d), Florida Statutes, provides that:

“the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions were not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

[FAQ](#) | [Help](#) | [Sign Out](#)

| | | | | | | | | | |
|---|---|----------------------------------|--------------------------------------|---------------------------------|----------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline | | | | | |
| Mass Status Update | Public Case Info | | | | | | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|--|------------|-------------------------------------|-------------|---------------------------------|
| Lic Type | 1012 - Cardroom Employee Occupational | Status | 90 Closed | Status Date | 08/17/2023 |
| Complaint # | 2023038900 | Case Type | CMP - Complaint | Disposition | |
| Docket# | | Respondent | BELOZEROV, ALEKSANDR NIKOLAY | Responsible | bjones - JONES, BRADFORD |
| | | | | | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|--|----------------|--------------------|
| Source | LIC - Licensee | Security Level | 1 |
| Form | WALK - Walk-in | Priority | |
| Class'n | IIIB - Waivers | Complexity | R - Regular |
| Security | STND - Standard | Incident | 06/29/2023 |
| Region | SR - Southern Region | Received | 07/12/2023 |
| Reference | | | |
| Entered | 07/12/2023 | Entered By | cstubbs1 |
| Summary | 7/13/23 - Waiver Interview conducted and completed; --- 274- DANIA ENERTAINMENT CENTER, LLC : Dania Jai Alai/ Casino @Dania Beach | | |
| Updated | 08/18/2023 11:17:54 | By | cstubbs1 |

| | | | |
|-------------------------------------|---------------|-------------------------------------|--------------|
| <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| <input type="checkbox"/> | Inspection | | |
| <input type="checkbox"/> | Costs | | |
| <input type="checkbox"/> | Time Tracking | | Auto Assign |
| <input type="checkbox"/> | Attachments | | History |
| <input type="checkbox"/> | Work Notes | | Print Report |

| | | | | |
|------------------------|----------------------|--------------------|------------------------|----------------------|
| Change | Save | OK | Cancel | Back |
|------------------------|----------------------|--------------------|------------------------|----------------------|

[Get Adobe Reader.](#)

**ROUTING SLIP
REQUEST FOR WAIVER**

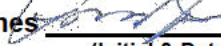
RE: BELOZEROV, ALEKSANDR N – LIC # 7905982
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 03 8900

1012 / Poker Dealer
Occupation Code and Job Title

Dania Casino
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: OCTOBER 10, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones  8/17/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:



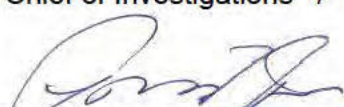
October 5, 2018

Broward County Sheriff's Office, Florida, – Grand Theft – Felony – Failure to Return Vehicle – Misdemeanor – Pled Nolo Contest – Adjudication Withheld on June 8, 2023 – Sentenced to 18 months' Probation – Assessed \$517.00 in Court fees and \$1,869.00 in Restitution which has been paid in full.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

| | | | |
|--|----------------------------|--|--------------------------------------|
| Office: PMW | Region: SOUTHERN | Date of Complaint: July 12, 2023 | Case Number: 2023 03 8900 |
| Respondent: BELOZEROV, ALEKSANDR NIKOLAY 410 SE 2 ND ST. APT. #407 HALLANDALE FL 33009 [REDACTED] | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900 | |
| License # / Type: 7905982 / 1012 | | Profession: Poker Dealer | Report Date: July 14, 2023 |
| Period of Investigation: July 12, 2023 through July 14, 2023 | | Type of Report: Final | |
| <p>Basis of Investigation: This investigation is predicated upon receipt of Aleksandr Nikolay BELOZEROV's application for a State of Florida Pari-Mutuel Wagering Individual Occupational License dated June 8, 2023, and a Waiver Request form dated June 29, 2023 (Exhibit #1).</p> <p>On June 8, 2023, BELOZEROV submitted a PMW Occupational License application to be licensed as a Poker Dealer at Dania Casino. On his application he answered "yes" to the question "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" BELOZEROV disclosed a June 8, 2023 Broward County, Florida Misdemeanor conviction for Failure to Return a Rented Vehicle and a Felony conviction for Grand Theft related to the vehicle's late return. Both charges were dispositioned as Adjudication Withheld and BELOZEROV was placed on 18 months' Probation (Exhibits #1 & 2).</p> | | | |
| Related Case: | | | |
| Investigations Specialist II / Date  William Smith / July 14, 2023 | | Investigator Supervisor / Date  Julio Minaya / July 21, 2023 | |
| Chief of Investigations / Date  Bradford D. Jones / August 17, 2023 | | | |

CONTINUATION

CRIMINAL HISTORY

| Arrest 1 | | | | | |
|-----------------------------|----------------|---------------------------------|--------------|-----------------|--|
| Date of Arrest: | | Arresting Agency: | | | |
| 10/05/2018 | | Broward County Sheriff's Office | | | |
| OFFENSE | | | | | |
| CHARGE(S) | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 Grand Theft | Felony | No Contest | Adj Withheld | 06/08/2023 | |
| 2 Failure to Return Vehicle | Misdemeanor | No Contest | Adj Withheld | 06/08/2023 | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|--|
| 18 Month Probation, however, the probation will be automatically terminated in 9 months if applicant does not violate his probation and if he pays in full the restitution to the rental company in the total amount of \$1,869.00. Payment is to be paid in monthly installments of 109.94 beginning July 8, 2023. In addition to restitution, applicant must also pay \$517.00 in Court costs (Exhibit #3, Pages 23 thru 30). |

| |
|--------------------------------|
| Additional Information: |
|--------------------------------|

| Arrest 2 | | | | | |
|-----------------|----------------|-------------------|-------------|-----------------|--|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| CHARGES | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE | |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|----------|
| |

| |
|--------------------------------|
| Additional Information: |
|--------------------------------|

CONTINUATION

CRIMINAL HISTORY

Arrest 3

| | |
|------------------------|--------------------------|
| Date of Arrest: | Arresting Agency: |
|------------------------|--------------------------|

OFFENSE

| CHARGES | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
|---------|----------------|------|-------------|-----------------|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |

SENTENCE

| |
|--|
| |
|--|

Additional Information:

| |
|--|
| |
|--|

Arrest 4

| | |
|------------------------|--------------------------|
| Date of Arrest: | Arresting Agency: |
|------------------------|--------------------------|

OFFENSE

| CHARGES | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
|---------|----------------|------|-------------|-----------------|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |

SENTENCE

| |
|--|
| |
|--|

Additional Information:

| |
|--|
| |
|--|

CONTINUATION

ADDITIONAL LICENSES

| | YES | NO |
|--|-----|----|
| Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License? | X | |
| Does the Applicant possess an Occupational License from other jurisdictions? | | X |

| | | | |
|--|--------------------------------|-----------------------|--|
| 1. License Type: 1012 | | | |
| Date Licensed: 11/17/2006 | Expiration Date: 06/30/2023 | License #: 7905982 | Agency or Jurisdiction: Florida Gaming Control Commission |
| | | YES | NO |
| Has License ever been suspended or revoked? | | | X |
| Was any derogatory information received? | | | X |
| Additional Comments: Licensed expired on June 30, 2023. | | | |

| | | | |
|---|------------------|------------|-------------------------|
| 2. License Type: | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: |
| | | YES | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

| | | | |
|---|-------------------|------------|-------------------------|
| 3. License Type: | | | |
| Date Licensed: | Expiration Dated: | License #: | Agency or Jurisdiction: |
| | | YES | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

| | | | |
|---|-------------------|------------|-------------------------|
| 4. License Type: | | | |
| Date Licensed: | Expiration Dated: | License #: | Agency or Jurisdiction: |
| | | YES | NO |
| Has License ever been suspended or revoked? | | | |
| Was any derogatory information received? | | | |
| Additional Comments: | | | |

CONTINUATION

WAIVER INTERVIEW

| | | |
|-----------------------------------|---------------------------------------|-----------|
| | YES | NO |
| Was a Waiver Interview Conducted? | X | |
| Date of Interview: July 13, 2023 | Location of Interview: Telephonically | |
| | YES | NO |
| Was the applicant cooperative? | X | |
| Additional Comments: | | |

SUMMARY OF INTERVIEW:

A Waiver Interview was conducted with Mr. **BELOZEROV** on July 13, 2023. **BELOZEROV** was candid and cooperative.

BELOZEROV's situation played out over a period of five (5) years.

BELOZEROV stated that in 2018 he rented a vehicle committing to a specific return date. Near the end of the contractual rental agreement, he allowed a friend to take the vehicle. The friend did not bring the vehicle back to **BELOZEROV** promptly. When **BELOZEROV** finally retrieved the car, it was well past the due date and was reported stolen by the rental company. **BELOZEROV** stated once in possession of the vehicle he proceeded to return it to the rental company when he was stopped by the Police and subsequently arrested and charged with Grand Theft Auto and Failure to Return a Vehicle.

According to **BELOZEROV**, his lawyer conveyed to him, that a disposition of "no action, case closed" would be entered in the case. However, during Covid, **BELOZEROV** says that he received a letter from the Court stating that his case was re-opened. When he contacted his attorney to discuss the matter and informed his attorney that he was not working, therefore, he could not pay him, his attorney instructed him to go to court and request a public defender to represent him. On the date of his court appearance, the Courthouse was evacuated due to either an actual fire or a fire drill. **BELOZEROV** stated that left the courthouse naively not notifying or calling creating a "violation of court appearance." Rather than being proactive and initiating communication with the court, he went forward with the expectation that the court would be contacting him. As a result, a Bench Warrant was issued for his arrest a few months later.

BELOZEROV goes on to say that he received a letter from a law firm soliciting him and informing him that there was an outstanding warrant for his arrest. He says that upon receiving this letter, he spoke to a personnel friend who is an active Broward County Sheriff's Deputy, who was able to confirm that there was an active warrant for his arrest. The friend then instructed him to turn himself in.

The net result: **BELOZEROV** was represented by a Public Defender and on June 8, 2023, he received a sentence of eighteen months' Probation, Restitution payment to the rental company in the total amount of \$1,869.00, a \$517.00 Court fine and an Adjudication Withheld based on a plea of Nolo Contendere (**Exhibit #3**).

BELOZEROV first obtained a Poker Dealer License in 2006. He has operated in various Poker Rooms in Florida without issues. He seeks Waiver to resume working. His license expired on June 30, 2023. He conveyed the inability to work would be a great hardship.

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No ruling against **BELOZEROV. (Exhibit #4)**

A check of **BELOZEROV'S** Criminal history in the Comprehensive Case Information System revealed a May 6, 2011, arrest from Broward County, Florida, for Driving Under the Influence (DUI) Blood Alcohol Level above 0.20, and Reckless Driving. According to court documents, prosecutors Declined to Adjudicate the DUI charge. As for the Reckless Driving charge, **BELOZEROV** pled No Contest and was sentenced to 7 months' probation with 50 hours of community service and had to attend DUI school. He was also ordered to pay \$896.00 in court fees **(Exhibit #3, Pages 35 thru 44).**

BELOZEROV was also arrested on March 16, 2017, in Miami-Dade County, Florida, and charged with Grand Theft and Uttering Forged Instrument/Check. Per the court documents, both charges were Dropped April 13, 2017 **(Exhibit #3, Pages 31 thru 33)**

A check of **BELOZEROV'S** Versa history shows two Enforcement Alerts. The first was dated March 29, 2021, and the second alert was on November 29, 2021. These alerts are related to the arrest listed on page #2 of this report. No other alerts were reported or documented in Versa. **BELOZEROV was licensed by this Division at the time of the 2011 and 2017 arrests however there is no indication that he notified the Division as required by Rule 75-11.011.**

Case Status: Case closed by Investigations and forwarded to licensing.

CONTINUATION

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I. INVESTIGATIVE REPORT COVERSHEET 1

II. INVESTIGATIVE REPORT 2

III. EXHIBITS

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 3. CCIS & Court Disposition..... 1-44

 4. ARCI, Versa Check..... 1-6

DBPR PMW-3180 – Request for Waiver



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 JUN 30 AM 8:39
FLORIDA GAMING
COMMISSION

TO: Aleksandr Belozersov [Redacted] 7905982
Full Legal Name of Applicant Date of Birth Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.80, Florida Statutes, timeline requirement regarding the processing of this application.

[Signature]
Signature of Applicant

06/29/23
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3180 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT # 1
PAGE # 1

RECEIVED JUN 08 2023

DBPR PMW-3120 – Individual Occupational License Application



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel General Occupational License - \$15.00* * does not include fingerprint fee
 - Pari-Mutuel Professional Occupational License - \$80.00*
 - Cardroom Employee Occupational License - \$100.00*

Fingerprints – Choose One Option:

- Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

- Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

- Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

RECEIVED
2023 JUN 14 PM 3:51
FLORIDA GAMING
CONTROL COMMISSION

RECEIVED JUN 08 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|---|---------------------------------------|---|----------------------------|
| Social Security Number [REDACTED] | Birth Date (MM/DD/YYYY) [REDACTED] | Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female | |
| Last Name DELOZEROV | First Aleksandr | Middle Nikolay | Suffix |
| Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| If yes, list the name or names used: _____ | | | |
| Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input checked="" type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Current Mailing Address 40 SE 2nd St Apt 407 | | Email Address (optional) [REDACTED] | |
| City Maitland | State FL | Zip Code (+4 optional) 33009 | Country, if other than USA |
| Primary Phone Number 850 238 2288 | | Secondary/Cell Phone Number (optional) | |
| Current Street Address 410 SE 2nd St Apt 407 | | | |
| City Maitland | State FL | Zip Code (+4 optional) 33009 | Country, if other than USA |
| Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input checked="" type="checkbox"/> Cardroom Employee | | Facility where employed and/or doing business: DANIA ENTERTAINMENT CENTER LLC | |
| Occupation: <u>poker dealer</u> | | | |
| Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____ | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY | | | |
| Type of professional license (attach a copy of Florida professional license): | | Florida License Number | |
| FOR DIVISION USE ONLY | | | |
| License Code <u>1012</u> | License # <u>7905982</u> | File # <u>3330</u> | App # <u>72104</u> |
| Association Code <u>274</u> | Date Received <u>6/8/2023</u> | Entered By <u>F.F</u> | License Year <u>23/26</u> |
| License Fee <u>\$100</u> | FP Date <u>6/8/2023</u> | FP Fee <u>\$37.25</u> | Total Fee <u>\$137.25</u> |
| Off Temp | Waiver Requested | <u>ARCI</u> | <u>Enforcement</u> |
| | | | Minor |

RECEIVED
2023 JUN 14 PM 3:51
FLORIDA GAMING
CONTROL COMMISSION

RECEIVED JUN 08 2023

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|---------|-------|---------------------------|------------------------|-------------------------------|
| 6/8/23 | BROWARD | FL | FAILURE TO RETURN VEHICLE | MISDEMEANOR | ADJ. WITHHELD |
| 6/8/23 | BROWARD | FL | GRAND THEFT | FELONY | ADJ. WITHHELD |
| | | | | | Im Also on 18 month Probation |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

RECEIVED
2023 JUN 14 10:17 AM
FDLE
COMMUNICATIONS SECTION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

Date

6/8/23

FDLE Information Notification System

Sent Date: 6/15/23 4:46 PM Purge Date: 12/12/23 4:46 PM Mail Ref.No: ORG002044-8554337

Subject : *Results of check for BELOZEROV, ALEKSANDR NIKOLAY (80GBPR040000097739)*

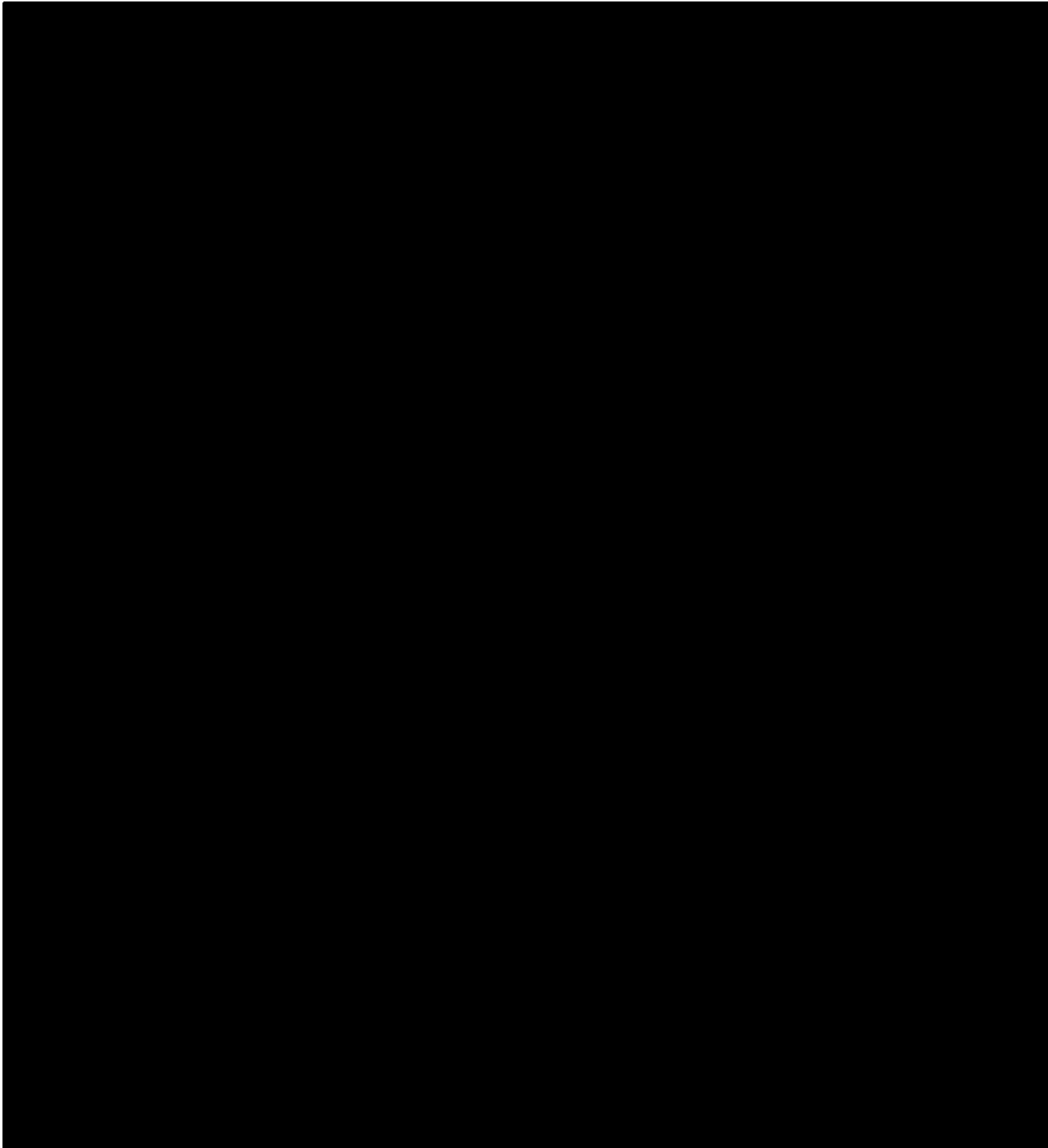


EXHIBIT # 2
PAGE # 1

Page 1 of 7

Subject : *Results of check for BELOZEROV, ALEKSANDR NIKOLAY (80GBPR040000097739)*

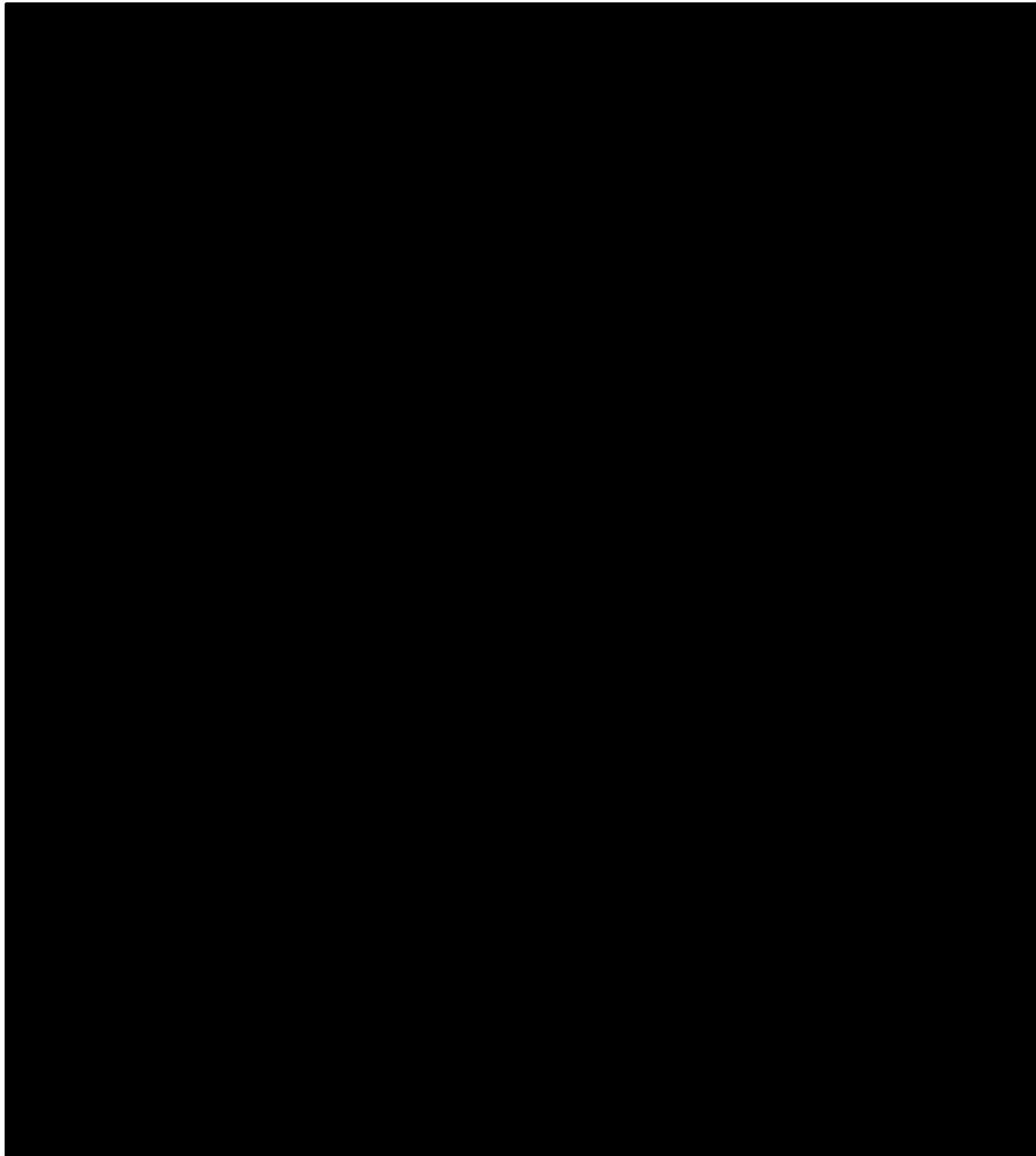


EXHIBIT # 2
PAGE # 2

Page 2 of 7

551

Subject : *Results of check for BELOZEROV, ALEKSANDR NIKOLAY (80GBPR040000097739)*

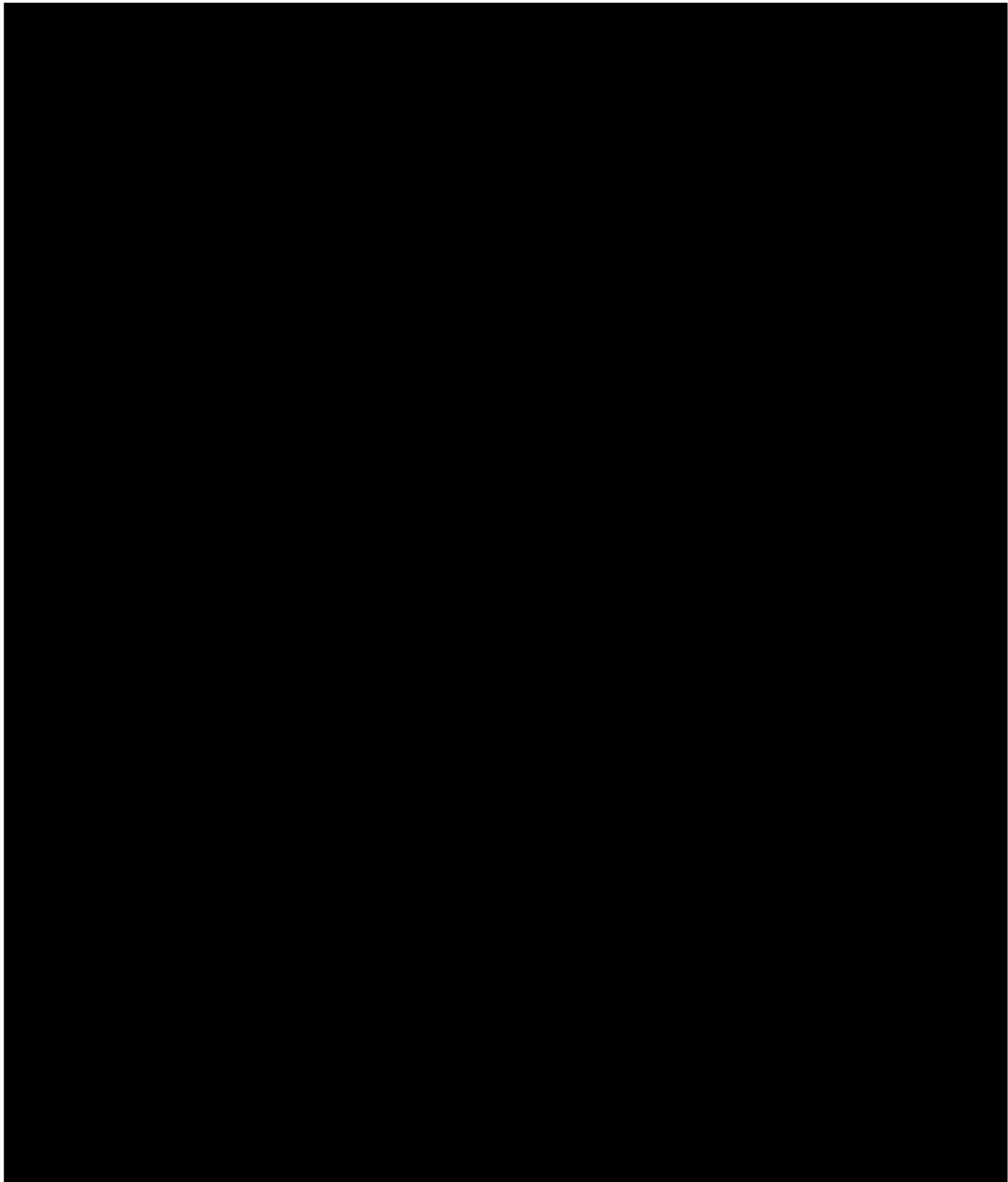


EXHIBIT # 2
PAGE # 3

Page 3 of 7

552

Subject : *Results of check for BELOZEROV, ALEKSANDR NIKOLAY (80GBPR040000097739)*

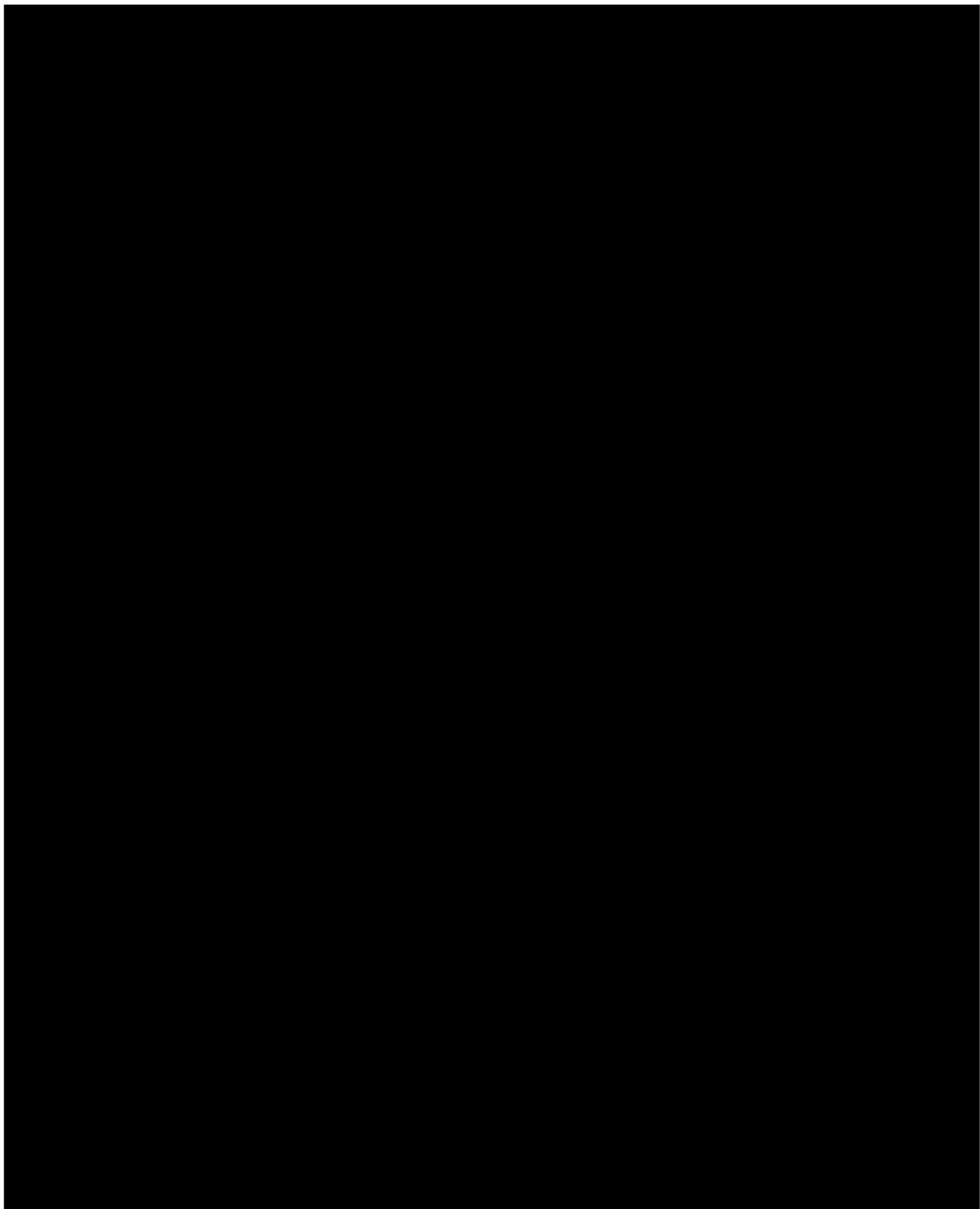


EXHIBIT # 2
PAGE # 4

Page 4 of 7

553

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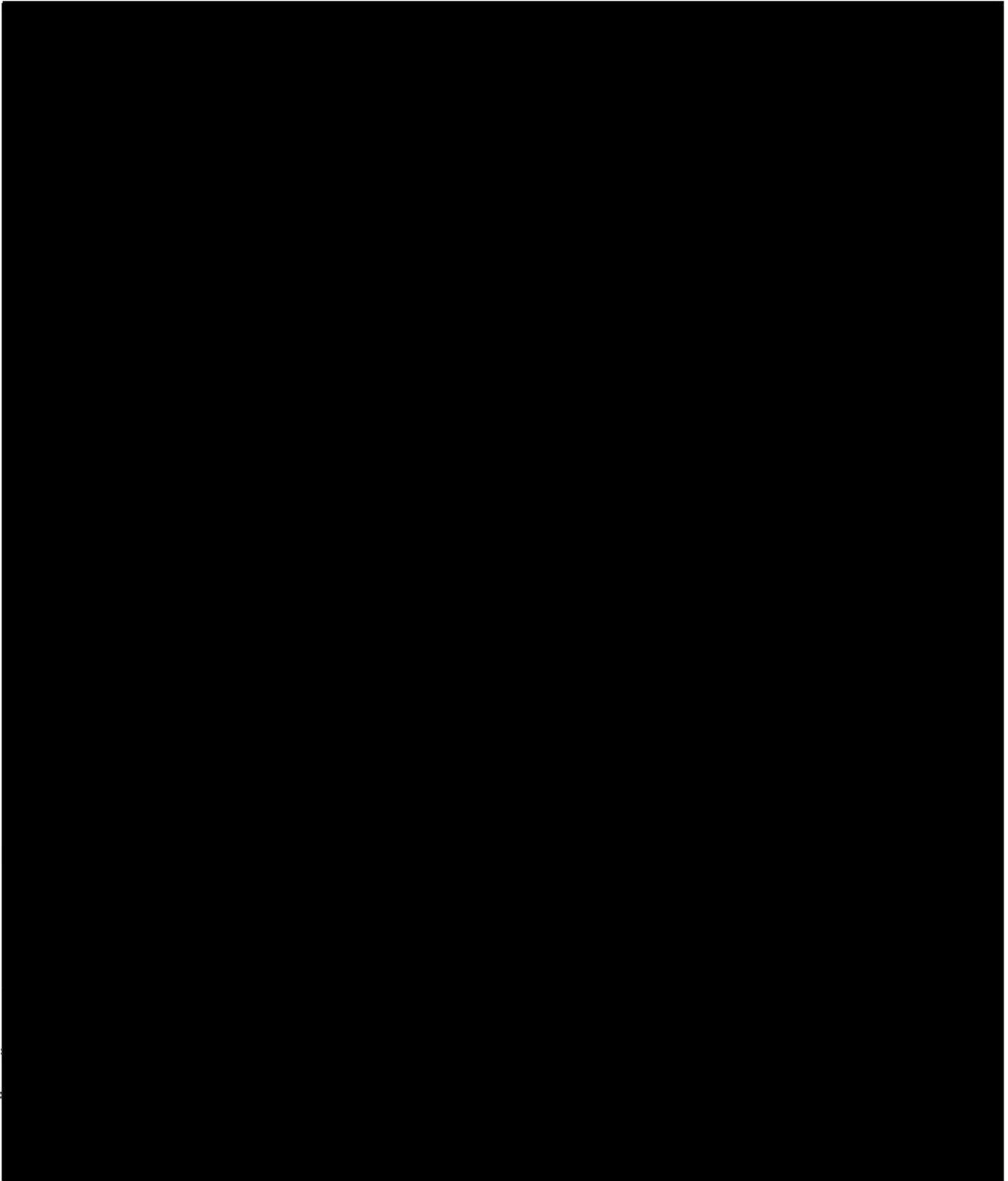
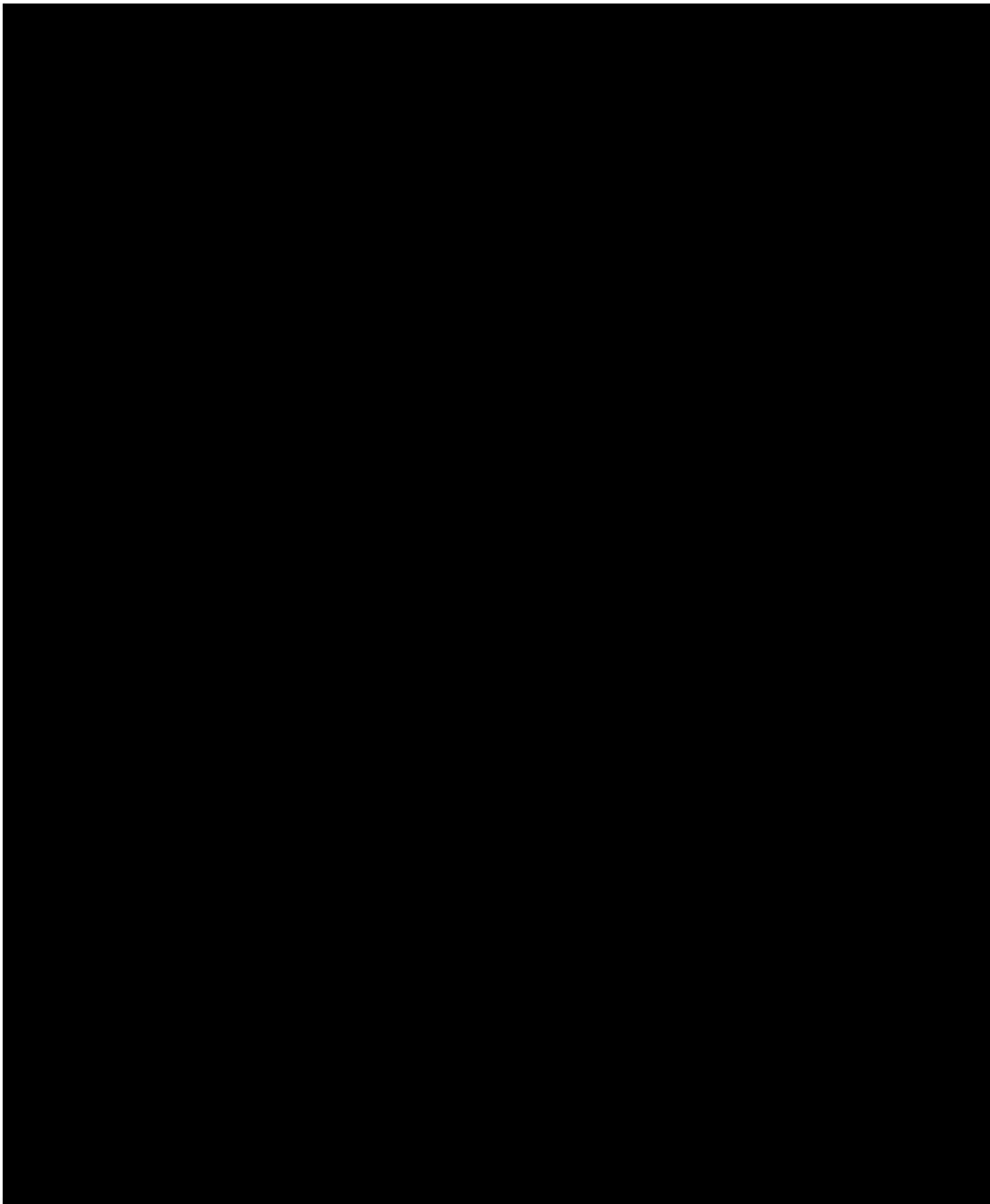


EXHIBIT # 2
PAGE # 5

Page 5 of 7

554

Subject : *Results of check for BELOZEROV, ALEKSANDR NIKOLAY (80GBPR040000097739)*



*****NATIONAL/FBI RAP BACK SUBSCRIPTION RESPONSE LISTED BELOW*****

EXHIBIT # 2
PAGE # 6

Page 6 of 7

555



BROWARD COUNTY

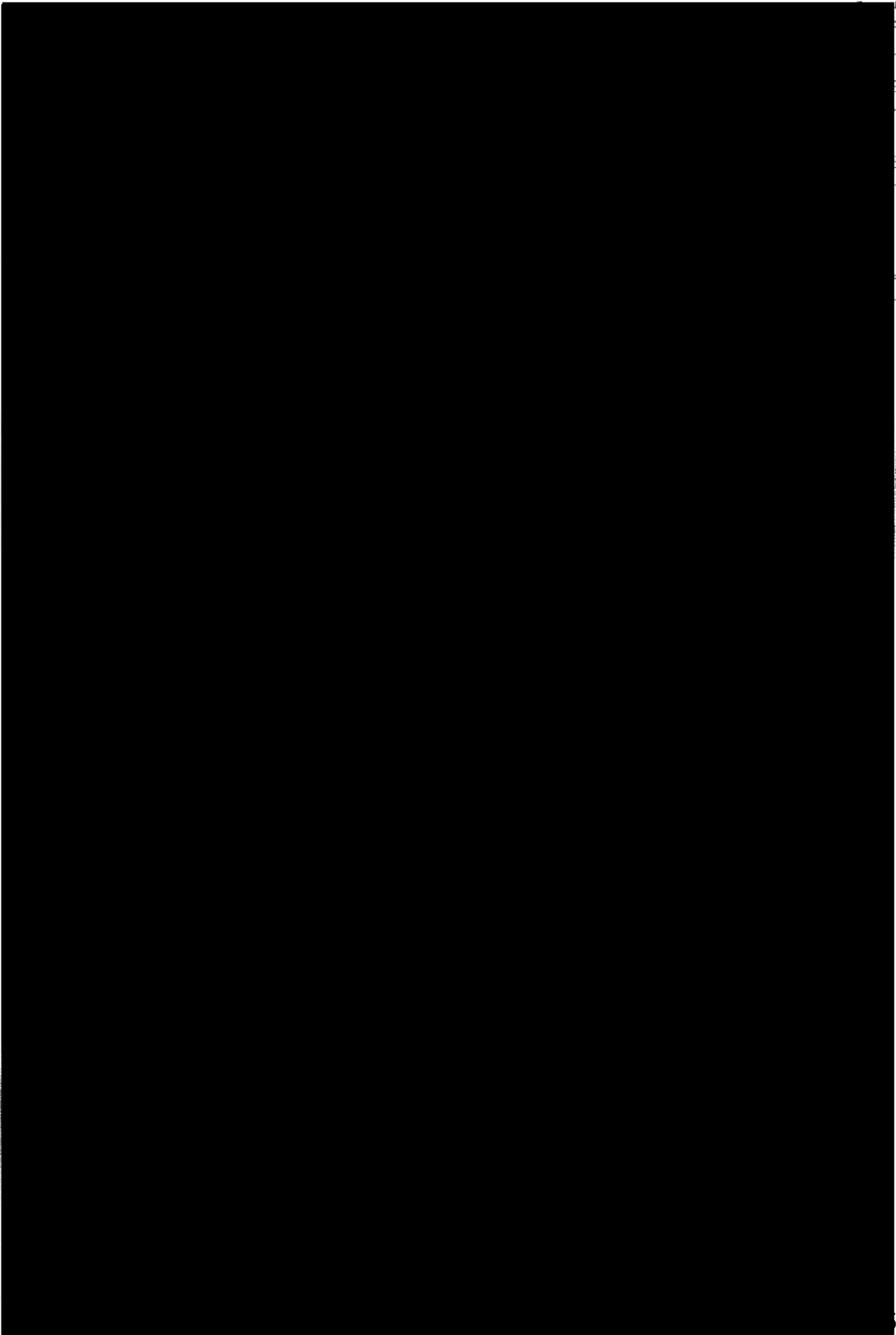
BRENDA D. FORMAN
CLERK OF THE CIRCUIT AND COUNTY COURT



FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

Bring You
ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

Randal Kitching Esq.





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Rec

EXHIBIT # 2
PAGE # 8

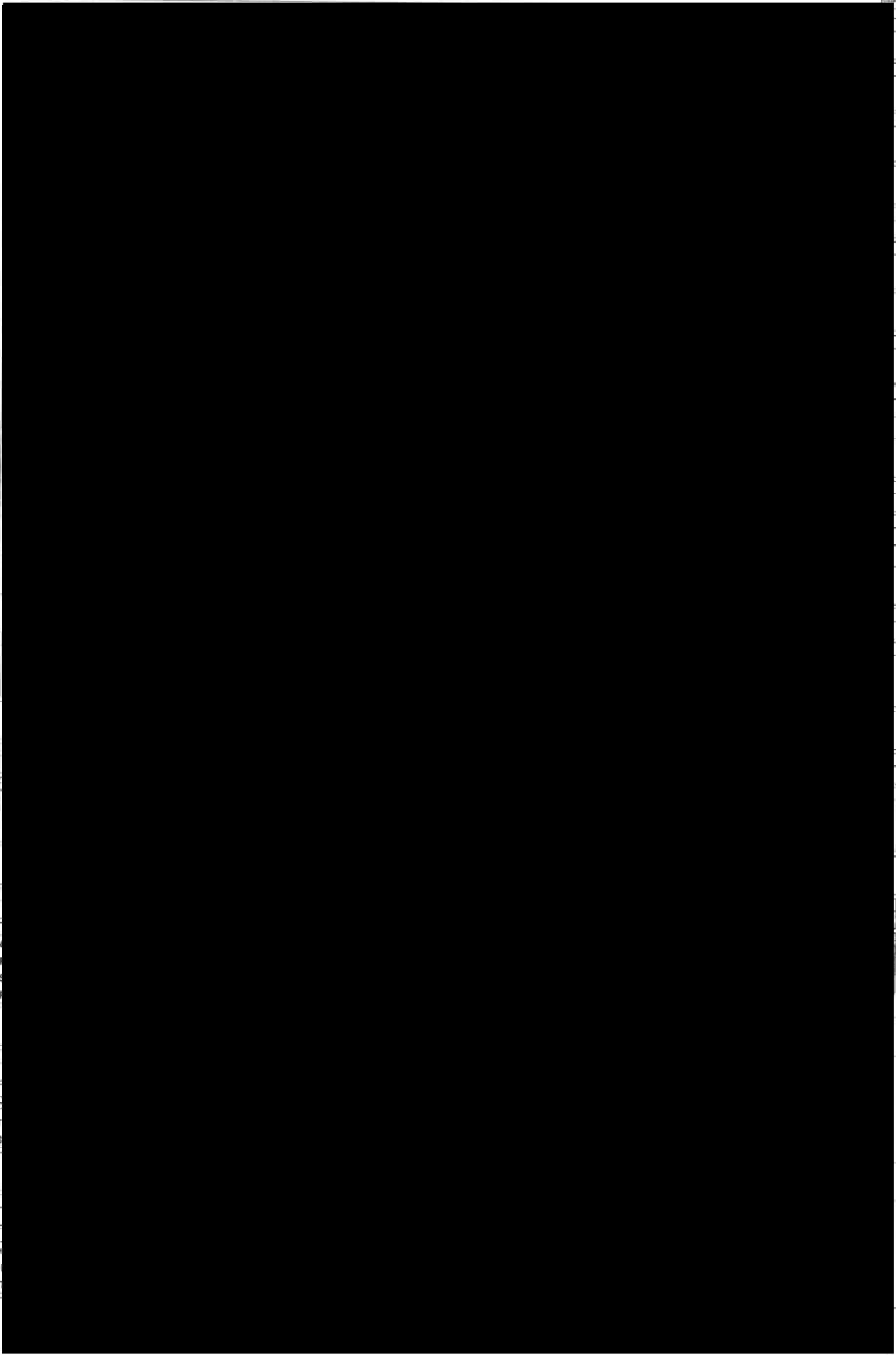


EXHIBIT # 2
PAGE # 9

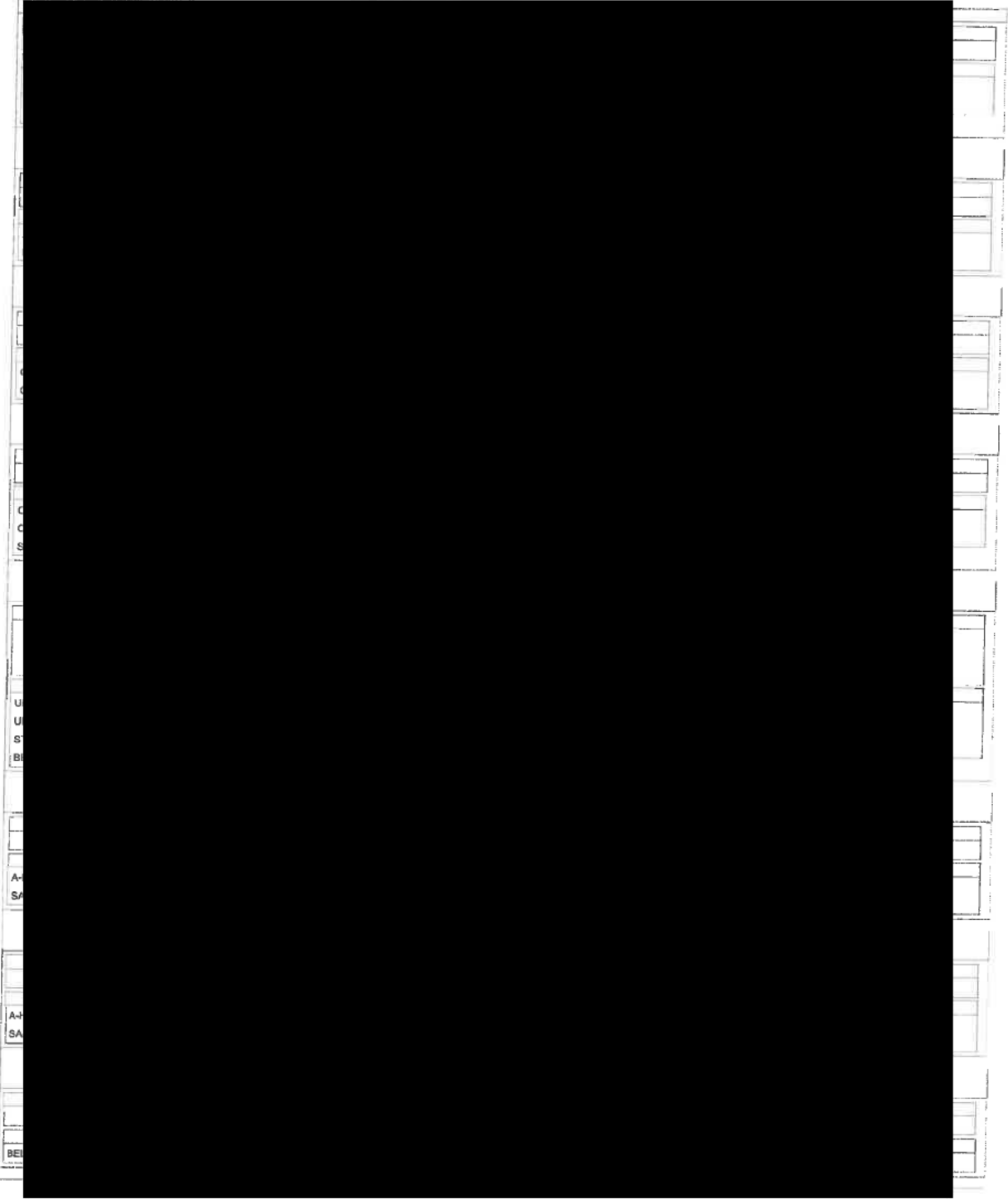


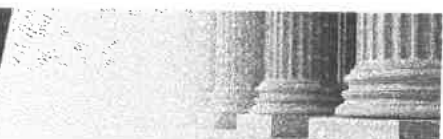
EXHIBIT # **2**
PAGE # **10**



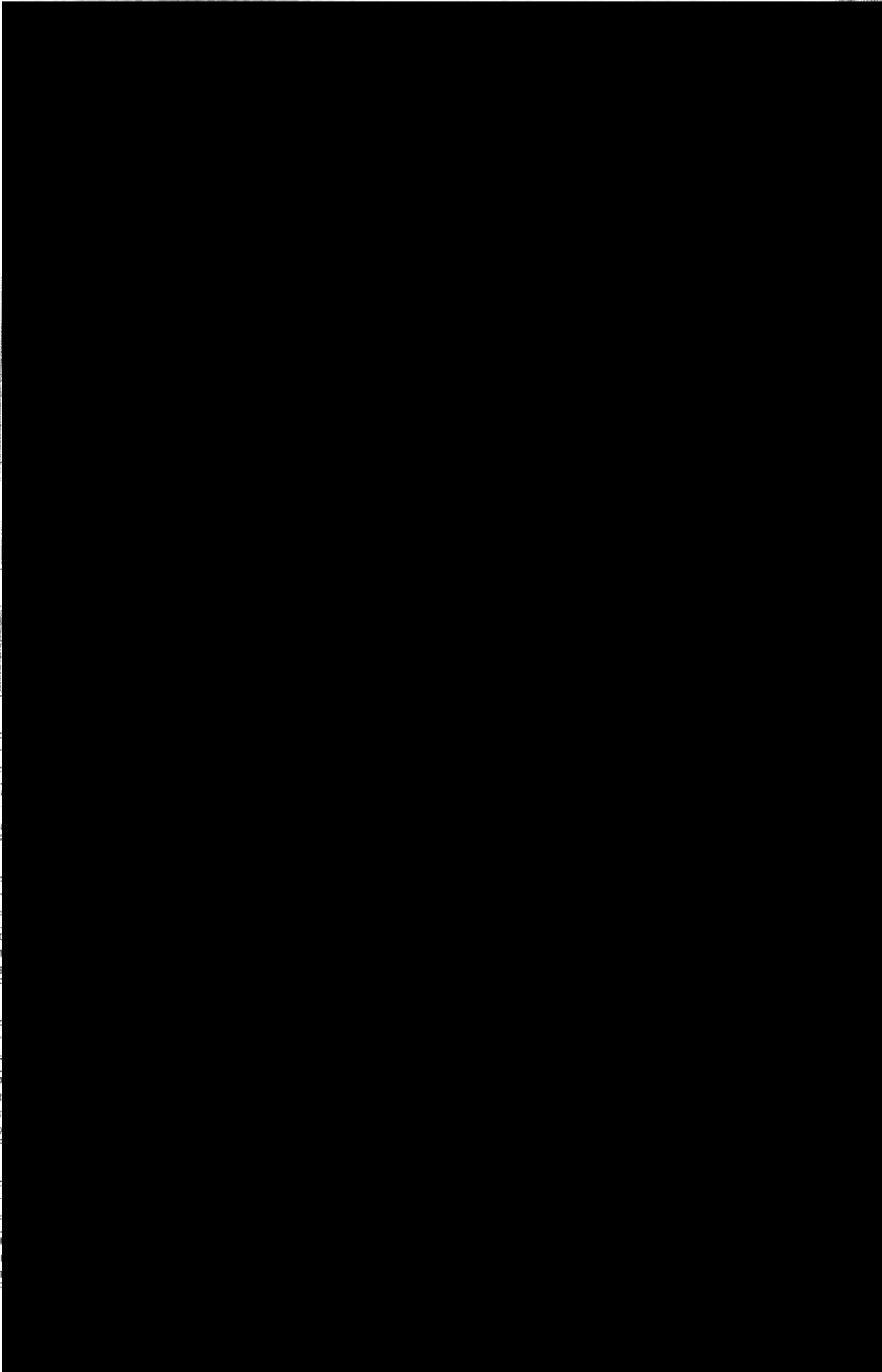
FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

Bring You

ccis COMPREHENSIVE CASE
INFORMATION SYSTEM



Revised: 1/2008/fgz



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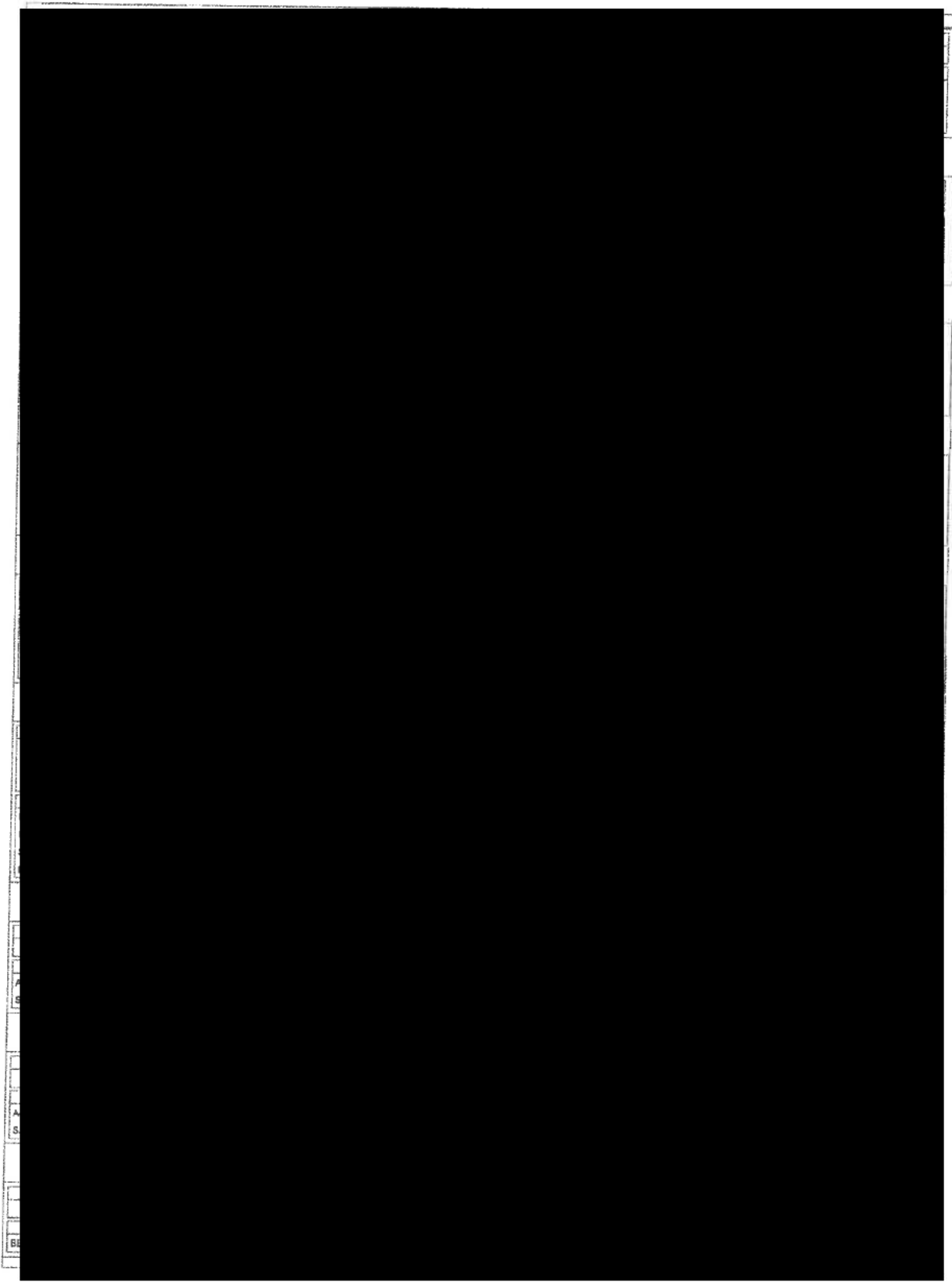


EXHIBIT # 2

PAGE #

12



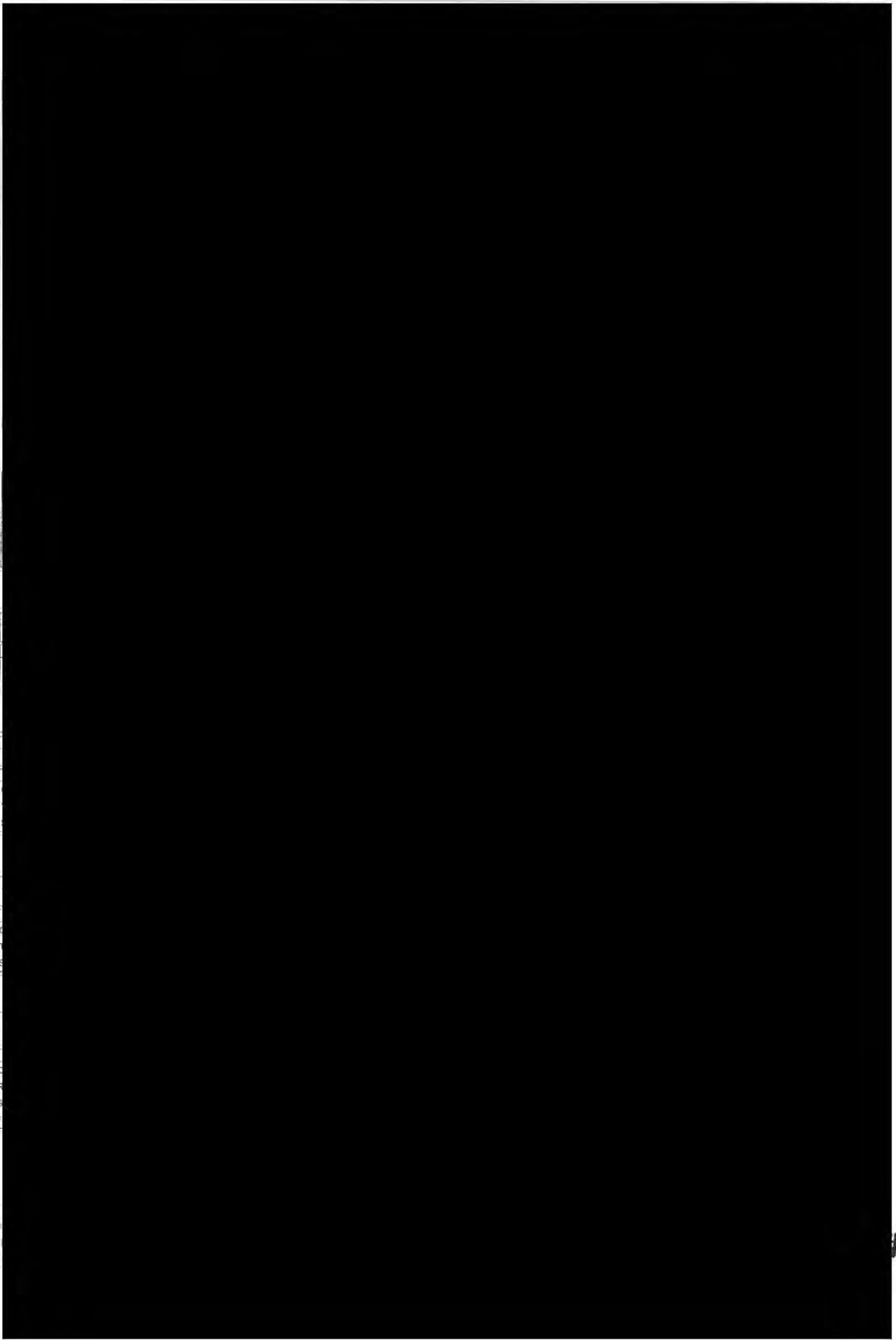
FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

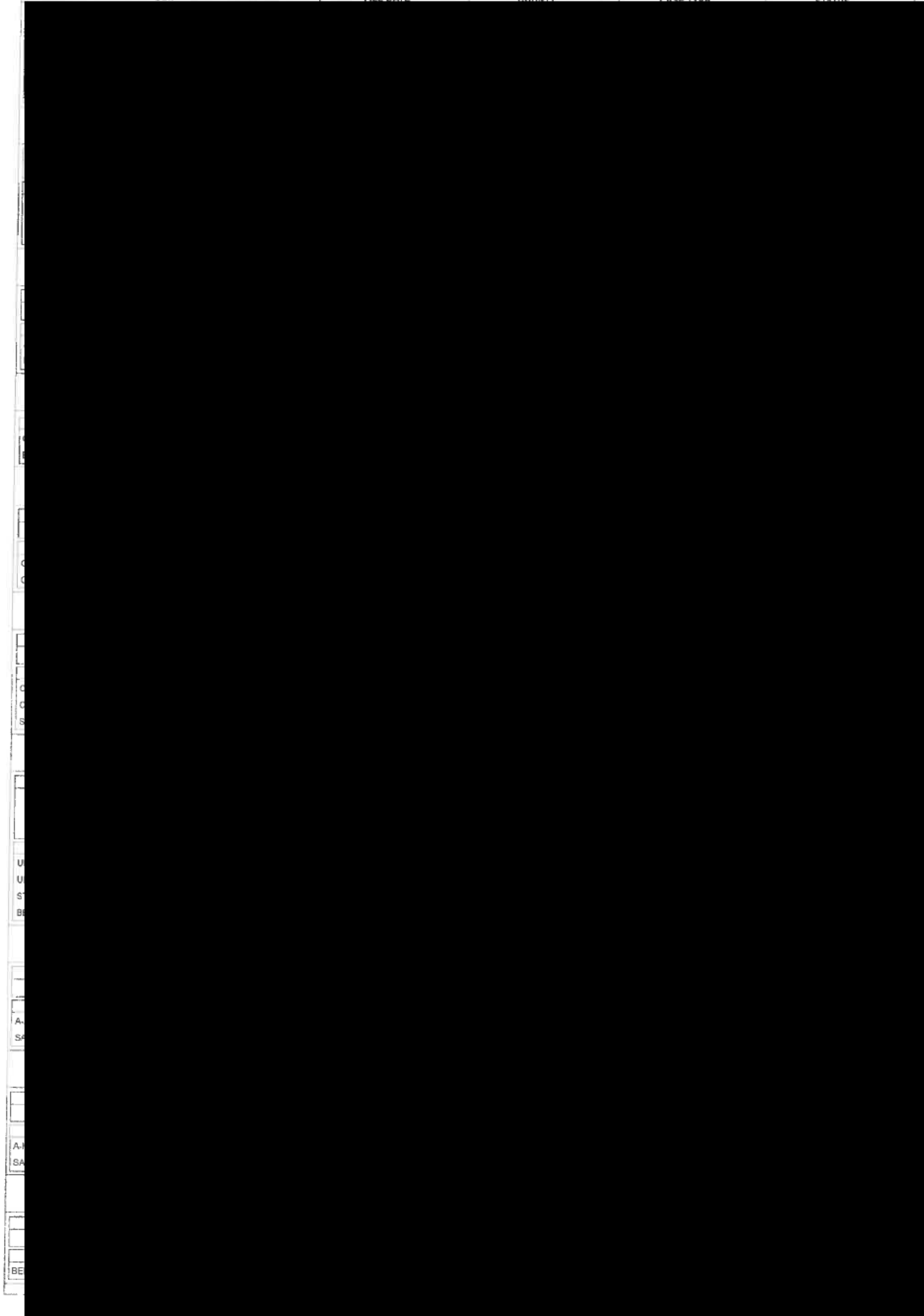
Bring You

ccis COMPREHENSIVE CASE
INFORMATION SYSTEM



Julio.Minaya@FOCC





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COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

BROWARD COUNTY
ARREST #

ARREST FORM

OBTs #

| | | | | | |
|--|---|----------------------------------|------------------------|-------------------|-------------|
| Filing Agency HOLLYWOOD PD | Offense Report 33-1803-04845I | Local ID # | FDLE | FBI | SS# |
| Defendant's Last Name BELOZEROV | First ALEKSANDR | Middle NIKOLAY | SUF | Alias/Street Name | Citizenship |
| Name of victim(s) (if corporation, exact legal name and state of incorporation): | | | | | |
| Count # | Offenses Charged | WC# / Citation # (if applicable) | FS or Capias/Warrant # | | |
| | *** SEE PAGE 1 *** | | | | |

Probable Cause Affidavit

Before me this date personally appeared FALCON, ALEJANDRO (3386) who being first duly sworn deposes and says that on 19 day of March, (year) 2018 at 1700 SHERIDAN ST, HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

#18-040242 FOR FURTHER).

I LOCATED THE VEHICLE TRAVELLING IN THE AREA AND CONDUCTED A FELONY STOP ON IT IN THE PUBLIC PARKING LOT LOCATED AT 1700 SHERIDAN ST. THE DRIVER AND SOLE OCCUPANT OF THE VEHICLE, LATER IDENTIFIED VIA DAVID AS ALEKSANDR BELOSEROV, WAS TAKEN INTO CUSTODY WITHOUT INCIDENT. IT SHOULD BE NOTED THAT BELOSEROV IS NOTED IN THE NCIC/FCIC ENTRY AS THE RENTEE OF THE VEHICLE. ONCE IN CUSTODY AS I WALKED BELOSEROV TOWARDS MY MARKED POLICE PATROL VEHICLE HE FURTHERMORE SPONTANEOUSLY UTTERED "IS THIS ABOUT THE RENTAL CAR, I WAS JUST ABOUT TO RETURN IT." BELOSEROV REFUSED TO SPEAK WITH DETECTIVES OR PROVIDE A STATEMENT.

ID TECH UNGER RESPONDED FOR PROCESSING.

SUPERIOR RESPONDED REFERENCE TOWING.

TELETYPE OPERATOR #3270 REMOVED THE VEHICLE FROM NCIC/FCIC AS STOLEN.

BELOSEROV WAS CHARGED ACCORDINGLY AND TRANSPORTED TO BSO MAIN JAIL FOR BOOKING PROCEDURES AND PROCESSING.

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature

[Signature] 3386

FALCON, ALEJANDRO (3386)
Officer's Name/CCN

Patrol
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 19 day of March, 2018 (year),
by OFFICER FALCON, ALEJANDRO (name and title), who is personally known to me or has produced

as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

[Signature]
3413

OFC/3413
Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit

Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

BSO DB-#2a (Revised 05/00)

Orig - Court
2nd - State Attorney
3rd - Agency
4th - Arresting Agency
EXHIBIT # 3
PAGE # 4
565

Aleksandr Nikolay Belozarov
450 Lane Blvd Apt 3
Hallandale Beach FL 33009

Male [redacted] White
18-012259-CF10A

In The Circuit/Court
In And For Broward County,
Florida

5 Ft. 4 In. 120 Lbs. Brown

BCCN: 0793042

Capias

Judge Marina Garcia-Wood - FC
Def't Number 18-012259-CF10A

To all and singular the sheriffs of Florida, Greetings:

You are commanded to take Aleksandr Nikolay Belozarov

If that person be found in your county, arrest and safely keep so that you may have that person's body before the Judge of our said court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida to:

| Charge | Charge Description | Bond Amount |
|--------|---------------------------------|-----------------|
| 1 | Failure To Return Hired Vehicle | 1,000.00 |
| 2 | Grand Theft (Motor Vehicle) | 1,000.00 |
| | | Total: 2,000.00 |

Issue Date: 10/05/2018

And have you read and obeyed this writ.



10/16/2018
Witness Brenda D. Forman, Clerk of our
Said court and the seal of our said court,
At the Courthouse at Fort Lauderdale Florida,
Brenda D. Forman, Clerk

By: M Anderson Deputy Clerk

Reason Capias Issued: **Capias – Refile of 18-3373-CF10A**

Received this capias on _____ and executed same on _____

By arresting the within named defendant and having him now before the court.

_____, Sheriff By: _____
Deputy Sheriff

BROWARD COUNTY

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

ARREST FORM

| | | | | | | | |
|--|-----------------|---|---|--|---|--|--|
| Filing Agency HOLLYWOOD PD | | Offense Report 33-1803-048451 | | Local # | FILE | FD# | OBTS # |
| Defendant's Last Name BELOZEROV | | | First ALEKSANDR | Middle NIKOLAI | SUF | Alias/Street Name Citizenship | |
| Race W | Sex M | Hgt 5'03" | Wgt | Hair | Eyes | Comp | Age 37 |
| Permanent Address 450 LAYNE BLVD 3, HALLANDALE BEACH, FL 33009 | | | | | | Scars, Marks, TT | |
| Residence Type: (1) City (2) County (3) Florida (4) Out of State | | Local Address: 450 LAYNE BLVD 3, HALLANDALE BEACH, FL | | Place of Employment | | Length | |
| How long defendant in Broward County | | Breathalyzer By/CCN | Reading | Place of Arrest 1700 SHERIDAN ST | Date/Time Arrested 03/19/2018 21:41 | Arresting Officer(s) CCN FALCON, ALEJANDRO (3386) | |
| Officer Injured Y <input type="checkbox"/> N <input checked="" type="checkbox"/> | Unit | Zone | Beat | Shift | Trans. Unit | PMD Y <input type="checkbox"/> N <input checked="" type="checkbox"/> | Transporting Officer/CCN |
| TYPE / ACTIVITY: 1 | | Type N-NA A-Amphetamine B-Barbiturate C-Cocaine | E-Heroin H-Hallucinogen M-Marijuana O-Opium/Derv | P-Paraphernalia: Equipment S-Synthetic U-Unknown Z-Other | ACTIVITY: N-NA C-Possess S-Sell B-Buy | T-Traffic A-Smuggle D-Deliver E-Use | M-Manufacture/ Producer/Cultivate K-Dispense/ Distribute Z-Other |
| Indication of Alcohol Influence <input type="checkbox"/> | | Indication of Drug Influence <input type="checkbox"/> | | Y N UK | | | |

Attach Defendant's Photo

Defendant's Vehicle Make: NISSAN Type: 01 Year: 2016 Color: GRY VIN # 3N1AB7APNGY234166
 Vehicle Towed To: _____ Tag #: 442YRT Other identifiers or remarks: _____

| Name of victim(s) (if corporation exact legal name and state of incorporation) HERTZ RENTAL CAR | | | | 5400 BUTLER AVENUE DR. ORLANDO, FL 32812 | | (954) 712-5244 | |
|---|--|----------------------------------|------------------------|--|--|----------------|--|
| Count # | Offenses Charged | WC# / Citation # (if applicable) | FS or Capias/Warrant # | | | | |
| 1 | FRAT D-MAIL TO REDEVELOPER HIRED VEHICLE | | 817524 | | | | |

Probable Cause Affidavit

Before me this date personally appeared FALCON, ALEJANDRO (3386) who being first duly sworn deposes and says that on 19 day of March (year) 2018 at 1700 SHERIDAN ST, HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

ON 3/19/18 AT APPROXIMATELY 2130 HOURS I WAS CONDUCTING ROUTINE UNIFORMED PATROL OF THE NORTH FEDERAL HIGHWAY CORRIDOR, LOCATED WITHIN THE JURISDICTIONAL LIMITS OF THE CITY OF HOLLYWOOD, COUNTY OF HOLLYWOOD, AND STATE OF FLORIDA, WHEN I RECEIVED A MOBILE LICENSE PLATE READER (LPR) HIT INDICATING ON A GREY NISSAN SENTRA BEARING FL TAG #442YRT TRAVELLING IN THE AREA. AN NCIC/FCIC QUERY OF THE TAG REVEALED THAT IT WAS REPORTED STOLEN OUT OF FORT LAUDERDALE ON 3/13/18 (REFER TO FORT LAUDERDALE PD CASE

I swear the above statement is correct and true to the best of my knowledge and belief. * * * Continued * * *

Officer/Affiant's Signature: [Signature] 3386 FALCON, ALEJANDRO (3386) Officer's Name/CCN
 Officer's Division: Patrol

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 19 day of March 2018 (year), by OFFICER FALCON, ALEJANDRO (name and title), who is personally known to me or has produced _____ as identification.

Notary Public, Deputy Clerk of the Court or Assistant State Attorney
[Signature]
 Print Type or Stamp Commissioned Name of Notary Public:



DFC/3413
Title/Rank and CCN

(SEAL)



Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

B50 DB #2 (Revised 05/03)

(SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB#2a))

COURT COPY

Orig Court
2nd State Attorney
3rd Filing Agency
4th Arresting Agency

EXHIBIT #3
PAGE #6

BROWARD COUNTY
ARREST #

COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

| | | | | | |
|--|---|----------------------------------|------|------------------------|-------------|
| Filing Agency HOLLYWOOD PD | Offense Report 33-1803-048451 | Local # | FDC# | FS | SS # |
| Defendant's Last Name BELOZEROV | First ALEKSANDR | Middle NIKOLAI | SUP | Alias/Sheet Name | Citizenship |
| Name of victim(s) (if corporation exact legal name and state of incorporation) | | | | | |
| Count # | Offenses Charged | WC# / Citation # (if applicable) | | FS or Capias/Warrant # | |
| | *** SEE PAGE 1 *** | | | | |

Probable Cause Affidavit

Before me this date personally appeared FALCON, ALEJANDRO (3386) who being first duly sworn deposes and says that on 19 day of March (year) 2018 at 1700 SHERIDAN ST. HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows: #18-040242 FOR FURTHER.

I LOCATED THE VEHICLE TRAVELLING IN THE AREA AND CONDUCTED A FELONY STOP ON IT IN THE PUBLIX PARKING LOT LOCATED AT 1700 SHERIDAN ST. THE DRIVER AND SOLE OCCUPANT OF THE VEHICLE, LATER IDENTIFIED VIA DAVID AS ALEKSANDR BELOSEROV, WAS TAKEN INTO CUSTODY WITHOUT INCIDENT. IT SHOULD BE NOTED THAT BELOSEROV IS NOTED IN THE NCIC/FCIC ENTRY AS THE RENTEE OF THE VEHICLE. ONCE IN CUSTODY AS I WALKED BELOSEROV TOWARDS MY MARKED POLICE PATROL VEHICLE HE FURTHERMORE SPONTANEOUSLY UTTERED "IS THIS ABOUT THE RENTAL CAR, I WAS JUST ABOUT TO RETURN IT." BELOSEROV REFUSED TO SPEAK WITH DETECTIVES OR PROVIDE A STATEMENT.

ID TECH UNGER RESPONDED FOR PROCESSING.

SUPERIOR RESPONDED REFERENCE TOWING.

TELETYPE OPERATOR #3270 REMOVED THE VEHICLE FROM NCIC/FCIC AS STOLEN.

BELOSEROV WAS CHARGED ACCORDINGLY AND TRANSPORTED TO BSO MAIN JAIL FOR BOOKING PROCEDURES AND PROCESSING.

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Agent's Signature FALCON, ALEJANDRO (3386) Officer's Name/CCN FALCON, ALEJANDRO (3386) Officer's Division Patrol

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 19 day of March 2018 (year), by OFFICER FALCON, ALEJANDRO (name and title), who is personally known to me or has produced

as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

[Signature]

OPJ 3413
Title/Rank and CCN

Print Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

- 1st - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency

BSO DB-#2a (Revised 05/00)

EXHIBIT #3
PAGE #7
568



Broward County Sheriff's Office



Booking Report

FF-Molka/636 18003373CFA0A

| | | | | |
|----------|------------|------------------|-------------------|-------------------------------------|
| CIS # | 131800551 | BCCN # | 793042 | Booking Sheet Control Date and Time |
| OBTS | 607241920 | Print Clearance | 03/19/18 23:32:03 | Prints Yes |
| Arrest # | HW 1800551 | Offense Report # | 33-1803-048451 | Agency |
| | | | | HOLLYWOOD |

| | | | | | |
|--------------|------------------------------|--|--|-------|-----------|
| Last Name | BELOZEROV, ALEKSANDR NIKOLAY | | | SSN # | *****1389 |
| First Middle | | | | | |

| Race | Sex | Height | Weight | Eyes | Hair | Comp. | Age Admitted | DOB | Place of Birth | State | FDLE |
|------|-----|--------|--------|------|------|-------|--------------|------------|----------------|-----------|---------|
| W | M | 505 | 120 | BRO | BRO | MED | 37 | [REDACTED] | VILNIUS | LITHUANIA | 7579186 |

| | | | |
|-------------------|---|---------------------|----|
| Permanent Address | 450 LANE BLVD APT 3 HALLANDALE BEACH FL 33009 | Months of Residence | 96 |
|-------------------|---|---------------------|----|

| | | | | | |
|-------------|-------------------|-----------------|----------------------|-------------------|-----------------------|
| Arrest Date | 03/19/18 21:41:00 | Place of Arrest | 1700 SHERIDAN STREET | Arresting Officer | 3386 FALCON ALEJANDRO |
|-------------|-------------------|-----------------|----------------------|-------------------|-----------------------|

| | | | | | |
|--------------------|-------------------|-----------------|-------------|----------------|------|
| Inmate Logged Date | 03/19/18 22:24:34 | Inmate Log Type | FULL INTAKE | Place Admitted | MAIN |
|--------------------|-------------------|-----------------|-------------|----------------|------|

Intake Comments 29/54-6162 SP/CO-6162 WC-15548
 Alias Last name, First, Middle, DOB BELOZEROV, ALEKSANDR, NIK,
 Alias Last name, First, Middle, DOB BELOZEROV, ALEKSANDR, NIK,

Warrants Officer Id: bs15548
 Scars, Marks, Tattoos

Tattoos Arm, right DAGGER
 Tattoos Arm, upper right LION, TRIBAL
 Tattoos Leg, right FLAME

RECEIVED
 MAR 20 2018
 SAU-RCU
 State Attorney's Copy
 State Attorney's Copy
 EC
 3/20/18

| Release Date/Time | Release Reason | Release Authorized By |
|-------------------|----------------|-----------------------|
|-------------------|----------------|-----------------------|

| Charge No. | Charge Initiation Date | Statute | Warrant/Capias | Level | M.C | B. Type | Bond Amount |
|-----------------|---------------------------------|----------|----------------|-------|-----|---------|-------------|
| 1 | 03/20/18 01:54 | 817.52-3 | | 3F | Y | BOND | \$1,000.00 |
| Charges | FAIL TO REDELIVER HIRED VEHICLE | | Comments | | | | |
| Booking Off. ID | bs15279 | County | BROWARD | Judge | | | |

- No return to Hertz Rental - Fort Lauderdale

* End of Report *



BROWARD
COUNTY

BRENDA D. FORMAN
CLERK OF THE CIRCUIT AND COUNTY COURT



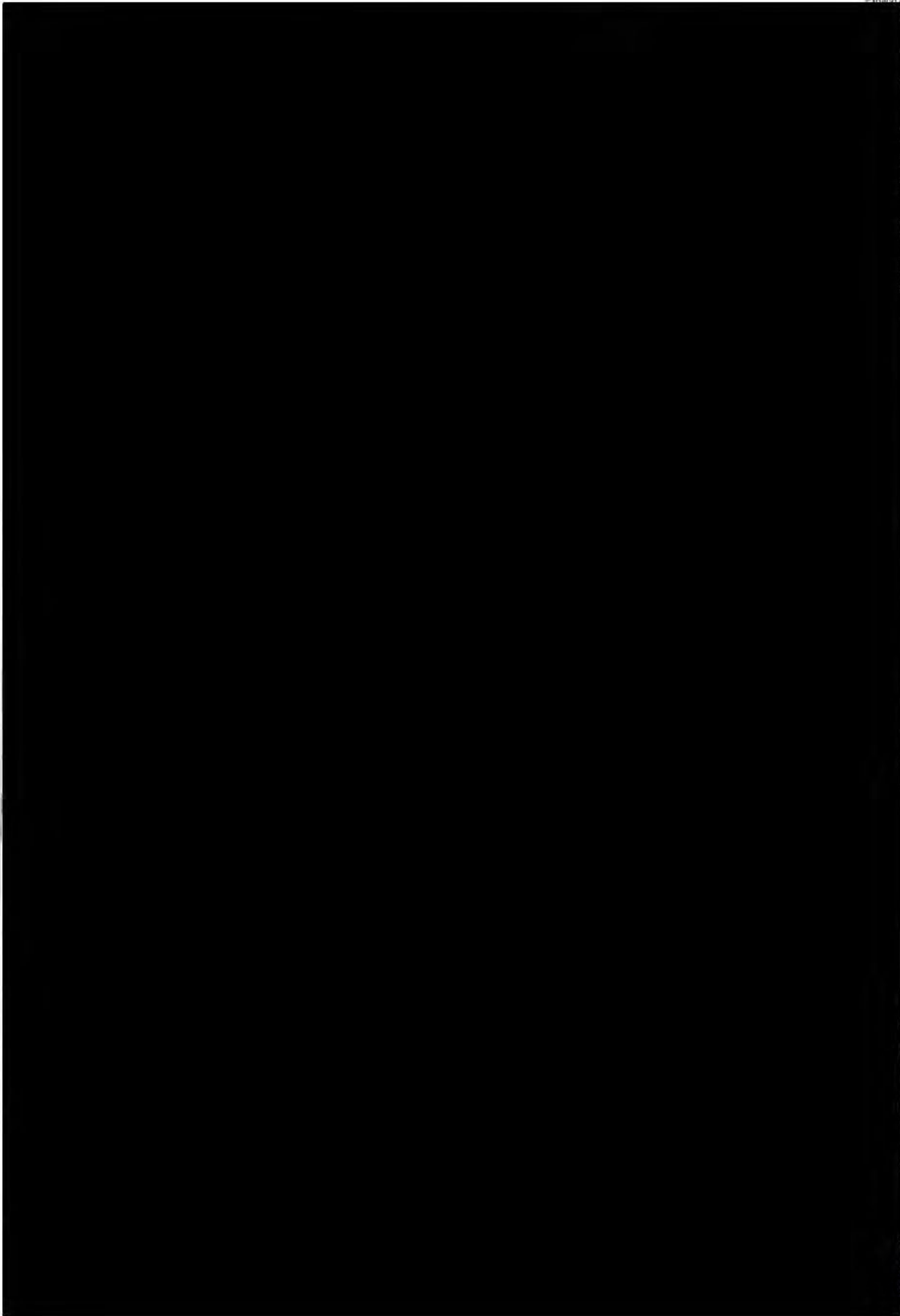
FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

Bring You

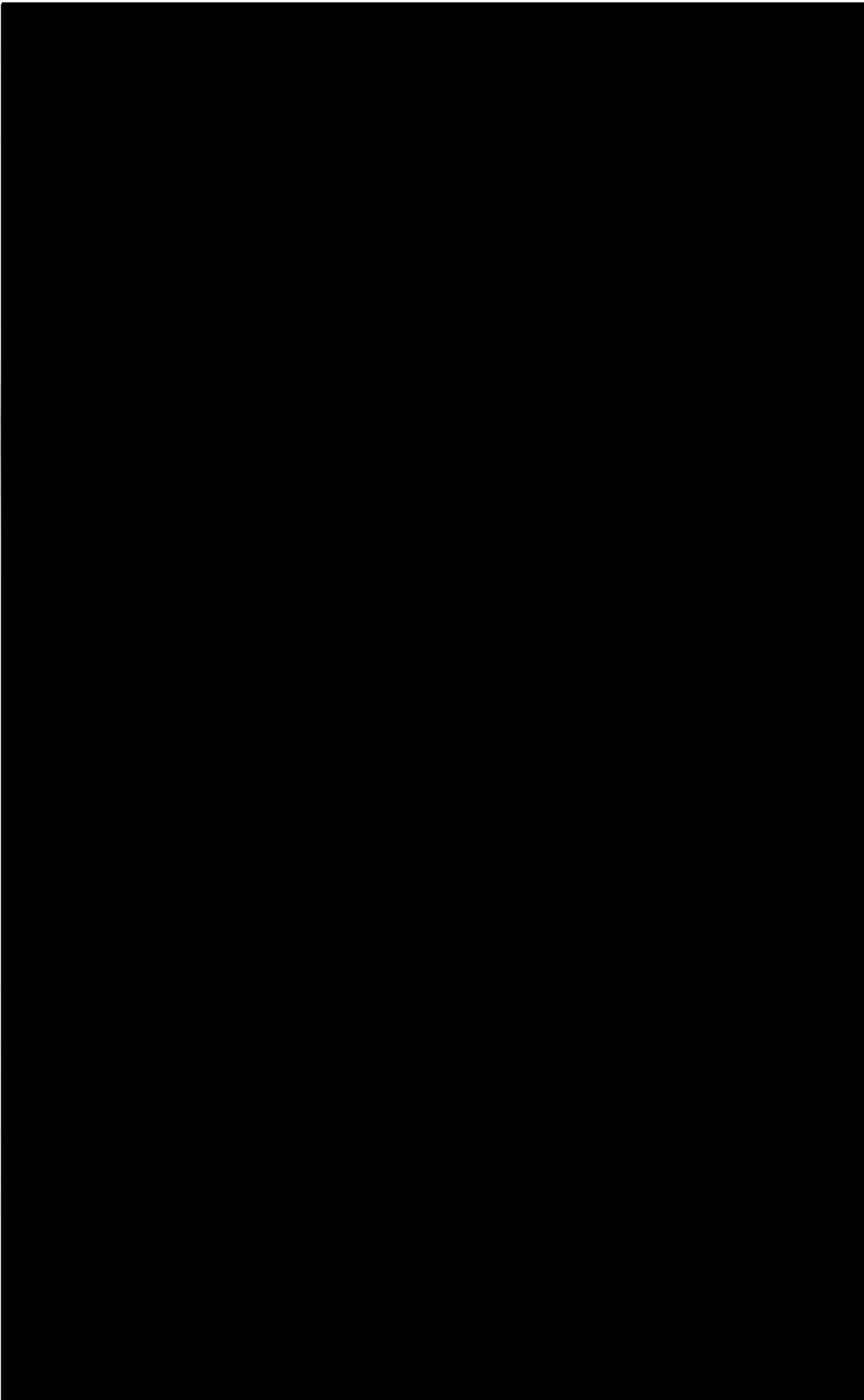
ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

Julio.Mineys@FGCC

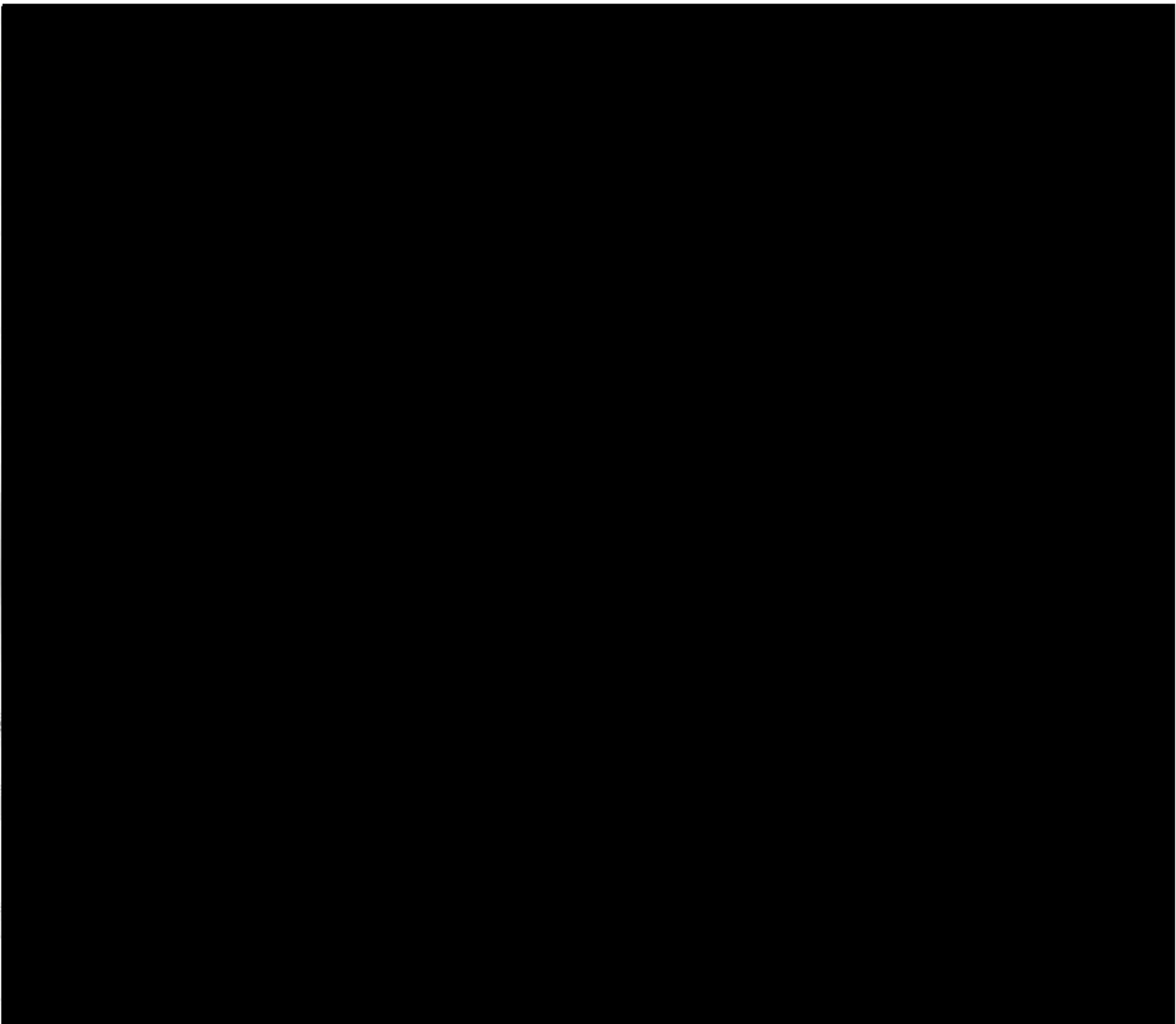
Expand All



T # 3
59



#3
10



In the Judicial Circuit in and for Broward County
 In the County Court in and for Broward County

CLOCK IN

DIVISION:
 CRIMINAL
 TRAFFIC
 OTHER

CAPIAS

Filed In Open Court,
CLERK OF THE CIRCUIT COURT
ON 10.27.21
BY UB

JUDGE

Kollig

CASE NUMBER

18-12259CFIC

TO ALL AND SINGULAR THE SHERIFFS OF THE STATE OF FLORIDA

You are commanded to take Aleksandr Nikolay Belozerov ^{w/m}
(name) (description)
2453 NE 135th St.
N. Miami, Fl. 33181 N/A
(D.O.B.) (address) (prior arrest no.)

if that person be found in your county, arrest and safely keep so that you may have that person's body before the judge of our said Court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida of:

1- Failure to Redeliver Hired Vehicle
2- Grand Theft Auto

and have you then and there this writ:

The Defendant is to be admitted to bail as set by the Court in the amount/s of:

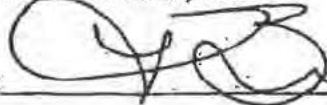
1.\$ NB
2.\$ NB

WITNESS Brenda D. Forman, Clerk of our said Court and the Seal of our said Court at the Court House of Fort Lauderdale, Florida, this 27 day of Oct 21

3.\$ _____
4.\$ _____
5.\$ _____
6.\$ _____
7.\$ _____
8.\$ _____

Brenda D. Forman, Clerk

By:



Arresting Agency

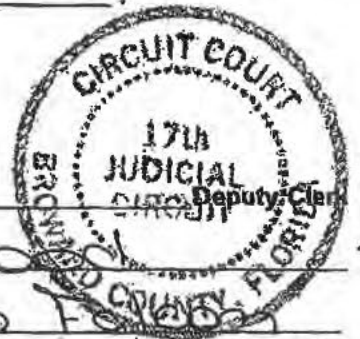
Hollywood

Arresting Officer

Alejandro Fernandez

Reason Capias Issued

No Show Determination of Cause



Received this Capias on _____ and executed same on _____

by arresting the within Defendant and having him now before the Court.

_____, Sheriff By: _____ Deputy Sheriff

2021 NOV - 1 PM 4:43

DEPUTY SHERIFF

EXHIBIT #3
PAGE #13

(2)

COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

BROWARD COUNTY

ARREST #

OBTS #

| | | | | | |
|---|---|----------------------------------|------|------------------------|-------------|
| Filing Agency BROWARD COUNTY SO | Offense Report 02-2111-001527 | Local ID# | FDLE | FBI | SS # |
| Defendant's Last Name BELOZEROV | First ALEKSANDR | Middle NIKOLAY | SUF | Alias/Street Name | Citizenship |
| Name of victim(s) (if corporation, exact legal name and state of incorporation) | | | | | |
| Count # | Offenses Charged | WC# / Citation # (if applicable) | | FS or Capias/Warrant # | |
| *** SEE PAGE 1 *** | | | | | |

Probable Cause Affidavit

Before me this date personally appeared TALENTAS RAIMONDAS (18480) who being first duly sworn deposes and says that on 16 day of November, (year) 2021 at 301 E DANIA BEACH BLVD, DANIA BEACH, FL 33004 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

Defendant explained that he was at the courthouse to attend a scheduled hearing when fire alarm went off and everybody were ordered to vacate the building. Defendant was under impression that his court hearing was canceled and he needed to wait for the new hearing notification to be mailed to him.

Defendant was transported to the BSO Main Jail for booking without incident.

I swear the above statement is correct and true to the best of my knowledge and belief


Officer/Affiant's Signature

TALENTAS RAIMONDAS (18480)
Officer's Name/CCN

Dania Beach
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 16 day of November, 2021 (year),
by TALENTAS RAIMONDAS (name and title), who is personally known to me or has produced
BSO ID as identification.

J. Mora
Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

DLS 19400
Title/Rank and CCN

Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

BSO DB-#2a (Revised 05/00)

COURT COPY

- Orig - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency

EXHIBIT # 3
PAGE 576 / 15



Broward County Sheriff's Office
2601 West Broward Boulevard
Fort Lauderdale, FL 33312
P. (954) 831-8100
F. (954) 791-0929

BSO - Confirmation Report

Confirmation Number: WC#2021-1206827

Date Issued:
11/16/2021 11:40

Issued By: Finney, Antrece [bs18783]

Name/Id/Org: DEP TALENTAS / 18480 / DANIA
BEACH DIST

Status: Complete

Notes: NEW ARREST - DEP TALENTAS 18480/DANIA
BEACH // 2 PAGES

Case Number: 18012259CF10A

Name: Belozarov, Aleksandr

Capias Date: 10/27/2021

Type: Felony_No_Show

DOB



Race/Gender: W / M

Additional Warrants on Confirmation:

EXHIBIT #3
PAGE #16

577

1



Broward County Sheriff's Office

18-12259
Booking Report



| | | | | | |
|----------|------------|------------------|-------------------|-------------------------------------|-------------|
| CIS # | 592100626 | BCCN # | 793042 | Booking Sheet Control Date and Time | |
| OBTS | 607297985 | Print Clearance | 11/17/21 01:06 26 | Prints | Yes |
| Arrest # | DN 2100626 | Offense Report # | 02-2111-001527 | Agency | DANIA BEACH |

Last Name: **BELOZEROV, ALEKSANDR NIKOLAY**
 First Middle: **BELOZEROV, ALEKSANDR NIKOLAY**
 SSN #: [REDACTED]

| Race | Sex | Height | Weight | Eyes | Hair | Comp | Age | Admitted | DOB | Place of Birth | State | FDLE |
|------|-----|--------|--------|------|------|------|-----|----------|------------|----------------|-----------|---------|
| W | M | 505 | 120 | BRO | BRO | MED | 41 | | [REDACTED] | VILNIUS | LITHUANIA | 7579186 |

Permanent Address: 2453 NE 135 ST NORTH MIAMI FL 33181
 Months of Residence: 96

Arrest Date: 11/16/21 22:54:00
 Place of Arrest: 301 E. DANIA BEACH BLVD
 Arresting Officer: 18480 TALENTAS, RAIMONDAS

Inmate Logged Date: 11/17/21 00:08 00
 Inmate Log Type: FULL INTAKE
 Place Admitted: MAIN

Intake Comments: SP/CO/29/54-11107 WC-18499

Alias Last name, First, Middle, DOB: BELOZEROV, ALEKSANDR, NIK,
 Alias Last name, First, Middle, DOB: BELOZEROV, ALEKSANDR, NIK,
 Alias Last name, First, Middle, DOB: BELOZEROV, ALEKSANDR, NIK, [REDACTED]

Warrants Officer Id: bs18499

Scars, Marks, Tattoos

Tattoos: Arm, right - DAGGER
 Tattoos: Leg, right - FLAME
 Tattoos: Arm, upper right - LION, TRIBAL

| Release Date/Time | Release Reason | | Release Authorized By | | | | |
|-------------------------|---------------------------------------|---|------------------------------|----------|-------|-----------------|--------------------|
| Charge No. 1 | Charge Initiation Date 11/17/21 04:10 | Statute CAP-FEL | Warrant/Capias 18012259CF10A | Level XF | M.C N | B. Type NO BOND | Bond Amount \$0.00 |
| Charges CAPIAS - FEL | | Comments FAILURE TO REDELIVER HIRED VEHICLE | | | | | |
| Booking Off. ID bs15339 | County BROWARD | Judge KOLLRA | | | | | |
| Charge No. 2 | Charge Initiation Date 11/17/21 04:11 | Statute CAP-FEL | Warrant/Capias 18012259CF10A | Level XF | M.C N | B. Type NO BOND | Bond Amount \$0.00 |
| Charges CAPIAS - FEL | | Comments GRAND THEFT | | | | | |
| Booking Off. ID bs15339 | County BROWARD | Judge KOLLRA | | | | | |

* End of Report *

EXHIBIT #3
 PAGE #17
 578

Rule 3.992(a) Criminal Punishment Code Scoresheet

The Criminal Punishment Code Scoresheet Preparation Manual is available at: http://www.dc.state.fl.us/pub./sen_cpcrm/index.html

| | | | |
|--|--|---------------------|--|
| 1. DATE OF SENTENCE | 2 PREPARER'S NAME MAC I2062021 <input checked="" type="checkbox"/> SAO <input type="checkbox"/> DC | 3 COUNTY Broward | 4. SENTENCING JUDGE KOLLRA, ERNEST |
| 5 DEFENDANT(LAST, FIRST, MII.) BELOZEROV ALEKSANDR | 6.DOB [REDACTED] 7 DC # | 8 RACE WHITE | 10 PRIMARY OFF DATE 02/05/2018 |
| | | 9. GENDER M | 11 PRIMARY DOCKET # 18012259CF10A |
| | | | 12 PLEA <input type="checkbox"/> TRIAL <input checked="" type="checkbox"/> |

I. PRIMARY OFFENSE: If qualifier, please check Attempt Solicitation Conspiracy Reclassification

*Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 6-5-23
BY LB*

| FEL/MM DEGREE | F S # | DESCRIPTION | OFFENSE LEVEL | POINTS |
|---|----------------|------------------|---------------|--------|
| FEL/3 | 812 014(2)(C)6 | GRAND THEFT/AUTO | 4 | |
| (Level - Points: 1=4, 2=10, 3=16, 4=22, 5=28, 6= 36, 7=56, 8=74, 9=92, 10 =116) | | | | |
| Prior capital felony triples Primary Offense points <input type="checkbox"/> | | | | 22.00 |

II. ADDITIONAL OFFENSE(S):

| DOCKET # | FEL/MM DEGREE | F.S.# | OFFENSE LEVEL | QUALIFY A S C R | COUNTS | POINTS | TOTAL |
|---------------|--------------------|-----------|---------------|---|--------|--------|-------|
| 18012259CF10A | FEL/3 | 817 52(3) | 2 | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 1 x | 1.20 | 1.20 |
| DESCRIPTION: | FAIL REDELIVER VEH | | | | | | |
| DESCRIPTION | | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 0 x | 0.00 | 0.00 |
| DESCRIPTION | | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 0 x | 0.00 | 0.00 |
| DESCRIPTION | | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 0 x | 0.00 | 0.00 |

(Level - Points M=0 2, 1=0 7, 2=1 2, 3=2 4, 4=3 6, 5=5 4, 6=18, 7=28, 8=37, 9=46, 10=58)
 Prior capital felony triples Additional Offense points Supplemental Page Points: 0
II. 1.20

III. VICTIM INJURY:

| | Number | Total | | Number | Total |
|-------------------|-----------|-------|-----------------|----------|------------------|
| 2nd Degree Murder | 240 x 0 = | 0 | Slight | 4 x 0 = | 0 |
| Death | 120 x 0 = | 0 | Sex Penetration | 80 x 0 = | 0 |
| Severe | 40 x 0 = | 0 | Sex Contact | 40 x 0 = | 0 |
| Moderate | 18 x 0 = | 0 | | | |
| | | | | | III. 0.00 |

IV. PRIOR RECORD:

| FEL/MM DEGREE | F S # | OFFENSE LEVEL | QUALIFY A S C R | DESCRIPTION | NUMBER | POINTS | TOTAL |
|---------------|------------|---------------|---|---|--------|--------|-------|
| MM/2 | 316 192(1) | MM | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | 11010333MM10A RECKLESS DRIVING(PLED NOLO AS I | 1 x | 0.20 | 0.20 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | | 0 x | 0.00 | 0.00 |

(Level - Points M=0 2, 1=0 5, 2=0.8, 3=1.6, 4=2 4, 5=3 6, 6=9, 7=14, 8=19, 9=23, 10=29)
 Supplemental Page Points: 0
IV. 0.20
Page SubTotal: 23.40

NAME (LAST, FIRST, MI)

BELOZEROV, ALEKSANDR

DOCKET #

18012259CF10A

V. Legal Status Violation = 4 Points

Page 1 SubTotal 23.40

- Escape Fleeing Failure to appear Supersedeas bond Incarceration Pretrial intervention or diversion program
- Court imposed or post prison release community supervision resulting in a conviction

V. 0

VI. Community Sanction Violation before the court for sentencing

VI. 0

- Probation Community Control Pretrial intervention or diversion

6 points for any violation other than new felony conviction x each successive violation OR

New felony conviction = 12 points x 0 each successive violation if new offense results in conviction

12 points x each successive violation for a violent felony offender of special concern when the violation is not based solely on failure to pay costs, fines, or restitution OR

New felony conviction = 24 points x each successive violation for a violent felony offender of special concern if new offense results in a conviction before or at the same time for violation of probation

VII. Firearm/Semi-Automatic or Machine Gun = 18 or 25 points

VII. 0

VIII. Prior Serious Felony - 30 points before or at same time as sentence for violation of probation OR

VIII. 0

IX. Enhancements (only if the primary offense qualifies for enhancement)

SubTotal Sentence Points 23.40

Law Enf Protect

Drug Trafficker

Motor Vehicle Theft

Criminal Gang Offense

Domestic Violence in the Presence or Related Child

Adult-on-Minor Sex Offense

(offenses committed on or after 3/12/07)

(offenses committed on or after 10/1/14)

x 1.5 x 2.0 x 2.5

x 1.5

x 1.5

x 1.5

x 1.5

x 2.0

Enhanced SubTotal Sentence Points

IX. 0

TOTAL SENTENCE POINTS 23.40

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[] 17th Judicial Circuit In and for Broward County

CLOCK IN

DIVISION:
CRIMINAL
FF

ADJUDICATION WITHHELD

Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 6/8/23
BY UB

THE STATE OF FLORIDA VS.

CASE NUMBER

Aleksandr Nikolay
PLAINTIFF BelozeroV

DEFENDANT

18-12259CF
104

Aleksandr Nikolay
The Defendant BelozeroV

being personally before this court

represented by W. Moore, his attorney of record, and the state

represented by A. Bryan, Assistant State's Attorney, and

having [] Been tried and found guilty [] Entered a plea of Guilty Entered a plea of nolo contendere of the following crime(s):

| COUNT | CRIME | OFFENSE STATUTE NUMBER | DEGREE OF CRIME | OBTS NUMBER |
|----------|---|---|----------------------|-------------|
| <u>1</u> | <u>Failure to Redeliver Hired Vehicle</u> | <u>817.52(3)</u> | <u>3^o</u> | |
| <u>2</u> | <u>Grand Theft Auto</u> | <u>812.014(1)(a)(b)</u> <u>812.014(2)(c)</u> | <u>3^o</u> | |

Stayed and withheld imposition of sentence The Court hereby stays and withholds the imposition of sentence as to count(s) 1-2 and places the Defendant on probation for a period of 18 mos under the supervision of the Department of Corrections (condition of probation set forth in separate order)

Sentence Deferred Until Later Date (check if Applicable) The Court hereby defers imposition of sentence until _____ (Date)

Pay \$225.00 Trust Fund pursuant to F.S. 938.05 (1)(a)

The Defendant in Open Court was advised of his right to appeal from this Judgement by filing notice of appeal with the clerk of Court within thirty days following the date sentence is imposed or probation is ordered pursuant to this adjudication. The defendant was also advised of his right to the assistance of counsel in taking said appeal at the expense of the State upon showing of indigence.

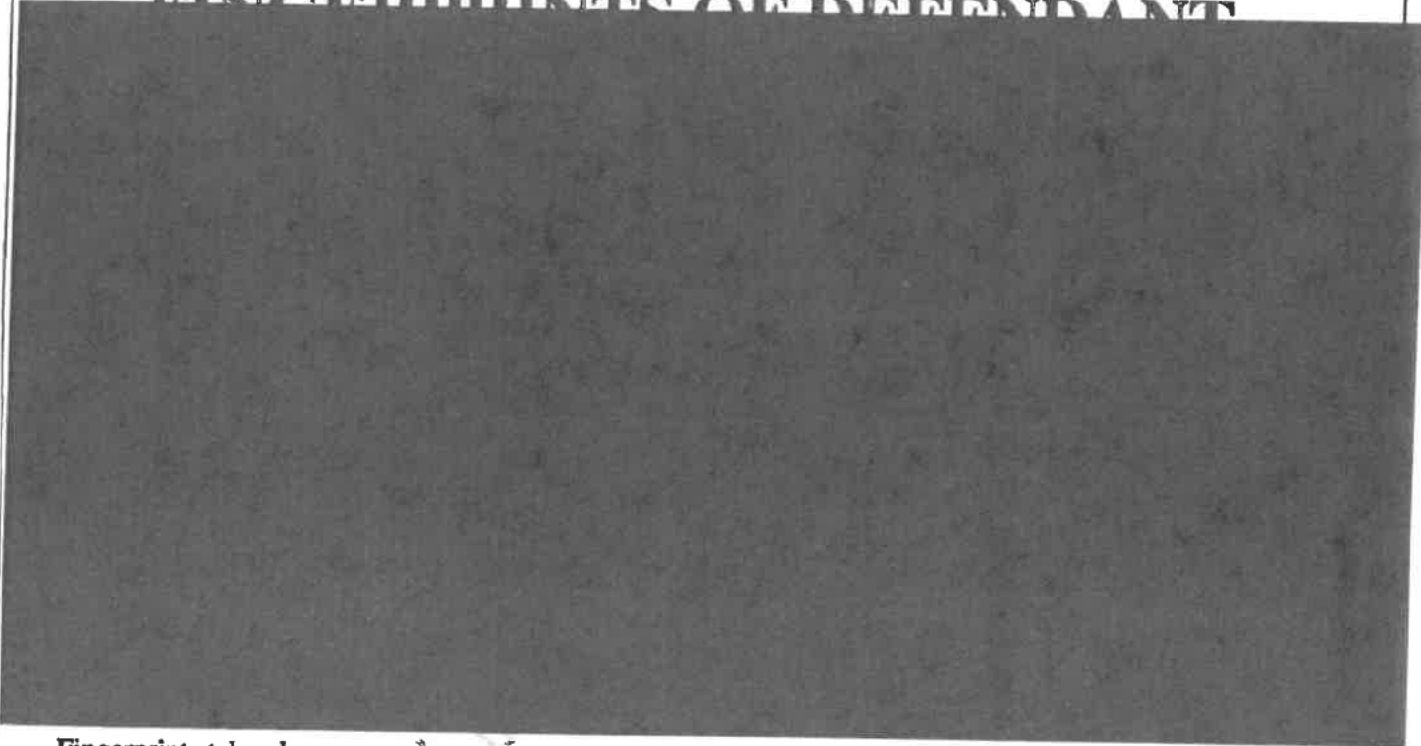
COUNT (S) _____ ; _____ DAYS BROWARD COUNTY JAIL W/CREDIT FOR _____ DAYS TIMES SERVED

I HEREBY CERTIFY that a true and correct copy of the above and foregoing was served on the State Attorney by: [] Hand delivery [] U.S. Mail and to the Defense Attorney by [] Hand delivery [] U.S. Mail this 8 day of June, 2023

BELOZEROV, ALEKSANDR

| | | |
|-----------------------|--|--|
| DIVISION: CRIMINAL | <input checked="" type="checkbox"/> ADJUDICATION WITHHELD <input type="checkbox"/> ADJUDICATED GUILTY | CASE NUMBER CF 109 <i>18-12059</i> |
|-----------------------|--|--|

FINGERPRINTS OF DEFENDANT



Fingerprints taken by:

Rp. S. St. Job Court Deputy

Name & Title

DONE AND ORDERED in Open Court at Broward County, Florida this 8th day of June 2023
I HEREBY CERTIFY that the above and foregoing fingerprints are of the Defendant

Aleksandr, and that they were placed thereon by said defendant in my presence *Nikolay Belozarov* in Open court this date.

Ernest Keller

JUDGE

**** FILED: BROWARD COUNTY, FL Brenda D. Forman CLERK 6/8/2023 10:27:37 AM ****
printed:06/07/2023 kmichelin 7Court Clerk

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

Case Number 18012259CF10A Arrest Number DN21000626 BCCN # 0793042
State of Florida VS Belozerov, Aleksandr Nikolay AKA _____
Judge Ernest A. Kollra Cash bond / Return to depositor / Surety bond / IC
Cash bond number(s) _____
Charges 080-1 Failure To Return Hired Vehicle
080-2 Grand Theft (Motor Vehicle)

REMANDED REMAIN IC UNTIL PICKED UP BY UNTIL AFTER POST ADJUDICATORY HEARING OR
BED AVAILABLE AT

Arraignment Change of Plea Guilty No Contest PSI/PDR Sentencing / Re-Sentencing
 Trial by Jury Trial by Court First VOP/VOCC Final VOP/VOCC Admits Allegations
 Convicted by Jury/Court Acquitted by Jury/Court Dismissed Speedy
 Discharged Nolle Prosequi Found Incompetent/Placement Pending/ Committed to Child/Family Services
 Adj. Guilty Adj. Withheld 1-2 Adj. Delinquent _____
 Committed to DJJ/Level _____ Sentence Withheld _____ Previous Sentence Vacated
 PSI Ordered
Adj. and Sentence deferred to

Type of probation / Community Control:
 Youthful Offender Drug Offender Sexual Offender Habitual Offender Mental Health County
PROBATION/COMM. CONTROL: Revoked Reinstated Modified Terminated
Extended _____ All previous special conditions apply
WARRANT: Dismissed Withdrawn Served in open court

SENTENCE: (PROBATION / COMM. CONTROL)

COUNT(S): 1-2
18 Years Months Days Probation Community Control Followed by
 Years Months Days Probation Community Control
 each count concurrent/consecutive Concurrent Consecutive to case number _____
COUNT(S): _____
 Years Months Days Probation Community Control Followed by
 Years Months Days Probation Community Control
 each count concurrent/consecutive Concurrent Consecutive to case number _____

SENTENCE: (INCARCERATION)

COUNT(S): _____ One year plus one day _____ Years Months Days
 BCJ FSP, w/credit for _____ days T/S
 Followed by _____ Years Months Days Probation Community Control
 Each count concurrent/consecutive Concurrent/consecutive To case number _____
 Any other sentence Work release Prison sentence suspended
COUNT(S): _____ One year plus one day _____ Years Months Days
 BCJ FSP, w/credit for _____ days T/S
 Followed by _____ Years Months Days Probation Community Control
 Each count concurrent/consecutive Concurrent/consecutive To case number _____
 Any other sentence Work release Prison sentence suspended

JUDGE [Signature]
DEPUTY CLERK [Signature] DATE 6/8/23

EXHIBIT #3
PAGE # 23
584

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 6/8/2023 10:27:37 AM.****

2 OF 3

State of Florida VS Belozeroy, Aleksandr Nikolay

Case Number 18012259CF10A

SPECIAL CONDITIONS OF PRISON SENTENCE:

- Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
- Violent Career Criminal mandatory minimum _____ years Ct(s) _____
- Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
- Firearm mandatory minimum _____ years Ct(s) _____
- Other mandatory minimum _____
- Habitual Offender Ct(s) _____ () Youthful Offender () Sexual Predator/Offender () Boot Camp
- To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

- _____ days BCJ w/credit for _____ days T/S () Electronic Monitor
- SAP 30 days () AA/NA 30 days () AFTER CARE 30 days (out of custody) () LIFE SKILLS 30 days
- Upon successful completion of drug program jail sentence shall be terminated.

- _____ hours of Community Service
- \$ _____ COS waived imposed 9 mos after 9 mos () Drug Ct Monitoring/Hearing set _____
- Anger Management Program () Obtain GED or High School diploma
- Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking () Psychological/Psychiatric evaluation and treatment necessary
- Curfew _____ () Random drug/alcohol testing
- Drug / Alcohol evaluation and treatment recommended () The Dept. of DHSMV is to withhold issuance of defendant's driver license/privileges for a period of 1 years after the date the deft. was convicted or until deft. is evaluated for and, if deemed necessary by the evaluating agency, completes a drug treatment and rehab. program approved or regulated by DCF per FS.322.055
- Forfeit weapon / firearm
- Defendant to enter and successfully complete Post Adj. Drug Court. Restitution ordered \$ 1869.00 amount reserved
- May transfer probation to _____ () Restitution converted to a civil lien
- May travel _____ for work purposes () Upon successful completion of jail sent. probation to automatically terminate
- No contact with minor children without adult supervision () Pay investigative costs:
- No contact directly or indirectly with victim(s) or victim's family or others listed () Crime Lab _____
- No driving without valid driver's license () Expert Witnesses _____
- No drugs or alcohol () Supp. Cost of Prosecution _____
- Enter and successfully complete _____ () Toxicology _____
- () BARC () Law Enforcement Agency
- () IRT () followed by _____ Agency #1 _____ \$ _____
- () HOUSE OF HOPE/STEPPING STONES Agency #2 _____ \$ _____
- () SPECTRUM
- () FOLLOWED BY _____

Court costs/fines are not a condition of probation.

Other Prob to Auto Term @ 9 mos who viol and restitution is paid

D.U.I USE ONLY in full

Count _____
_____ Years _____ Months _____ Days Probation w/Special Conditions:

- DUI School/level () _____ Days License Suspended () _____ Work Permit
- _____ Days Immobilization by _____ Company
- _____ Ignition Interlock Program () _____ Hours of Community Service
- Evaluation, Treatment and Therapy if necessary
- Other _____

To run () Concurrent () Consecutive to Count(s): _____

JUDGE Crista Kelly () 1st DUI () 2nd DUI () 3rd DUI () 4th DUI

DEPUTY CLERK _____

DATE 6/8/23

EXHIBIT #3
PAGE #24
585

State of Florida VS Belozerov, Aleksandr Nikolay Case Number 18012259CF10A

ORDER ASSESSING CHARGES/COSTS/FEEES

The defendant is hereby ordered to pay the following sums if checked:

FINES

| | | | | |
|--------------------------|---------|---------------------------------|----------|-------------|
| <input type="checkbox"/> | (Count) | Fine Assessed | \$ _____ | 775.083(1) |
| <input type="checkbox"/> | (Count) | Surcharge Assessed | \$ _____ | 817.568(12) |
| <input type="checkbox"/> | (Count) | 5% Surcharge (if fine assessed) | \$ _____ | 938.04 |

MANDATORY COSTS

| | | | | |
|-------------------------------------|--------------|--|-------|---------------|
| <input checked="" type="checkbox"/> | (\$225/Case) | Local Criminal Justice (Trust Fund) | _____ | 938.05(1)(a) |
| <input checked="" type="checkbox"/> | (\$50/Case) | Crimes Compensation Trust Fund (VC) | _____ | 938.03(1) |
| <input checked="" type="checkbox"/> | (\$2/Count) | Local Law Enforcement EDU (\$5 Assessment) | _____ | 938.15 |
| <input checked="" type="checkbox"/> | (\$3/Count) | Add 1 Court Cost Clearing Trust (\$5 Assessment) | _____ | 938.01(1) |
| <input checked="" type="checkbox"/> | (\$50/Count) | Crime Prevention (if fine assessed)(SN1) | _____ | 775.083(2) |
| <input checked="" type="checkbox"/> | (\$100/Case) | Cost of Prosecution | _____ | 938.27(8) |
| <input checked="" type="checkbox"/> | (\$20/Count) | Crime Stoppers Trust Fund (if fine assessed)(CSTF) | _____ | 938.06(1) |
| <input checked="" type="checkbox"/> | (\$2/Count) | Teen Court (T.C.) | _____ | 938.19(2) |
| <input checked="" type="checkbox"/> | (\$65/Count) | Add 1 Costs (BOCC) Programs (AC) | _____ | 939.185(1)(a) |

SPECIFIC OFFENSE/REQUIRED COSTS

| | | | | |
|--------------------------|----------------|--|-------|---------------|
| <input type="checkbox"/> | (\$50/Case) | Public Defender Application Fee | _____ | 27.52(1)(b) |
| <input type="checkbox"/> | (\$100/Case) | Public Defender Assistance (PD fee imposed) | _____ | 938.29(1)(a) |
| <input type="checkbox"/> | Pay \$ _____ | (additional Public Defender Fee as ordered by the court) | _____ | 938.29(1)(a) |
| <input type="checkbox"/> | _____ | PD Fee Converted to Civil Lien | _____ | 938.29(2)(b) |
| <input type="checkbox"/> | (\$100/Count) | FDLE Operating Trust Fund (OTF) | _____ | 938.055 |
| <input type="checkbox"/> | (\$201/Count) | Domestic Violence Surcharge (DVC) | _____ | 938.08 |
| <input type="checkbox"/> | (\$151/Count) | Rape Crisis Trust Fund (RCP) | _____ | 938.085 |
| <input type="checkbox"/> | (\$151/Count) | Crimes Against Minor (CAM) | _____ | 938.10(1) |
| <input type="checkbox"/> | (\$____/Count) | Alcohol & Other Drug Abuse Programs | _____ | 938.21/938.23 |
| <input type="checkbox"/> | (\$5000/Count) | Commit Prostitution (Cir Crt Adm) | _____ | 796.07(6) |

MISDEMEANORS

| | | | | |
|--------------------------|--------------|---|-------|---------------|
| <input type="checkbox"/> | (\$60/Case) | Add 1 Court Costs/Misd/Crim Traf | _____ | 938.05(1)(b) |
| <input type="checkbox"/> | (\$10/Case) | Article V Assessment | _____ | 318.18(19) |
| <input type="checkbox"/> | (\$30/Count) | Court Facilities Fund (CFF) | _____ | 318.18(13)(a) |
| <input type="checkbox"/> | (\$20/Count) | Crime Prevention (if fine assessed) (SN1) | _____ | 775.083(2) |
| <input type="checkbox"/> | (\$65/Count) | DOH Admin. Trust Fund | _____ | 318.18(20) |
| <input type="checkbox"/> | (\$26/Count) | Court Costs (CC) | _____ | AOVI-02-D-3 |

DUI

| | | | | |
|--------------------------|---------------|--|----------|---------------|
| <input type="checkbox"/> | (Count) | Fine Assessed | \$ _____ | Varies |
| <input type="checkbox"/> | (Count) | 5% Surcharge (if fine assessed) | \$ _____ | 938.04 |
| <input type="checkbox"/> | (\$65/Count) | Add 1 Costs (BOCC) Programs (AC) | _____ | 939.185(1)(a) |
| <input type="checkbox"/> | (\$60/Case) | Add 1 Court Costs/Misd/Crim Traf(CJC) | _____ | 938.05(1)(b) |
| <input type="checkbox"/> | (\$26/Count) | Court Costs (CC) | _____ | AOVI-02-D-3 |
| <input type="checkbox"/> | (\$15/Count) | County Alcohol & Other Drug Abuse Trust Fund (CDC) | _____ | 938.13(1)(a) |
| <input type="checkbox"/> | (\$20/Count) | Crime Prevention (if fine assessed) (SN1) | _____ | 775.083(2) |
| <input type="checkbox"/> | (\$50/Case) | Crimes Compensation Trust Fund (VC) | _____ | 938.03(1) |
| <input type="checkbox"/> | (\$135/Count) | Emergency Medical Services Trust Fund (EMTF) | _____ | 938.07 |

OTHER

| | | |
|--------------------------|---|-------|
| <input type="checkbox"/> | Waive All Court Costs | _____ |
| <input type="checkbox"/> | Pay Balance of Previously Imposed Costs | _____ |
| <input type="checkbox"/> | Balance of Court Costs/Fees Converted to Civil Lien | _____ |
| <input type="checkbox"/> | Extradition Costs | _____ |
| <input type="checkbox"/> | Defendant may do community service hours @ \$10/hour in lieu of court costs | _____ |
| <input type="checkbox"/> | Other | _____ |

JUDGE

DEPUTY CLERK

DATE

6/8/23

EXHIBIT # 3
PAGE # 25

STATE OF FLORIDA

IN THE SEVENTEENTH JUDICIAL
CIRCUIT COURT, IN AND FOR
BROWARD COUNTY

vs
Belozerov, Aleksandr

Defendant

CASE NUMBER 18012259CTCA

DC NUMBER _____

Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 6/8/23
US

Local Jurisdiction Identification Number: _____

- ORDER OF PROBATION
- DRUG OFFENDER PROBATION
- COMMUNITY CONTROL
- COMMUNITY CONTROL/PROBATION
- COMMUNITY CONTROL/DRUG OFFENDER PROBATION
- DRUG OFFENDER PROBATION/PROBATION
- SEX OFFENDER PROBATION
- COMMUNITY CONTROL/SEX OFFENDER PROBATION
- MENTAL HEALTH PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- been found guilty by jury verdict of
- entered a plea of nolo contendere to
- been found guilty by the court trying the case without a jury of

Count 1 Failure to Return Hired Vehicles Count _____

Count 2 Grand Theft Motor Vehicle(s) Count _____

Count _____ Count _____

Count _____ Count _____

SECTION 1: JUDGMENT OF GUILT

The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation, Community Control Drug Offender Probation Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation, Community Control Drug Offender Probation Sex Offender Probation for a period of 18 months under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Probation, Community Control Drug Offender Probation Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

or
 confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation, Community Control Drug Offender Probation Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

or
 confined in the County Jail for a term of _____ with credit for _____ jail time, as a special condition of supervision.

Defendant: Belozerov, Aleksandr

Case # 18012259CF10A

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of \$ waive 9 months per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in Broward County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at _____.

SPECIAL CONDITIONS

- Probation to automatically terminate at 9 months if no VOP and restitution paid in full.
- _____
- _____
- _____
- _____
- _____

Defendant: Belozera, Aleksandr

Case # 18012259CF10A

SPECIAL CONDITIONS

- 1. You must undergo a Drug and Alcohol Drug Alcohol Mental Health Psycho-sexual evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.

Additional Instructions Ordered: _____

- 2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:

NAME: Hertz Rental TOTAL AMOUNT: \$ 1869

Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several:

minimum monthly \$109.94

NAME: _____ TOTAL AMOUNT: \$ _____

Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several:

- 3. You will be required to pay for drug testing unless exempt by the court.
- 4. You will enter the Department of Corrections Non-Secure Drug Treatment Program or other residential treatment program/Probation and Restitution Center for a period of successful completion as approved by your officer. You are to remain until you successfully complete said Program and Aftercare. You are to comply with all Rules and Regulations of the Program. You shall Shall not be confined in the county jail until placement in said program, and if you are confined in the jail, the Sheriff will transport you to said program.
- 5. You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.
- 6. You will submit to urinalysis testing on a _____ basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.
- 7. You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.
- 8. You will successfully complete _____ hours of community service at a rate of _____, at a work site approved by your officer.
Additional instructions ordered: _____
- 9. You will remain at your residence between 10 p.m. and 6 a.m. due to a curfew imposed, unless otherwise directed by the court.
Additional instructions ordered: _____
- 10. You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay for the cost of the electronic monitoring service.
- 11. You will not associate with _____ during the period of supervision.
- 12. You will have no contact (direct or indirect) with the victim or the victim's family during the period of supervision.
- 13. You will have no contact (direct or indirect) with _____ during the period of supervision.
- 14. You will maintain full time employment or attend school/vocational school full time or a combination of school/work during the term of your supervision.
- 15. You will make a good faith effort toward completing basic or functional literacy skills or a high school equivalency diploma.
- 16. You will successfully complete the Probation & Restitution Program, abiding by all rules and regulations.

Defendant: Belozerov, Aleksandr

Case # BD1259 CF 10A

- ~~(27) A prohibition against obtaining or using a post office box without the prior approval of the supervising officer.~~
- ~~(28) If there was sexual contact, a submission to, at the offender's expense, an HIV test with the results to be released to the victim and/or the victim's parent or guardian.~~
- ~~(29) Electronic monitoring when deemed necessary by the probation officer and supervisor, and ordered by the court at the recommendation of the Department of Corrections. If you are placed on electronic monitoring, you must pay the department for the cost of the electronic monitoring service.~~
- ~~(30) Effective for an offender whose crime was committed on or after July 1, 2005, and who are placed on supervision for violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, a prohibition on accessing the Internet or other computer services until a qualified practitioner in the offender's sex offender treatment program, after a risk assessment is completed, approves and implements a safety plan for the offender's accessing or using the Internet or other computer services.~~
- (31) Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:
 - Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
 - Are designated as a sexual predator pursuant to s. 775.21; or
 - Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

- (32) Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(a)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:
 - (a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.
 - (b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.
- (33) Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

- _____
- _____
- _____
- _____

Defendant:

Belozzerov, Aleksandr

Case #

18012259 CF10A

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: \$

see
JSPD

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S. Pursuant to s. 948.09, F.S., you will be assessed an amount of \$2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

- Court Costs/Fines Waived
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.
- Court Costs/Fines are not a condition of probation.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 6-8-23

NUNC PRO TUNC _____

meat Kallra

, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date:

6-8-23

Defendant

[Signature]

Instructed by:

Supervising Officer

[Signature]



MIAMI-DADE
COUNTY

JUAN FERNANDEZ-BARQUIN
CLERK OF THE COURT AND COMPTROLLER

FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

Bring You
ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

Info.Minaya@PCICC

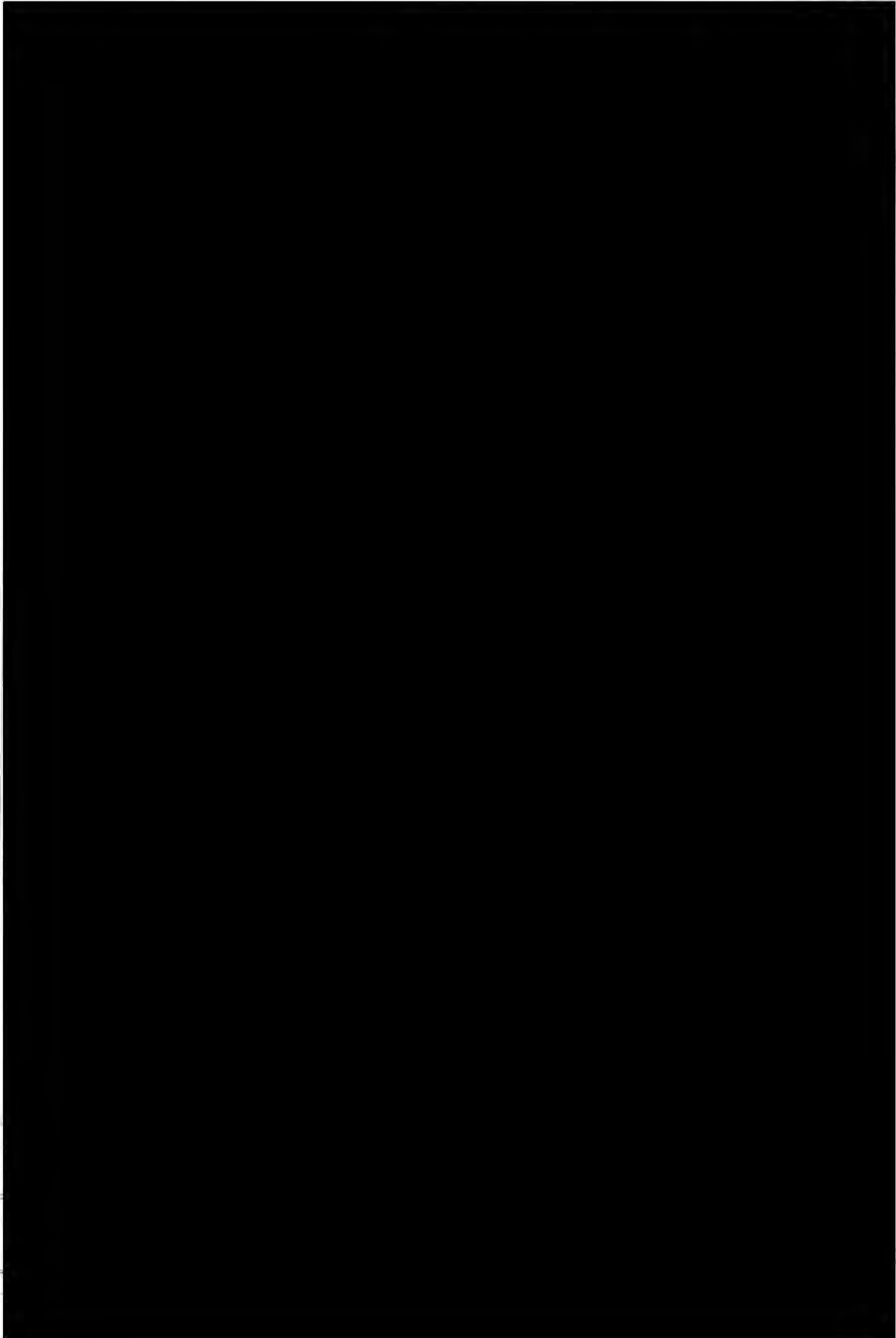
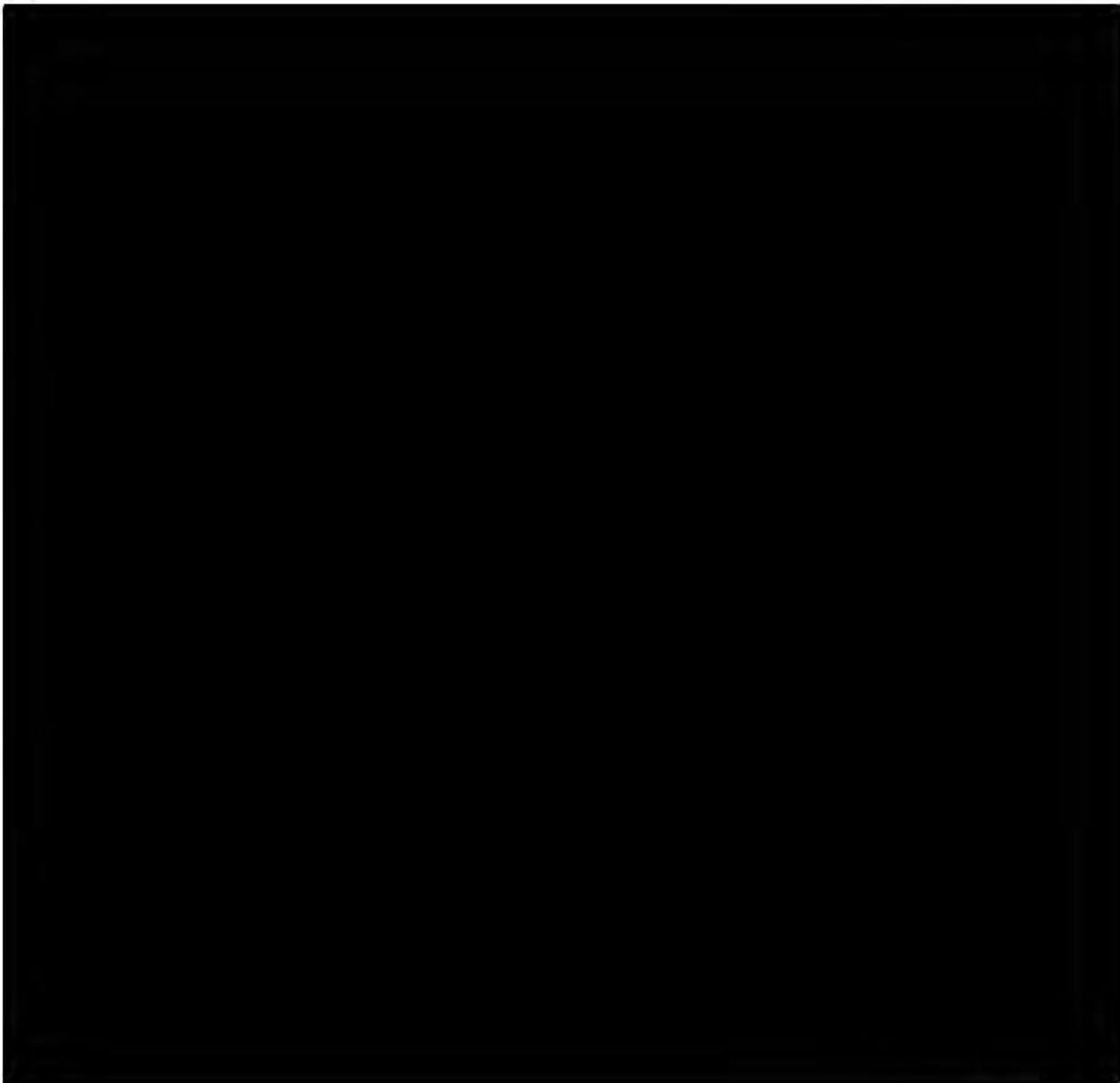




EXHIBIT #3
PAGE #32



RECEIVED

2023 JUN 14 PM 3: 52

FLORIDA GAMING
CONTROL COMMISSION

EXHIBIT # 5
PAGE # 33



RECEIVED
2003 JUN 14 PM 3:52
FLORIDA GARRIGUE
IMMIGRATION COMMISSION

EXHIBIT # 1
PAGE # 34



BROWARD
COUNTY

BRENDA D. FORMAN
CLERK OF THE CIRCUIT AND COUNTY COURT

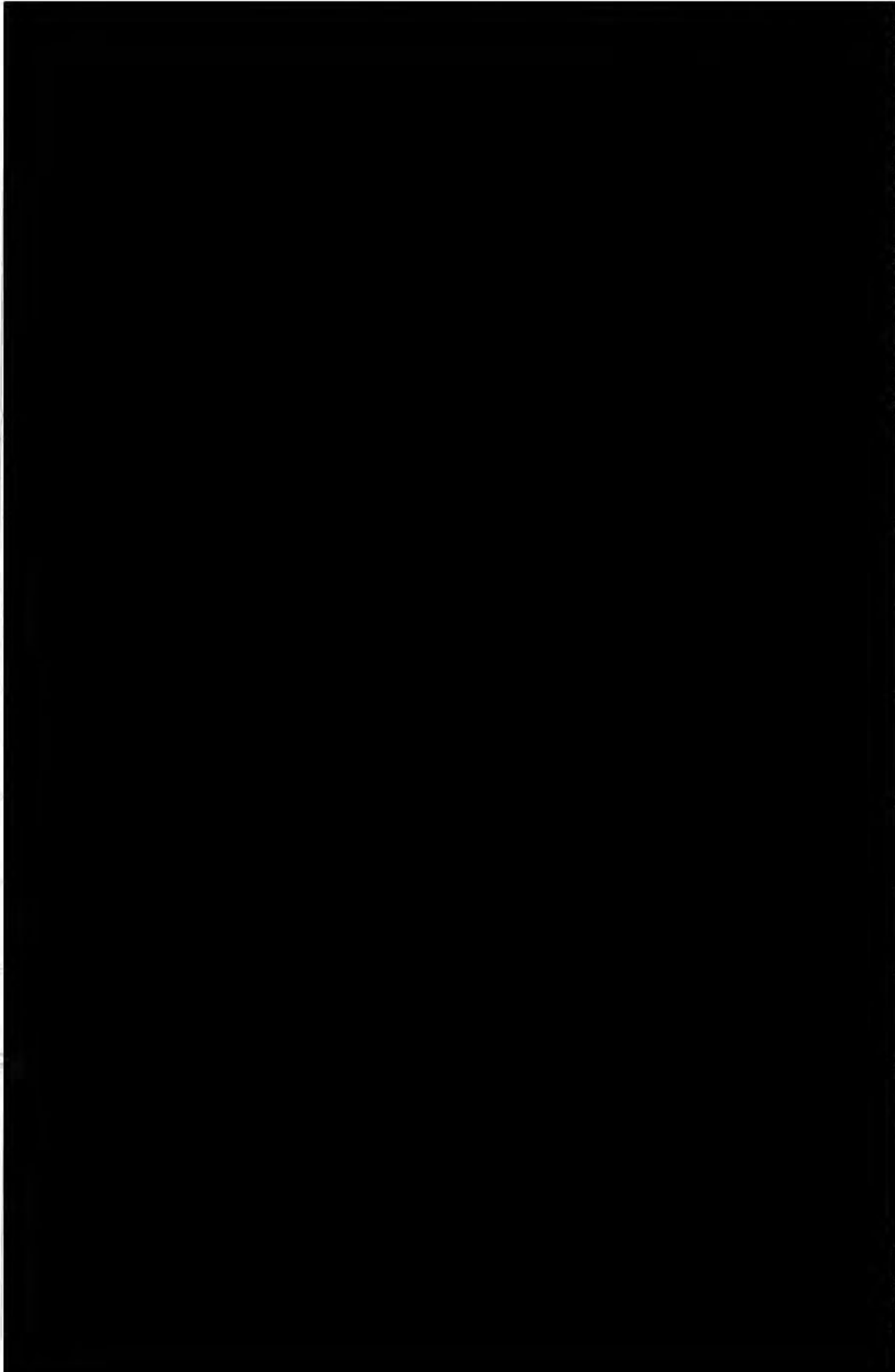


FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

Bring You

ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

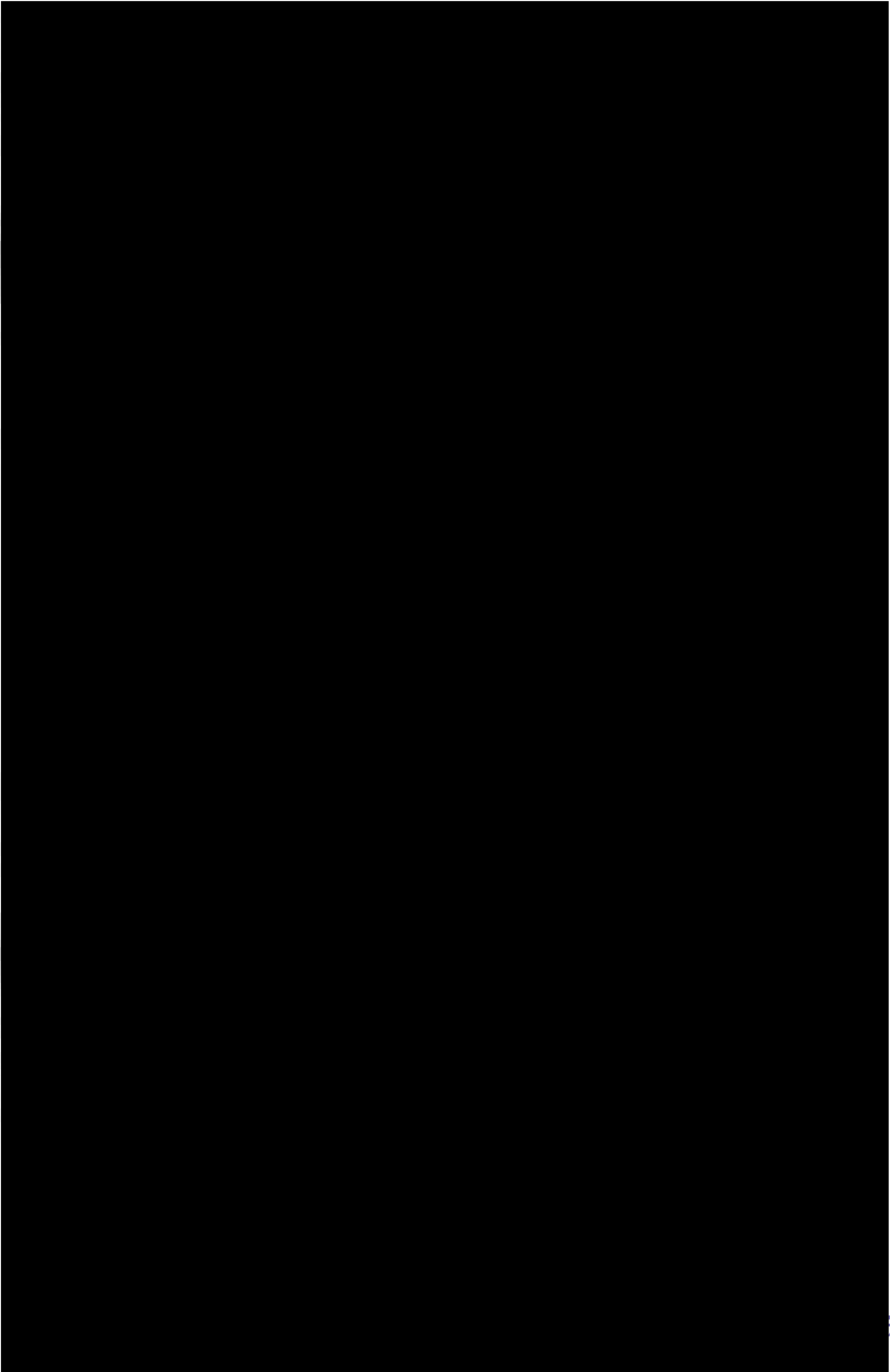
Julio.Misaya@FGCC



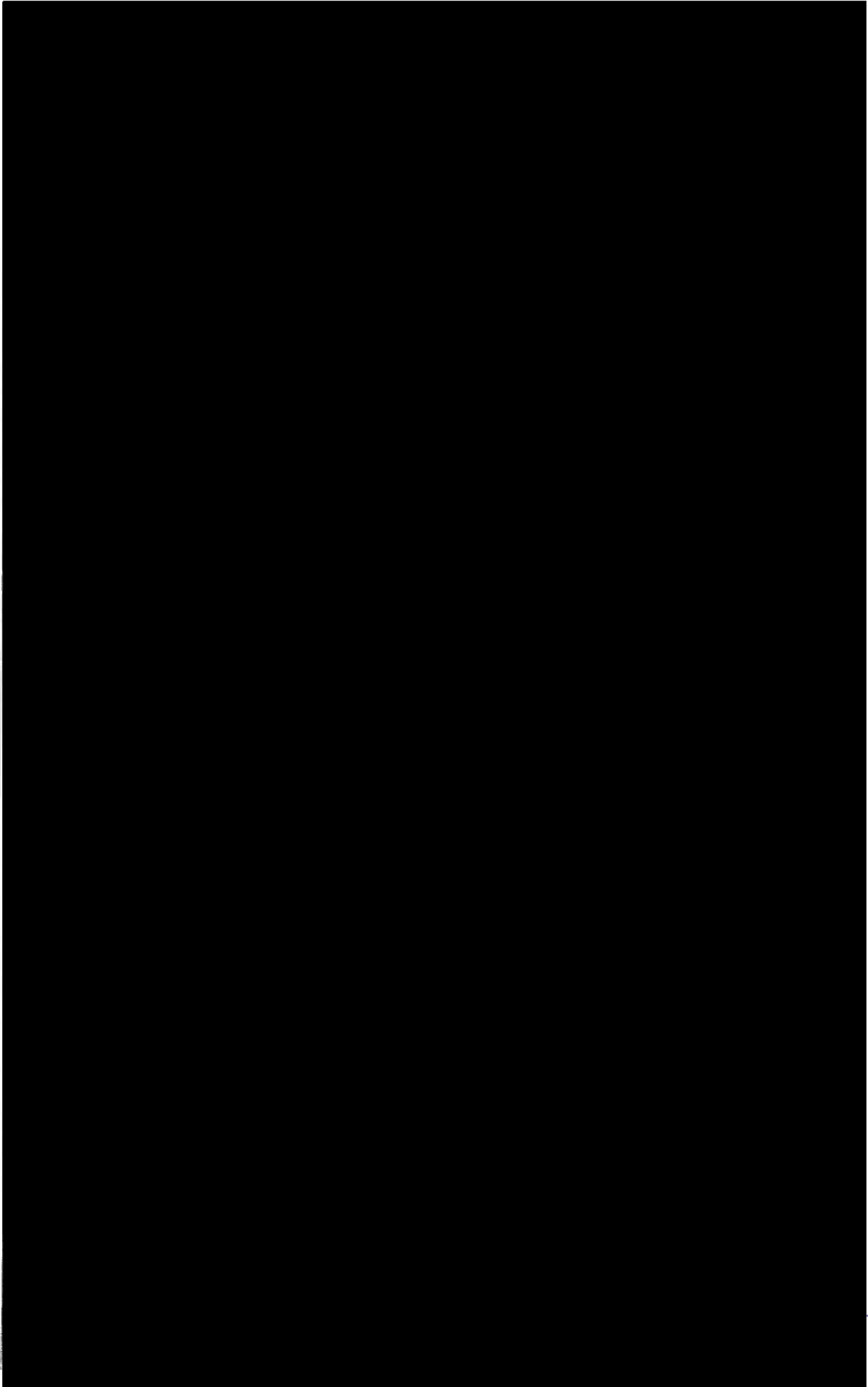
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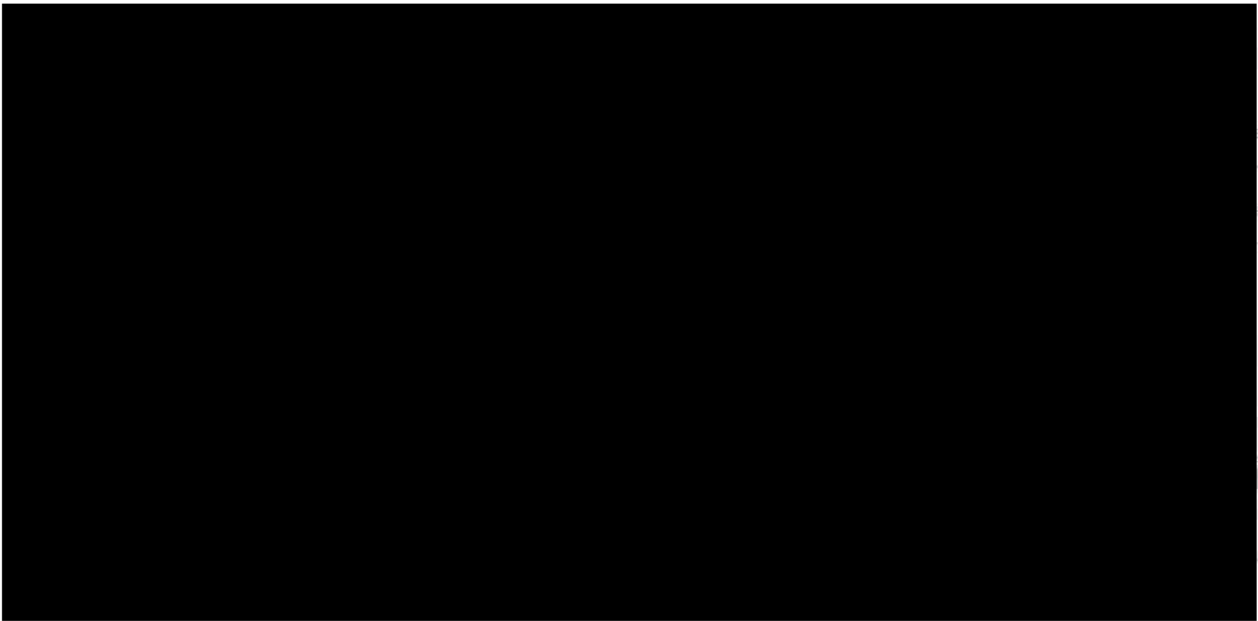
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5





State of Florida vs.

ALEXANDOR BEZOROV

Case No. 11-10333MM12A

PLEA OF GUILTY OR NO CONTEST TO CRIMINAL CHARGES IN COUNTY COURT

I have appeared on the below-listed date in County Court, Broward County, Florida, was advised by the Judge of the criminal charge(s) against me, and have entered a plea of GUILTY or NO CONTEST to the charge(s) before the Judge. I swear under oath before the Judge that I understand the following:

1. The nature of the charge(s);
2. The difference between the pleas of Guilty, No Contest, and Not Guilty, and the effect of each plea;
3. The right to a trial before a Judge or jury;
4. The right to be represented by an attorney at every stage of the proceedings, including during this plea of trial, and the right to have an attorney appointed if I cannot afford one;
5. The right to plead not guilty or to persist in that plea if it has already been made;
6. The right to have the presumption of innocence until or unless the State proves my guilt beyond a reasonable doubt;
7. The right to question, confront and cross-examine the witnesses against me at trial;
8. The right to call witnesses of my own to testify at trial and have those witnesses compelled to appear by the Court;
9. The right not to testify and remain silent and not to have that fact considered by the Judge or jury at trial;
10. The right to testify at trial, and have my testimony considered by the same standards as other witnesses;
11. The right to have a court reporter make a complete record of the proceedings;
12. The right to appeal to a higher court;
13. The minimum and maximum sentences involved listed on the form titled "Minimum and Maximum Sentences and Other Fees and Costs for Misdemeanor Charges," and the requirement to pay restitution, if applicable.

Filed in Open Court,
CLERK OF THE CIRCUIT COURT
ON AUG 25 2015
BY

By pleading Guilty or No Contest, I swear under oath before the Judge the following:

1. I wish to give up all of the above-listed rights, including my right to trial, and I wish to have the Judge now impose the sentence the Judge deems appropriate or as negotiated with the State;
2. I am not under the influence of any alcohol or drugs at this time, do not suffer from any severe mental illness, and I fully understand the Judge's instructions and what my rights are. No one has threatened, coerced, nor promised me anything to enter this plea;
3. I understand that I have the right to speak to the Judge concerning sentencing before sentencing;
4. I understand that I have the right to appeal the legality of my sentence and/or the voluntariness of my plea in writing within thirty (30) days of the date of my plea;
5. I understand that as a result of my plea here today, I may be subject to greater penalties if I am ever charged again or if I am found to be a Habitual Traffic Offender or a Habitual Misdemeanor Offender;
6. I understand that if the offense I am charged with is one for which automatic, mandatory driver's license suspension or revocation is required, that this plea will serve as a basis for such and it will be imposed by the Department of Highway Safety & Motor Vehicles and/or this Court; in addition, this plea may cause me to be declared a Habitual Traffic Offender;
7. I understand that if I am on probation, community control, parole or any other type of supervision, a plea here today may result in a violation of that supervision;
8. I understand if I am not a United States citizen, I will be subject to deportation, suffer further negative immigration consequences, and/or be denied citizenship as a result of this plea, and if I am represented by an attorney, I specifically spoke to my attorney about these consequences;
9. I understand that if the offense to which I am pleading is a sexually violent offense or a sexually motivated offense, or I have been previously convicted of such an offense, this plea will subject me to involuntary civil commitment as a sexually violent predator upon completion of my sentence;
10. I understand if I have received the services of a court appointed attorney, this Court will order a judgment/lien as per the Order titled "Judgment /Lien for Attorney's Fees and Costs" unless I request a hearing in writing within thirty (30) days;
11. I am 34 years old; the furthest that I went in school was grade College. I have read this entire form or it was read to me.

ATTORNEY

[Signature]
710350

BAR#

710350

DEFENDANT x

Sworn to, by the above-named Defendant, before me on this 25 day of August, 2015. I find this plea to be by a Defendant who appears alert, intelligent, and understands the nature of the charge(s) and the consequences of entering a plea of Guilty or No Contest, and who has hereby made an informed and voluntary waiver of rights described above, and if doing so without an attorney, waives such right, understanding the advantages of having an attorney and disadvantages of self-representation described on the reverse side of this form.

[Signature]

WAIVER OF RIGHT TO PRIVATE AND/OR COURT APPOINTED ATTORNEY

In addition to what is indicated on the reverse side of this form, I swear under oath before the Judge that I understand the following:

I have been informed that I have been charged with a criminal offense(s) punishable by a potential jail sentence and/or probation, and that this sentence can be imposed upon me if I enter a plea of Guilty or No Contest, proceed to trial and am found guilty, or if I violate any probation which may be imposed. As indicated on the reverse side of this form, I wish to plead GUILTY or NO CONTEST and for the Judge to impose the sentence the Judge deems appropriate or as negotiated with the State WITHOUT THE REPRESENTATION OR ASSISTANCE OF AN ATTORNEY (ALSO KNOWN AS A LAWYER).

I understand that I do have the right to an attorney at every stage of the proceedings. I understand that it may be in my best interest and to my advantage to be represented by an attorney, and I may be at a severe disadvantage if I proceed without an attorney. I understand the ADVANTAGES of having an attorney represent me include, but are not limited to, the following:

1. Prior to trial, an attorney, using legal knowledge and experience, may:
 - a. Take measures to favorably affect bail or pre-trial release possibilities;
 - b. Advise me whether entering a plea is in my best interest;
 - c. Assist me in obtaining different terms of the sentence that I am offered;
 - d. Obtain information about the case through skillful use of discovery devices;
 - e. Uncover potential violations of constitutional, statutory and/or procedural rights and take effective measures to address them, including moving for suppression of some or all of the evidence in the case, or for dismissal or reduction of the charges;
 - f. Ensure compliance with speedy trial and statute of limitations provisions; and
 - g. Identify and secure favorable evidence or impeachment evidence to be introduced later at trial on my behalf.
2. At trial, an attorney, using legal knowledge and experience of the entire process, may:
 - a. Argue for my side during the entire trial and present the best legal argument for my defense;
 - b. Enhance the jury selection process on my behalf;
 - c. Call witnesses for me, question the witnesses against me, and present evidence on my behalf;
 - d. Advise me on whether or not I should testify, the consequences of that decision, and what I have a right not to say;
 - e. Based on the attorney's knowledge of the rules of evidence, know what evidence can or cannot come into my trial and make the proper objections accordingly;
 - f. Provide assistance in ensuring that the jury is given complete and accurate jury instructions by the Court, may make effective closing arguments on my behalf, and may prevent improper arguments by the prosecutors; and
 - g. Ensure that any errors committed during trial are properly preserved for appellate review later by a higher court.
3. After trial, if I am convicted, an attorney, using legal knowledge and experience may:
 - a. Assist in preparing for sentencing, ensuring that favorable facts are brought to the attention of the Court, that the Court is advised of all legally available favorable dispositions, and that the sentence is lawfully imposed; and
 - b. Assist in filing an appeal and in seeking release on bail pending the appeal.

I understand that it is almost always unwise to represent myself in Court and the DISADVANTAGES AND DANGERS of NOT having an attorney represent me include, but are not limited to the following:

1. I will not get any special treatment from the Court just because I am representing myself;
2. I will not be entitled to a continuance simply because I wish to represent myself;
3. If I am in custody, I will be limited to the legal resources that are available to me while in custody. I will not be entitled to any additional library privileges just because I am representing myself. An attorney has fewer restrictions in researching my defense;
4. Although I am not required to possess the legal knowledge or skills of an attorney to represent myself, I will be required to abide by the rules of criminal law and courtroom procedure. These laws took attorneys years to learn and abide by. If I demonstrate an unwillingness to abide by these rules, the judge may terminate my self-representation;
5. If I am disruptive in the courtroom, the judge can terminate my self-representation and remove me from the courtroom, in which case the trial would continue without my presence;
6. My access to the prosecutor who is prosecuting me, who I understand does not represent me, will be severely reduced as compared to an attorney who could easily contact the prosecutor;
7. The prosecutor will not go easier on me or give me any special treatment because I am representing myself, and will present the case against me as if I was an experienced attorney;
8. If I am convicted, I cannot claim on appeal that my own lack of legal knowledge or skill constitutes a basis for a new trial. In other words, I cannot claim that I received ineffective assistance of counsel based on the fact that I decided to represent myself.

Having been advised of my right to an attorney, the advantages of having an attorney, and the disadvantages and dangers of proceeding without an attorney, I still wish to waive my right to an attorney and do not want to hire or have an attorney appointed to represent me.

DATE: _____

DEFENDANT x _____

EXHIBIT # 3
PAGE # 470
601

State of Florida VS BELOZEROV, ALEKSANDR NIKOLAY

Cash Bond / Surety 500/100/1101 Amount \$ 1000/500 Estreated _____ Vacated _____ Return to Dep. _____
Cash Bond / Surety _____ Amount \$ _____ Estreated _____ Vacated _____ Return to Dep. _____

- Magistrate
- Arraignment
- Change of Plea
- Trial by Jury
- Trial by Court
- First VOP
- Final VOP
- Admits / Denies Allegations
- Guilty
- No Contest
- Convicted by Jury
- Convicted by Court
- Acquitted by Jury
- Acquitted by Court
- Adj. Guilty
- Adj. Withheld III
- Dismissed
- Nolle Prosequi I, II
- No Information

CHARGES: 001 DUI/BLOOD ALCH ABOVE 0.20

002 DRIVING UNDER THE INFLUENCE

003 RECKLESS DRIVING

SENTENCE: COUNT(S): III Reporting / Administrative Probation: 8 months with special conditions:

- DUI School Level I
- License suspended _____ days immobilization by: _____
- Random breath / urine analysis at defendant's expense
- Pay all outstanding fines/costs on Driver's License Record
- Time served _____ days on Count (s)
- _____ days Broward County Jail with credit for _____ days time served
- No contact directly or indirectly with victim(s) or victim's family or others listed below
- All fines / costs are separate and apart from probation
- All fines/ costs imposed are a condition of probation on Count(s): 1 2 3 4 5 6
- All special conditions of probation must be met by the 7th month of probation
- Other: _____
- 50 hours community service
- No alcohol or intoxicants while on probation
- AA / NA meeting(s) per week _____
- DDS _____ hours
- Shoplifters Program
- PTE
- May work off fine/costs at \$ _____ per hour
- May buy out community service at \$ _____ per hour
- Evaluation, treatment and therapy, if necessary
- Anger Management
- Interlock Device
- SSATE
- \$ 75 COS waived / imposed
- Partial payments accepted
- Fines / cost converted to civil lien / judgment
- Probation: Revoked - Terminated - Reinstated _____
- Early termination of probation upon completion of all special conditions

FINES/COSTS ASSESSED: PER CASE: () \$50 VC () \$50 PD APP FEE () \$50 SA FEE () \$40 CONTEST FEE () \$10 MO FILING () \$1000 FATL () \$500 SBINJ

| Count | Fine | CC | 5% | CJC | SN1 \$20 | AC \$65 | CSTF \$20 | CFF \$30 | OTF \$100 | CDC \$15 | EMTF | TC \$2 | DE \$5 | ACC R.S. \$3 | ACJE \$2.50 | Art V \$10 | Adm Fee | ACDH \$65 | DVC \$201 | RCP \$151 | CAM \$151 | T |
|------------|------------|-----------|-----------|-------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|----------|----------|-------------------------------------|--------|-------------------------------------|-------------|------------|---------|-------------------------------------|-----------|-----------|-----------|------------|
| <u>III</u> | <u>500</u> | <u>26</u> | <u>25</u> | <u>(60)</u> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | | <u>5</u> | <input checked="" type="checkbox"/> | | <input checked="" type="checkbox"/> | | | | <input checked="" type="checkbox"/> | | | | <u>896</u> |
| | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | |

EXHIBIT # 41

INVESTIGATIVE COSTS: Crime Lab Expert Witnesses Supp. Cost Prosecution Toxicology Law Enforcement
TOTAL AMOUNT DUE OF \$ 896 MUST BE PAID BY DEFER DATE: 3.25.16

Payment methods: SEE BACK for METHODS of PAYMENTS

JUDGE: Max [Signature] DEPUTY CLERK: [Signature]

COUNTY COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

* Corrected Dispo
MK
MD

DATE 10.7.15 CASE NO 11010333 MM10A ARREST NO _____ BCCN NO _____

State of Florida vs Belozarov, Aleksandr Nikolay
Cash Bond/Surety 5000 Amount \$ 1000/500 Estreated _____ Vacated _____ Return to Dep
Cash Bond/Surety _____ Amount \$ _____ Estreated _____ Vacated _____ Return to Dep

- Magistrate First VOP Convicted by Jury _____ Adj. Guilty _____
- Arraignment Final VOP Convicted by Court _____ Adj. Withheld III
- Change of Plea Admits/Denies Allegations Acq. by Jury _____ Dismissed _____
- Trial by Jury Guilty Acq. by Court _____ Nolle Procl -II
- Trial by Court No Contest Directed Verdict _____ No Info _____
- Judgment of Acquittal _____

CHARGES: 1. DUI Blood Alcohol Above 0.20
2. DUI
3. Reckless Driving

SENTENCE: III Reporting/Administrative Probation: 8 months with special conditions:

- DUI School Level ONE
- License suspended _____
- Days immobilization by: _____
- Random breath/urine analysis at defendant's expense
- May work off fine/costs at \$ _____ per hour
- May buy out community service at \$ _____ per hour
- Hours of community service 50 hrs.
- No alcohol or intoxicants while on probation
- AA/NA meeting(s) per week _____ Evaluation, treatment and therapy, if necessary
- Pay all outstanding fine/costs on Driver's License Record
- Time served _____ days on Count(s) _____
- Days Broward County Jail with credit for _____ days time served
- No contact directly/indirectly with victim(s) or victim(s) family or others listed below
- All fines/costs are separate and apart from probation
- All fines/costs imposed are a condition of probation on Count(s): _____
- All special conditions of probation must be met by the 7th month of probation
- DHSMV is directed to revoke defendant's license for 1 year pursuant to F.S 322.055
- Anger Management _____
- Interlock Device _____
- Justices for life PTE MADD/Victim Impact Panel Shoplifter's Program _____
- 15 COS waived/imposed
- Partial payments accepted
- Fines/cost converted to civil lien/judgment
- Probation: Revoked-Terminated-Reinstated _____
- Early termination of probation upon completion of all conditions
- DPS _____ hours
- Other: none pro tung 8-25-15

** _____ **

JUDGE: Mary Ann Robinson

DEPUTY CLERK: DW

Corrected Disput

State of Florida VS Belozzerov, Aleksandr Case Number 11010333 MAF 10A

ORDER ASSESSING CHARGES/COSTS/FEE

The defendant is hereby ordered to pay the following sums if checked:

FINES

(Fine) I 500, II _____, III _____, IV _____, V _____ F.S. 775.083
 VI _____, VII _____, VIII _____

(5% Surcharge) (if fine assessed) I 25, II _____, III _____, IV _____ F.S. 938.04
 V _____, VI _____, VII _____, VIII _____

MANDATORY FEES

Count I 370, Count II _____, Count III _____, Count IV _____, Count V _____,
 Count VI _____, Count VII _____, Count VIII _____

- Admin Order VI-02-D-3 (Court Costs) Count(s) I 210 II. _____ III. _____ IV. _____ V. _____
- Sixty dollars (\$60/case) (Criminal Justice Cost) Add'l Cost _____ F.S. 938.05 (1)
- Fifty dollars (\$50/case) (Crimes Compensation Trust Fund aka VC) _____ F.S. 938.03
- Twenty dollars (\$20/count) (Safe Neighborhood Assessment) _____ F.S. 775.083
- Sixty five dollars (\$65/count) (Court System/legal aid) (BC Ord. Sec. 10-3) _____ F.S. 939.185
- Twenty dollars (\$20/count) (Crime Stoppers Program) _____ F.S. 938.06
- Fifty dollar (\$50/case) (Cost of Prosecution) per event _____ F.S. 938.27 (8)
- Two dollars (\$2/count) (Teen Court /County Ord. Sec. 10-5) _____ F.S. 938.19
- Thirty dollars (\$30/count) (Court Facilities Fund) _____ F.S. 318.18 (13)
- (\$2.50/count) (Additional Criminal Justice Education) (all TI's) _____ F.S. 318.18 (11) (c)
- Five dollars (\$5/count) (Drivers Education Trust Fund) (all TI's) _____ F.S. 318.18 (11)
- (\$12.50/count) (Administrative Fee) (TI's moving/non moving) _____ F.S. 318.18 (18)
- Ten dollars (\$10/count) Article V (moving/non moving charges under 316, 320, 322) _____

SPECIFIC OFFENSE/REQUIRED

- (\$201/count) (Domestic Violence Surcharge) _____ F.S. 938.08
- (\$151/count) (Rape Crisis Trust) _____ F.S. 938.085
- (\$151/count) (Child Advocacy Trust) _____ F.S. 938.10 (1)
- (\$100/count) (FDLE Operation Trust Fund) _____ F.S. 938.055
- (\$15/count) (County Drug) _____ F.S. 938.13 (1) (a)
- (\$135/count) (DUI and BUI) (EMTF) _____ F.S. 938.07
- (\$5/count) (Reckless Driving) (EMTF) _____ F.S. 316.192 (4)
- (\$5/count) (Leaving Scene) (EMTF) _____ F.S. 316.061 (1)
- (\$3/count) (Radio System Fee) (NOV & 316 viol) _____ F.S. 318.18 (17)
- (\$500/case) (Serious Bodily Injury) _____ F.S. 318.14 (5)
- (\$1000/case) (Fatality/ Serious Bodily Injury) _____ F.S. 318.14 (5)
- (\$40/case) (Contest Fee) _____ F.S. 34.045 (1) (b)
- (\$10/case) (1) (c) (Municipal Ordinance Filing Fee) _____ F.S. 34.045 (1) (c)
- (\$65/count) (ACDR/DGN) (Racing on Highway/Reckless Driving) _____ F.S. 318.18 (5) (c)
- Fifty dollars (\$50/case) (Public Defender Application) per app _____ F.S. 27.52 (1) (b)
- Fifty dollars (\$50/case) (Public Defender Assistance Fee) per event _____ F.S. 938.29 (1)
- A sum of (\$5000.00/count) (Prostitution Civil Penalty) _____ F.S. 796.07 (6)

OTHER

- Late fees \$ _____
- Waived (court costs/fines/fees) _____
- Balance of Court Costs/Fees Converted to Civil Lien _____
- Restitution \$ _____

DISCRETIONARY

Pay investigative costs: Crime Lab Expert Witness Toxicology Law Enforcement Supp. COP

TOTAL AMOUNT DUE: \$ 896 MUST BE PAID BY: 3-25-16

JUDGE: [Signature] DEPUTY CLERK: DW

PAYMENT METHODS: Please see back for **METHODS OF PAYMENTS**

Revised 06/2015

EXHIBIT # 3
PAGE # 43

COUNTY COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

DATE 8-29-16 CASE NO 11010333 MAYOR ARREST NO _____ BCCN NO _____

State of Florida vs. Belozerov, Alek Sender
Cash Bond/Surety Amount \$ _____ Estreated _____ Vacated _____ Return to Dep
Cash Bond/Surety Amount \$ _____ Estreated _____ Vacated _____ Return to Dep

- Magistrate First VOP Convicted by Jury Adj. Guilty
- Arraignment Final VOP Convicted by Court Adj. Withheld
- Change of Plea Admits/Denies Allegations Acq. by Jury Dismissed
- Trial by Jury Guilty Acq. by Court Nolle Pros
- Trial by Court No Contest Directed Verdict No Info
- Judgment of Acquittal

CHARGES: 1-DUI Blood Alcohol Above 0.20

SENTENCE:

- COUNT(S) _____ Reporting/Administrative Probation: _____ months with special conditions:
- DUI School Level _____
- License suspended _____
- Days immobilization by: _____
- Random breath/urine analysis at defendant's expense
- May work off fine/costs at \$ _____ per hour
- May buy out community service at \$ _____ per hour
- Hours of community service _____
- No alcohol or intoxicants while on probation
- AA/NA meeting(s) per week _____ Evaluation, treatment and therapy, if necessary
- Pay all outstanding fine/costs on Driver's License Record
- Time served _____ days on Count(s) _____
- Days Broward County Jail with credit for _____ days time served
- No contact directly/indirectly with victim(s) or victim(s) family or others listed below
- All fines/costs are separate and apart from probation
- All fines/costs imposed are a condition of probation on Count(s): _____
- All special conditions of probation must be met by the _____ month of probation
- DHSMV is directed to revoke defendant's license for 1 year pursuant to F.S 322.055
- Anger Management _____
- Interlock Device _____
- Justice for life PTE MADD/Victim Impact Panel Shoplifter's Program _____
- \$ _____ COS waived/imposed
- Partial payments accepted
- Fines/cost converted to civil lien/judgment
- Probation: Revoked-Terminated-Reinstated
- Early termination of probation upon completion of all conditions
- DDS _____ hours
- Other: Dismiss Warrant

**

**

JUDGE: Mary Gadd
Robinson

DEPUTY CLERK: _____

EXHIBIT # _____
PAGE # 44 06/2015

licenses



Licensee Search

Page 1 of 1. 1 match.

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|-----------------|-------|
| 1343835 | Belozarov | Aleksandr | | [REDACTED] | None | [REDACTED] | FORT LAUDERDALE | FL |

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

RECEIVED
2023 JUN 14 PM 3: 51
FLORIDA GAMING
CONTROL COMMISSION

EXHIBIT # 4
PAGE # 1

FAQ | Help | Sign Out

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > View License Event Log

| | | | | |
|--------------------------|------------|--|-------------|-------------------|
| Fed Tax # [REDACTED] | Lic Type | 1012 - Cardroom Employee Occupational | Expires On | 06/30/2023 |
| File # 3330 | Name | BELOZEROV, ALEKSANDR NIKOLAY | Extended To | |
| License # 7905982 | Rank | CEMP - Cardroom Employee Occupational | Renewed On | |
| Entity # 7905982 | Lic Status | Lic Expired | | |

| Updated | By | Description |
|---------------------|------------|---|
| 07/01/2023 01:30:02 | batch | Rank and/or status changed |
| 05/01/2023 01:22:54 | batch | Renewal # 72104 opened |
| 11/29/2021 11:52:02 | charris | Alert ENFO changed |
| 03/29/2021 15:33:29 | jdye1 | Upgrade 90-day Temp to 3yr Permanent # 61924 approved |
| 03/29/2021 15:33:29 | tlaird | Rank and/or status changed, expiry date assigned |
| 03/29/2021 15:27:06 | jdye1 | Alert ENFO added |
| 03/25/2021 14:13:10 | jdye1 | Upgrade 90-day Temp to 3yr Permanent # 61924 opened |
| 03/25/2021 14:12:24 | jdye1 | Close for Upgrade # 61923 approved |
| 03/25/2021 14:12:24 | jdye1 | Rank and/or status changed |
| 03/25/2021 14:12:21 | jdye1 | Close for Upgrade # 61923 opened |
| 03/25/2021 14:11:58 | jdye1 | Occupational Codes CHIP deleted |
| 03/25/2021 14:11:58 | jdye1 | Occupational Codes CHIP changed |
| 03/25/2021 14:11:54 | jdye1 | Industry Identifiers HRNS deleted |
| 03/25/2021 14:11:54 | jdye1 | Industry Identifiers HRNS changed |
| 03/24/2021 11:21:40 | ebeguirist | 90-day Temporary # 61916 approved |
| 03/24/2021 11:21:40 | ebeguirist | Rank and/or status changed, expiry date assigned |
| 03/24/2021 11:21:40 | ebeguirist | Association/Location Codes 274 added |
| 03/24/2021 11:21:40 | ebeguirist | Association/Location Codes 430 deleted |
| 03/24/2021 11:21:40 | ebeguirist | Association/Location Codes 430 changed |
| 03/24/2021 11:21:40 | ebeguirist | Industry Identifiers JLAI added |
| 03/24/2021 11:21:40 | ebeguirist | Occupational Codes DEAL added |
| 03/24/2021 11:08:18 | ebeguirist | 90-day Temporary # 61916 opened |
| 08/12/2019 16:58:17 | mharcus | Industry Identifiers GHND deleted |
| 07/01/2019 06:07:35 | batch | Renewal # 47995 expired |
| 07/01/2019 06:07:35 | batch | One or more control dates changed |
| 12/17/2018 17:14:13 | mharcus | Occupational Codes DEAL deleted |
| 07/01/2018 09:00:14 | batch | Rank and/or status changed |
| 05/02/2018 04:07:56 | batch | Renewal # 47995 opened |
| 03/07/2016 17:01:49 | RF156228 | Renewal # 34336 expired |
| 06/19/2015 08:08:52 | kechelmeye | Initial Cardroom Emp Occupational Licens # 37396 approved |

EXHIBIT #4
PAGE # 2
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| | | |
|---------------------|------------|---|
| 06/19/2015 08:08:52 | kechelmeye | One or more control dates changed |
| 06/19/2015 08:05:23 | kechelmeye | Initial Cardroom Emp Occupational Licens # 37396 opened |
| 05/01/2015 04:04:51 | batch | Renewal # 34336 opened |
| 08/24/2012 14:13:00 | cscott | Upgrade 90-day Temp to 3yr Permanent # 26330 cancelled |
| 08/06/2012 08:13:18 | mnewman | Upgrade 90-day Temp to 3yr Permanent # 28048 approved |
| 08/06/2012 08:13:18 | mnewman | Rank and/or status changed, expiry date assigned |
| 08/06/2012 08:05:09 | mnewman | Upgrade 90-day Temp to 3yr Permanent # 28048 opened |
| 08/06/2012 08:03:56 | mnewman | Close for Upgrade # 28047 approved |
| 08/06/2012 08:03:56 | mnewman | Certain Changes |
| 08/06/2012 08:03:49 | mnewman | Close for Upgrade # 28047 opened |
| 06/08/2012 14:55:43 | cscott | Upgrade 90-day Temp to 3yr Permanent # 26330 opened |
| 06/08/2012 14:55:14 | cscott | Close for Upgrade # 26329 approved |
| 06/08/2012 14:55:14 | cscott | Rank and/or status changed |
| 06/08/2012 14:55:12 | cscott | Close for Upgrade # 26329 opened |
| 05/15/2012 11:26:03 | rgreene | 90-day Temporary # 24210 approved |
| 05/15/2012 11:26:03 | rgreene | Rank and/or status changed, expiry date assigned |
| 05/15/2012 11:21:25 | rgreene | 90-day Temporary # 24210 opened |
| 06/20/2011 15:40:08 | kechelmeye | Initial Cardroom Emp Occupational Licens # 20813 approved |
| 06/20/2011 15:40:08 | kechelmeye | One or more control dates changed |
| 06/20/2011 15:36:10 | kechelmeye | Initial Cardroom Emp Occupational Licens # 20813 opened |
| 06/23/2010 15:11:56 | cgaitan | Initial Cardroom Emp Occupational Licens # 16664 approved |
| 06/23/2010 15:11:56 | cgaitan | One or more control dates changed |
| 06/23/2010 15:07:05 | cgaitan | Initial Cardroom Emp Occupational Licens # 16664 opened |
| 06/23/2009 15:02:51 | kechelmeye | Initial Cardroom Emp Occupational Licens # 12321 approved |
| 06/23/2009 15:02:51 | kechelmeye | One or more control dates changed |
| 06/23/2009 14:56:55 | kechelmeye | Initial Cardroom Emp Occupational Licens # 12321 opened |
| 03/02/2009 13:01:05 | nlopez | Initial Cardroom Emp Occupational Licens # 10783 approved |
| 03/02/2009 13:01:05 | nlopez | Rank and/or status changed, expiry date assigned |
| 03/02/2009 13:01:05 | nlopez | Association/Location Codes 430 added |
| 03/02/2009 13:01:05 | nlopez | Association/Location Codes 154 deleted |
| 03/02/2009 13:01:05 | nlopez | Industry Identifiers HRNS added |
| 03/02/2009 13:01:05 | nlopez | Occupational Codes CHIP added |
| 03/02/2009 12:56:47 | nlopez | Certain Changes |
| 03/02/2009 12:54:27 | nlopez | Initial Cardroom Emp Occupational Licens # 10783 opened |
| 07/01/2008 04:48:38 | mchepko | Rank and/or status changed |
| 06/19/2007 21:01:29 | mharcus | Initial Cardroom Emp Occupational Licens # 5985 approved |
| 06/19/2007 21:01:29 | mharcus | One or more control dates changed |
| 06/19/2007 20:57:13 | mharcus | Initial Cardroom Emp Occupational Licens # 5985 opened |
| 11/17/2006 20:31:16 | mharcus | Initial Cardroom Emp Occupational Licens # 5049 approved |
| 11/17/2006 20:31:16 | mharcus | Rank and/or status changed, expiry date assigned |
| 11/17/2006 20:31:16 | mharcus | Occupational Codes DEAL added |
| 11/17/2006 20:31:16 | mharcus | Industry Identifiers GHND added |
| 11/17/2006 20:31:16 | mharcus | Association/Location Codes 154 added |
| 11/17/2006 20:26:52 | mharcus | Initial Cardroom Emp Occupational Licens # 5049 opened |
| 11/17/2006 20:26:52 | mharcus | License record created |



Filter

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EXHIBIT # 4
PAGE # 3

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EXHIBIT # 4
PAGE # 4
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FAQ | Help | Sign Out

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmlnaya

VR Home > License Search > License Home > View License Event Log > License Modifier History

| | | |
|--|--|------------------------------|
| Fed Tax # [REDACTED] | Lic Type 1012 - Cardroom Employee Occupational | Expires On 06/30/2023 |
| File # 3330 | Name BELOZEROV, ALEKSANDR NIKOLAY | Extended To |
| License # 7905982 | Rank CEMP - Cardroom Employee Occupational | Renewed On |
| Entity # 7905982 | Lic Status Lic Expired | |
| App # | | |
| Trans Class | Sec Class | |
| Trans Code | | |
| Action Add | | |
| Modifier Type A | Modifier Code ENFO - Enforcement Alert | |
| Effective from 03/29/2021 | Expires On | |
| Notes | Applicant must submit court documentation regarding the Broward County arrest in 03/19/2018 prior to their license renewal. | |
| Updated By Mar 29, 2021, 3:27:06 PM | | By jdye1 |

< >

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EXHIBIT #4
PAGE #5

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > Display Alerts > Maintain License Modifiers - BASE_CLONE

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **03/29/2021**
 Expires -
 Additional Info :
 Prompt
 Value **Open Case: 03/19/2018**
Applicant must submit court documentation regarding the Broward County arrest in 03/19/2018 and 11/16/2021 prior to their license renewal.
 Notes
 Updated **11/29/2021 11:52:02**
 By **charris**

Notes History
 Change
 Delete
 Save
 Cancel
 < >

Add Back

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EXHIBIT # 4
PAGE # 6

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steven Woods
Re: Jessica Michelle Thomas; Case No. 2023-040967
Date: September 28, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Jessica Michelle Thomas's ("Applicant") application for a Pari-Mutuel General Individual Occupational License. Applicant submitted a completed application for a Pari-Mutuel General Individual Occupational License on July 17, 2023; she applied for a waiver of her felony convictions. The Executive Director reviewed the file along with the waiver interview notes and declined to waive the felony convictions. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On July 17, 2023, Applicant submitted her application to the Division of Pari-Mutuel Wagering for a Pari-Mutuel General Individual Occupational License. Upon review of the completed application, it appears the Applicant was convicted of the following felonies in Florida:

- Possession With Intent to Sell, Manufacture or Deliver in 2019;
- Possession of a Controlled Substance While Armed in 2019;
- Possession of a Controlled Substance While Armed in 2019;
- Possession of a Controlled Substance While Armed in 2019;
- Possession of More than 20g of Cannabis in 2021; and
- Carrying a Concealed Firearm in 2021.

Applicant applied for a waiver for the felony convictions and a waiver interview was subsequently conducted. On August 1, 2023, Applicant spoke with an investigator for the waiver interview, and a report was drafted of that interview, which was submitted to the Executive Director of the Florida Gaming Control Commission for consideration. On August 28, 2023, the Executive Director declined to waive the felony convictions.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 550.105(5)(d), Florida Statutes, provides:

“the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

“[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

Rule 75-5.006(1), Florida Administrative Code, requires the applicant to submit Form DBPR PMW-3180, Request for Waiver, and schedule a waiver interview with the Office of Investigations. Failure to participate in a waiver interview or to disclose any pertinent information regarding criminal convictions shall result in a denial of the request for waiver.

Rule 75-5.006(2), Florida Administrative Code, provides that “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions were not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

| | | | | | | | | | |
|---|---|---|----------------------------------|--------------------------------------|---------------------------------|----------------------|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline | | | | |

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

| | | | | | |
|-------------|---|---------------------------------|------------------------|---------------------------------|---------------------|
| Lic Type | 1022 - Pari-Mutuel General Individual Occupational | Status | 90 Closed | Status Date | 08/08/2023 |
| Complaint # | 2023040967 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | THOMAS, JESSICA MICHELLE | Responsible | bjones - JONES, BRADFORD | Private Case |

| | | | |
|---------------------------|----------------------------|-----------------------------|----------------------------|
| Complaint | Respondent | Complainant | Add'l Info |
|---------------------------|----------------------------|-----------------------------|----------------------------|

| | | | | | | | |
|-----------|--|----------------|--------------------|-------------------------------------|---------------|-------------------------------------|--------------|
| Source | LIC - Licensee | Security Level | 1 | <input type="checkbox"/> | Parties | <input checked="" type="checkbox"/> | Activities |
| Form | WALK - Walk-in | Priority | | <input checked="" type="checkbox"/> | Allegations | <input type="checkbox"/> | Discipline |
| Class'n | IIIB - Waivers | Complexity | R - Regular | <input type="checkbox"/> | Violations | <input type="checkbox"/> | Compliance |
| Security | STND - Standard | Incident | 06/07/2023 | <input type="checkbox"/> | Related | <input checked="" type="checkbox"/> | Disposition |
| Region | NR - Northern Region | Received | 07/21/2023 | <input type="checkbox"/> | Inspection | | |
| Reference | | | | <input type="checkbox"/> | Costs | | |
| Entered | 07/21/2023 | Entered By | cstubbs1 | <input type="checkbox"/> | Time Tracking | | Auto Assign |
| Summary | 145- JACKSONVILLE KENNEL CLUB INC: Jacksonville Kennel (Best Bet) | | | <input type="checkbox"/> | Attachments | | History |
| Updated | 08/09/2023 08:23:09 | By | cstubbs1 | <input type="checkbox"/> | Work Notes | | Print Report |

| | | | | |
|------------------------|----------------------|--------------------|------------------------|----------------------|
| Change | Save | OK | Cancel | Back |
|------------------------|----------------------|--------------------|------------------------|----------------------|

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ROUTING SLIP REQUEST FOR WAIVER


RE: THOMAS, JESSICA MICHELLE – 13557271
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 04 0967

1022 – Waitress
Occupation Code and Job Title

145 Jacksonville Best Bet
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: OCTOBER 19, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones  8/8/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

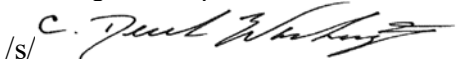
Investigative Findings:

December 17, 2018 Jacksonville Sheriff's Office, FL. – Possession with Intent to Sell Cocaine While Armed, - Possession of Controlled Substance While Armed (3 counts) - Possession of More Than 20 Grams of Cannabis While Armed - and Carrying a Concealed Firearm – Felonies – Pled Guilty – Convicted on March 14, 2019 – Sentenced to 12 months County Jail (Credited 87 days' time served), followed by 24 months' Probation and \$818 in Assessments. - Probation Violation on September 20, 2021 - Probation Revoked - Sentenced to 12 months and 15 days in County Jail with 12 months and 15 days as credit for time incarcerated – The \$818.00 Assessment remains unpaid.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

| | | | |
|--|----------------------------|--|---------------------------------------|
| Office: PMW | Region: NORTHERN | Date of Complaint: JULY 21, 2023 | Case Number: 2023 04 0967 |
| Respondent: THOMAS, JESSICA MICHELLE a.k.a. Jessica Michelle Summey 7054 MT. VERNON CIRCLE JACKSONVILLE, FLORIDA 32210 Phone: [REDACTED] | | Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 | |
| License # / Type: 13557271 / 1022 | | Profession: WAITRESS | Report Date: August 8, 2023 |
| Period of Investigation: July 21, 2023 – August 8, 2023 | | Type of Report: Final | |
| <p>Basis of Investigation: This investigation is predicated upon receipt of Jessica M. THOMAS' State of Florida Pari-Mutuel Occupational License Application dated April 5, 2023 and Waiver Request Form dated June 7, 2023.</p> <p>On April 5, 2023, THOMAS applied for a PMW General Individual Occupational license to work as a Waitress at Jacksonville Best Bet. On her application, she answered "Yes" to the question on page 2 of the application, "Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" THOMAS disclosed a 2018 felony conviction in Duval County, Florida for Drug Possession, a 2015 misdemeanor conviction for Battery and a 2016 misdemeanor conviction for Driving While License Suspended, both misdemeanors occurred in Duval County, Florida.</p> <p>Upon receipt of THOMAS' FDLE Criminal History Report, PMW Licensing sent her a Deficiency Letter on April 24, 2023, requesting that she list the following arrests and provide court dispositions.</p> <p>Duval County, Florida Arrest(s) – 06/07/2001 and 03/22/2010 Clay County, Florida Arrest – 06/30/22</p> <p>On June 7, 2023, THOMAS amended her application to include a 2003 misdemeanor conviction for Worthless Check, and misdemeanor convictions in 2010 and 2022 for Driving While License Suspended (EXHIBIT #1).</p> | | | |
| Related Case: | | | |
| Investigator Supervisor / Date /s/  C. Derek Washington / August 8, 2023 | | Chief of Investigations / Date  Bradford D. Jones / August 8, 2023 | |

CRIMINAL HISTORY

| Arrest 1 | | | | | |
|--------------------------------------|---|--|--------|-------------|-----------------|
| Date of Arrest: 12/17/2018 | | Arresting Agency: Jacksonville Sheriff's Office, FL. | | | |
| OFFENSE(S) | | | | | |
| | | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
| 1 | Possession with Intent to Sell Cocaine While Armed | Felony | Guilty | Convicted | 03/14/2019 |
| 2 | Possession of Controlled Substance While Armed (3 counts) | Felony | Guilty | Convicted | 03/14/2019 |
| 3 | Possession of More Than 20 Grams of Cannabis While Armed | Felony | Guilty | Convicted | 03/14/2019 |
| 4 | Carrying a Concealed Firearm | Felony | Guilty | Convicted | 03/14/2019 |

| SENTENCE |
|---|
| 12 months County Jail (Credited 87 days' time served), followed by 24 months' probation and \$818 in Court costs and Fines. |

Additional Information: THOMAS was arrested on 09/20/2021 for Violation of Probation. Her probation was revoked, and she was sentenced to 12 months and 15 days in County Jail with 12 months and 15 days as credit for time incarcerated.

| Arrest 2 | | | | | |
|------------------------|--|--------------------------|------|-------------|-----------------|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| | | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|--------------------------------|
| |
| Additional Information: |
| |

CRIMINAL HISTORY

| Arrest 3 | | | | | |
|------------------------|--|--------------------------|------|-------------|-----------------|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| | | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|-----------------|
| |

| |
|--------------------------------|
| Additional Information: |
| |

| Arrest 4 | | | | | |
|------------------------|--|--------------------------|------|-------------|-----------------|
| Date of Arrest: | | Arresting Agency: | | | |
| OFFENSE | | | | | |
| | | CLASSIFICATION | PLEA | DISPOSITION | CONVICTION DATE |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |

| SENTENCE |
|-----------------|
| |

| |
|--------------------------------|
| Additional Information: |
| |

ADDITIONAL LICENSES

| | | |
|--|------------|-----------|
| | YES | NO |
| Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License? | | X |
| Does the Applicant possess an Occupational License from other jurisdictions? | | X |

| | | | | |
|---|------------------|------------|-------------------------|-----------|
| 1. License Type: | | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | |
| Was any derogatory information received? | | | | |
| Additional Comments | | | | |

| | | | | |
|---|------------------|------------|-------------------------|-----------|
| 2. License Type: | | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | |
| Was any derogatory information received? | | | | |
| Additional Comments: | | | | |

| | | | | |
|---|------------------|------------|-------------------------|-----------|
| 3. License Type: | | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | |
| Was any derogatory information received? | | | | |
| Additional Comments: | | | | |

| | | | | |
|---|------------------|------------|-------------------------|-----------|
| 4. License Type: | | | | |
| Date Licensed: | Expiration Date: | License #: | Agency or Jurisdiction: | |
| | | | YES | NO |
| Has License ever been suspended or revoked? | | | | |
| Was any derogatory information received? | | | | |
| Additional Comments: | | | | |

WAIVER INTERVIEW

| | | |
|-----------------------------------|---|-----------|
| | YES | NO |
| Was a Waiver Interview Conducted? | X | |
| Date of Interview: Aug 1, 2023 | Location of Interview: Jacksonville Best Bet | |
| | YES | NO |
| Was the applicant cooperative? | X | |
| Additional Comments: | | |

SUMMARY OF INTERVIEW:

In discussing Ms. **THOMAS**' Felony conviction, she explained that she was married for 13 years with two daughters and was a typical mother and housewife going to cheerleading practices and PTO meetings. She said her world was turned upside down when her former husband was arrested in a police sting after being caught trying to meet up with a 14-year-old to have sex. Ms. **THOMAS** said she was not aware of all the things her former husband was doing. She stated that before he went to jail, he gave her two bags of drugs and told her to "take care of things" so she could make money and pay the bills.

She admitted to trying to sell the drugs, and the day she was arrested, she was involved in a minor traffic accident and left the scene. She said a retired police officer saw what happened and followed her. Ms. **THOMAS** said when the police stopped her, she had the drugs and a firearm, in the bag she was carrying.

Ms. **THOMAS** stated that her Violation of Probation occurred because she failed to tell her Probation Officer that she had been stopped for Driving While License Suspended or Revoked and given a Notice to Appear.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **THOMAS**.

Case Status: Closed by Investigations and forwarded to Licensing.

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

TO: Jessica Michelle Thomas [Redacted] [Redacted]
Full Legal Name of Applicant Date of Birth Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
- Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:
- New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

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2013 JUL 17 11 08 AM
FLORIDA GAMING CONTROL COMMISSION

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Jessica Michelle Thomas
Signature of Applicant

06/07/23
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

2023 JUL 17 8:00
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FLORIDA GAMING
REGULATION COMMISSION

| PERSONAL INFORMATION | | | | | |
|---|---------|----------|---|--------|--------|
| Social Security Number/Federal Employer ID Number [REDACTED] | | | | | |
| IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Last Name | First | Middle | Title | Suffix | |
| Thomas | Jessica | Michelle | | | |
| Birth Date (MM/DD/YYYY) [REDACTED] | | | | | |
| IF REPRESENTATIVE, PLEASE COMPLETE THE FOLLOWING SECTION | | | | | |
| Representative's Name | Last | First | Middle | Title | Suffix |
| Permitholder Name | | | | | |
| Official Capacity | | | | | |
| ATTEST STATEMENT | | | | | |
| I, <u>Jessica M Thomas</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation. | | | | | |
| I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. | | | | | |
| <u>Jessica Michelle Thomas (Summey)</u> (if individual applicant - legal name and any nickname or alias in parentheses) | | | | | |
| Applicant/Representative Signature: <u>Jessica Thomas</u> Date: <u>07/10/23</u> | | | | | |
| NOTARIZATION | | | | | |
| The foregoing application was sworn to and subscribed before me this <u>16th</u> Day of <u>July</u> , 20 <u>23</u> . | | | | | |
| by <u>Jessica Thomas</u> Type or print name of applicant | | | <u>Jessica Thomas</u> Signature of applicant | | |
| who is personally known to me or who has produced the following as identification. | | | | | |
| [REDACTED] | | | | | |
| Type of identification | | | | | |
| [REDACTED] | | | Signature of person taking acknowledgement | | |
| Notary Seal (Rubber Stamp and Expiration) | | | | | |
| | | | | | |

TEN: 70CJ10000000 339

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|--|------------------------------|--|--------------------------------------|
| Social Security Number | | Birth Date (MM/DD/YYYY) | |
| Gender | | <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female | |
| Last Name | First | Middle | Suffix |
| Thomas | Jessica | Michelle | |
| Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| If yes, list the name or names used: <u>Jessica Michelle Summey</u> | | | |
| Race/Ethnicity (optional) | | | |
| <input type="checkbox"/> Black or African American | | <input type="checkbox"/> Asian or Pacific Islander | |
| <input checked="" type="checkbox"/> White or Caucasian | | <input type="checkbox"/> Hispanic/Latino | |
| <input type="checkbox"/> Native American or Alaskan Native | | <input type="checkbox"/> Other | |
| Current Mailing Address | | Email Address (optional) | |
| <u>7054 Mt. Vernon Ave.</u> | | [REDACTED] | |
| City | State | Zip Code (+4 optional) | Country, if other than USA |
| <u>Jax.</u> | <u>FL</u> | <u>32210</u> | |
| Primary Phone Number | | Secondary/Cell Phone Number (optional) | |
| <u>(904) 761-9150</u> | | | |
| Current Street Address | | | |
| <u>same as mailing</u> | | | |
| City | State | Zip Code (+4 optional) | Country, if other than USA |
| | | | |
| Type of Occupational License applying for: | | Facility where employed and/or doing business | |
| <input checked="" type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual | | <u>Bestbet Jax</u> | |
| <input checked="" type="checkbox"/> Cardroom Employee | | <u>(Regency)</u> | |
| Occupation: <u>Waitress</u> | | Is this your first time applying for a racing/gaming license in Florida? | |
| Does your position require access to the Cardroom? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: | | | |
| Stable Name, Kennel Name, or Business Name _____ | | | |
| Trainer Name (horse or greyhound racing only) _____ | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY | | | |
| Type of professional license (attach a copy of Florida professional license): | | Florida License Number | |
| | | | |
| FOR DIVISION USE ONLY | | | |
| License Code <u>1022</u> | License # <u>13551271</u> | File # <u>102738</u> | App # <u>193024</u> |
| Association Code <u>910</u> | Date Received <u>4/12/23</u> | Entered By <u>CH</u> | License Year <u>22/25</u> |
| License Fee <u>15.00</u> | FP Date <u>4/12/23</u> | FP Fee <u>0</u> | Total Fee <u>15.00</u> |
| Off Temp | Waiver Requested | <input checked="" type="checkbox"/> ARCI | <input type="checkbox"/> Enforcement |
| | | | <input type="checkbox"/> Minor |

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2023 APR 12 PM 12:38
FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|------------------------------|------------------------|-----------------------|
| 12/17/2018 | Duval | FL | multiple possession felonies | | 1 year in |
| 12/18/2015 | Duval | FL | battery | | misdemeanor probation |
| 12/16/2003 | Duval | FL | no weapons | | misdemeanor 5 days |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

2023 APR 12
FLORIDA CONTROL COM

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.058 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Jessie O. Thomas 4/5/23
Signature of Applicant Date

RECEIVED

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below. Date of offense

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|--------|-------|---------------------------------|------------------------|----------------|
| 12/08/2003 | Duval | FL | Worthless check less than \$150 | M-06/07/01 | 4 days in jail |
| 04/06/2010 | Duval | FL | DL Suspended | M-03/22/10 | De cleared |
| 07/01/2022 | Clay | FL | DL Suspended | M-06/30/22 | De cleared |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

2023
JUL 17
FLORIDA
CONTROL CO
REC'D

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.058 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Debra Thomas
Signature of Applicant



06/07/2023
Date

| | | | | | | | | | |
|--------------------------------|-----------------------|-------------------------------|-----------------------------|---|----------------------|---|----------------------------|-----------------------------|------------------------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
| License Search | | Entity Search | | Modify License Standing | | Maintain License CE Control | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [License Search](#) > [License Home](#)

| <p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1022 - PMW General Individual Occupational Expires On</p> <p>File # 102738 Name THOMAS, JESSICA MICHELLE Extended To</p> <p>License # Rank Renewed On</p> <p>Entity # 13557271 Lic Status Applicant</p> | | | <input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p> | | | | | | | |
|---|----------|----------------|---|----------|----------------|-----------------|-----------------|--|--|--|
| <p>Address</p> <p>Street # 7054 Street MT VERNON CIRCLE</p> <p>Line 2</p> <p>Line 3</p> <p>City JACKSONVILLE State FL Zip 32210</p> <p>Routing</p> | | | | | | | | | | |
| <p>Other</p> <p>1st License Date Rank Date Certificate #</p> <p>Method - - Status Date Certificate Date</p> <p>Fee Exempt No Birth Date [REDACTED] Renewal Sent</p> | | | | | | | | | | |
| <p>Select</p> <p>Action <input type="text"/>  </p> | | | | | | | | | | |
| <p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table> | | | Type | Modifier | Effective Date | Additional Info | No items found. | | | |
| Type | Modifier | Effective Date | Additional Info | | | | | | | |
| No items found. | | | | | | | | | | |
| <p>Alt Keys</p> <p>BEST LIC NBR</p> | | | | | | | | | | |

 [Get Adobe Reader.](#)

EXHIBIT #1
PAGE 7/7

| | | | | |
|-------------------|------------|------------|------------|------------|
| First Appearance: | Continued: | Continued: | Continued: | Continued: |
| Continued: | Continued: | Continued: | Continued: | Continued: |



Arrest And Booking Report
Jacksonville Sheriff's Office
Jacksonville Florida

ADULT

| | |
|-------------------------------------|--|
| Yr: 2018 Inc # 861737 | Amend # |
| Prev Juv Jail # | |
| Jail # 2018033280 | 12/17/2018 18:17 File Direct: YES |
| JSO ID # | Court: Circuit |
| SSN | CLERK COPY |

Arresting Agency: **Jacksonville Sheriff's Office**

Route To:
Narcotics
Homicide
Aggravated Battery
Gunfire Response Unit

Day/Date/Time Arrested: **Monday 12/17/2018 16:00**

Name: **THOMAS, JESSICA MICHELLE**

ABSENTEE BOOKED

Aliases:

Nickname(s):

Arrestee's Home Address: **12000 COBBLESTONE FOREST CIR S Apt./Lot #:**

Sub-Sector: **F1**

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32225**

Taz: **330** Crossstreet:

DOB: [REDACTED] Age: **37**

ORIGINAL deployed prior to/during Arrest: **NO**

Height: **5' 6"** Weight (lbs): **141**

Race: **WHITE** Ethnicity: **NOT OF HISPANIC ORIGIN** Sex: **Female**

COMPLETED

Eye Color: **BROWN** Hair Color: **BROWN** Complexion: **FAIR / LIGHT** Build: **Thin**

ECD Usage: **NOT APPLICABLE**

RTR written related to this incident? **NO** RTR Incident Yr: RTR Incident #:

Is an Offense a hate crime? **NO** Is an Offense a dating violence crime? **NO** Required to register as a sex offender? **NO**

Suspect Invoke Miranda? **YES** Arrestee needs ADA Consideration? **NO** Arrestee Confessed? **YES** Miranda Rights Given? **YES**

Disability or Special Consideration:

Accommodations Requested:

Driver's License # [REDACTED] State: **FLORIDA** Subject's Resident Type: **CITY**

Hm Phone # Bus. Phone # Phone Ext.

Cell Phone # [REDACTED] Cell Phone Provider **METRO PCS** E-mail

Is Vagrant? **NO**

Subject's Residence Status: **RESIDENT** Armed With: **HANDGUN (NOT DISCHARGED)**

Distinguishing Marks:

Employer: **MOMENTS IN TIME PHOTOGRAPHY** Place of Birth: **JACKSONVILLE DUVAL FLORIDA UNITED STATES** Country of Citizenship: **UNITED STA**

School Last Attended: **FIRST COAST HIGH SCHOOL**

US Citizen: **YES**

Domestic Violence Involved: **NO** Children under 18 Present: **NO** If No is it Domestic Related: **NO**

Day/Date/Time of Incident-From: **Monday 12/17/2018 16:00**

Day/Date/Time of Incident-To: **Monday 12/17/2018 16:00**

Incident Address: **8839 DANDY AVE Apt./Lot #:**

Sub-Sector: **E2**

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32211**

Taz: **202** Crossstreet:

Offense Location Type: **Highway / Roadway** Interviewed by: Interviewer ID:

Where Arrested: **8839 DANDY AVE Apt./Lot #:**

Sub-Sector: **E2**

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32211**

Taz: **202** Crossstreet:

Involved in Traffic Accident: **NO**

Injuries from Accident:

Is Incident Gang Related: **NO**

Is Arrestee a Gang member? **NO**

Statute or Ordinance Number(s): **#1**

Statute No: **893.13(1)(A)1** Degree: **F1** UCR Code: **350A** Attempt Code: **Commit**

12/17/2018-17:59

EXHIBIT #2
PAGE 1/34

ARMED: POSSESS COCAINE WITH INTENT TO SELL/MFG/DELIVER

ORIGINAL

ADLT THOMAS, JESSICA MICHELLE

ARREST REPORT

Pg 1 of 7

Jail # 2018033280

631

ADLT

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: **Not Applicable**

No. of Counts:

Jurisdiction:

Purge/Bond Type: **Bond**

Bond Amount: **100,003**



CT. Location/Div.:

Date of Issue:

Date of Return:

Judge:

Disposition:

Disposition Date:

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

Statute or Ordinance Number(s): #2

Statute No: **893.13(6)(A)** Degree: **F2** UCR Code: **350A** Attempt Code: **Commit**

12/17/2018-17:59

ARMED: POSSESS COCAINE

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: **Not Applicable**

No. of Counts:

Jurisdiction:

Purge/Bond Type: **Bond**

Bond Amount: **20,003**



CT. Location/Div.:

Date of Issue:

Date of Return:

Judge:

Disposition:

Disposition Date:

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

Statute or Ordinance Number(s): #3

Statute No: **893.13(6)(A)** Degree: **F2** UCR Code: **350A** Attempt Code: **Commit**

12/17/2018-17:59

ARMED: POSSESS CONTROLLED SUBSTANCE

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: **Not Applicable**

No. of Counts:

Jurisdiction:

Purge/Bond Type: **Bond**

Bond Amount: **20,003**



CT. Location/Div.:

Date of Issue:

Date of Return:

Judge:

Disposition:

Disposition Date:

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

Statute or Ordinance Number(s): #4

Statute No: **893.13(6)(A)** Degree: **F2** UCR Code: **350A** Attempt Code: **Commit**

12/17/2018-17:59

**EXHIBIT #2
PAGE 2/34**

ADLT THOMAS, JESSICA MICHELLE

ARREST REPORT

Pg 2 of 7

Jail # 2018033280

632 **ADLT**

ARMED: POSSESS CONTROLLED SUBSTANCE

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: Not Applicable

No. of Counts:

Jurisdiction: _____ CT. Location/Div.: _____
 Purge/Bond Type: **Bond** Bond Amount: ~~⊕~~ Date of Issue: _____ Date of Return: _____
 Disposition: _____ Judge: _____
 Disposition Date: _____

20,003

Blanket Bond:

VOP/FTA ONLY

| | | | | |
|----------------------|---------|-----------|---------------|---------------|
| Original Statute No: | Degree: | UCR Code: | Attempt Code: | Commit |
| Description: | | | | |

Statute or Ordinance Number(s): #5

Statute No: 893.13(6)(A) Degree: F2 UCR Code: 350A Attempt Code: Commit

12/17/2018-17:59

ARMED: POSSESS OVER 20 GRAMS OF MARIJUANA

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: Not Applicable

No. of Counts:

Jurisdiction: _____ CT. Location/Div.: _____
 Purge/Bond Type: **Bond** Bond Amount: ~~⊕~~ Date of Issue: _____ Date of Return: _____
 Disposition: _____ Judge: _____
 Disposition Date: _____

15,003

Blanket Bond:

VOP/FTA ONLY

| | | | | |
|----------------------|---------|-----------|---------------|---------------|
| Original Statute No: | Degree: | UCR Code: | Attempt Code: | Commit |
| Description: | | | | |

Statute or Ordinance Number(s): #6

Statute No: 790.01(2) Degree: F3 UCR Code: 5200 Attempt Code: Commit

12/17/2018-17:59

CARRYING CONCEALED FIREARM

| | |
|---------------|----------------|
| Citation # | SA# |
| Jso Control # | Warrant/Case # |

Warrant Type: Not Applicable

No. of Counts:

Jurisdiction: _____ CT. Location/Div.: _____
 Purge/Bond Type: **Bond** Bond Amount: ~~⊕~~ Date of Issue: _____ Date of Return: _____
 Disposition: _____ Judge: _____
 Disposition Date: _____

5,003

Blanket Bond:

VOP/FTA ONLY

| | | | | |
|----------------------|---------|-----------|---------------|---------------|
| Original Statute No: | Degree: | UCR Code: | Attempt Code: | Commit |
| Description: | | | | |

EXHIBIT #2
PAGE 3/34

Statute or Ordinance Number(s): #7

ORIGINAL

ADLT THOMAS, JESSICA MICHELLE

ARREST REPORT

Pg 3 of 7

Jail # 2018033280

633

ADLT

| | |
|---------------|----------------|
| Citation # | SA# |
| Isa Control # | Warrant/Case # |

Warrant Type: **Not Applicable**

No. of Counts:

Jurisdiction:

CT. Location/Div.:

Purge/Bond Type: **Bond**

Date of Issue:

Date of Return:

Judge:

Disposition:

Disposition Date:

Bond Amount:
\$2503

Blanket Bond:

VOP/FTA ONLY

Original Statute No: Degree: UCR Code: Attempt Code: **Commit**

Description:

ADDITIONAL INFORMATION 1

Reporting Officer: **T.C.MITLYNG 74975**

On 12/17/18, at 1530, while working under the Violence Reduction Strategy, the Citywide CPR Unit was working in the Zone-2 area of Woodland Acres due to the recent violent crime in the area.

While patrolling the area, a call came out regarding a reckless driver hitting another vehicle and fleeing the scene (18-861649). Retired Ofc. R.J.Snow #7154 observed the suspect (later identified as Jessica Thomas) vehicle strike another vehicle before fleeing the scene. Mr. Snow followed the suspect as she fled through the Woodland Acres area, before abandoning the vehicle at the intersection of Mill Creek Rd. and Arlington Expy. Mr. Snow observed the suspect then flee on foot south-bound on Mill Creek Rd. Mr. Snow described the suspect as a white female with a burgundy shirt and khaki pants carrying a black bag. It should be noted that he was on the phone with dispatch the entire time and was relaying information to the dispatcher as it happened.

As I approached the last updated position provided by the complainant I observed a person matching the suspect's description getting into a vehicle which traveled west-bound on Dandy Ave. As I observed this, dispatch updated that the suspect had gotten into a vehicle and was last seen on Dandy. Reserve Ofc. M.R.Kramer #66543 happened to be nearby, and pulled onto Dandy Ave. after the vehicle. I followed in my marked patrol vehicle and as the vehicle stopped at the intersection of Dandy Ave/Lamson St. Ofc. Kramer attempted to conduct a traffic stop on the vehicle, at which time the suspect exited that vehicle and began running on foot, still carrying the black bag. I pulled my marked patrol vehicle in front of her, at which time the suspect dropped the bag, sat on the ground and began to cry stating "I'm going to jail!" I then asked the suspect if there was anything in the bag I needed to be aware of, to which she replied [REDACTED]. The suspect was placed in my marked patrol vehicle and Ofc. B.P.Daigle read his Miranda Rights via card, which she stated she understood. I witnessed Ofc. Daigle read the suspect her Miranda Rights, from prepared card.

Post-Miranda, the suspect admitted to striking another vehicle and fleeing, stating "it all happened so fast, I didn't know what to do." When asked about the gun and the drugs, the suspect stated "I don't want to talk about any of that."

Witness Snow advised that when he first approached the suspect on Mill Creek Rd. she told him "get back, I'll shoot you, I've got a gun".

Inside the bag, Ofc. Kramer located a loaded firearm (Ruger 9mm, reported stolen under CCR 14-731671) on top of a pink pencil box, next to a large bag of marijuana. Inside the pencil box was four (4) pieces of crack (FTP) individually packaged for sale, a pill bottle containing 12 pills (identified as an amphetamine), a package of grey powder (FTP-cocaine & heroin), a package of white power (FTP-heroin), a scale, capped needle, plastic straw with cocaine residue and additional baggies for packaging drugs.

It should be noted that Ofc. B.P.Daigle #76507 stopped the vehicle the suspect got into and fled from, and witness Kathy Davis advised she saw the suspect being followed by a man (presumably complainant Snow) and the suspect flagged her down and asked for a ride. She further stated that when officers got behind the vehicle, the suspect stated "it's the cops, go go go, I don't wanna go back to prison." to which the witness refused, at which time the suspect fled from her vehicle. This is consistent with what the suspect stated as well.

The firearm was recovered and placed into the property room.

EXHIBIT #2
PAGE 4/34

Turned in at: **Property Room**

Firearm Type:

Drug Type: **COCAINE (ALL FORMS EXCEPT CRACK)**

Manufacturer:

Serial #

Quantity:

Weight:

Unit of Measure:

Description: **SUM OF POWDER COCAINE**

Property: #5

Weapon Seized:

Amount of Money

Status: **SEIZED (NOT STOLEN)**

Property Type: **DRUGS/NARCOTICS**

Property Taken by Arresting Officer: **Evidence**

Turned in at: **Property Room**

Firearm Type:

Drug Type: **HEROIN**

Manufacturer:

Serial #

Quantity:

Weight:

Unit of Measure:

Description: **SUM OF HEROIN**

Property: #6

Weapon Seized:

Amount of Money

Status: **SEIZED (NOT STOLEN)**

Property Type: **DRUGS/NARCOTICS**

Property Taken by Arresting Officer: **Evidence**

Turned in at: **Property Room**

Firearm Type:

Drug Type: **AMPHETAMINES / METHAMPHETAMINES**

Manufacturer:

Serial #

Quantity: **12.00**

Weight:

Unit of Measure:

Description: **BLUE ROUND PILLS**

Property: #7

Weapon Seized:

Amount of Money

Status: **SEIZED (NOT STOLEN)**

Property Type: **DRUGS/NARCOTICS**

Property Taken by Arresting Officer: **Evidence**

Turned in at: **Property Room**

Firearm Type:

Drug Type: **MARIJUANA**

Manufacturer:

Serial #

Quantity:

Weight:

Unit of Measure:

Description: **SUM OF MARIJUANA**

Property: #8

Weapon Seized:

Amount of Money

Status: **SEIZED (NOT STOLEN)**

Property Type: **DRUGS/NARCOTICS EQUIPMENT**

Property Taken by Arresting Officer: **Evidence**

Turned in at: **Property Room**

Firearm Type:

Drug Type: **PARAPHERNALIA**

Manufacturer:

Serial #

Quantity:

Weight:

Unit of Measure:

Description: **ASSORTED DRUG PARAPHERNALIA**

Vehicle: #1

Status: **SUSPECT**

Year: **1999** Type: **TRUCK/VAN/SUV** Make: **CHEVROLET** Model: **SILVERADO SERIES**

Primary Color: **BLACK** Top Color: **BLACK**

Year: **2018** State: **FLORIDA** Tag: XXXXXXXXXX

Last Driver of Vehicle: **Arrestee THOMAS, JESSICA**

Vehicle Released To: **Other: UK,**

EXHIBIT #2
PAGE 6/34

ADLT THOMAS, JESSICA MICHELLE

ARREST REPORT

Pg 6 of 7

Jail # 2018033280

636 **ADLT**

Location Vehicle Towed to or Left at:

WITNESS AND COMPLAINANT #1

Race: **WHITE** Ethnicity: **NOT OF HISPANIC ORIGIN**

Sex: **Male**

Age: **50**

Name: **SNOW R J**

Address: **501 BAY ST E** Apt./Lot #:

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32202**

Tax: **97** Crossstreet: Home Phone # Bus. Phone # Phone Ext.

Cell Phone # Cell Phone Provider E-mail

Is Vagrant? **NO**

Sub-Sector of Arrest Location: **A3**

WITNESS #2

Race: **WHITE** Ethnicity: **NOT OF HISPANIC ORIGIN**

Sex: **Female**

Age: **48**

Name: **DAVIS KATHY DEANE**

Address: **8920 HARE AVE** Apt./Lot #:

City: **JACKSONVILLE** State: **FLORIDA** Zip: **32211**

Tax: **202** Crossstreet: Home Phone # Bus. Phone # Phone Ext.

Cell Phone # Cell Phone Provider E-mail

Is Vagrant? **NO**

Sub-Sector of Arrest Location: **E2**

Jail Information (Back Door)

Jail # **2018033280**

Date and Time Admitted: **12/17/2018 18:17**

Juvenile/Court Clerk #

Triage Questions:

Involved in Traffic Accident: **NO** Injuries from Accident:

OC deployed prior to/during Arrest: **NO** Was a hobble restraint used on the arrestee? **NO**

Does the arrestee exhibit any signs of suicidal behavior or attempts? **NO** Does the arrested have any observable medical/mental health problems? **NO**

Has the arrestee shown any escape potential or violence propensity behaviors? **NO**

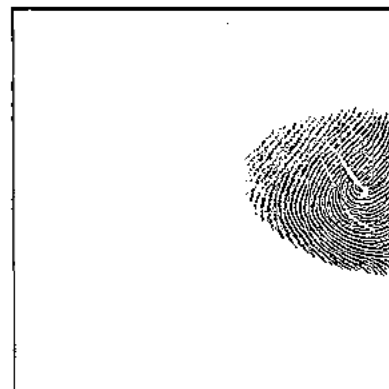
Is there any other information about the arrestee that jail personnel need to know? **NO**

If yes, what?

Part II of Arrest And Booking Report:

Arrestee Personal Information: How Long in Jax? **37 years**

Verification By: _____



Right Thumb Print

Chemical Test Data

| Specimen: | Blood | Breath | Urine | Unable | None |
|-------------------|-------|--------|-------|--------|----------|
| Analysis Results: | | | | | |
| 1st | | g/210L | TIME: | | Refused: |
| 2nd | | g/210L | TIME: | | Blood: |
| 3rd | | g/210L | TIME: | | Urine: |
| | | | | | Breath: |

| Breath Test Instrument: | |
|-------------------------|-----------|
| Type: | Machine # |
| Operator Name and I.D.# | Date: |

EXHIBIT #2
PAGE 7/34

ORIGINAL

Ronnie Fussell
Clerk of Circuit Court

ADD ON DOCKET

Date: DECEMBER 18, 2018

Judge: MOSE FLOYD

P/A: VIRONICA BROWN

DEF ADJ INSOLVENT &
PUBLIC DEFENDER APPT'D
~~MOT ON BOND FILED~~
PROBABLE CAUSE FILED

PASS TO: 1/09/2019
CIRCUIT COURT

PSJ2451

APP FOR CRIMINAL INDIGENT STATUS
DEFT FOUND (X) INDIGENT () NOT INDIGENT

EXHIBIT #2
PAGE 8/34
638

S.A. CASE NO.: 18CF071136AD

IN THE CIRCUIT COURT OF THE FOURTH JUDICIAL
CIRCUIT IN AND FOR DUVAL COUNTY, FLORIDA

STATE OF FLORIDA

Fail Term, in the year two thousand eighteen

vs.

CLERK NO.: 162018CF013076A

JESSICA MICHELLE THOMAS

DIVISION: CRA

INFORMATION FOR:

- 1) POSSESSION WITH INTENT TO SELL COCAINE WHILE ARMED
- 2) POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED
- 3) POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED
- 4) POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED
- 5) POSSESSION OF MORE THAN TWENTY GRAMS OF CANNABIS WHILE ARMED
- 6) CARRYING A CONCEALED FIREARM
- 7) POSSESSION OF CONTROLLED SUBSTANCE PARAPHERNALIA

IN THE NAME OF AND BY AUTHORITY OF THE STATE OF FLORIDA, MELISSA W. NELSON, State Attorney for the Fourth Judicial Circuit of the State of Florida, in and for Duval County, charges that:

COUNT 1

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, did unlawfully possess a controlled substance as named or described in Section 893.03(2)(a)4, Florida Statutes, to-wit: Cocaine, having at that time the intent to sell, manufacture or deliver said substance, and during the course of possessing the Cocaine, the said JESSICA MICHELLE THOMAS carried, displayed, used, threatened or attempted to use a firearm, to-wit: handgun, contrary to the provisions of Sections 893.13(1)(a)1 and 775.087(1), Florida Statutes.

COUNT 2

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, was unlawfully and knowingly in actual or constructive possession of Cocaine, a controlled substance named or described in Section 893.03893.03(2)(a)4, Florida Statutes, and during the course of possessing Cocaine, the said JESSICA MICHELLE THOMAS carried, displayed, used, threatened to use or attempted to use a firearm, to-wit: handgun, contrary to the provisions of Sections 893.13(6)(a) and 775.087(1), Florida Statutes.

COUNT 3

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, was unlawfully and knowingly in actual or constructive possession of Amphetamines, a controlled substance named or described in Section 893.03893.03(2)(c)2, Florida Statutes, and during the course of possessing Amphetamines, the said JESSICA MICHELLE THOMAS carried, displayed, used, threatened to use or attempted to use a firearm, to-wit: handgun, contrary to the provisions of Sections 893.13(6)(a) and 775.087(1), Florida Statutes.

COUNT 4

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, was unlawfully and knowingly in actual or constructive possession of Heroin, a controlled substance named or described in Section 893.03893.03(1)(b)11, Florida Statutes, and during the course of possessing Heroin, the said JESSICA MICHELLE THOMAS carried, displayed, used, threatened to use or attempted to use a firearm, to-wit: handgun, contrary to the provisions of Sections 893.13(6)(a) and 775.087(1), Florida Statutes.

COUNT 5

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, was unlawfully and knowingly in actual or constructive possession of more than twenty (20) grams of Cannabis, a controlled substance named or described in Section 893.03(1)(c)7, Florida Statutes, and during the course of possessing more than twenty (20) grams of Cannabis, the said JESSICA MICHELLE THOMAS carried, displayed, used, threatened to use or attempted to use a firearm, to-wit: handgun, contrary to the provisions of Sections 893.13(6)(a) and 775.087(1), Florida Statutes.

COUNT 6

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, did carry on or about her person a concealed firearm, contrary to the provisions of Section 790.01(2), Florida Statutes.

COUNT 7

JESSICA MICHELLE THOMAS on December 14, 2018, in the County of Duval and the State of Florida, did unlawfully possess, or have under her control, device(s), contrivance(s), instrument(s), or paraphernalia, to-wit: a scale, and/or a capped needle, and/or plastic straw, and/or baggies, with the intent that said device(s), contrivance(s), instrument(s) or paraphernalia be used for unlawfully administering any controlled substance named or described in Section 893.03, Florida Statutes, contrary to the provisions of Section 893.147(1), Florida Statutes.

MELISSA W. NELSON, STATE ATTORNEY
FOURTH JUDICIAL CIRCUIT

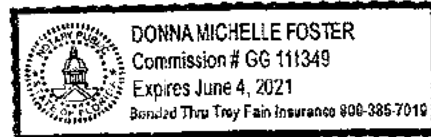
By: [Signature]
Assistant State Attorney
Fourth Judicial Circuit of Florida, in and for Duval County

STATE OF FLORIDA)
COUNTY OF DUVAL)

Personally appeared before me, Mark Caliel, Bar No. 0105650, Assistant State Attorney, for the Fourth Judicial Circuit of the State of Florida, in and for Duval County, who is personally known to me, and who being first duly sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which, if true, would constitute the offense therein charged, and that this prosecution is instituted in good faith, and hereby certifies that testimony under oath has been received from the material witness(es) for the offense.

Sworn to and subscribed before me this 23 day of January, 2019.

By: Donna Michelle Foster



RONNIE FUSSELL, Clerk of the Circuit Court, Fourth Judicial Circuit of Florida, In and For Duval County, and Clerk of the County Court, In and For Duval County, Florida.

FILED THIS _____

BY DEPUTY CLERK: [Signature]

Dkt 2018-033280 AD January 30, 2019
Ext. S S/W
Race White Sex Female

DOB [Redacted]

MCL S893.13(1)(a)1, 775.087(1), F1; S893.13(6)(a), 775.087(1), F2; S893.13(6)(a), 775.087(1), F2;
NO.(S): S893.13(6)(a), 775.087(1), F2; S893.13(6)(a), 775.087(1), F2; S790.01(2), F3; S893.147(1), M1

STATE ATTORNEY'S OFFICE
FOURTH JUDICIAL CIRCUIT OF FLORIDA

CHARGING NOTICE

TO: CIRCUIT COURT CLERK

DATE: January 23, 2019

DIVISION: CRA

RE: STATE OF FLORIDA

S.A. CASE NO.: 18CF071136AD

vs.

CLERK NO.: 162018CF013076A

JESSICA MICHELLE THOMAS

ARREST NO.: 2018-033280

CCR NO.: 2018-0861737

ORIGINAL CHARGE(S):

- 1) 89313D, POSSESSION WITH INTENT TO SELL COCAINE WHILE ARMED, S893.13(1)(a)1, 775.087(1), F1
- 2) 89313B, POSSESSION OF COCAINE WHILE ARMED, S893.13(6)(a), 775.087(1), F2, DCF
- 3) 89313BB, POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 4) 89313BB, POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 5) 89313BA, POSSESSION OF MORE THAN TWENTY GRAMS OF CANNABIS WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 6) 79001B, CARRYING A CONCEALED FIREARM, S790.01(2), F3
- 7) 89314ZA, POSSESSION OF CONTROLLED SUBSTANCE PARAPHERNALIA, S893.147(1), M1

FILED CHARGE(S):

- 1) 89313D, POSSESSION WITH INTENT TO SELL COCAINE WHILE ARMED, S893.13(1)(a)1, 775.087(1), F1
- 2) 89313BB, POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 3) 89313BB, POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 4) 89313BB, POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 5) 89313BA, POSSESSION OF MORE THAN TWENTY GRAMS OF CANNABIS WHILE ARMED, S893.13(6)(a), 775.087(1), F2
- 6) 79001B, CARRYING A CONCEALED FIREARM, S790.01(2), F3
- 7) 89314ZA, POSSESSION OF CONTROLLED SUBSTANCE PARAPHERNALIA, S893.147(1), M1

The State Attorney's Office, through the Assistant State Attorney listed below, is filing only the charges listed above. Any Original Charges that are not listed as Filed Charges have either been dropped or changed from the Arrest and Booking Report.

Patrick M. McGee
Assistant State Attorney

Note: This Notice relates to this arrest and booking only and in no way effects any other charges this defendant may have pending.

EXHIBIT #2
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SENTENCED TO RIVER REGION MATRIX HOUSE PROGRAM
(Previously known as the Secure Drug Treatment Program)

STATE OF FLORIDA

vs

Jessica Michelle THOMAS
Defendant's Name

18CF071136 AD
S.A. Number

Case Number: 16-2018-CF-013076

Docket Number: 2019 033280

JSO Number: 593324

Date of Sentence: 03-14-19

Court Division: CRA

Sentence: 12 Months, Duval County Jail 24 Months, Probation

Early release upon completion of the substance abuse treatment program? No

The defendant identified above is ordered to complete the Matrix House substance abuse treatment program at the Community Corrections Division.

Date: 03-14-19

Judge: 

White Copy to Clerk - Yellow Copy to Court Liaison - Pink & Gold Copy to Jail

EXHIBIT #2
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PAGE 12/34

-VS-

CASE NUMBER 16-2018-CF-013076-AXXX-MA

JESSICA MICHELLE THOMAS

Defendant

DC NUMBER I90340 / DIV - A

Local Jurisdiction Identification Number: _____

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to been found guilty by jury verdict of
- entered a plea of nolo contendere to been found guilty by the court trying the case without a jury of

- Count 1 **POSSESSION WITH INTENT TO SELL COCAINE WHILE ARMED**
- Count 2-4 **POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED**
- Count 5 **POSSESSION OF MORE THAN 20 GRAMS OF CANNABIS WHILE ARMED**
- Count 6 **CARRYING A CONCEALED FIREARM**

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of 24 months under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

- Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

- committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of 12 months with credit for 87 days jail time. After you have served all of the term, you shall be placed on Probation for a period of 24 months under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time, as a special condition of supervision.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of **\$WAIVED** per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in Duval County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 908 N. Jefferson Street, Bldg. F. Jacksonville FL 32209 (904) 695-4045.

SPECIAL CONDITIONS

Defendant placed in River Region Matrix House Program with no early release.

- 1. You will be required to pay for drug testing unless exempt by the court.
- 2. Matrix Aftercare
- 3. Costs of Supervision waived.

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s.

943.0435(1)(a)1.a.(1), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:

Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: \$ NOT CONDITION OF SUPERVISION

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.

Pursuant to s. 948.09, F.S., you will be assessed an amount of \$2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

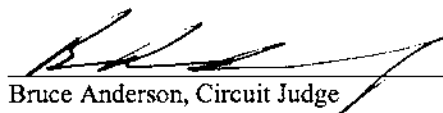
- Court Costs/Fines Waived
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on March 14, 2019

NUNC PRO TUNC _____



Bruce Anderson, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

Defendant

Instructed by: _____
Supervising Officer

STATE OF FLORIDA
DEPARTMENT OF CORRECTIONS

Docket #: 162018CF013076AXXXMA
DC#: 190340
Judge/Division: Soud /A

WARRANT

In the Name of the State of Florida, to All and Singular the Sheriffs of the State of Florida:

WHEREAS, Officer A. Cheslo-Brown has made oath that on the 14th day of March, A.D. 2019 one Jessica Michelle Thomas, hereinafter referred to as the offender, was placed on probation for the offenses of Ct. 1) Possession With Intent to Sell Cocaine While Armed, Cts. 2-4) Possession of Controlled Substance While Armed, Ct. 5) Possession of More Than 20 Grams of Cannabis While Armed and Ct. 6) Carrying a Concealed Firearm in the Circuit Court of Duval County, for a term of 24 months in accordance with the provisions of chapter 948, Florida Statutes, and that the offender has not properly conducted herself, but has violated the conditions of her probation in a material respect by:

Violation of Condition (5) of the Order of Probation, by failing to live without violating any law by committing the criminal offense of Ct. 1) Knowingly Drive While License Suspended, Cancelled or Revoked on August 23, 2020, in Duval County, FL, and as grounds for belief that the offender violated her probation, Officer A. Cheslo-Brown states that the offender did commit the said offense in the Circuit Court in and for Duval County, Florida, in Case # 162020CT009933AXXXMA filed on August 27, 2020, as told to Officer A. Cheslo-Brown by conducting a local records check via CORE.

Violation of Condition (9) of the Order of Probation, by failing to promptly and truthfully answer all inquiries directed by the probation officer, and as grounds for belief that the offender violated her probation, Officer A. Cheslo-Brown states that on August 27, 2020, the offender did answer untruthfully an inquiry by the probation officer as to denying having contact with any type of law enforcement.

THESE ARE, THEREFORE, to command you to arrest instanter the offender, Jessica Michelle Thomas, and bring her before me to be dealt with according to law.

Given under my hand and seal this 30th day of August, A.D. 2021

2018-033280

Adrian Soud

Judge Adrian Soud
Circuit Court in and for Duval County

No bond, Nationwide extradition required by Interstate Compact

No bond

ROR

Bond set at \$ _____

Extradition Instructions:

CASE # 162018CF013076AXXXMA
IN THE CIRCUIT COURT OF Duval COUNTY

STATE OF FLORIDA

VIOLATION OF PROBATION
WARRANT

ORDER TO TAKE INTO CUSTODY

STATE OF FLORIDA
VS.

Jessica Michelle Thomas



THIS WARRANT WAS RECEIVED BY THIS DEPARTMENT AT _____ COUNTY, FLORIDA ON THE _____ DAY OF _____, A.D. 20____, AND EXECUTED IN _____ COUNTY, FLORIDA ON THE _____ DAY OF _____, A.D. 20____, BY ARRESTING THE WITHIN NAMED _____

ARRESTING OFFICER

DEPARTMENT

DATE AND TIME OF SERVICE

PLACE OF SERVICE

IDENTIFYING DATA

AKA: Jessica Michelle Summey
RACE: W SEX: F DOB: [REDACTED]
SMT: N/A
HGT: 5' 06" WGT: 160 lbs.
EYES: Brown HAIR: Brown
SS#: [REDACTED]
DL#: [REDACTED]
CURRENT LOCATION/LAST KNOWN ADDRESS:
7054 Mt. Vernon Cir., Jacksonville, FL 32210
Phone: (Home) N/A (Cell) 904-309-0662
Residing with/relationship: Adrain Dekle/Boyfriend,
Breaunna Thomas/Daughter, Mahlia Thomas/Daughter
Employer name/phone: Phoenix Tax Center/904-778-1606
Place of birth: Jacksonville, FL
Vehicle info: 2007 Black Ford 500

| | | |
|-----------------------------------|-------------------------------------|-------------------------------------|
| History of violence: | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Prior resisting arrest: | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Prior use or possession of weapon | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Interstate Compact case: | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

| | | | | |
|-------------------|------------|------------|------------|------------|
| First Appearance: | Continued: | Continued: | Continued: | Continued: |
| Continued: | Continued: | Continued: | Continued: | Continued: |

Route To
Groups:
People:



Arrest and Booking Report
Jacksonville Sheriff's Office
Jacksonville, Florida

ADULT
THOMAS, JESSICA MICHELLE

| | | |
|--------------------------------|-----------------------------------|------------------------|
| Year: 2018 | Incident #: 861737 | Amend #: |
| Previous Juvenile Jail Number: | | |
| Jail #: 2021019827 | Admitted: 09/20/2021 14:57 | File Direct: NO |
| JSO ID#: | Court: Circuit | |
| SSN: | OBTS #: | |

Incident Information

Incident Location

921 DAVIS ST N
JACKSONVILLE, FL 32209
Sub-sector: **B1** TAZ: **73**

Arrest Location

921 DAVIS ST N
JACKSONVILLE, FL 32209
Sub-sector: **B1** TAZ: **73**

CLERK COPY

Arresting Agency: **JACKSONVILLE SHERIFF'S OFFICE**
Day/Date/Time Incident From: **Monday, 09/20/2021 14:25** To: **Monday, 09/20/2021 14:25**
Offense Location Type: **HIGHWAY / ROADWAY**

Day/Date/Time Arrested: **Monday, 09/20/2021 14:44**

Miscellaneous

Interviewed By:

Drug Activity: **UNKNOWN** Drug Type: **NOT APPLICABLE**

Arrest Made On: **CALL** Is Incident Gang Related?: **NO** Was Hate Crime Involved?: **NO** Was Dating Violence Involved?: **NO**

Domestic Violence Related?: **NO** If No, Is it Domestic Related?: **NO** Any Children under 18 Involved as a Victim?: **NO**

Arrestee - **THOMAS, JESSICA MICHELLE**

ORIGINAL

Demographics

Race: **WHITE** Sex: **FEMALE** Date of Birth: **[REDACTED]**
Ethnicity: **NOT OF HISPANIC ORIGIN**
Primary Language: **English** Secondary Language: **NOT APPLICABLE**
Age: **40** Height (inches): **5'06"** Weight (lbs): **140**
Hair Style: **STRAIGHT** Hair Color: **BLONDE/STRAWBERRY** Hair Length: **LONG**
Build: **MEDIUM** Eye Color: **BROWN** Facial Hair: **NONE**
Complexion: **FAIR / LIGHT** Voice: **NORMAL**
Clothing/Description:
Place of Birth: **JACKSONVILLE, FLORIDA, UNITED STATES** Place of Birth County: **DUVAL**
Nicknames:
Aliases:

FELONY

21 SEP 2021

Distinguishing Marks (Scars, Marks, and Tattoos)

Contact Information

Home Phone #: Bus. Phone #: Ext.: Alt. Phone #:
Cell Phone #: Cell Phone Provider:
Email Address:

Primary Identification

Type of ID Given: **DRIVERS LICENSE** ID: **[REDACTED]** Issuing State: **FLORIDA**

Agency Identification

JSO ID: JPICS ID:

Home Address

7054 MT VERNON CIR
JACKSONVILLE, FL 32210
Sub-sector: **K1** TAZ: **618**

Mailing Address

7054 MT VERNON CIR
JACKSONVILLE, FL 32210
Sub-sector: **K1** TAZ: **618**

Alternate Address

ORIGINAL

Employment/School

Employer: Occupation:
School Last Attended: **UNITED STATES** US Citizen?: **YES** Residence Type: **CITY** Residence Status: **RESIDENT**
Country of Citizenship:

Arrest 2018-0861737 (Continued)

ECD Usage:

RTR written related to this incident?: NO RTR Incident Yr: RTR Incident #:
Drugs Involved?: NO Alcohol Involved?: NO Computer Involved?: NO
Is Arrestee a Gang member?: NO Required to register as a sex offender?: NO
Suspect Invoke Miranda?: NO Miranda Rights Given?: NO Arrestee Confessed?: NO Arrestee needs ADA Consideration?: NO

Weapon(s) Involved
Weapon(s): NOT APPLICABLE (NONE)

Offense(s)

#1 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed

VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSD Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 790.01(2) Degree: F3 UCR Code: Attempt Code: Committed
Description: USER DEFINED : CARRYING CONCEALED FIREARM

#2 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed

VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSD Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 893.13(1)(A)1 Degree: F1 UCR Code: Attempt Code: Committed
Description: USER DEFINED : ARMED - POSSESS COCAINE WITH THE INTENT TO SELL/MFG/DELIVER

#3 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed

VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSD Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 893.13(6)(A) Degree: F2 UCR Code: Attempt Code: Committed
Description: USER DEFINED : POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED

#4 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed

VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSD Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 893.13(6)(A) Degree: F2 UCR Code: Attempt Code: Committed
Description: USER DEFINED : POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED

#5 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed

VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSD Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:

Arrest 2018-0861737 (Continued)

Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 893.13(6)(A) Degree: F2 UCR Code: Attempt Code: Committed
Description: USER DEFINED : POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED

#6 Statute #: 948.06 Degree: NA UCR Code: 9000 Attempt Code: Committed
VIOLATION OF PROBATION OR COMMUNITY CONTROL - FELONY OFFENSE

Citation #: SA #: 18CF071136AD Warrant Type: VOP Local
JSO Control #: 1634285 Warrant/Case #: 16-2018-CF-013076-AXXX-MA No. of Counts:
Jurisdiction: FL DEPT OF CORRECTIONS Court Location/Div.: CR-A Judge: A C SOUD
Purge/Bond Type: No Bond Bond Amount: Date of Issue: 08/30/2021 Date of Return: 09/20/2021
Criminal Activity Type:
VOP/FTA ONLY

Original Statute No: 893.13(6)(A) Degree: F2 UCR Code: Attempt Code: Committed
Description: POSSESSION OF MORE THAN TWENTY GRAMS OF CANNABIS WHILE ARMED

Additional Information
Local VOP Warrant Served

Signature

I, R.T. SPLATT (#79013), swear or affirm that the information provided within this affidavit and any additional documentation referenced in this affidavit to be true and correct to the best of my knowledge.

Arresting Officer #1: /s/ R.T. SPLATT (#79013)
Div/Zone or Unit: PATROL

Sworn to me this 20th day of September, 2021, by the referenced arresting officer under oath. The officer is personally known to me.

Approving Supervisor: /s/ K.B. KILCOIN (#68032) Status: Approved

Administrative

Transported By: R.T. SPLATT (#79013)

Arresting Officer #2: N/A
Num of Cases Cleared:

Additional Reviewers

Report Reviewer 2: /s/ J.A. BARBER (#83544) Status: Approved
Report approved on: 09/20/2021 14:58

Investigation Time #1

Hour(s): 01 Minute(s): 00 Cost Amount: \$48.24

Additional Question(s)

Body Worn Camera Footage
01 Is there Body Worn Camera (BWC) footage for incident?: YES

Jail Information (Back Door)

Jail Number: 2021019827

Date and Time Admitted: 09/20/2021 14:57

Juvenile/Court Clerk #:

Triage Questions

Involved in Traffic Accident?: NO Injuries from Accident?:
Was OC Deployed Prior to/during Arrest?: NO Was a Hobbie Restraint used on the Arrestee?: NO
Does the Arrestee Exhibit any Signs of Suicidal Behavior or Attempts?: NO Does the Arrested have any Observable Medical/Mental Health Problems?: NO
Has the Arrestee Shown any Escape Potential or Violence Propensity Behaviors?: NO
Is there any other Information about the Arrestee that Jail Personnel need to Know?: NO
If Yes, What?:

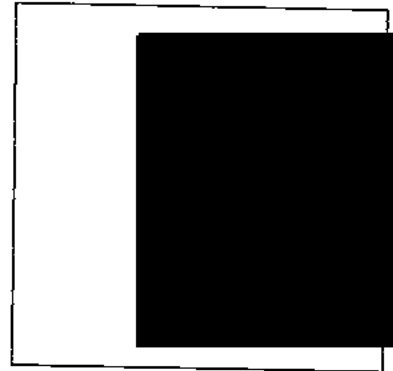
Part II of Arrest And Booking Report:

ORIGINAL

Arrestee Personal Information: How Long in Jax? 40 yrs

| Chemical Test Data | | | | |
|-------------------------|------------|-------|---------|----------|
| Specimen: | | | | |
| Blood | Breath | Urine | Unable | None |
| Analysis Results: | | | | Refused: |
| 1st | g/210 | TIME: | Blood: | |
| 2nd | g/210 | TIME: | Breath: | |
| 3rd | g/210 | TIME: | Urine: | |
| Breath Test Instrument: | | | | |
| Type: | Machine #: | | | |
| Operator Name and ID#: | | Date: | | |

Verification By: _____



Right Thumb Print

EXHIBIT #2
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Jody Phillips
Clerk of Circuit Court

ADD ON DOCKET

Date: SEPTEMBER 21, 2021

Judge: MICHAEL BATEH

P/A: JESSICA LEFEBVRE

Joy Whitmore

DEF ADJ INSOLVENT &
PUBLIC DEFENDER APPT'D

PASS TO: 10/04/2021
CIRCUIT COURT

PSJ2451

APP FOR CRIMINAL INDIGENT STATUS
DEFT FOUND INDIGENT NOT INDIGENT

EXHIBIT #2
PAGE 22/34
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FLORIDA DEPARTMENT OF CORRECTIONS
VIOLATION REPORT

REPORT CONTAINS CONFIDENTIAL INFORMATION

Date: Tuesday, August 24, 2021 MANDATORY RETAKING FROM: _____

To: Honorable Judge Soud From: Officer A. Cheslo-Brown
Name: Jessica Thomas DC No: I90340 Circuit: 4
Case No: 162018CF013076AXXXMA UC No: 162018CF013076AXXXMA
Scheduled Termination Date: 12/04/2021

REQUESTING

Violation of Probation Hearing-Warrantless Arrest Conducted
 Warrant for Arrest (Violation of Probation)
 Violation of Probation Hearing without Warrant-(Notice to Appear)
 No further action

TYPE OF REPORT

Non-Compliance with Conditions
 Delinquent Monetary Obligations Only
 Arrest/New Charge
 Warrantless Arrest

LOCATION

At Large
 In Custody
 On Bond
 ROR
 Absconder
Current Address 7054 Mount Vernon Cir.
Jacksonville, FL 32210

(1) HOW VIOLATION OCCURRED:

Violation of Condition (5) of the Order of Probation, by failing to live without violating any law by committing the criminal offense of Ct. 1) Knowingly Drive While License Suspended, Cancelled or Revoked on August 23, 2020, in Duval County, FL, and as grounds for belief that the offender violated her probation, Officer A. Cheslo-Brown states that the offender did commit the said offense in the Circuit Court in and for Duval County, Florida, in Case # 162020CT009933AXXXMA filed on August 27, 2020, as told to Officer A. Cheslo-Brown by conducting a local records check via CORE.

Violation of Condition (9) of the Order of Probation, by failing to promptly and truthfully answer all inquiries directed by the probation officer , and as grounds for belief that the offender violated her probation, Officer A. Cheslo-Brown states that on August 27, 2020, the offender did answer untruthfully an inquiry by the probation officer as to denying having contact with any type of law enforcement.

On August 24, 2021 at 2:19pm, this officer conducted a records check via FCIC/NCIC and saw that the offender had no new arrests. This officer then conducted a local records check via CORE and found that the offender obtained a Notice to Appear on August 23, 2020 for Case Number:

162020CT009933AXXXMA. The citation can be found attached at the end of the Violation of Probation Report.

On August 23, 2020, the offender was issued a Notice to Appear by Officer A. Residovic of the Jacksonville Sheriff's Office for Ct. 1) Knowingly Drive While License Suspended, Cancelled or Revoked. The offender signed the Florida Uniform Traffic Citation: ADU8IQE on August 23, 2020 acknowledging her Notice to Appear. According to CORE, the citation was filed on August 27, 2020.

On August 27, 2020 at 6:25pm, Officer O. Ugarte received a phone call from the offender. During this phone call, Officer Ugarte asked the offender if she had any contact with law enforcement, which she replied that she had not knowing she was just issued a Notice to Appear four days prior.

On February 9, 2021, Honorable Judge Dawn Hudson dropped the charges against the offender.

(2) **OFFENDER'S STATEMENT:** On August 24, 2021 at 3:01pm, this officer called the offender and asked her why she did not disclose her Notice to Appear to Officer Ugarte. The offender stated that she did not know and thought she only needed to disclose the "negative things" that would violate her probation.

(3) **HISTORY OF SUPERVISION:** ADJUDICATION WITHHELD ADJUDICATED
Original sentence: On March 14, 2019, Honorable Judge Bruce Anderson sentenced the offender to serve 12 months jail with credit for 87 days jail time followed by 24 months Probation for Ct. 1) Possession With Intent to Sell Cocaine While Armed, Cts. 2-4) Possession of Controlled Substance While Armed, Ct. 5) Possession of More Than 20 Grams of Cannabis While Armed and Ct. 6) Carrying a Concealed Firearm.

Prior violation(s) of supervision for all periods of supervision and disposition(s) of violation(s) include the following:

There are no prior violations of supervision.

Florida Crime Information Center (FCIC) and National Crime Information Center (NCIC) criminal history record attached. **NOTE: FCIC/NCIC criminal history record information is not public record, pursuant to chapter 119, Florida Statutes.**

RESIDENCE: STABLE UNSTABLE ABSCONDED
Resides with: The offender maintains a stable residence. The offender currently resides at 7054 Mount Vernon Cir., Jacksonville, FL 32210. The offender lives with Adrian Dekle, boyfriend, Breanna Thomas, daughter (minor), and Mahlia Thomas, daughter (minor).

EMPLOYMENT: EMPLOYED RETIRED/DISABLED STUDENT UNEMPLOYED

Current Employer/school name and address: Phoenix Tax Center, 7945 103rd St., Jacksonville, FL 32210

Full-time employment or school attendance: Part-time employment or school attendance:

Monthly salary or other source of income: \$1,200.00

RESTITUTION: N/A PAID IN FULL COMPLYING DELINQUENT

Original Current
Obligation: _____ Balance: _____

COURT COSTS/FINES: N/A PAID IN FULL COMPLYING DELINQUENT

Original Current
Obligation: _____ Balance: _____

ELECTRONIC MONITORING: N/A PAID IN FULL COMPLYING DELINQUENT

Original Current
Obligation: _____ Balance: _____

COST OF SUPERVISION: N/A PAID IN FULL COMPLYING DELINQUENT

Original Current
Obligation: _____ Balance: _____

PUBLIC SERVICE WORK: N/A COMPLETED COMPLYING DELINQUENT

Total Hours Current
Imposed: _____ Balance: _____

TREATMENT STATUS: N/A COMPLETED COMPLYING NON-COMPLIANT


Summary of offender's current and prior participation in treatment, educational, and vocational programs:

| Treatment Date | Program Description | Termination Date | Status |
|----------------|---------------------|------------------|------------|
| 12/05/2019 | AFTERCARE | 09/18/2020 | SUCCESSFUL |


STATUS OF OTHER SPECIAL CONDITIONS: There are no other updates at this time.

- (4) **RECOMMENDATION:** This officer respectfully requests that a Notice to Appear be issued and that a Violation of Probation Hearing be conducted. The offender obtained a Notice to Appear for Ct. 1) Knowingly Drive While License Suspended, Cancelled or Revoked and willingly lied to Officer Ugarte when questioned about having any contact with law enforcement. This is the offender's first violation thus far. Should the Court wish to issue a warrant, one has been provided for Your Honor's review and signature. The Department of Corrections recommends that the offender's probation be reinstated and modified to include: 8:00pm to 6:00pm until the offender terminates from probation, which is scheduled to term on December 4, 2021.

The foregoing is true and correct to the best of my knowledge and belief.



A. Cheslo-Brown, Officer

Approved: 

A. Davis, Supervisor

EXHIBIT #2
PAGE 25/34



COMPLAINT

CASE NO _____ DOCKET NO _____ PAGE NO _____

ADUBIQE 8

FLORIDA UNIFORM TRAFFIC CITATION

COUNTY OF **DUVAL (2)** TYPHO TRIP STOP TAIL LIGHT
JACKSONVILLE SHERIFFS OFFICE

CITY OF (IF APPLICABLE)
JACKSONVILLE

IN THE COURT DESIGNATED BELOW THE UNDERSIGNED CERTIFIES THAT HE/SHE HAS JUST AND REASONABLE GROUNDS TO BELIEVE AND DOES BELIEVE THAT ON

COMPLAINT (RETAINED BY COURT)

DAY OF WEEK: **SUN** MONTH: **08** DAY: **23** YEAR: **2020** TIME: **06:52** AM PM

NAME (PRINT) FIRST: **JESSICA** MIDDLE: **MICHELLE** LAST: **THOMAS**

STREET: **12000 COBBLESTONE FOREST CIR S**

CITY: **JACKSONVILLE** STATE: **FL** ZIP CODE: **32226**

PHONE NUMBER (AREA CODE) (NUMBER): [REDACTED] W: [REDACTED] F: **806**

EXPIRES: **FL** E: I: 2021 I: 2021

YEAR: **2000** MAKE: **TOYT** MODEL: **4D** COLOR: **WHI** NO. AND TYPE OF SEATS: NO. YES 2

EXPIRES: **KPJM87** STATE: **FL** YEAR: **2020** NO. 2

VEHICLE REGISTRATION: **1881 LANE AVE S** NO. 2

DID UNLAWFULLY COMMIT THE FOLLOWING OFFENSE

- UNLAWFUL SPEED MPH SPEED APPLICABLE _____ MPH
 INTERSTATE SCHOOL ZONE CONSTRUCTION WORKERS PRESENT
 SPEED MEASUREMENT DEVICE _____
- CARELESS DRIVING CHILD RESTRAINT EXPIRED DRIVER LICENSE SIX (6) MONTHS OR LESS
 VIOLATION OF TRAFFIC CONTROL DEVICE SAFETY BELT VIOLATION EXPIRED DRIVER LICENSE MORE THAN SIX (6) MONTHS
 FAILURE TO STOP AT A TRAFFIC SIGNAL IMPROPER OR UNSAFE EQUIPMENT NO VALID DRIVER LICENSE
 IMPROPER LANE CHANGE OR COURSE EXPIRED TAG SIX (6) MONTHS OR LESS DRIVING WHILE LICENSE SUSPENDED OR REVOKED
 NO PROOF OF INSURANCE EXPIRED TAG MORE THAN SIX (6) MONTHS DRIVING UNDER THE INFLUENCE
 VIOLATION OF RIGHT-OF-WAY IMPROPER PASSING Passenger Under 18 Yrs BAL _____

KNOWINGLY OPERATING WHILE DL SUSPENDED / CANCELLED / REVOKED (SPECIFY REASON). DRIVER ADVISED SHE HAS PRIOR KNOWLEDGE OF DRIVER LICENSE BEING SUSPENDED. SUSPENDED ON 04/15/2015

NO YES

STATE STATUTE **322.34(2)**

NO YES

TRAFFIC VIOLATIONS

ADUBIQE 8

TRAFFIC VIOLATIONS

ADUBIQE 8

801 WEST ADAMS STREET - 1ST FLOOR EASTSIDE LOBBY

JACKSONVILLE, FLORIDA 32202 (904) 255-2300

WITHIN 30 CALENDAR DAYS CONTACT

TRAFFIC VIOLATIONS

801 WEST ADAMS STREET - 1ST FLOOR EASTSIDE LOBBY

JACKSONVILLE, FL 32202

(904)255-2300

TRAFFIC VIOLATIONS

801 WEST ADAMS STREET - 1ST FLOOR EASTSIDE LOBBY

JACKSONVILLE, FL 32202

(904)255-2300

TRAFFIC VIOLATIONS

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TRAFFIC VIOLATIONS

801 WEST ADAMS STREET - 1ST FLOOR EASTSIDE LOBBY

JACKSONVILLE, FL 32202

(904)255-2300

DATE _____ COURT ACTION AND OTHER ORDERS _____

BAIL FIXED AT \$ _____ OR CASH DEPOSIT OF \$ _____

SIGNATURE OF PERSON GIVING BAIL _____

SIGNATURE OF PERSON TAKING BAIL _____

FINE IN THE AMOUNT OF \$ _____ RECEIVED AS REQUIRED BY COURT SCHEDULE

SIGNATURE OF CLERK _____

CONTINUANCE TO _____ REASON _____

CONTINUANCE TO _____ REASON _____

BOND ESTREATED _____

WARRANT ISSUED _____

VIOLATOR FAILED TO APPEAR-DRIVER LICENSE SUSPENDED

VIOLATOR ARRAIGNED ON _____ (DATE)

PLEA: _____

FINDING: _____

ADJUDICATION: _____

SENTENCE: FINE _____ COST _____

JAILED _____ DAYS

DRIVER IMPROVEMENT SCHOOL _____

OTHER _____

DRIVER LICENSE SUSPENDED OR REVOKED FOR _____ DAYS

RECOMMEND DRIVER LICENSE SUSPENSION FOR _____ DAYS

RECOMMEND RE-TEST _____

SIGNATURE OF JUDGE _____

TESTIMONY - JUDGE'S NOTES (OR OTHER COURT ORDERS):

APPEAL BOND OF \$ _____

VIOLATOR'S FINGERPRINT WHEN APPLICABLE



I AGREE AND PROMISE TO COMPLY AND ANSWER TO THE CHARGES AND INSTRUCTIONS SPECIFIED IN THIS CITATION. WILLFUL REFUSAL TO ACCEPT AND SIGN THE CITATION MAY RESULT IN ARREST. I UNDERSTAND MY SIGNATURE IS NOT AN ADMISSION OF GUILT OR WAIVER OF RIGHTS IF YOU NEED REASONABLE FACILITY ACCOMMODATIONS TO COMPLY WITH THIS CITATION, CONTACT THE CLERK OF THE COURT.

Jessica Thomas

A. Residovic ID# 80012

OFFICER **A. RESIDOVIC** 80012 K250

NAME (LAST, FIRST, MIDDLE) (INITIALS) (PHONE NUMBER)

DATE OF CITATION (MONTH, DAY, YEAR) (TIME)

HEAVY 76001 (REV. 07/12)

EXHIBIT #2
 PAGE 2666

IN THE CIRCUIT COURT, FOURTH
JUDICIAL CIRCUIT, IN AND FOR
DUVAL COUNTY, FLORIDA

CASE NUMBER: 16-2018-CF-013076-AXXX-MA
DIVISION: CR-A

STATE OF FLORIDA

vs.
JESSICA MICHELLE THOMAS, DEFENDANT

**PROBATION OF 3/14/19
REVOKED & SET ASIDE**

- Probation Violator
- Community Control Violator
- Retrial
- Resentence

JUDGMENT AND SENTENCE

The defendant, **JESSICA MICHELLE THOMAS**, being personally before this Court, represented by P. VENUTI, PD, the attorney of record, and the State being represented by A. DUSO, and having:

- been tried and found guilty by jury/by court of the following crime(s)
- entered a plea of guilty to the following crime(s)
- entered a plea of nolo contendere to the following crime(s)

| Count | Crime | Offense Statute Number(s) | Degree Of Crime |
|-------|--|---------------------------|-----------------|
| 1 | POSSESSION WITH INTENT TO SELL COCAINE WHILE ARMED | 893.13(1)(a) & 775.087(1) | 1° F |
| 2-4 | POSSESSION OF CONTROLLED SUBSTANCE WHILE ARMED | 893.13(6)(a) & 775.087(1) | 2° F |
| 5 | POSSESSION OF MORE THAN TWENTY GRAMS OF CANNABIS WHILE ARMED | 893.13(6)(a) & 775.087(1) | 2° F |
| 6 | CARRYING A CONCEALED FIREARM | 790.01(2) | 3° F |

- and no cause being shown why the defendant should not be adjudicated guilty; IT IS ORDERED that the defendant is hereby ADJUDICATED GUILTY of the above crime(s).
- being a qualified offender pursuant to F.S. 943.325, the defendant shall be required to submit a DNA sample as required by law.
- and good cause being shown; IT IS ORDERED THAT ADJUDICATION OF GUILT BE WITHHELD.

EXHIBIT #2
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FILED
OCT 05 2021

STATE OF FLORIDA
vs.
JESSICA MICHELLE THOMAS, DEFENDANT

CASE NUMBER: 16-2018-CF-013076-AXXX-MA
SSN:

FINGERPRINTS OF DEFENDANT

| 1. Right Thumb | 2. Right Index | 3. Right Middle | 4. Right Ring | 5. Right Little |
|----------------|----------------|-----------------|---------------|-----------------|
| | | | | |
| 6. Left Thumb | 7. Left Index | 8. Left Middle | 9. Left Ring | 10. Left Little |
| | | | | |

Fingerprints taken by: Name: Ficke, S 84192 Title: Bailiff

I HEREBY CERTIFY that the above and foregoing are the fingerprints of the defendant, **JESSICA MICHELLE THOMAS**, and that they were placed thereon by the defendant in my presence in open court on this date.

DONE AND ORDERED in open court in Jacksonville, Duval County, Florida, this _____ day of OCT 05 2021, 20_____.

Barney D Cooper
Judge

EXHIBIT #2
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JESSICA MICHELLE THOMAS, DEFENDANT

CASE NUMBER: 16-2018-CF-013076-AXXX-MA
OBTS # 1607015110

SENTENCE

(As to Count (s) 1-6)

The defendant, being personally before this court, accompanied by the defendant's attorney of record P. VENUTI, PD, and the adjudication/withhold having been determined, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown:

- and the court having on _____ deferred imposition of sentence until this date.
- and the court having previously entered a judgment in this case on _____ now resents the defendant.
- and the court having placed the defendant on probation/~~community control~~, and having subsequently revoked the defendant's probation/~~community control~~;

It Is The Sentence Of The Court That (check all that are applicable; unmarked sections are inapplicable):

- The defendant pay a fine of \$ _____, pursuant to section 775.083, Florida Statutes, plus \$ _____ at the 5% surcharge required by section 938.04, Florida Statutes.
- The defendant is hereby committed to the custody of the Department of Corrections.
- The defendant is hereby committed to the custody of the Sheriff of Duval County, Florida.
- The defendant is sentenced as a Youthful Offender in accordance with section 958.04, Florida Statutes.

To be Imprisoned (check one; unmarked sections are inapplicable):

- For a term of natural life.
- For a term of 12 MONTHS + 15 DAYS
- Said SENTENCE SUSPENDED for a period of _____ subject to conditions set forth in this order.
- Youthful Offender Sentence:

Pursuant to the Florida Youthful Offender Act, the defendant is hereby sentenced to _____, of which _____ shall be served by incarceration followed by _____ in a Community Control Program according to the terms and conditions set forth in a separate order.

Split Sentence (complete the appropriate paragraph):

- Followed by a period of _____ on probation/community control under the supervision of the Department of Corrections according to the terms and conditions of supervision set forth in a separate order.
- However, after serving a period of _____ imprisonment in _____, the balance of the sentence shall be suspended and the defendant shall be placed on probation/community control or a period of _____ under the supervision of the Department of Corrections according to the terms and conditions of probation/community control set forth in a separate order.

In the event the defendant is ordered to serve additional split sentences, all incarceration portions shall be satisfied before the defendant begins service of the supervision terms.

Imposition of Sentence Stayed and Withheld (check one; unmarked sections are inapplicable):

- The court hereby stays and withholds the imposition of sentence and places the defendant on:
 - Probation/community control for a period of _____ under the supervision of the Department of Corrections with a special condition that the defendant serve _____ in Duval County Jail, with credit for _____ days.
 - Unsupervised probation for a period of _____ with the special condition that the defendant serve _____ days in Duval County Jail, with credit for _____ days. Unsupervised probation will terminate upon completion of special condition.

(All other general/special conditions of probation/community control shall be set forth in a separate order.)

JESSICA MICHELLE THOMAS, DEFENDANT

CASE NUMBER: 16-2018-CF-013076-AXXX-MA
OBTS # 1607015110

OTHER PROVISIONS

(As to Count (s) 1-6)

Current Jail Credit Time:

It is further ordered that the defendant shall be allowed a total of 12 MONTHS + 15 days as credit for time incarcerated on this case / count before imposition of this sentence.

Credit for Time Served on Violation of Probation / Community Control:
(check one)

It is further ordered that the defendant shall be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served only pursuant to section 921.0017, Florida Statutes, on count(s) _____. (Offenses committed on or after January 1, 1994.)

It is further ordered that the defendant be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served and unforfeited gain time previously awarded on count(s) _____. (Offenses committed before October 1, 1989.)

It is further ordered that the defendant be allowed _____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on count(s) _____. (Offenses committed between October 1, 1989, and December 31, 1993.)

Prior Prison Credit:

It is further ordered that the defendant shall be allowed _____ days time served between date of the original sentence being vacated to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on count(s) _____.

Forfeiture of Prior Gain/Good Time:
(check one)

The court deems the unforfeited gain time previously awarded on the above count(s) forfeited under section 948.06(7)

OR

The court allows unforfeited gain time previously awarded on the above count(s) (Gain time may be subject to forfeiture by the Department of Corrections under section 944.28(1))

It is further ordered that the sentence imposed for count(s) 2-6 shall run (check one)

consecutive to concurrent with the sentence set forth in count 1 of this case.

Concurrent As to Other Counts:
(if Applicable)

Consecutive/ Concurrent As To Other Sentences:
(if Applicable)

It is further ordered that the composite term of all sentences imposed for the counts specified in this order shall run (check one) consecutive to concurrent with the following: (check one)

any active sentence being served.
 specific sentences: _____

Retention of Jurisdiction:

The court retains jurisdiction over the defendant pursuant to section 947.16(4), Florida Statutes.

JESSICA MICHELLE THOMAS, DEFENDANT

CASE NUMBER: 16-2018-CF-013076-AXXX-MA

OBTS # 1607015110

In the event the defendant is sentenced to a period of incarceration in state prison, the Sheriff of Duval County, Florida, is hereby ordered and directed to deliver the defendant to the Florida Department of Corrections at the facility designated by the Department together with a copy of this judgment and sentence and any other documents specified by Florida Statute.

In open court, the defendant was advised of the right to appeal from this sentence by filing a notice of appeal with the clerk of this court within 30 days from this date and the right to the assistance of counsel in taking the appeal at the expense of the State on showing of indigency.

In imposing the above sentence, the court further recommends / adjudges:

Multiple horizontal lines for text entry.

DONE AND ORDERED in open court in Jacksonville, Duval County, Florida, this day of OCT 05 2021, 20 .

Shelby Cooper

Judge



IN THE CIRCUIT COURT, FOURTH
JUDICIAL CIRCUIT, IN AND FOR
DUVAL COUNTY, FLORIDA

CASE: 16-2018-CF-013076-AXXX-MA

DIVISION: CR-A (Circuit Court)

STATE OF FLORIDA

vs.

JESSICA MICHELLE THOMAS

ORDER REVOKING PROBATION

The Defendant was placed on Probation in the above styled case for a period of 24 MONTHS and on the 1ST day of SEPTEMBER, 2021 an affidavit for Violation of Probation was made against this Defendant, a copy of which has been served on this Defendant.

The Defendant, accompanied by ~~his~~/her attorney PETER VENUTI PD (admitted the violation of Probation) (~~requested and was granted a hearing~~), and the court, having heard the facts constituting the basis for such an affidavit for violation of Probation, and being fully advised therein, finds the defendant in violation of conditions 5.9.

It is ORDERED that the Probation of the 14TH day of MARCH, 2019 be and the same is HEREBY REVOKED.

DONE and ORDERED in open court at Jacksonville, Duval County, Florida this ____ day of

OCT 05 2021


for
ADRIAN SOUD
Circuit Judge

FILED

OCT 05 2021



([//www.dc.state.fl.us/index.html](http://www.dc.state.fl.us/index.html))

Florida Department of Corrections
 ([//www.dc.state.fl.us/index.html](http://www.dc.state.fl.us/index.html))



Ron DeSantis, Governor
Ricky D. Dixon, Secretary

"Inspiring Success by Transforming One Life at a Time"

[Offender Search \(/OffenderSearch/InmateInfoMenu.aspx\)](#) [Visit an Inmate \(/ci/visit.html\)](#)

[Correctional Institutions \(/ci/index.html\)](#) [Probation Services \(/cc/index.html\)](#) [Programs \(/development/index.html\)](#)

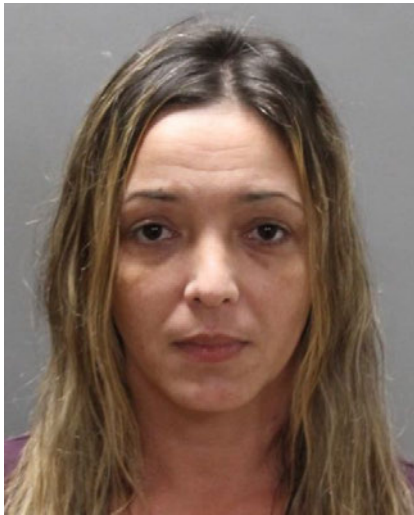
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Corrections Offender Network

Inmate Release Information Detail

(This information was current as of 10/11/2021)



DC Number: I90340
Name: THOMAS, JESSICA M
Race: WHITE
Sex: FEMALE
Birth Date: [REDACTED]
Custody: N/A
Release Date: 10/05/2021

Stated Residence Upon Release:

Available to Law Enforcement

Aliases:

JESSICA MICHELLE SUMMEY, JESSICA M THOMAS, JESSICA MICHELLE THOMAS

Current Prison Sentence History:

| Offense Date | Offense | Sentence Date | County | Case No. | Prison Sentence Length |
|--------------|-----------------------------|---------------|--------|----------|------------------------|
| 12/14/2018 | COCAINE-SALE/MANUF/DELIV. | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |
| 12/14/2018 | COCAINE - POSSESSION | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |
| 12/14/2018 | POSS.CONTROL.SUBS/OTHER | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |
| 12/14/2018 | HEROIN-POSS.LESS/10 GRAMS | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |
| 12/14/2018 | POSS.MARIJUANA OVR 20 GRAMS | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |
| 12/14/2018 | CARRYING CONCEALED FIREARM | 10/05/2021 | DUVAL | 1813076 | 1Y 0M 15D |

Note: The offense descriptions are truncated and do not necessarily reflect the crime of conviction. Please refer to the court documents or the Florida Statutes for further information or definition.

Incarceration History:

| Date In-Custody | Date Out-Custody |
|-----------------|------------------|
| 10/05/2021 | 10/05/2021 |

First
Previous
Next
Last
Return to List

New Search

Record: 5 of 8

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, release date, or other information regarding an inmate.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information only includes offenders sentenced to state prison or state supervision. Information contained herein includes current and prior offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Admission and Release, at (850) 488-9167 or go to [Frequently Asked Questions About Inmates for more information \(http://prod.fdc-wpws001.fdc.myflorida.com/ci/index.html \)](http://prod.fdc-wpws001.fdc.myflorida.com/ci/index.html). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=IR) Last Name: thomas First Name: jessica Search Aliases: YES Offense Category: Release Facility: ALL Stated County of Residence Upon Release: ALL County of Commitment: ALL

[Return to Corrections Offender Information Network \(../OffenderSearch/InmateInfoMenu.aspx \)](http://prod.fdc-wpws001.fdc.myflorida.com/ci/index.html)

About Us

<http://www.dc.state.fl.us/about.html>

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

Quick Links

- [Contact an Inmate](http://www.dc.state.fl.us/ci/ContactInmate.html) (<http://www.dc.state.fl.us/ci/ContactInmate.html>)
- [Victim Services](http://www.dc.state.fl.us/vict/index.html) (<http://www.dc.state.fl.us/vict/index.html>)
- [Public Records](http://www.dc.state.fl.us/comm/PRR.html) (<http://www.dc.state.fl.us/comm/PRR.html>)
- [Inmate and Offender Programming](http://www.dc.state.fl.us/development/index.html) (<http://www.dc.state.fl.us/development/index.html>)
- [Volunteer](http://www.dc.state.fl.us/volunteer/index.html) (<http://www.dc.state.fl.us/volunteer/index.html>)
- [Corrections Foundation](https://www.correctionsfoundation.org/) (<https://www.correctionsfoundation.org/>)
- [File a Complaint](https://www.fcor.state.fl.us/index.shtml) (<https://www.fcor.state.fl.us/index.shtml>)
- [Passport Information](https://www.fcor.state.fl.us/org/orgchart.html) (<https://www.fcor.state.fl.us/org/orgchart.html>)
- [Organization](https://www.dc.state.fl.us/org/orgchart.html) (<https://www.dc.state.fl.us/org/orgchart.html>)
- [Inspector General](https://www.dc.state.fl.us/ig/index.html) (<https://www.dc.state.fl.us/ig/index.html>)
- [Regulatory Plan](http://www.dc.state.fl.us/pub/regulatory/2018.pdf) (<http://www.dc.state.fl.us/pub/regulatory/2018.pdf>)
- [Prison Rape Elimination Act](http://www.dc.state.fl.us/PREA/index.html) (<http://www.dc.state.fl.us/PREA/index.html>)

Contact Us

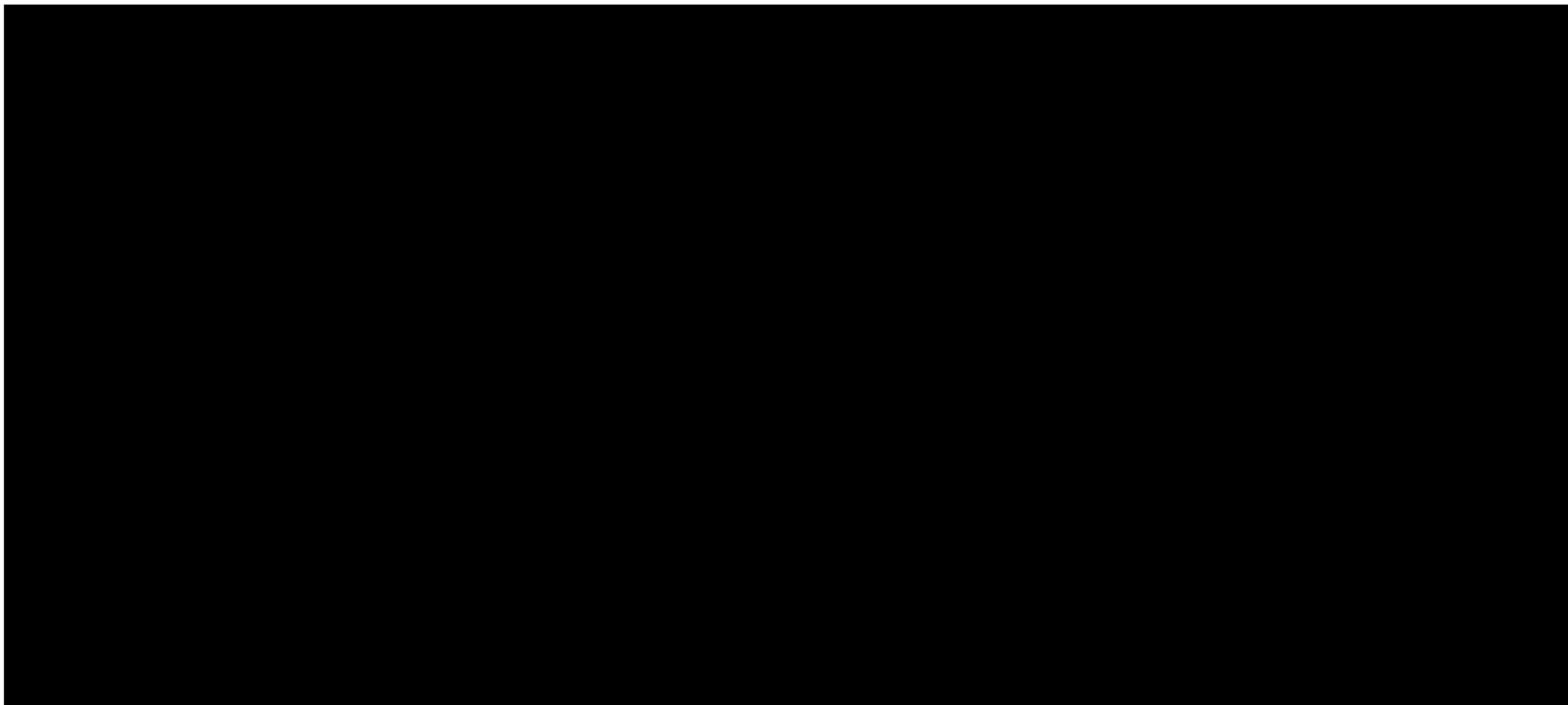
<http://www.dc.state.fl.us/ci>

*501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-7052*

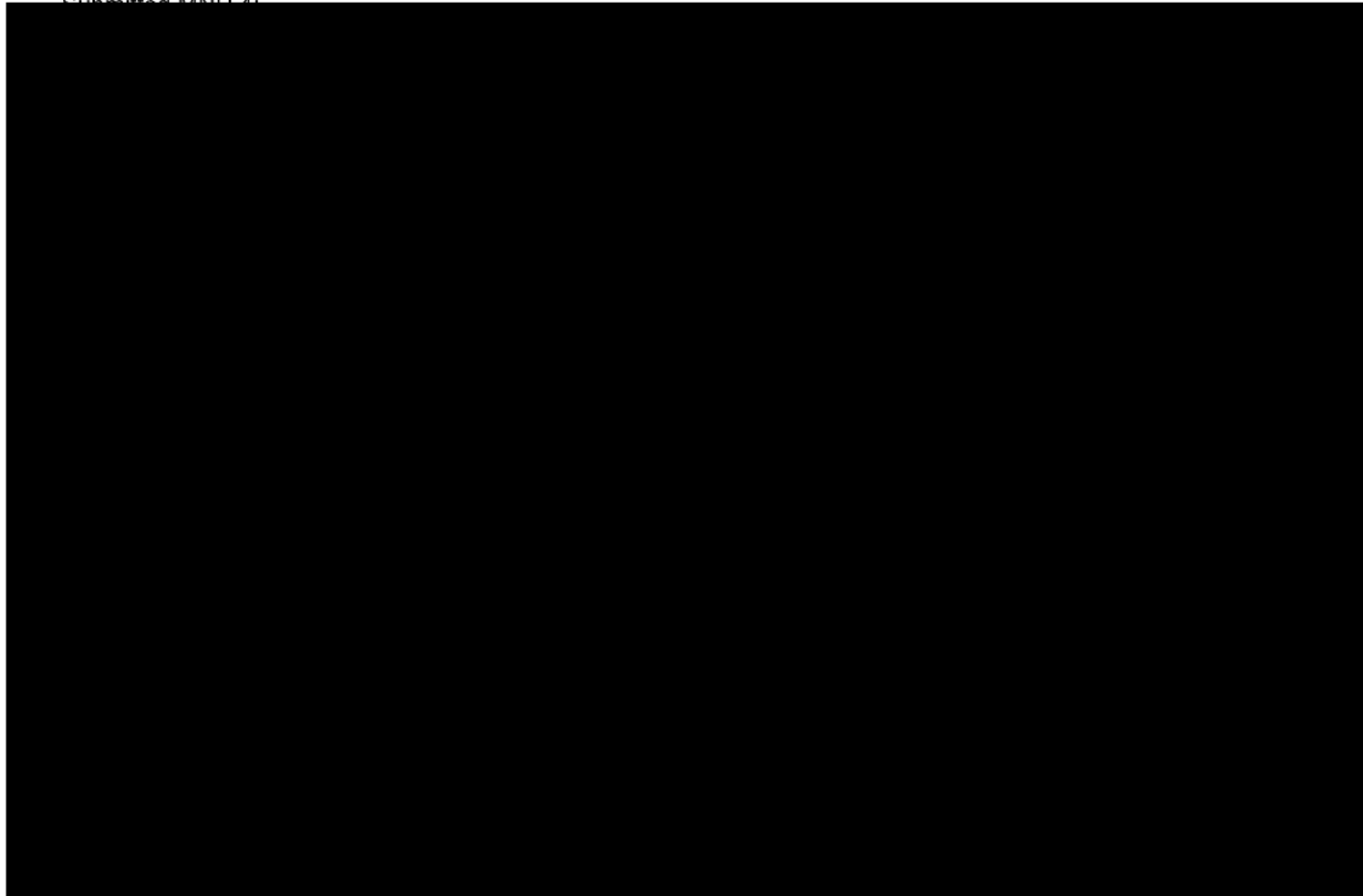
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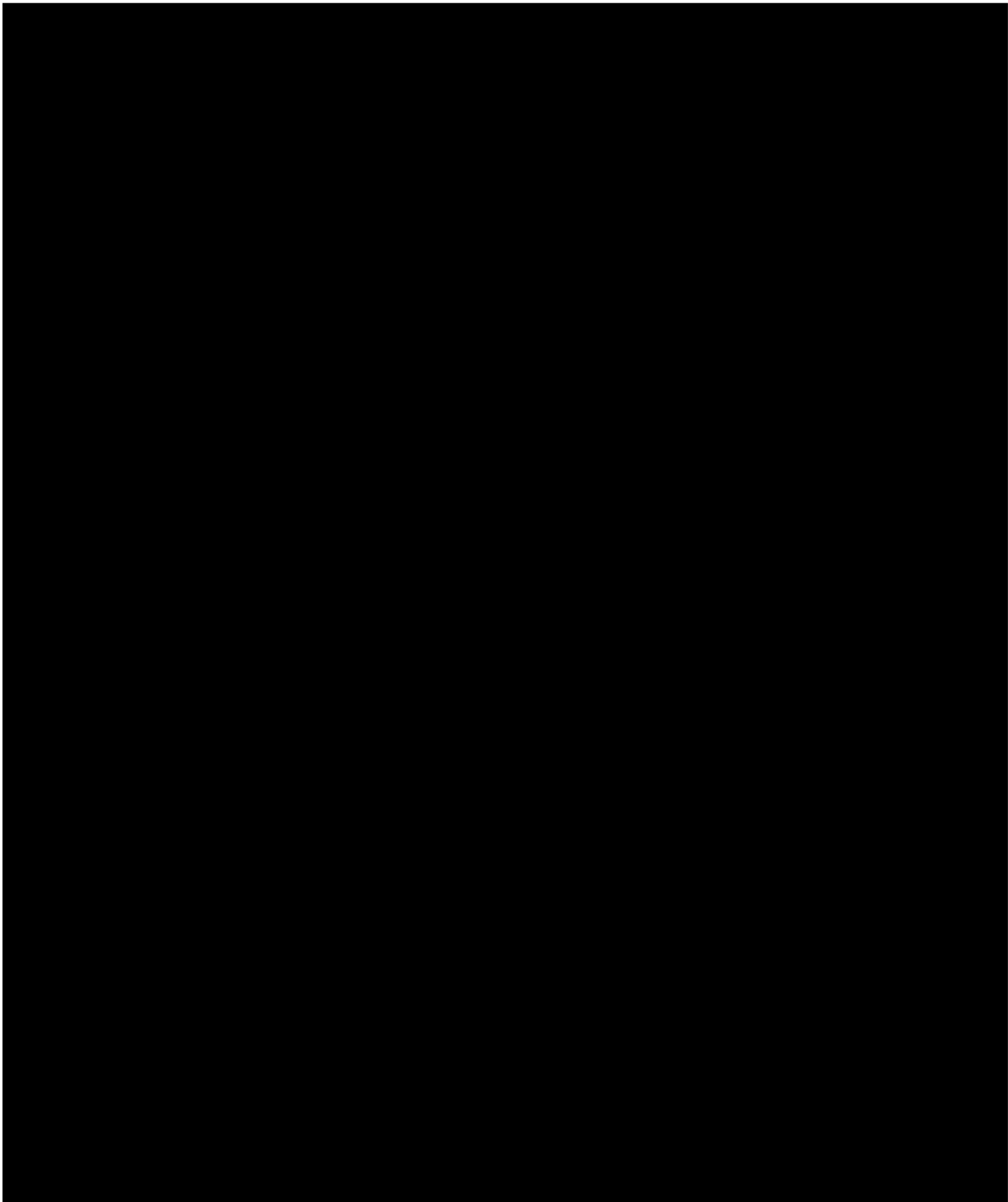
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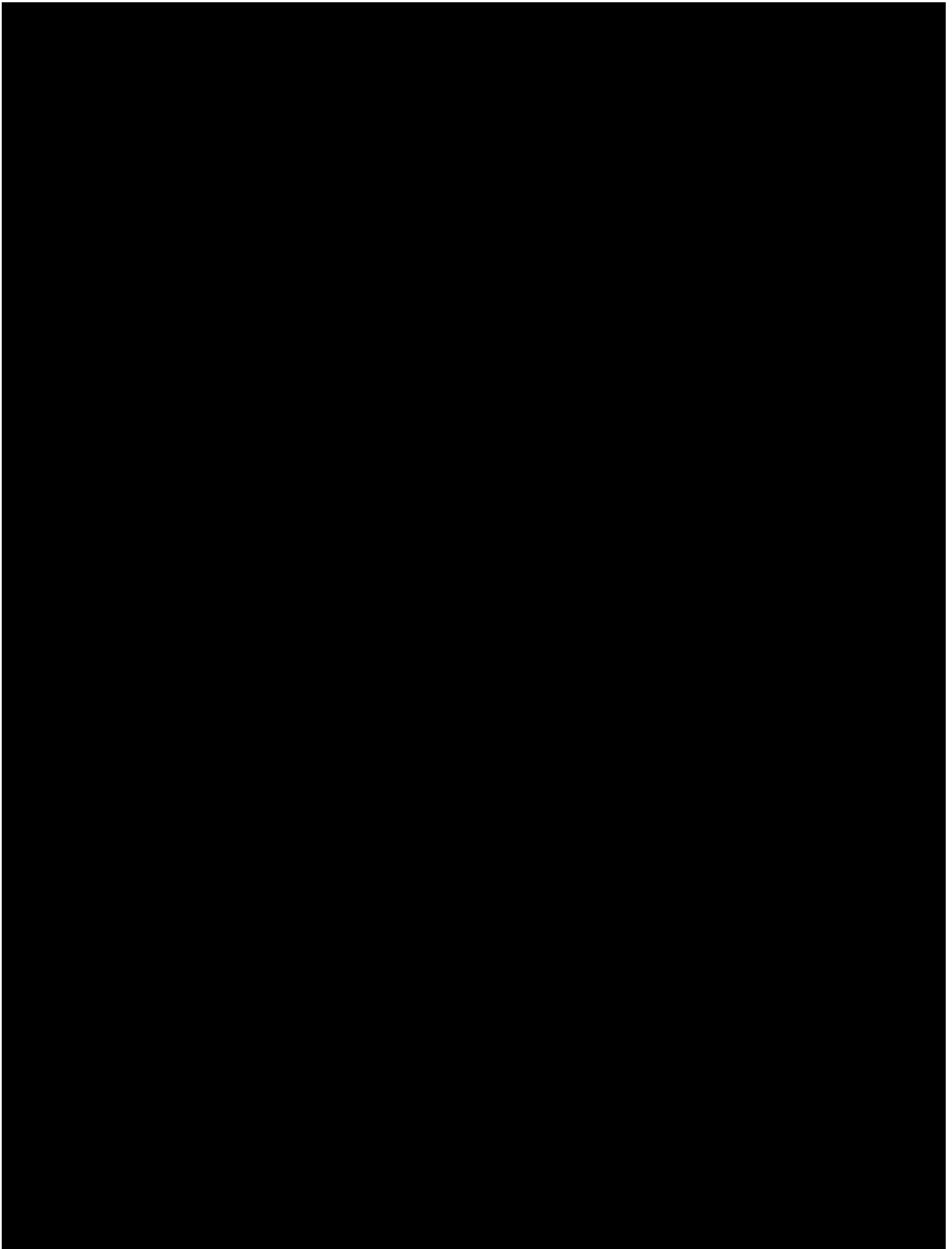
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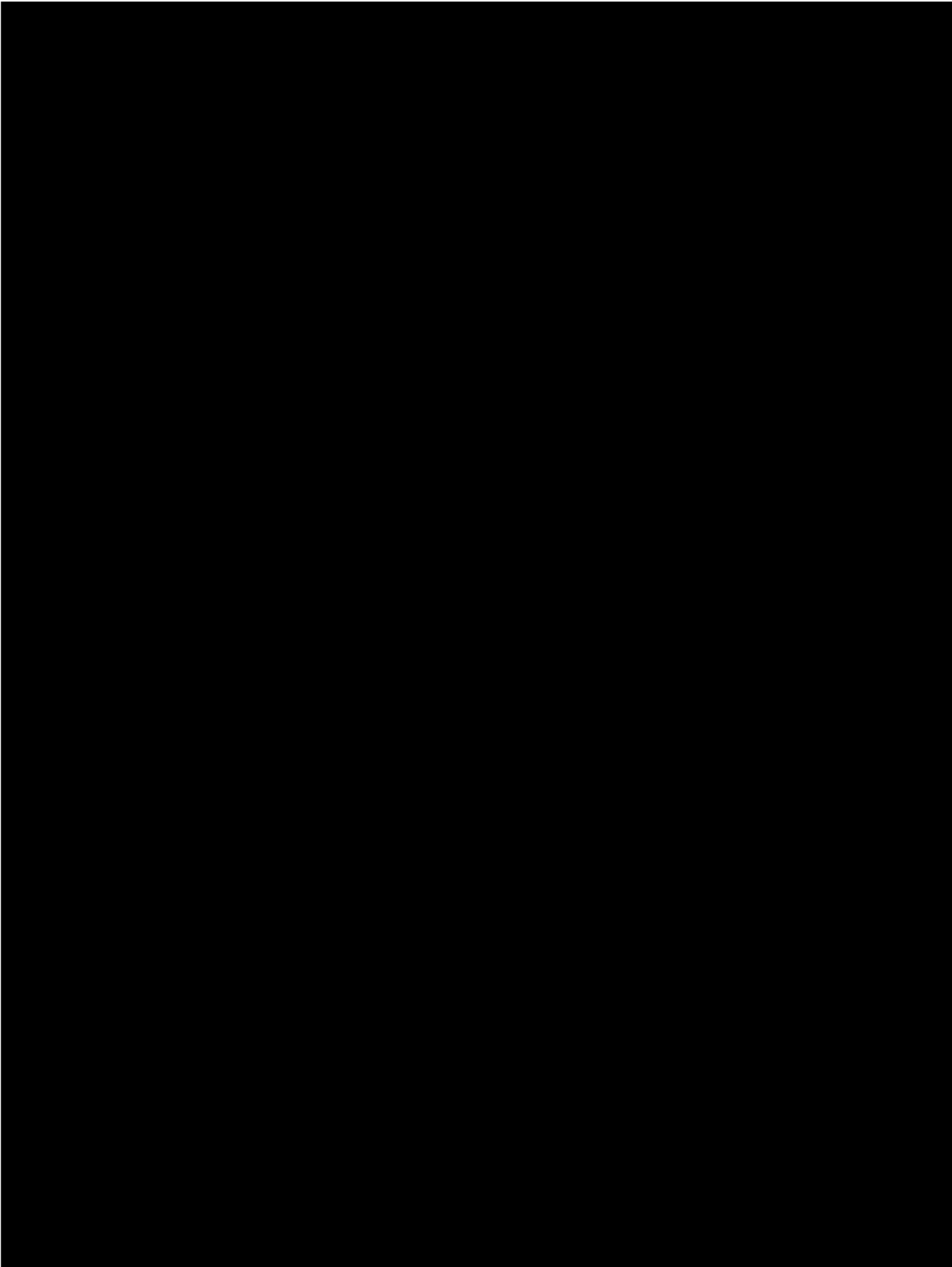
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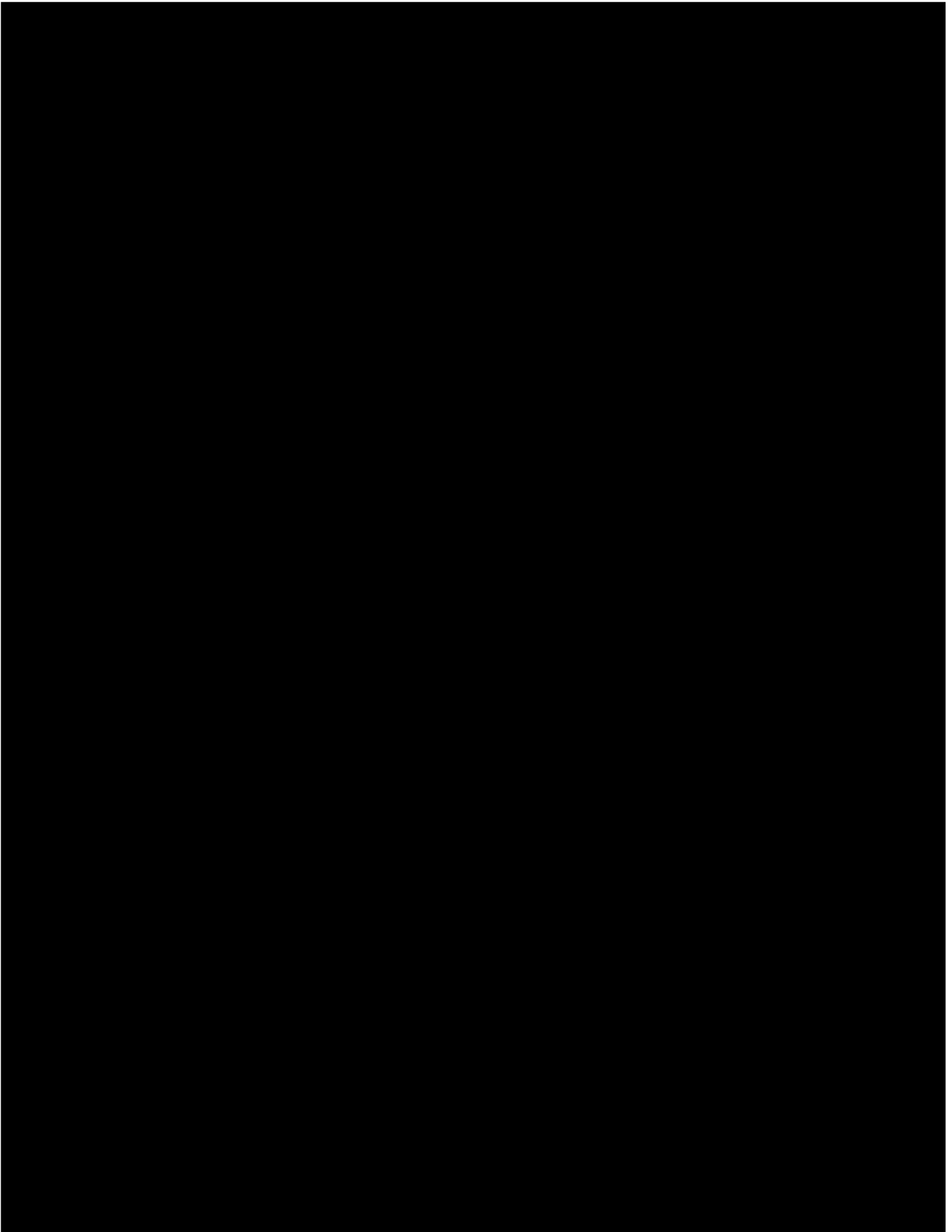
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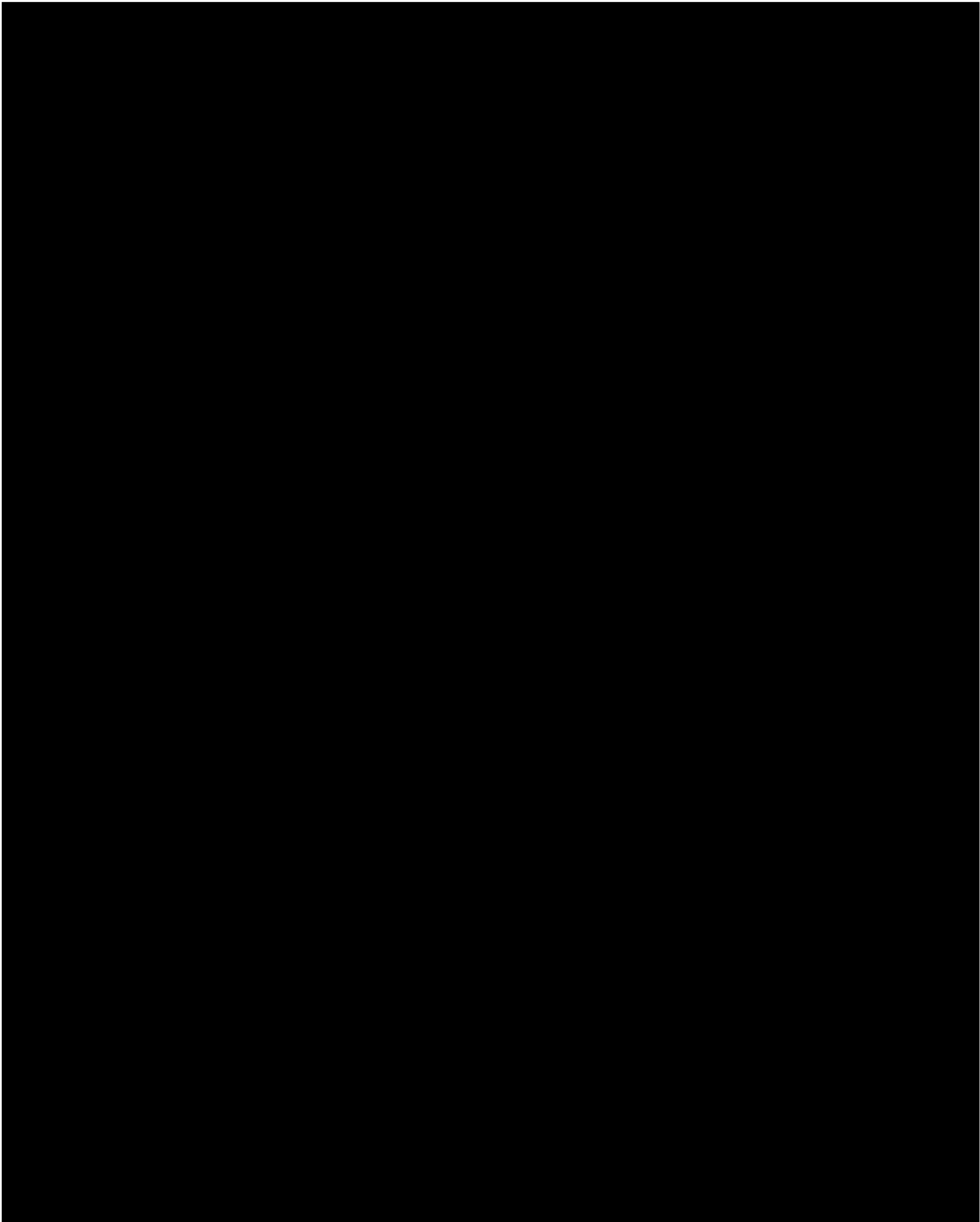
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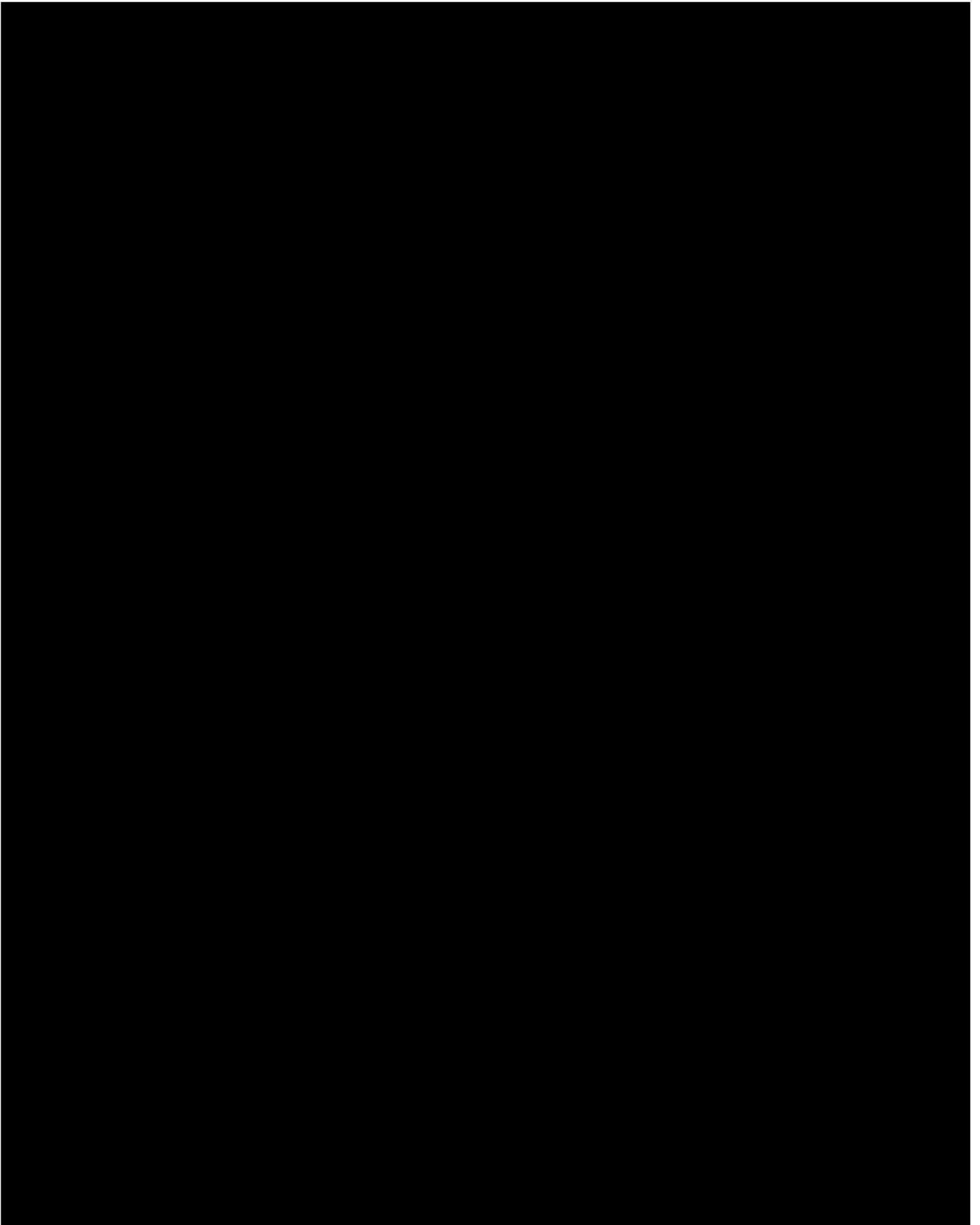
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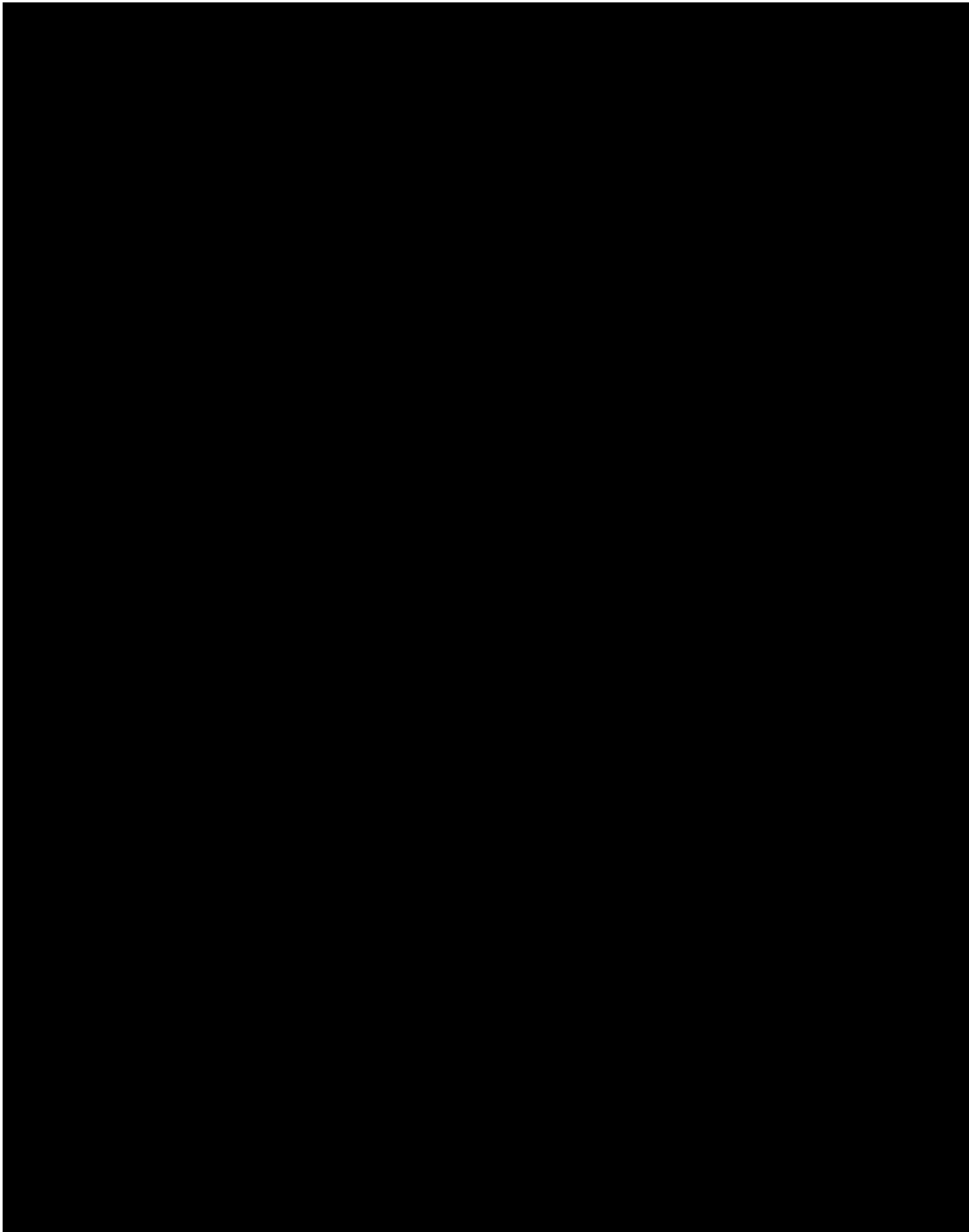
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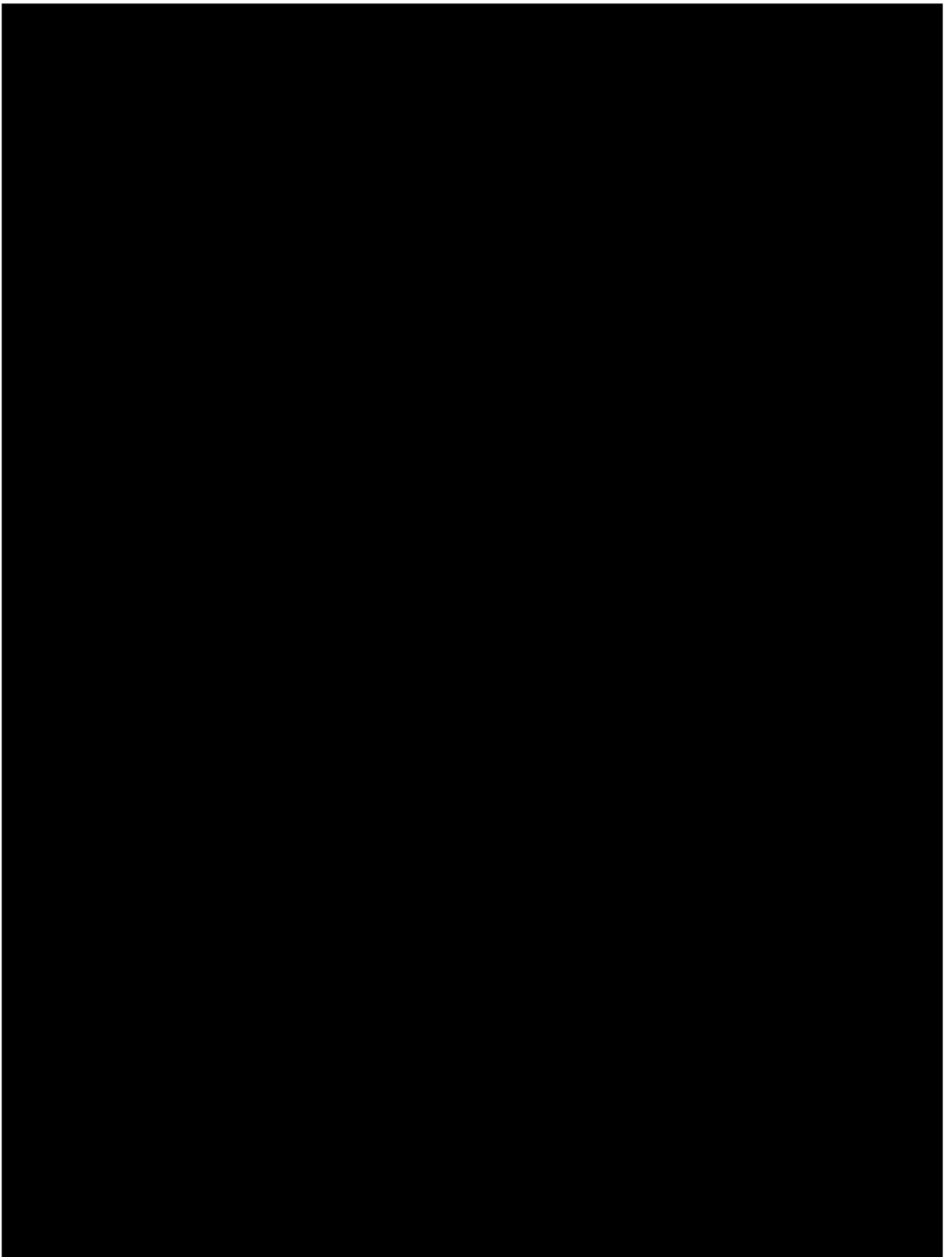
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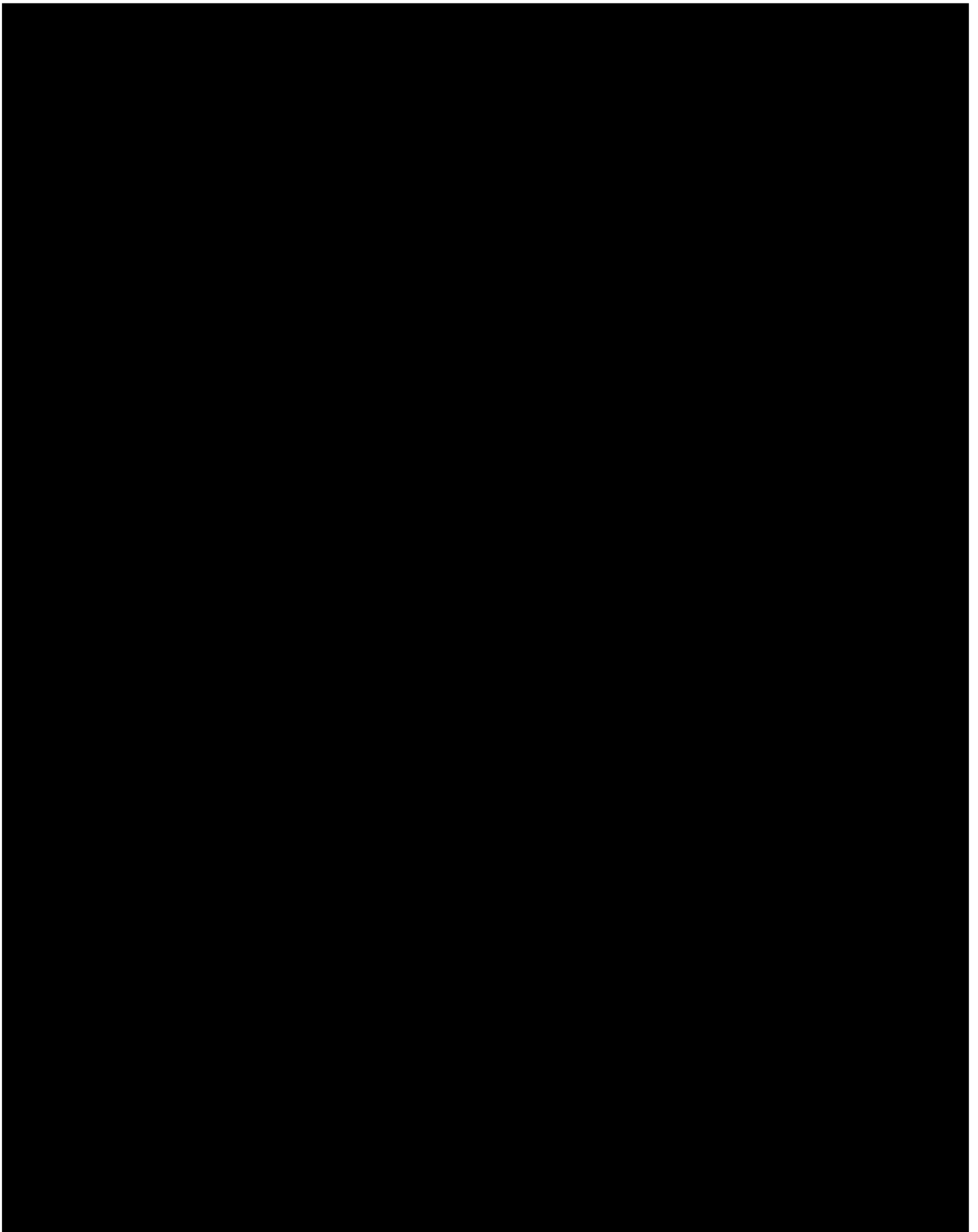
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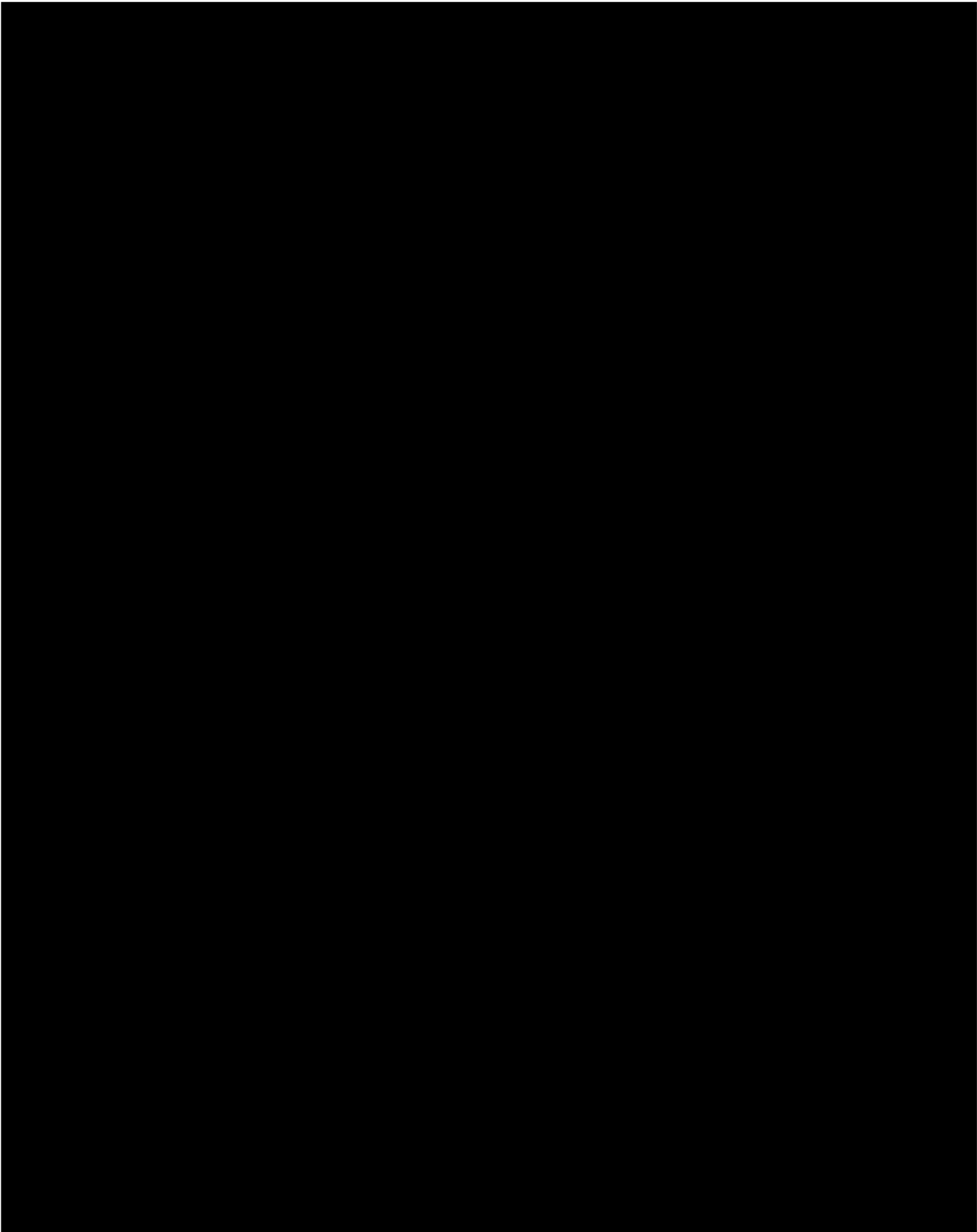
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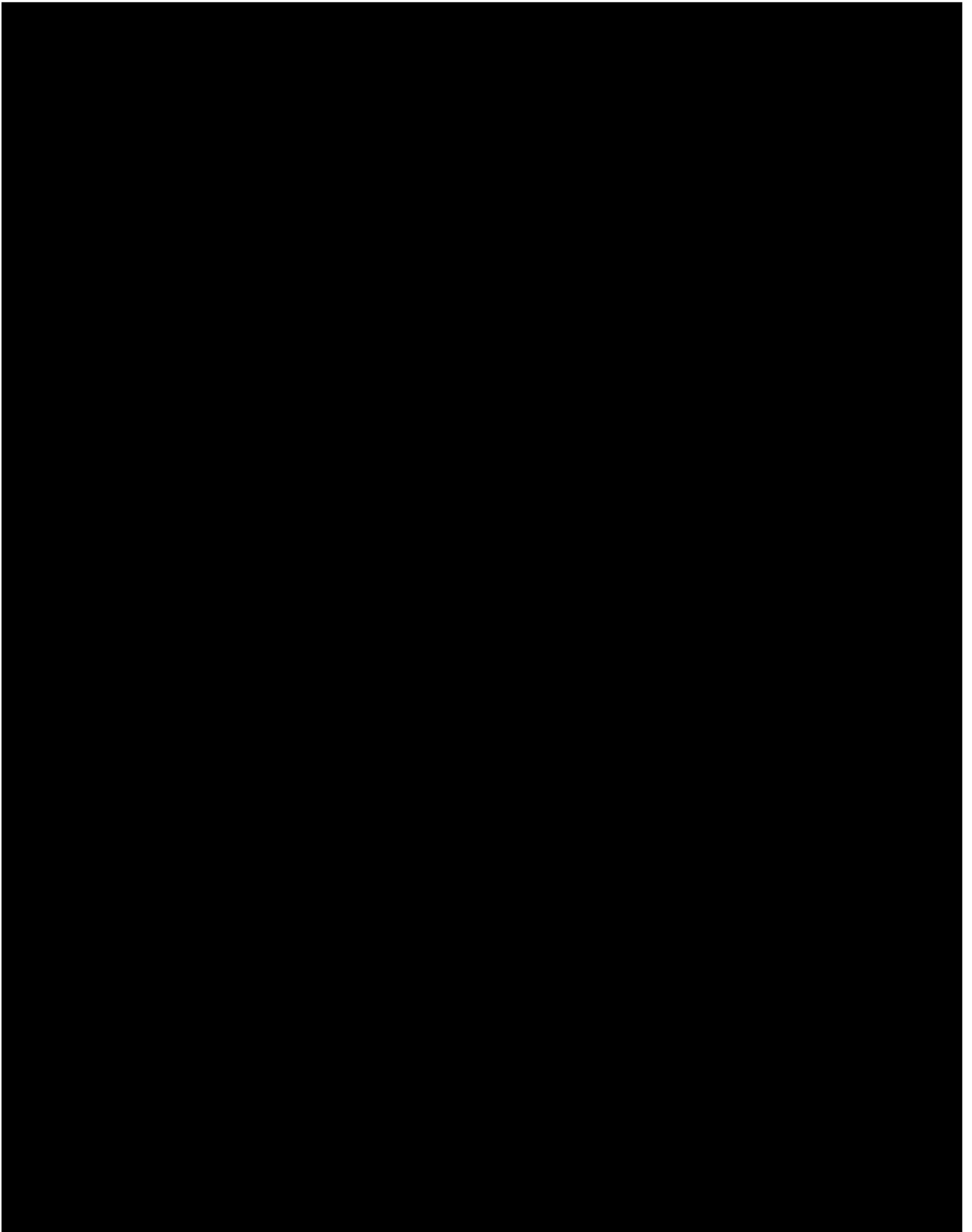
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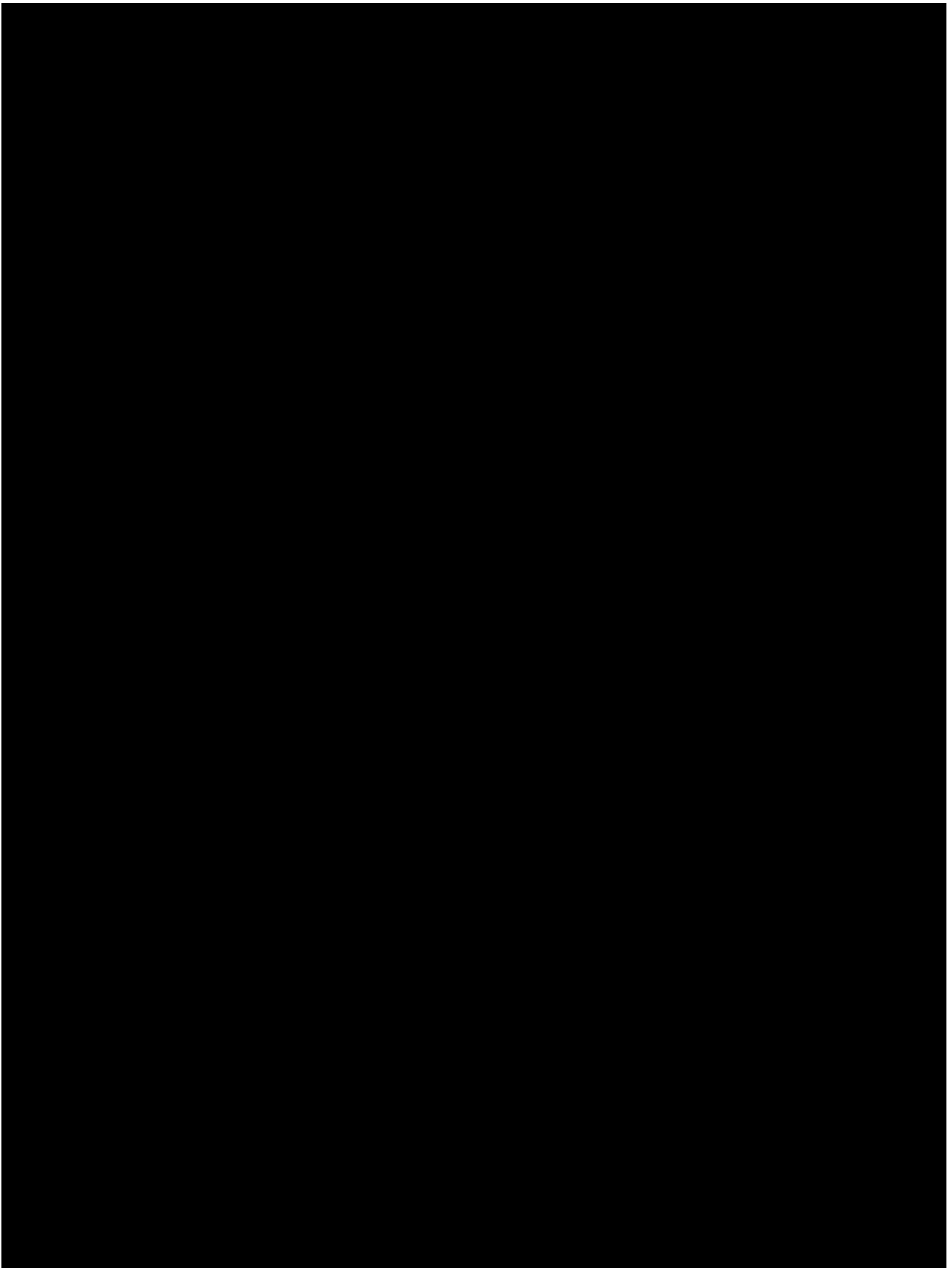
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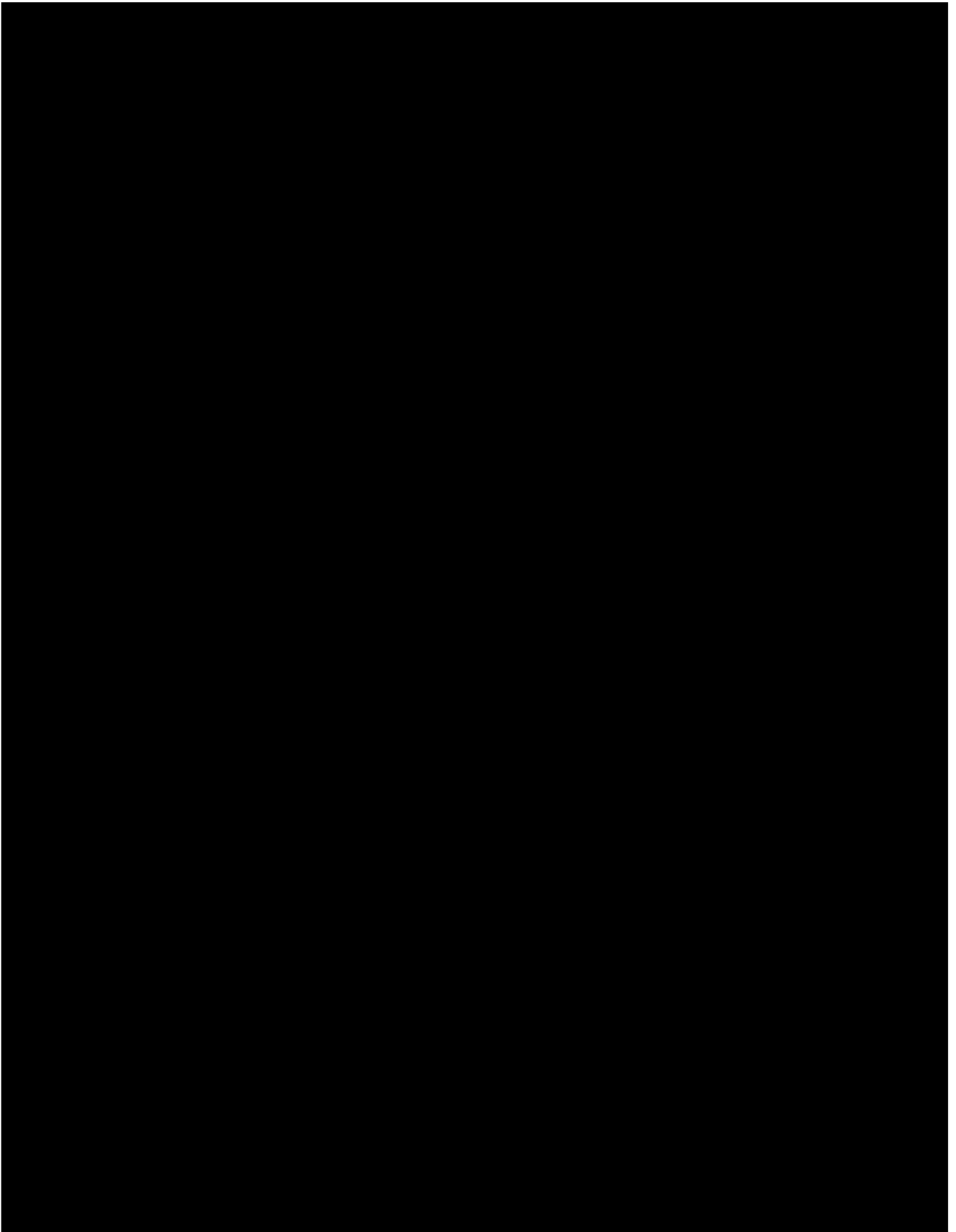
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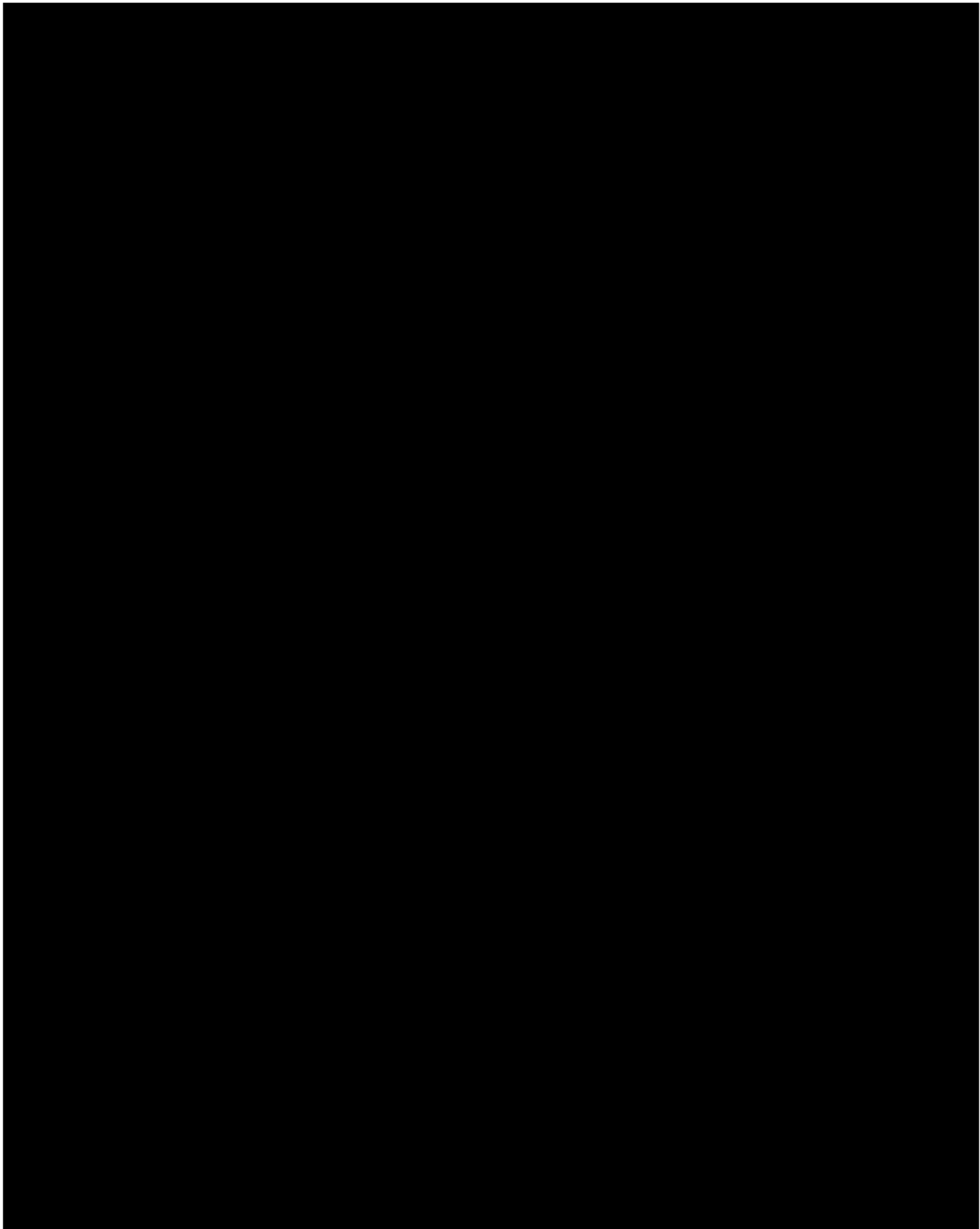
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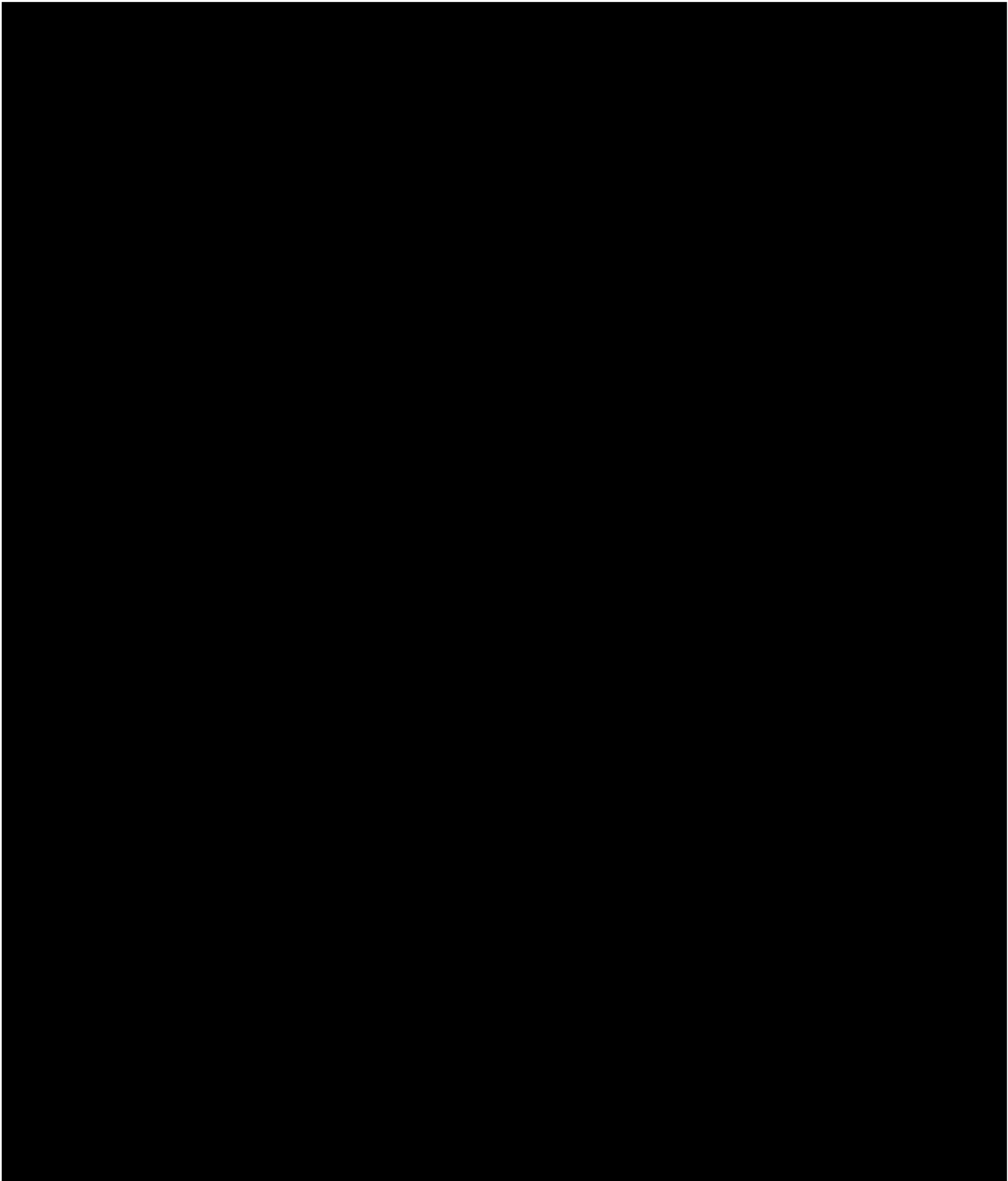
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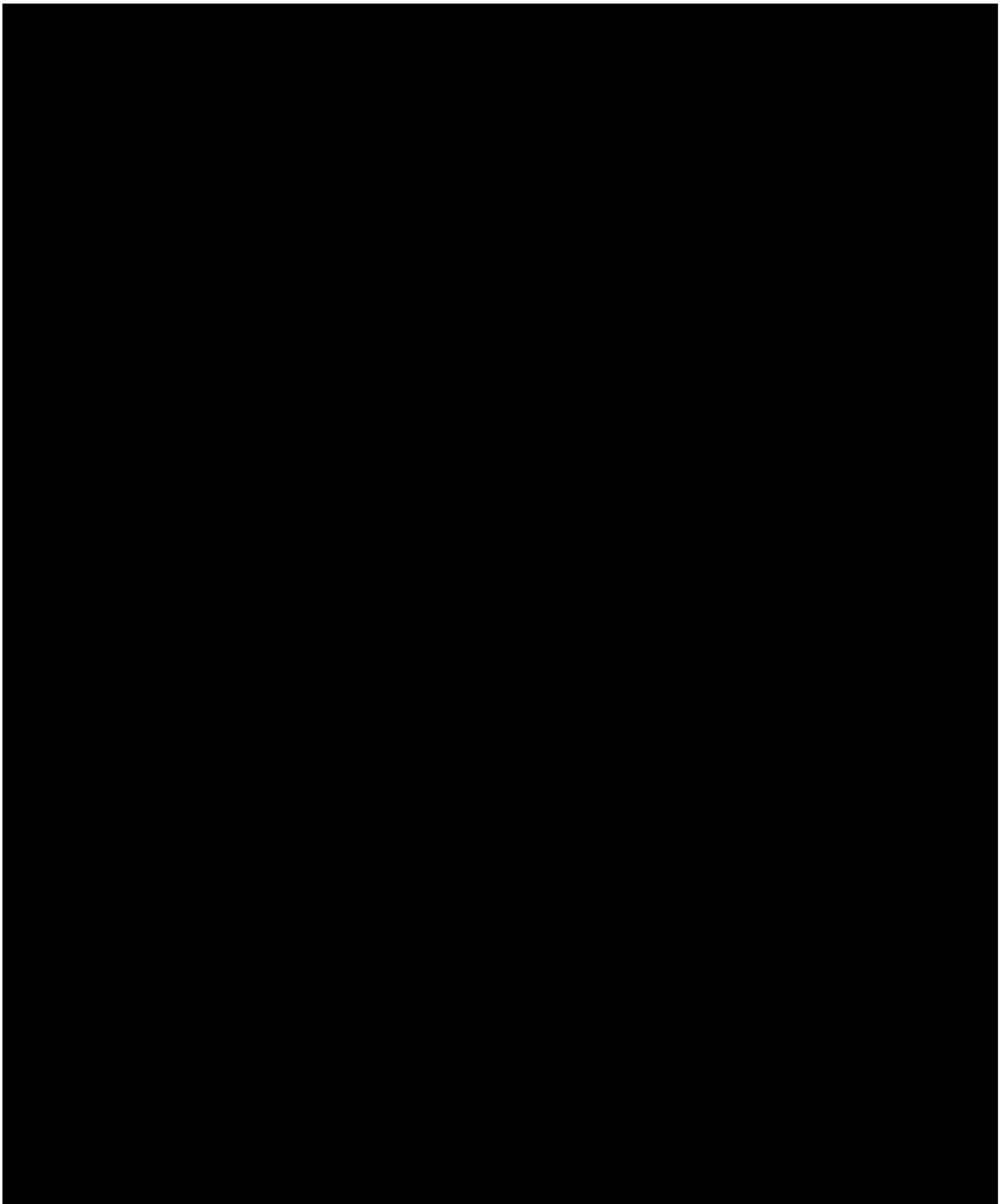
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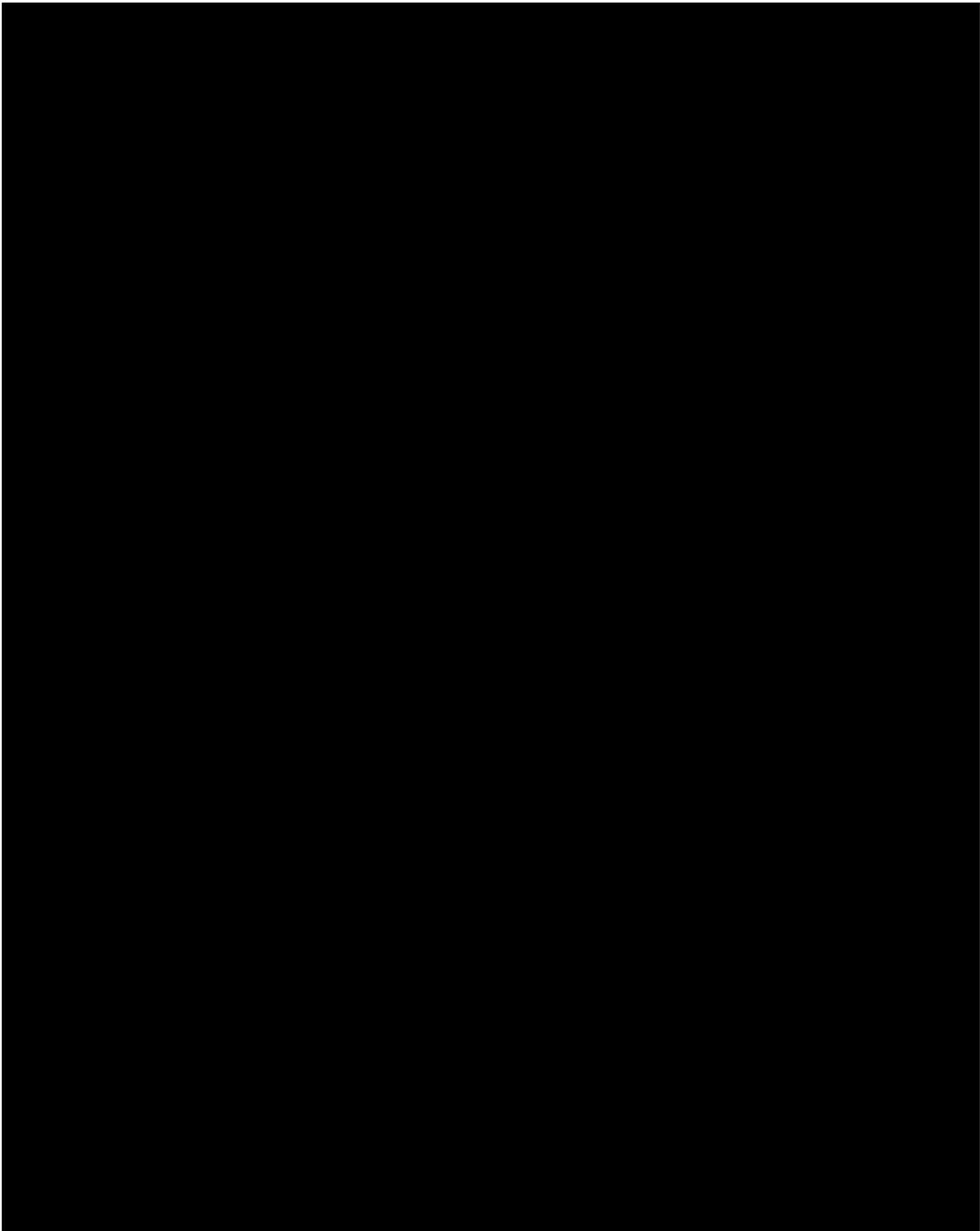
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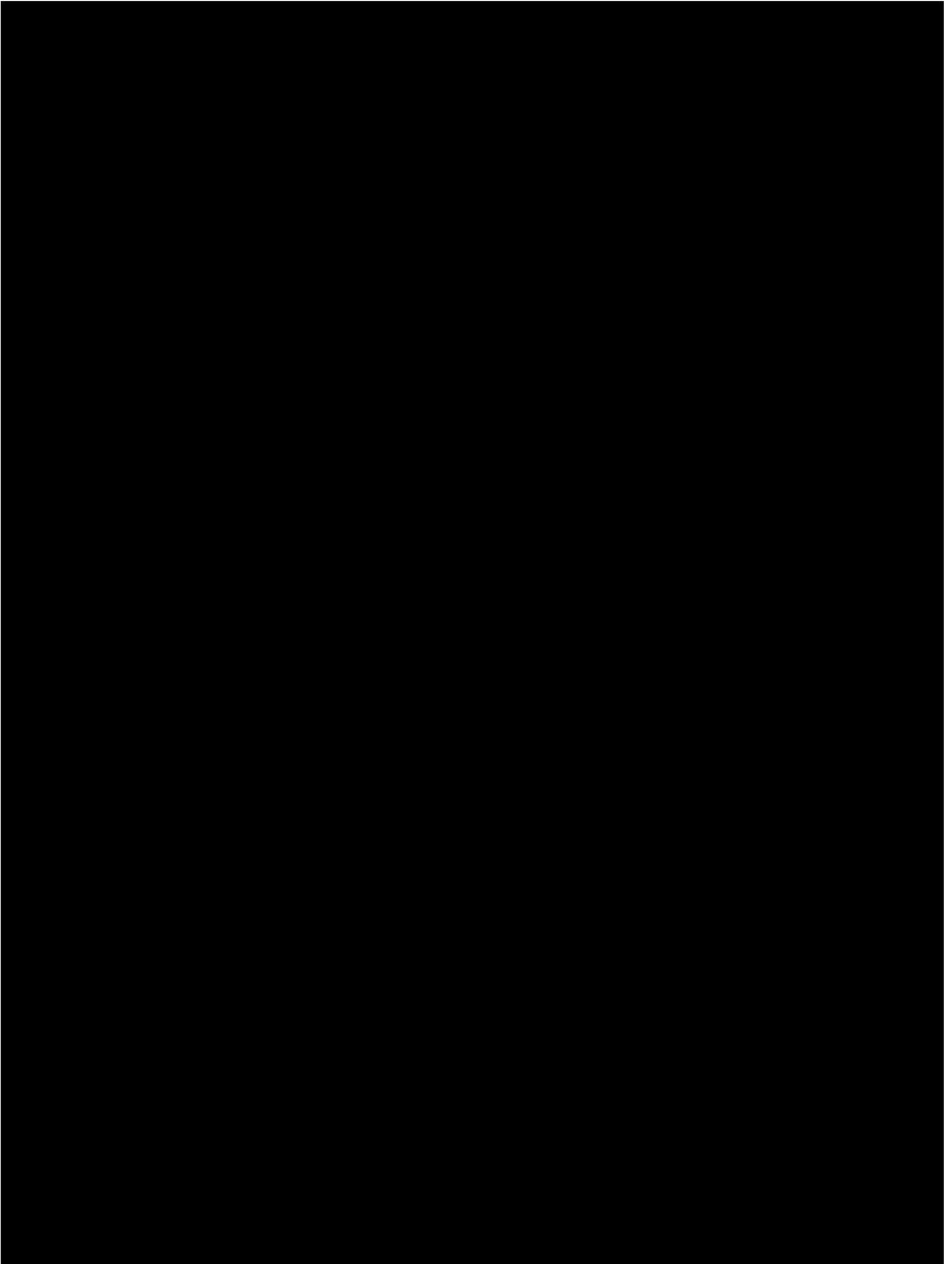
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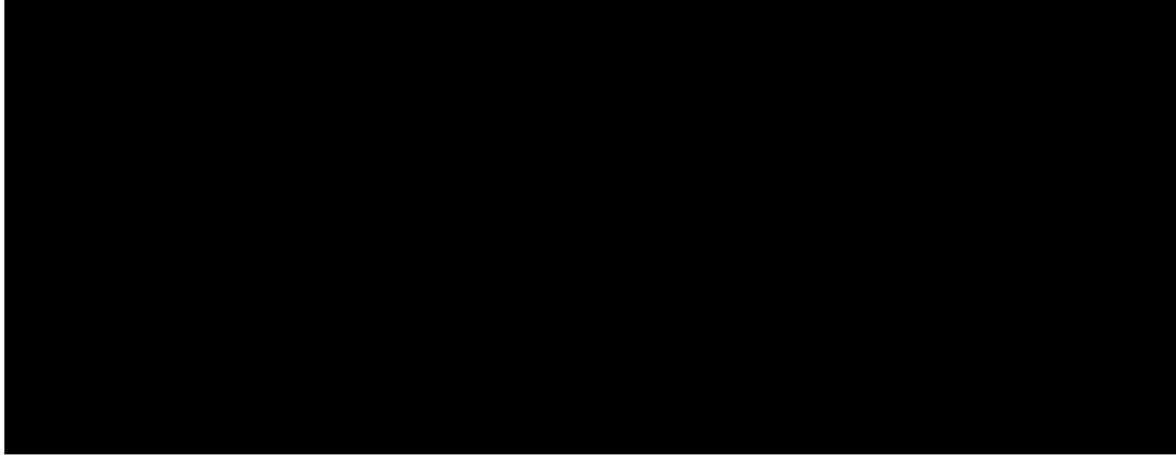
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Subject : *Results of check for Thomas, Jessica (70CJ100000000339)*



*****NATIONAL/FBI RAP BACK SUBSCRIPTION RESPONSE LISTED BELOW*****

This transaction has been successfully subscribed and retained as a part of the National Rap Back Service.
Rap Back Subscription Identifier: 40053485199
Event Identifier: 40454672909



Page 1 of 1. 0 total matches.

| |
|----------------------|
| Search Parameters |
| Govt. Number: |
| Date of Birth: |
| First Name: jessica |
| Middle Name michelle |
| Last Name: thomas |
| Sex: |
| Commission: |
| License Type |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|



JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

April 24, 2023

Ms. Jessica Michelle Thomas
7054 Mt. Vernon Circle
Jacksonville, Florida 32210

RE: Application No. 193024, Entity 13557271
1022 - PMW General Individual Occupational

Dear Ms. Thomas:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. **Please initial and date any changes made to your application.**

You must list the information on your application and court disposition records for the following charges:

- Duval County, Florida Arrest(s) -06/07/2001 and 03/22/2010
- Clay County, Florida Arrest(s)- 06/30/2022

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 08/22/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT #4
PAGE 686

reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II

I met Jessica shortly after her release from jail. She was on probation and returning from a Burger King interview in tears. It was hard for her to open up to me about her husband's charges and legal troubles of her own. She needed to regain control of her life. My business partner, Robrick Abel, and I decided to give her a shot.

She first started work at the Tax Center on 103rd street. Greeting clients, collecting payment, and meticulously entering data into our Phoenix Tax Software. Jessica handles large sums of cash, has access to clients banking information/credit card numbers, my business/personal credit card numbers, and manages petty tin. We own other businesses that include: Phoenix Fireworks, A2Z Logistics, and Phoenix Insurance & Taxes. Naturally she thrived in all of our high-volume offices. Jessica is responsible for a second key to each of these company buildings and has never made me feel uncomfortable with my decision. She is trustworthy and discreet with company and client information alike. We lost Mr. Abel to COVID-19 during the pandemic but Jessica has remained a part of our work family since 2020.

Jessica would be a tremendous asset at Best bet and has my highest recommendation. If you have any further questions with regard to her background or qualifications, please do not hesitate to contact me.



Nikki Phoenix

Agency Owner/Tax preparer

Phoenix Insurance and Taxes

P 1-833-324-3330 (Toll Free)


F 904-204-0180

W www.phoenixinsurancefirm.com



EXHIBIT #4
PAGE 4/7

River Region



River Region Human Services Inc
 2055 Rayko Rd., Suite 101, Jacksonville, FL 32207

| | | | |
|---------------|--------------------------------|--------------|-----------------------------------|
| KIS ID: | | Client ID: | 27256 |
| DOB: | 6/1/1981 | Client Name: | Jessica Michelle Thomas |
| Date/Time: | 9/18/2020 11:00 AM to 11:30 AM | Staff Name: | CHANDRA JOYNER, Bachelors of Arts |
| Units: | 1 | Duration: | 30 |
| Service Type: | Discharges NB | Service ID: | 1806193 |

GENERAL DISCHARGE SUMMARY

Admission Date: 03/19/2019
Ryan ID # (if applicable):

Referral Source and Telephone Number: Matrix House Program 904 7984330

Primary Diagnosis:
 (F11.20) Opioid dependence, uncomplicated

Secondary Diagnosis:

Tertiary Diagnosis:

Condition at Discharge: Moderately Improved

Legal History: Armed possess controlled substance, and use or possess with intent to use

Prognosis: Good

Discharge Status: 2-Successfully Completed - recommend support services

Narrative Summary (Services provided, presenting problem, medications, goals/objectives achieved, strengths, needs, abilities and preferences, urines): Client is a 39 year old white Caucasian female referred to participate in one year of Aftercare Services. She entered Aftercare on 7/16/2020 and was recommended to complete one year which includes groups and individual aftercare session 1xweekly 12step support meeting 3xweekly submit to random urinalysis 1xmonthly maintain housing conducive to her recovery program and obtain employment Client was released from Community Transition Center and went to live at home.

Narrative Summary (continued): She participation in 7 Aftercare meetings due to being moved to Montgomery Correction Center upon her release from Community Transition Center. Since her release client was attending meetings until the PANDEMIC. Client did not receive any financial assistance from Aftercare.

S- Client strength appears to be the strength of her family
 N-Client needs upon release consisted her family love
 A- Client has the ability to be cooperative in the program
 P-Client prefers Group vs therapy

Date of Last Contact: 09/16/2020

Discharge Date: 09/18/2020

Recommendations: Client is therefore recommended for Successfully completion of the Matrix House Program and recommended to continue support service through the Support community.

Please Note: Complete CARF Survey NOW!

Employee Signature
Chandra Joyner BA

9/18/2020 11:32 AM
 CHANDRA JOYNER - 359
 Bachelors of Arts

Kristen S. Williams, MA RMFT I

Supervisor's Signature
 Approved by KSMITH on 9/21/2020
 KRISTEN SMITH, 429, Masters Degree of Arts

To whom it may concern,

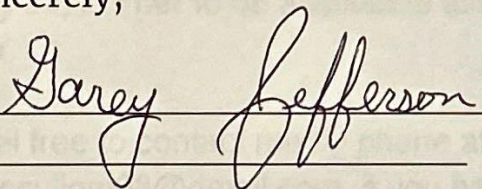
Character Reference Letter

My name is Gary Jefferson. I retired from the Jacksonville Sheriff's Office and now work as Supervisor for the Security Department at Best bet Jacksonville. I supervise the third shift, night time Security detail and staff.

I am writing on behalf of Jessica Michelle Thomas. I have had the pleasure of working with Michelle for 9 months and counting on the third shift. I have enjoyed getting to know her and being able to acknowledge her as my friend. She is one of the kindest and most positive individuals that I know. Traits like honesty, well-groundedness, responsibility, and strength best describe Michelle. She is a single Mother of 2 young girls and works hard to provide for them everyday. Holds fast to her morals, is disciplined, and takes pride in her work. She has proven to be a trustworthy and responsible person that keeps to her word. I know she will be an excellent server.

I would highly recommend Michelle for the server position at Best bet Jax. If you have questions, please do not hesitate to contact me regarding Jessica Michelle Thomas.

Sincerely,



Gary Jefferson
904-868-8331
Jacksonville Sheriff's Office (Retired)
Best bet Jax Security Supervisor
201 Monument rd Jax Florida 32225

Character Reference Letter

Chelsea McCullom
762 Celebration Ln
Middleburg FL, 32068
07/30/2023
Jacksonville Sheriff's Office
501 E. Bay St
Jacksonville FL, 32202

Dear Mr. Washington,

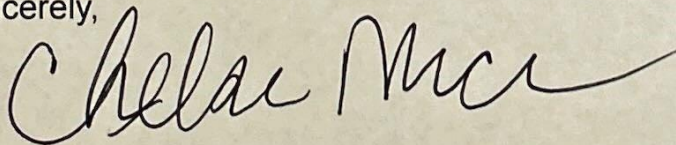
I am employed at the Jacksonville Sheriff's Office and serve as a Security Officer for Bestbet Jax. I have worked with Jessica M. Thomas for 8 months at the greeters desk. Jessica is tasked with greeting the patrons, making sure when they enter they are abiding by Best Bet rules, and answering the phone.

Jessica has demonstrated a great work ethic by the way she always shows up to work when scheduled, being very helpful and pleasant to the patrons, and being hardworking. I am most impressed by her need to help the patrons and make sure they are taken care of.

Jessica has proven herself as an excellent worker and team player that always keeps a big smile and positive attitude. I strongly recommend Jessica to you as I fully expect her to be a valuable asset to the Cocktail Waitress team at BestBet Jax.

Feel free to contact me by phone at (219) 670-3713 or by email at cmccullom08@gmail.com, if you have any further questions about Ms. Thomas.

Sincerely,



Chelsea McCullom

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steven Woods
Re: Askari Leon Kemp; Case No. 2023-047281
Date: September 28, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Askari Leon Kemp's ("Applicant") application for a Slot Machine General Individual Occupational License. Applicant submitted a completed application Slot Machine General Individual Occupational License on August 17, 2023. Upon review of the application, it appears that Applicant has been convicted of felony crimes in the state of Florida. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On August 17, 2023, Applicant submitted his application to the Division of Pari-Mutuel Wagering for a Slot Machine General Individual Occupational License. Upon review of the completed application, the Applicant has felony convictions that cannot be waived; these include the following:

- Carrying a Concealed Weapon in 2008; and
- Grand Theft of a Firearm in 2011.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part:

“the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime

involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Rule 75-5.006(1), Florida Administrative Code, requires the applicant to submit Form DBPR PMW-3180, Request for Waiver, and schedule a waiver interview with the Office of Investigations. Failure to participate in a waiver interview or to disclose any pertinent information regarding criminal convictions shall result in a denial of the request for waiver.

Rule 75-5.006(2), Florida Administrative Code, provides, “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: Because applicant has applied for a Slot Machine General Individual Occupational License, his disqualifying criminal convictions could not be waived. Therefore, Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Licensing Administrator Review – Slot Machine Occupational License

RE: Kemp, Askari-13704655

Case No: _____

(APPLICANT'S NAME- LICENSE #)

| | |
|--------------------------------|-------------------|
| INITIAL APPLICATION RECEIVED: | 8/17/2023 |
| COMPLETE APPLICATION RECEIVED: | 8/17/2023 |
| 90-DAY DEADLINE: | 11/15/2023 |

Tori Lanier
Application Processor

285-Calder Casino
Facility (d/b/a name)

Cook
Occupation/Job Title

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? Yes No

Conviction

- Felony: Count(s)
 Misdemeanor – Gambling Related/Bookmaking
 Two or more misdemeanor convictions that involve theft, fraud, or burglary
 Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

| Arrest Date | Location | Charge | Level | Disposition |
|-------------|-----------|-------------------------------------|--------|-----------------------|
| 01/21/2008 | Miami, FL | Concealed Firearm Carrying | Felony | Adjudication Withheld |
| 03/03/2011 | Miami, FL | Grand Theft 3 rd Firearm | Felony | Adjudication Withheld |

Disposition Unknown

- Felony Arrest(s) :
 Misdemeanor Arrest – Gambling Related/Bookmaking
 Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

| Arrest Date | Location | Charge | Level | Disposition |
|-------------|----------|--------|-------|-------------|
|-------------|----------|--------|-------|-------------|

Enforcement/Jurisdiction Offenses

- Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number: _____ Business Name: _____

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued: _____ Initials: _____

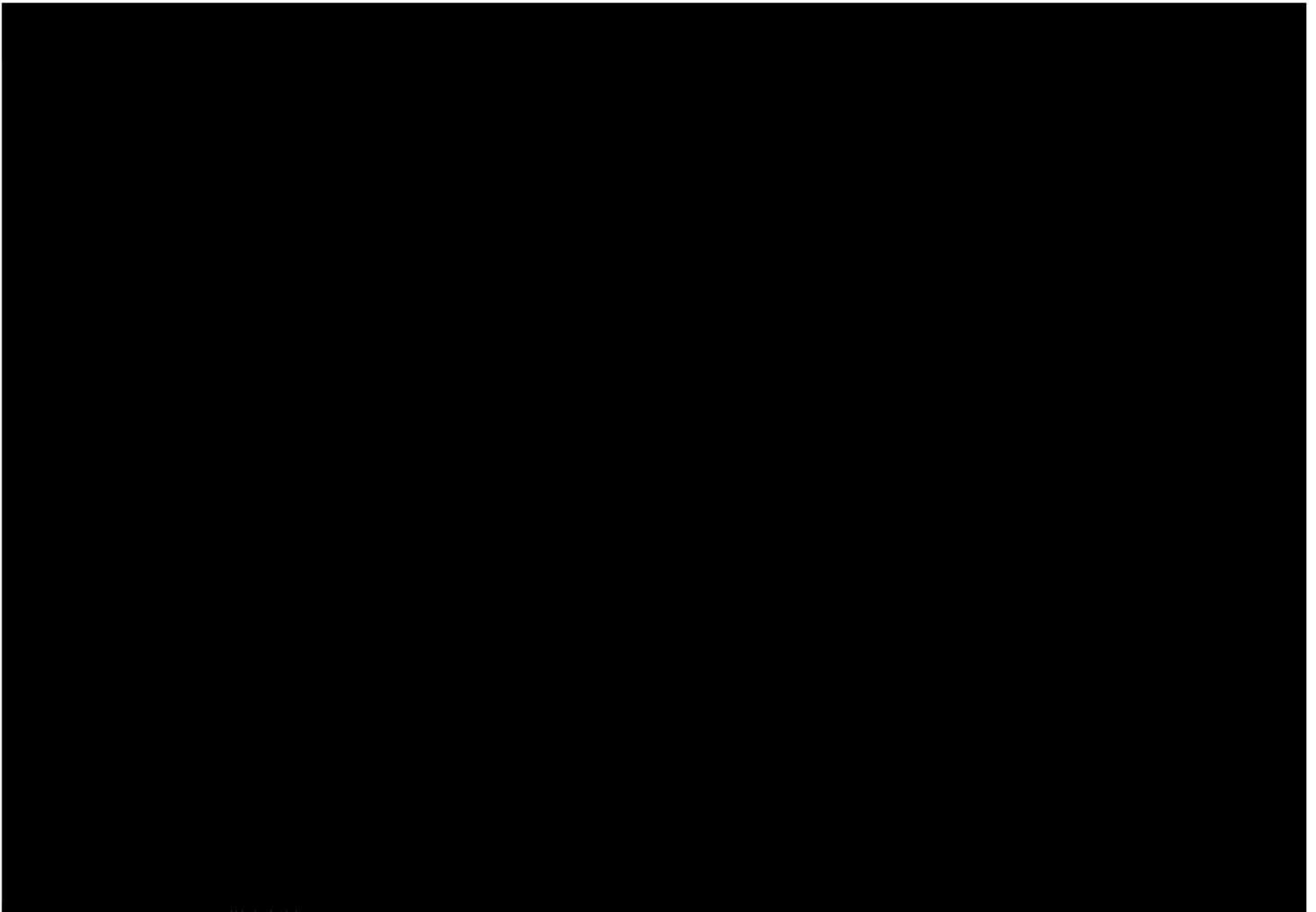
Comments:

Licensing Administrator Review

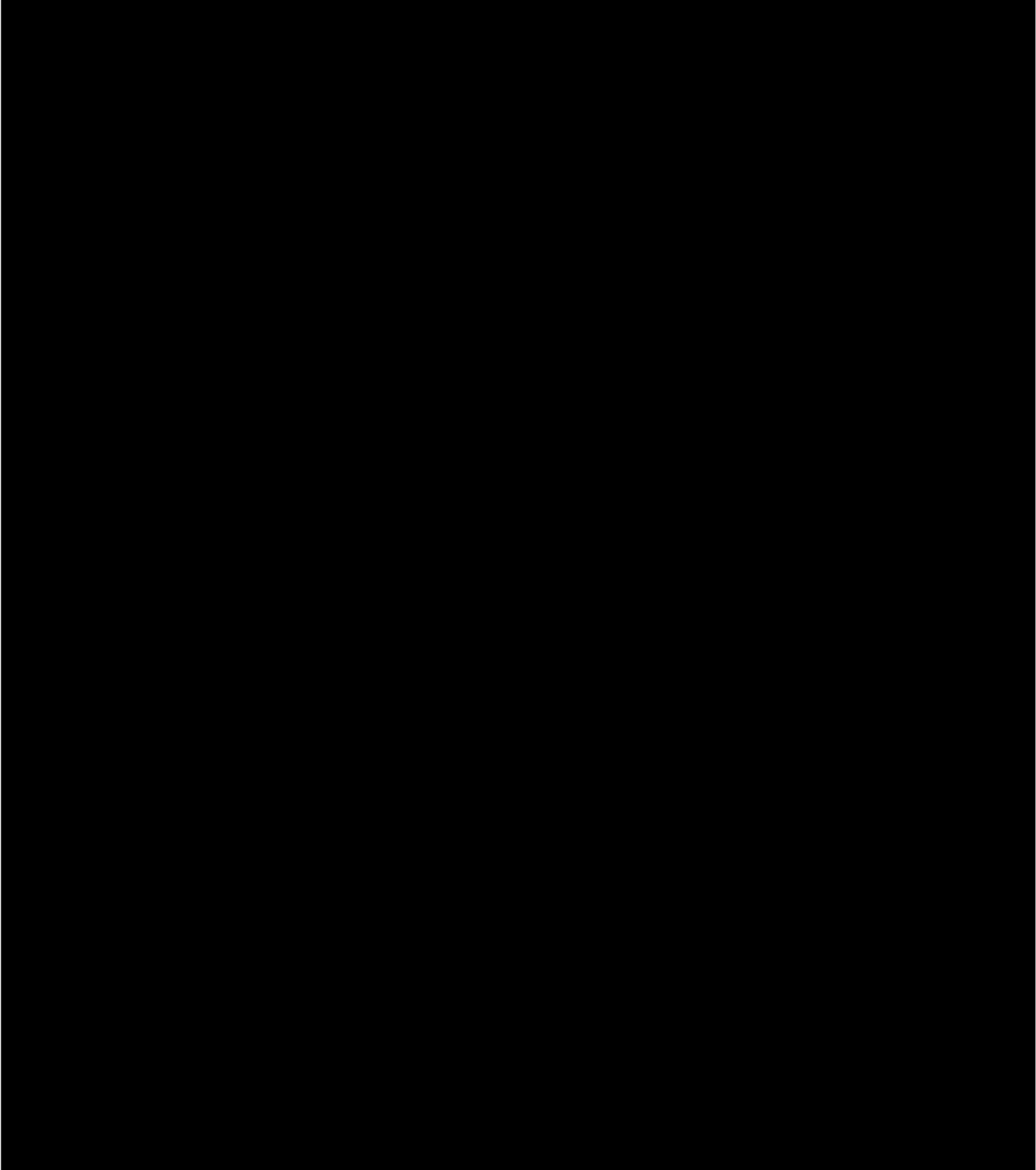
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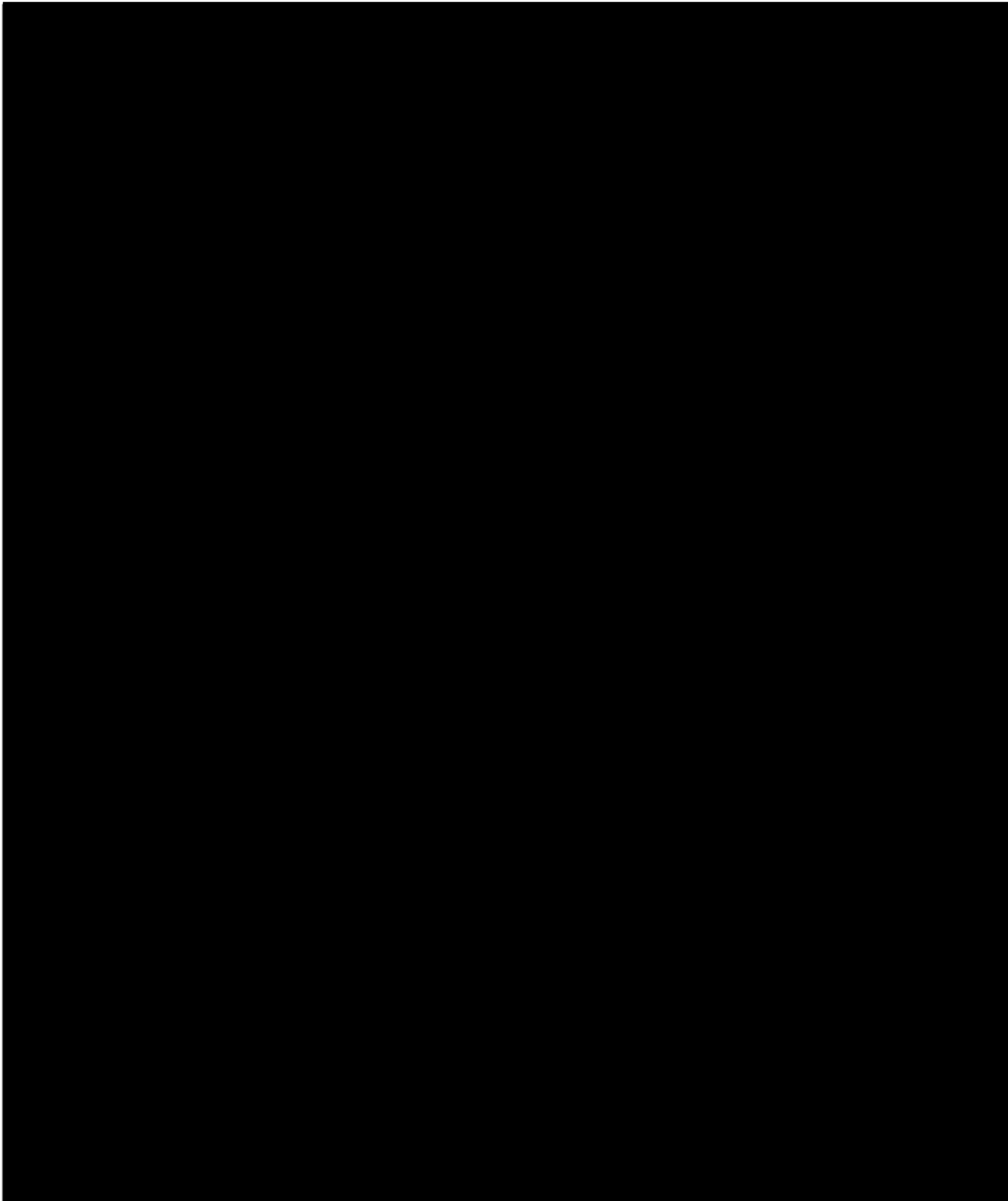
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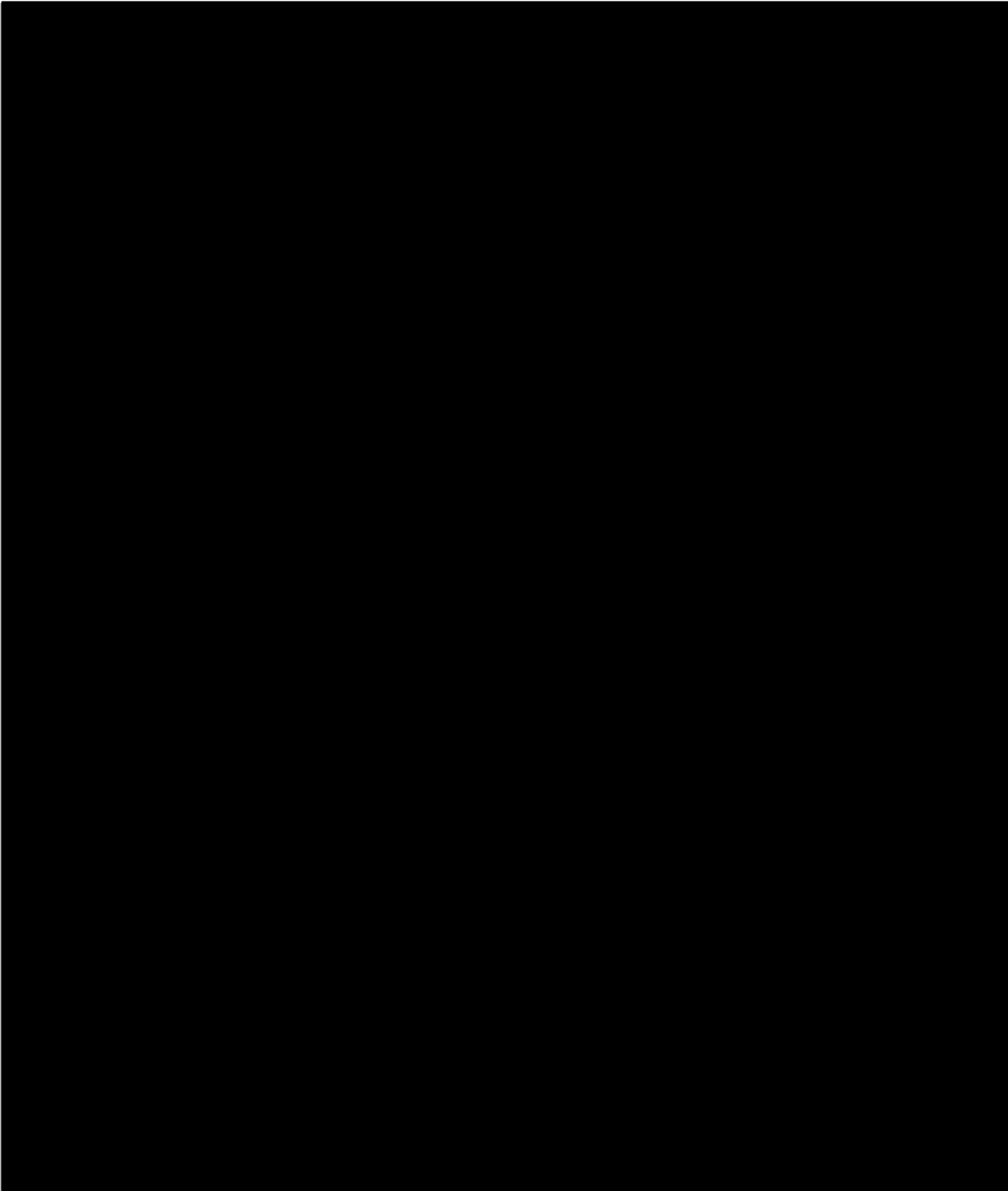
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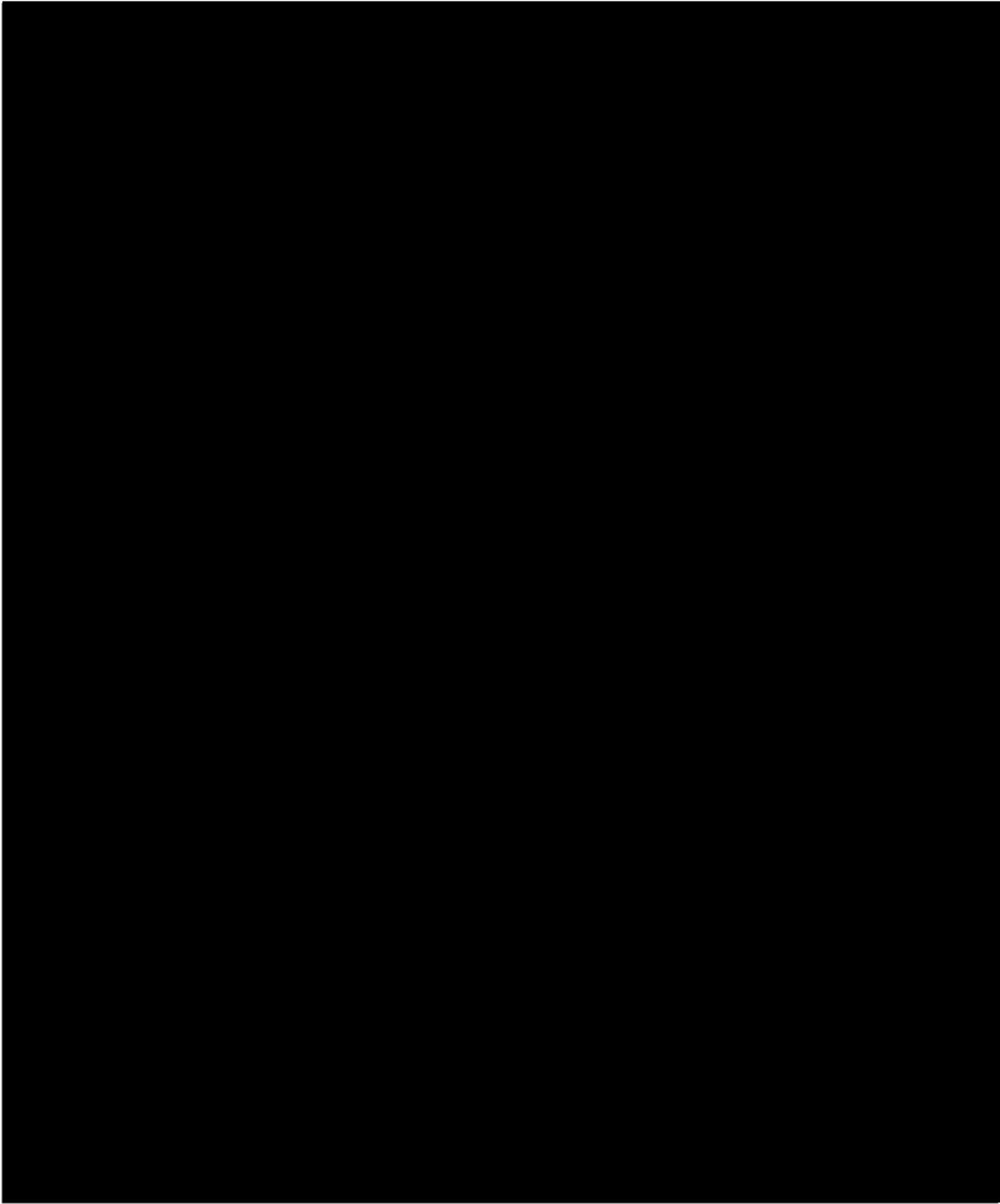
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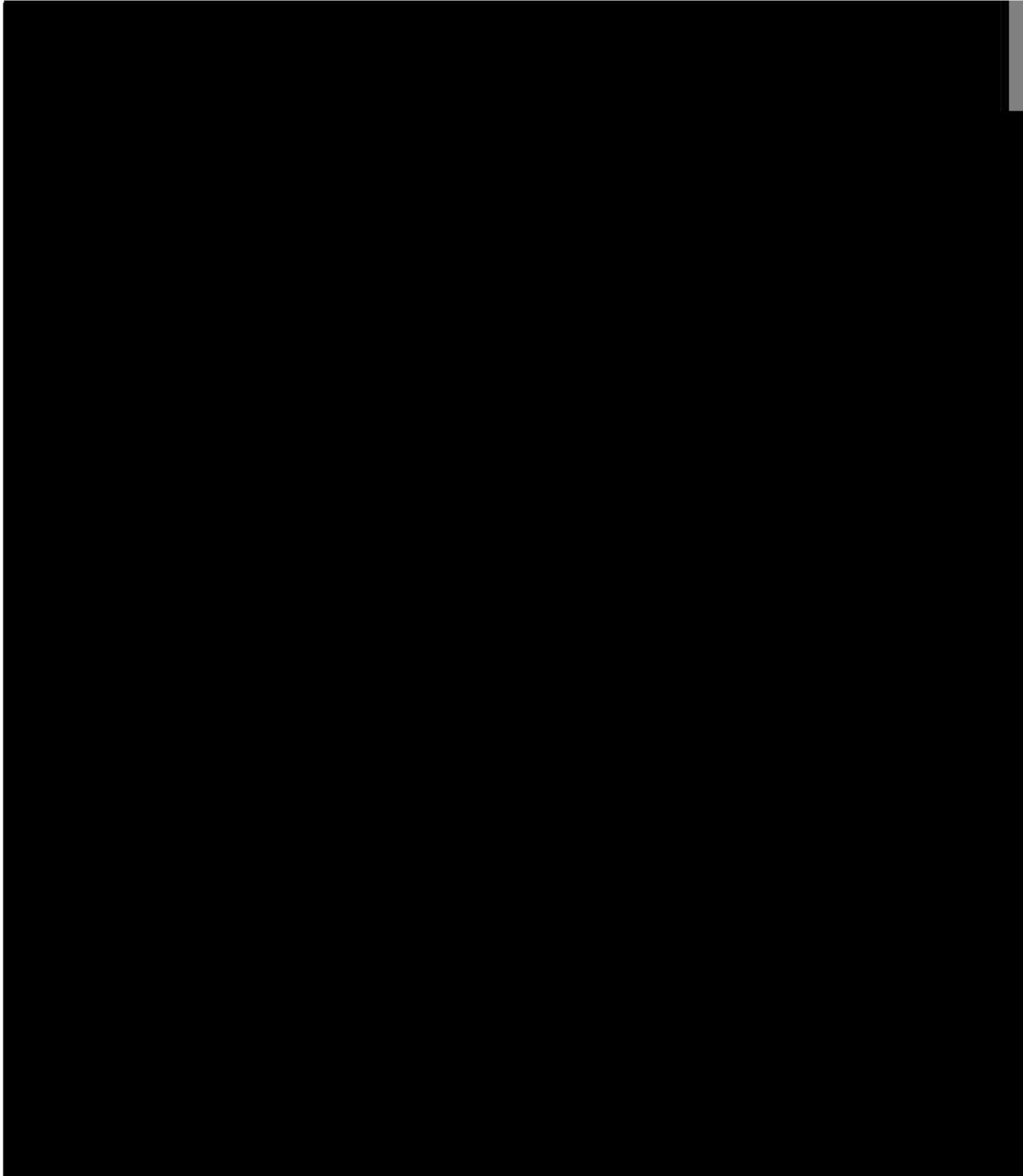
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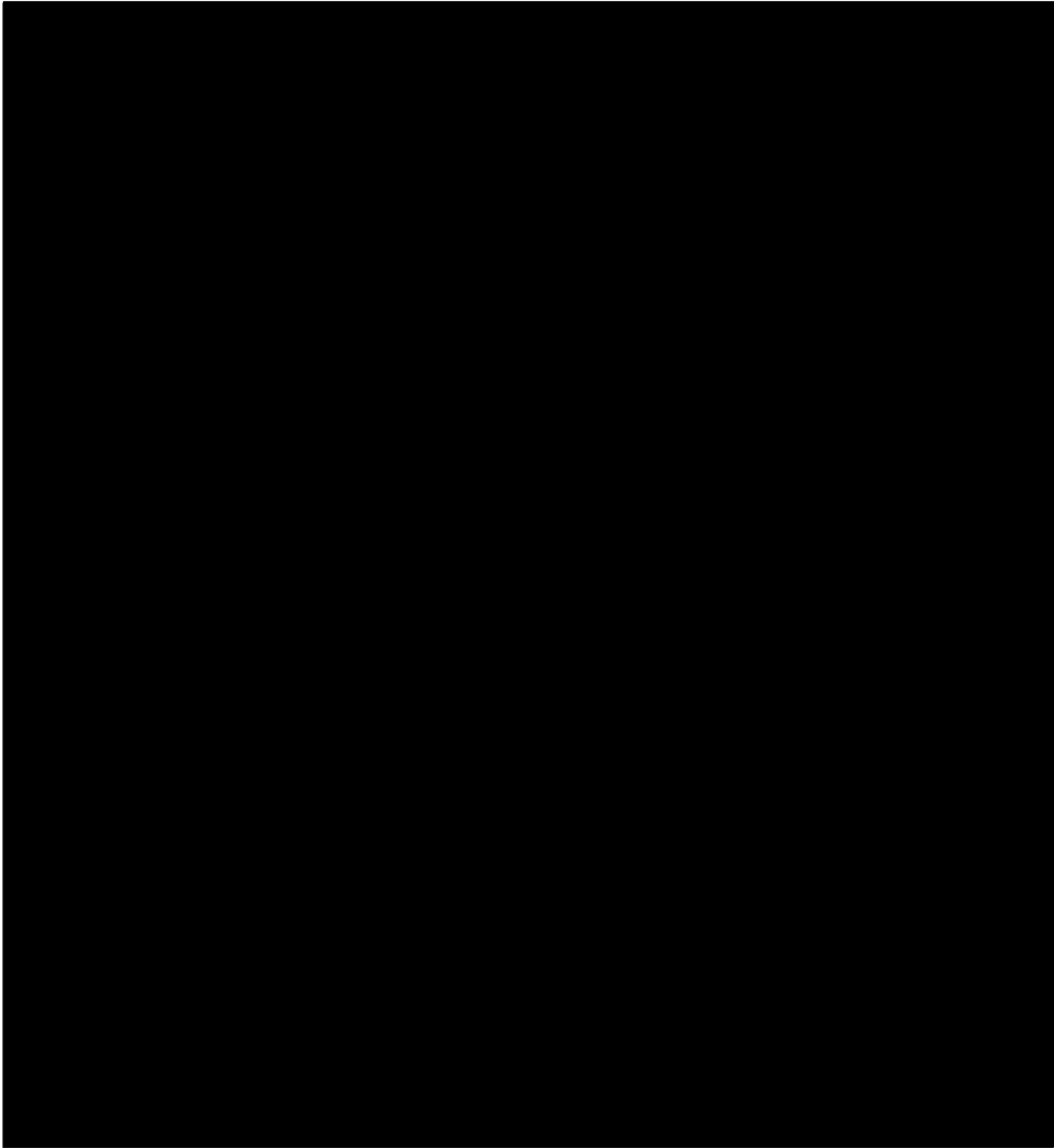
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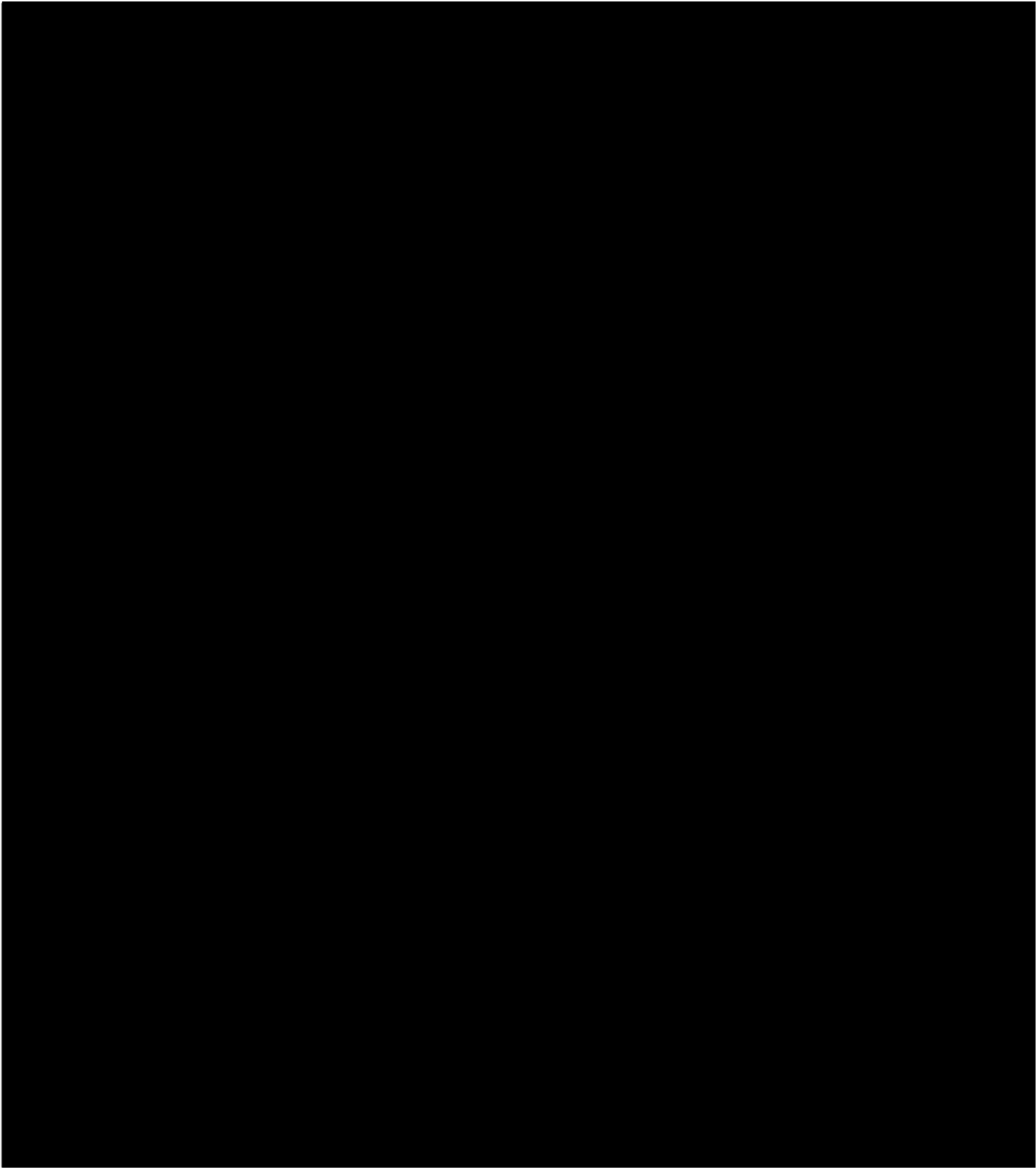
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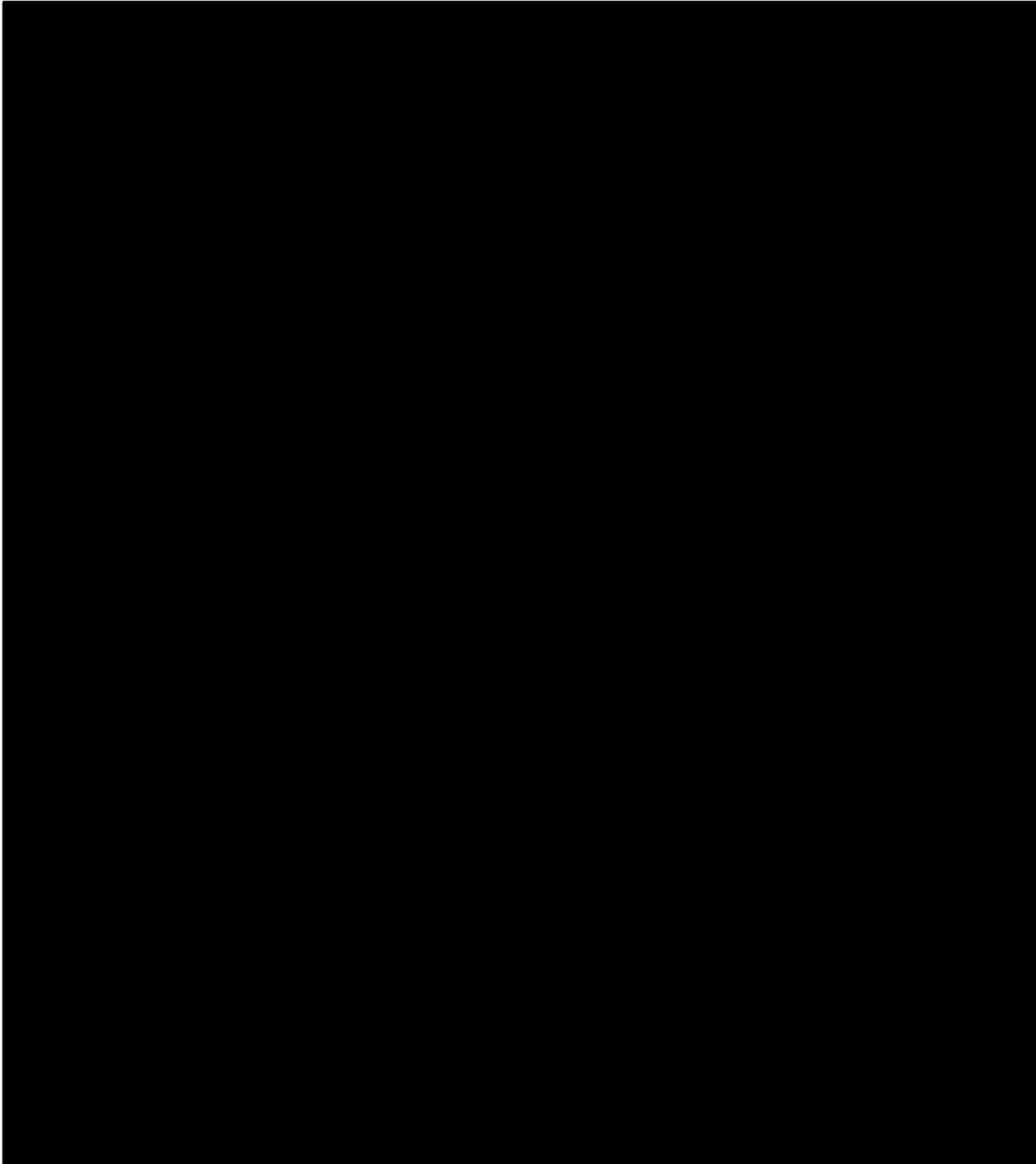
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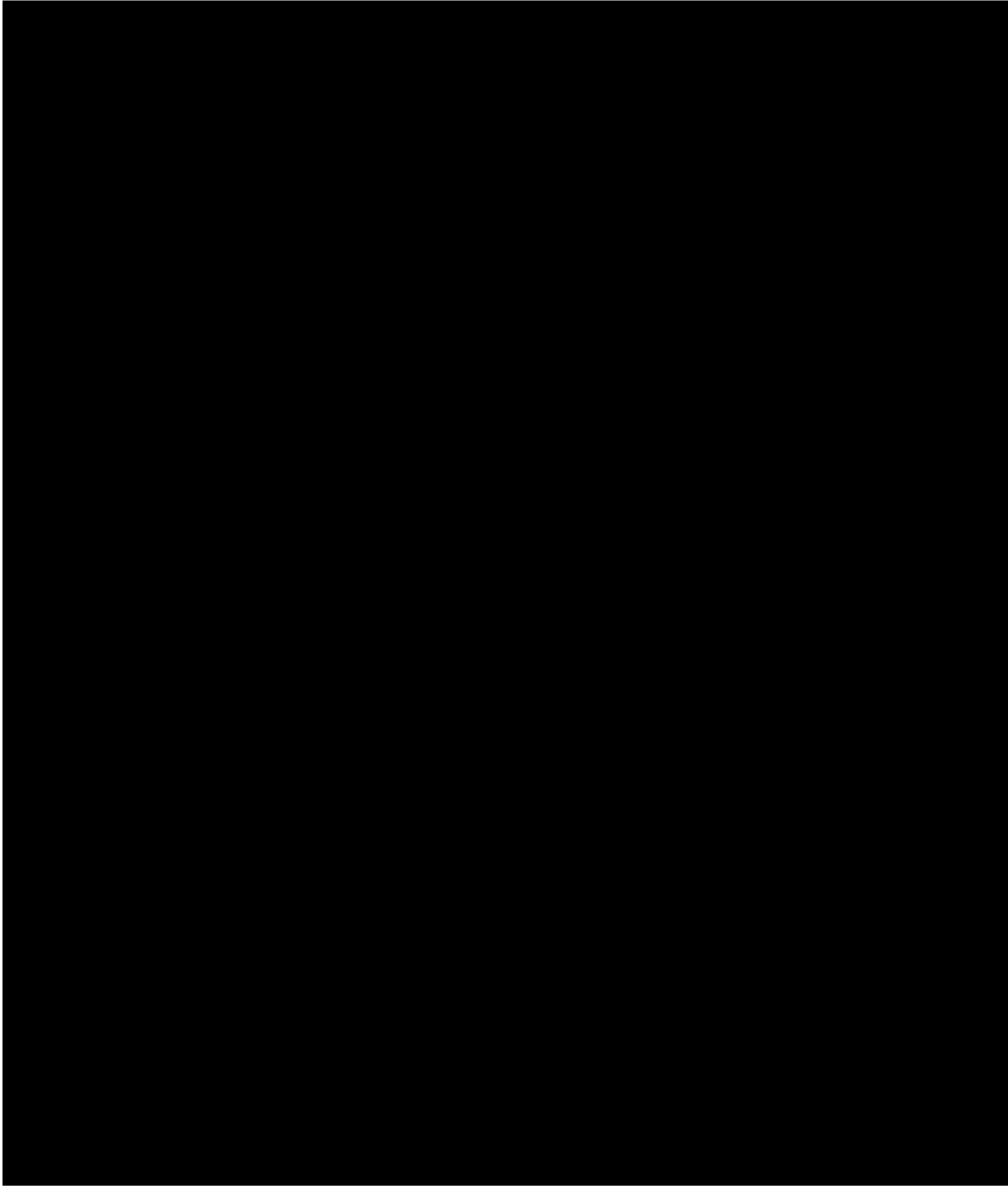
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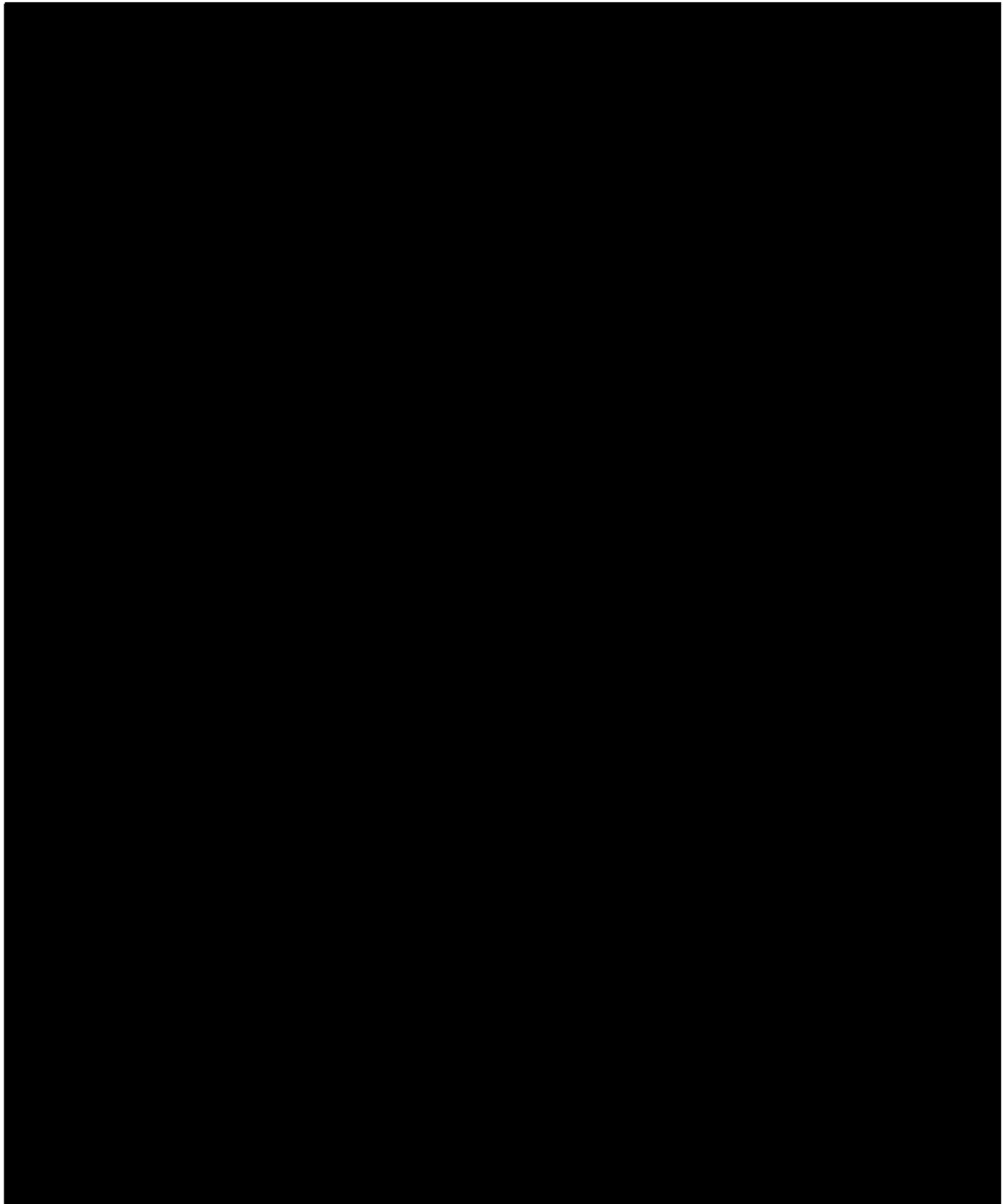


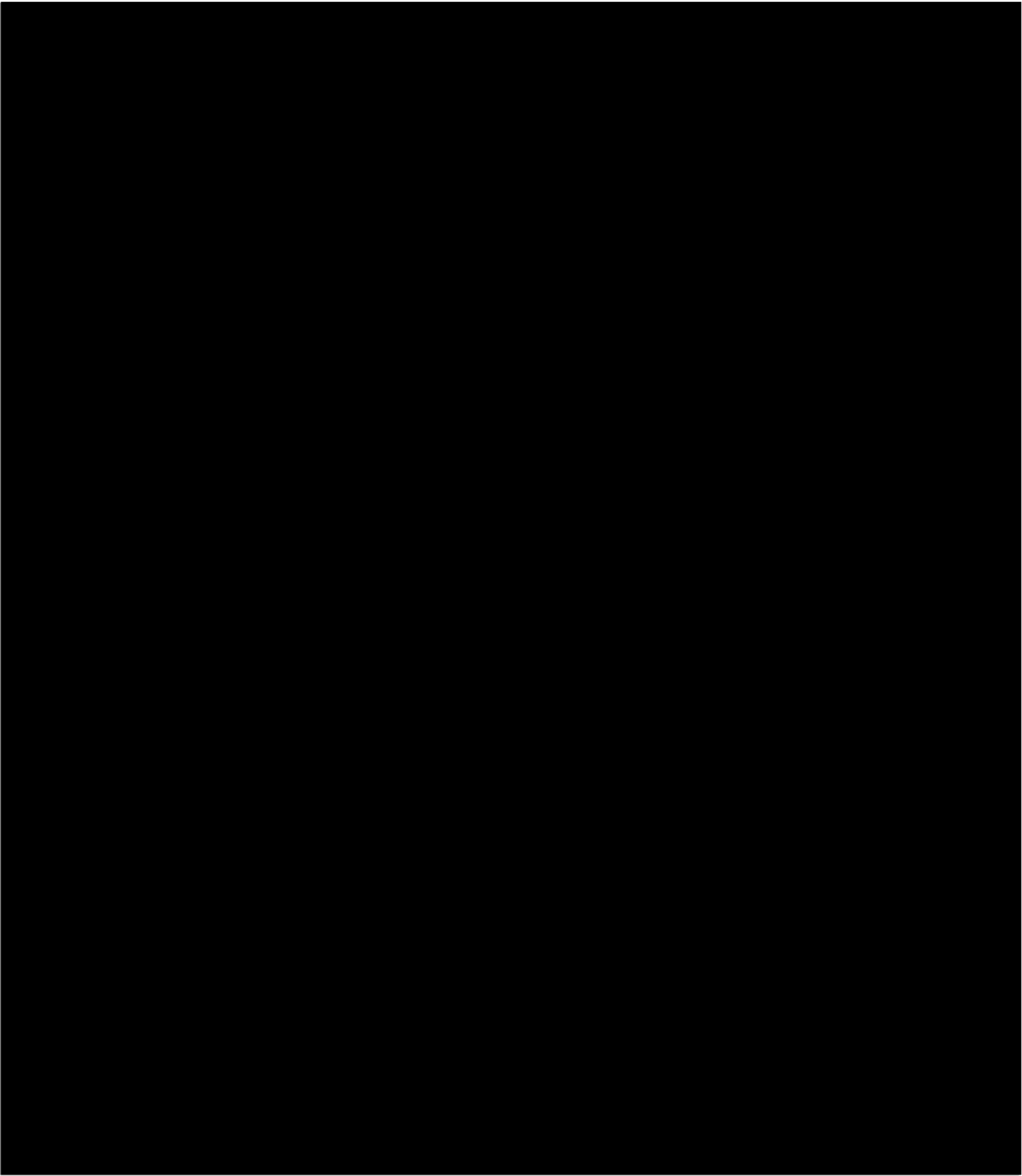
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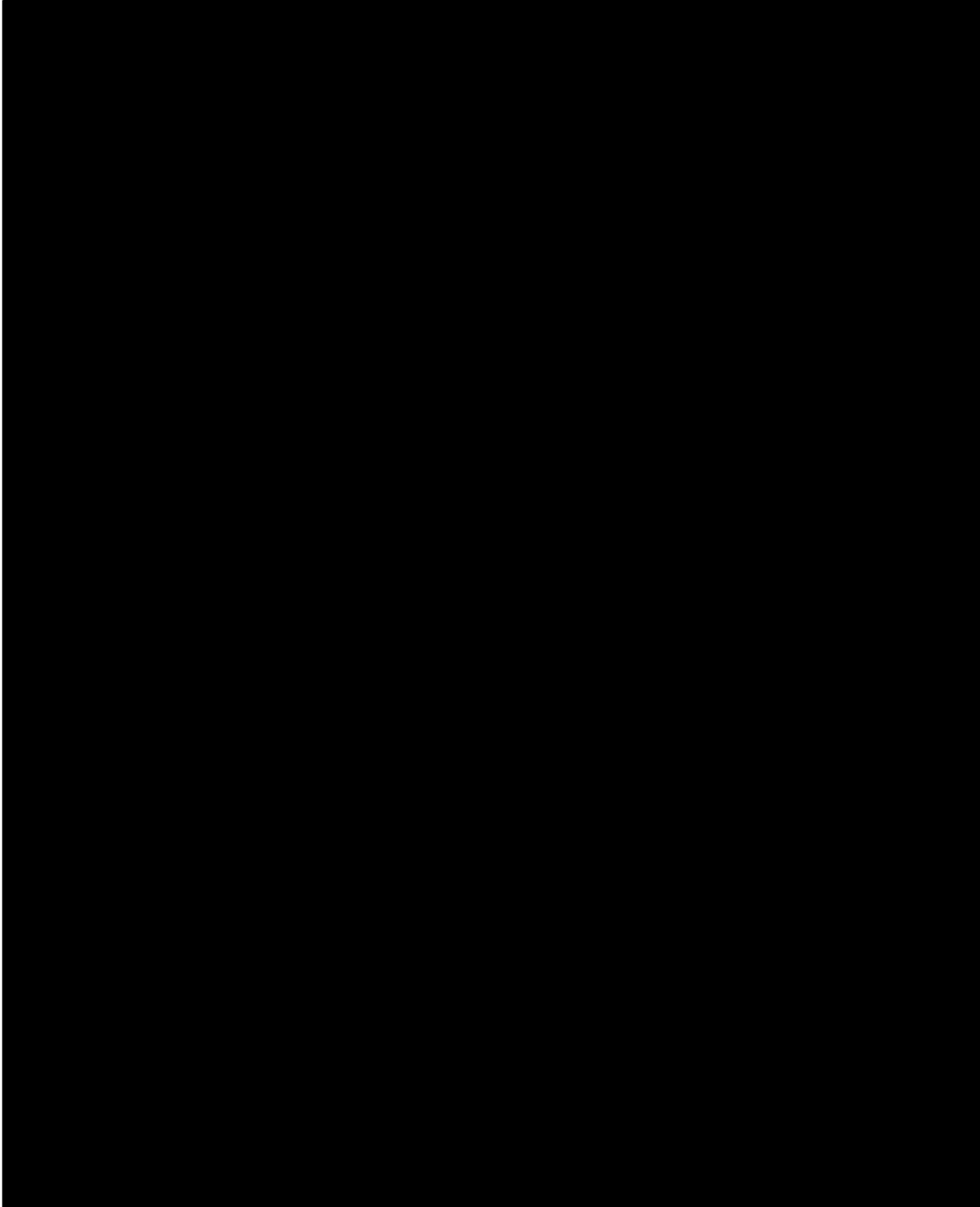
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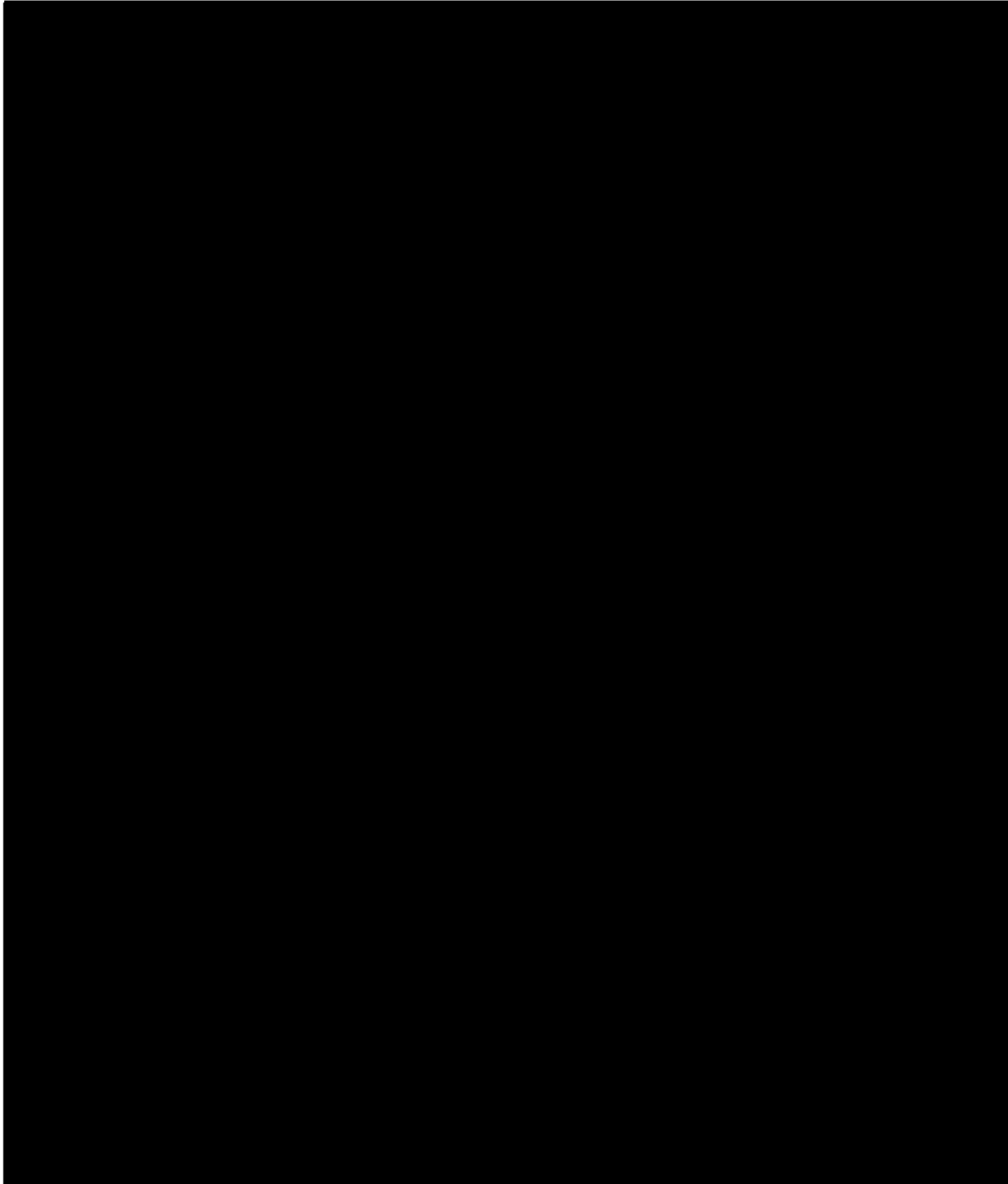




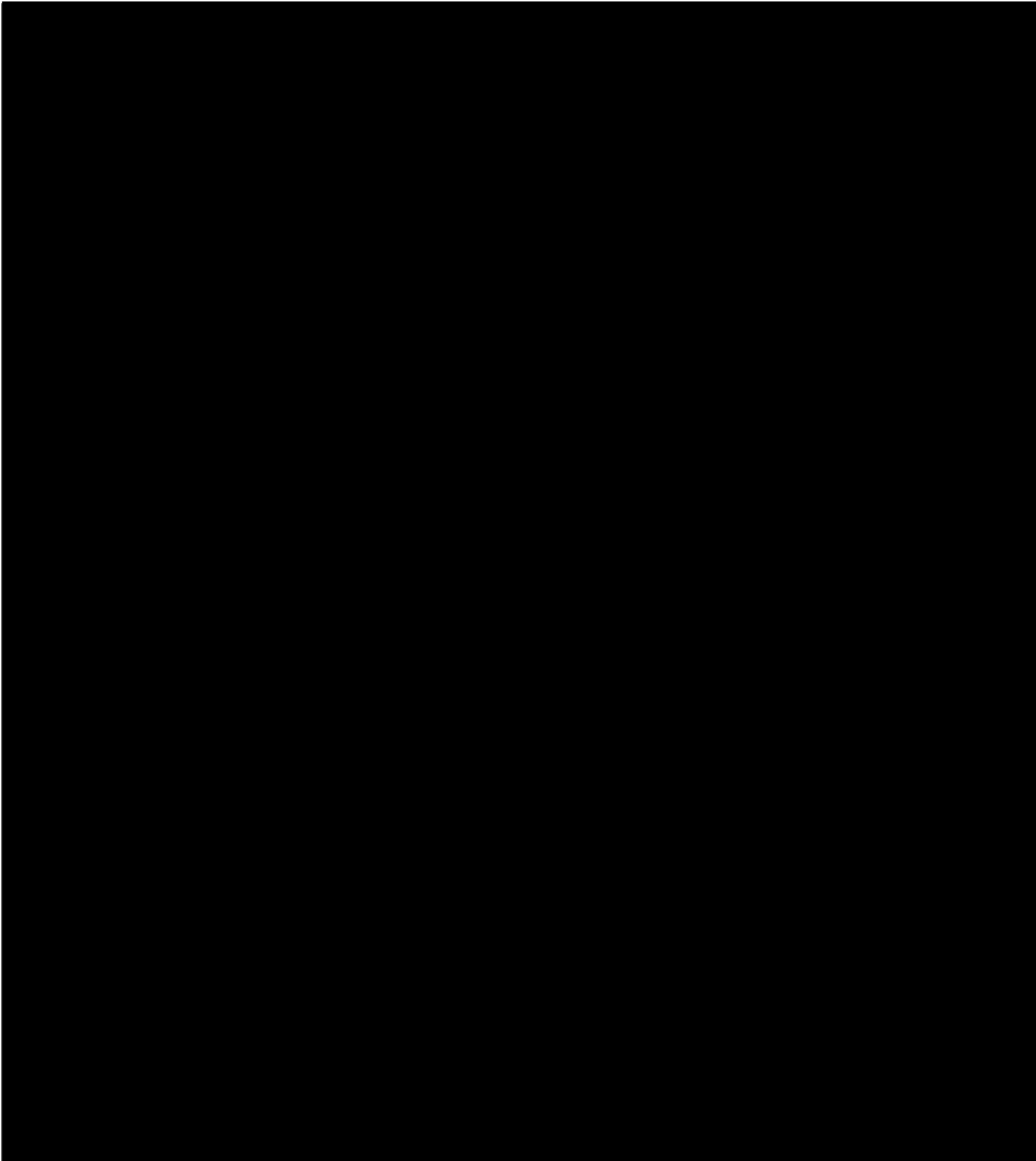
Subject : *Results of check for KEMP, ASKARI LEON (70CA620000002772)*



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**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application**

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|---|---------------------------------------|---|---------------------------|
| Social Security Number [REDACTED] | Birth Date (MM/DD/YYYY) [REDACTED] | Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female | |
| Last Name Kemp | First Askari | Middle Leon | Suffix |
| Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| If yes, list the name or names used: <u>Askari Leon, Kemp</u> | | | |
| Race/Ethnicity (check only one): <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaska Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, provide the name of the country of which you are a citizen: _____ | | | RECEIVED AUG 17 2023 |
| Current Mailing Address 20401 NW 20 Ave | | Email Address (optional) _____ | |
| City Miami | State FL | Zip Code (+4 optional) 33056 | Country USA |
| Primary Phone Number (305) 927-1682 | | Secondary/Cell Phone Number (optional) | |
| Current Street Address 20401 NW 20 Ave | | | |
| City Miami | State FL | Zip Code (+4 optional) 33056 | Country USA |
| Type of Slot Machine Occupational License applying for: <input checked="" type="checkbox"/> General Individual <input type="checkbox"/> Professional Individual <input type="checkbox"/> Slot Machine/Cardroom/PMW Combination | | Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| Job title(s): <u>Cook I</u> | | Facility where employed and/or doing business: | |
| *Applicants for a Combo license should disclose all job titles | | Employer name: Cadler Casino | |
| FOR DIVISION USE ONLY | | | |
| License Code <u>1052</u> | License # <u>13704655</u> | File # <u>1646</u> | App # <u>3467</u> |
| Association Code <u>285</u> | Date Received <u>8-17-23</u> | Entered By <u>PJP</u> | License Year <u>23/26</u> |
| License Fee <u>\$100.00</u> | FP Date <u>8-17-23</u> | FP Fee <u>0</u> | Total Fee <u>\$100.00</u> |
| Waiver Requested (Combo Only) | ARCIV ✓ | Enforcement ✓ | |

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

| Name of Employer | Address | Start Date (Month/ Year) - End Date (Month/ Year) | Title/Position Held & Supervisor Name | Reason for Leaving |
|------------------|---------|--|--|--------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

| State(s) or Jurisdiction(s) Where Licensed | Date of Expiration | Is this license currently suspended or subject to fines or other discipline? Explain why. |
|---|-----------------------|--|
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Yes
 No
 Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Askari Kemp Leon

Print Legal Name (First Middle Last)

Birth Date (MM/DD/YYYY)

Social Security Number

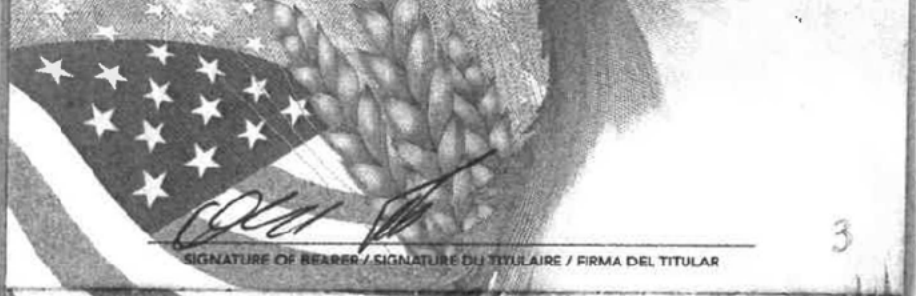
Name of Employer

Signature of Applicant

Date

We the People

*Of the United States,
in Order to form a more perfect Union,
establish Justice, insure domestic Tranquility,
provide for the common defence,
promote the general Welfare, and secure
the Blessings of Liberty to ourselves and
our Posterity, do ordain and establish this
Constitution for the United States of America.*



SIGNATURE OF BEARER / SIGNATURE DU TITULAIRE / FIRMA DEL TITULAR

PASSPORT
PASSEPORT
PASAPORTE

UNITED STATES OF AMERICA



Type / Type / Tipo / Code / Code / Código / País / País / País / Passports / Passports / Passports

P USA

Surname / Nom / Apellido

ASKARI LEON

Nationality / Nationalité / Nacionalidad

UNITED STATES OF AMERICA

Sex / Sexe / Sexo

M

Authority / Autorité / Autoridad

United States

Department of State

USA

CASE INFORMATION

| | | |
|--------------------------------|--------------------------------------|---------------------------|
| Court Case No.: F08002503 | State Case No.: 132008CF0025030001XX | Date of Birth: [REDACTED] |
| Name: KEMP, ASKARI | Date Closed: 03/12/2008 | Warrant Type: |
| Date Filed: 01/22/2008 | Balance Due: \$0.00 | Stay Due Date: 12/31/2020 |
| Assessment Amount: \$498.00 | Next Case: | |
| Previous Case: | Defense Attorney: ROLAND, CRYSTAL | |
| Judge: DELANCY, MICHELLE ASHBY | File Location: RECORD CENTER | Box Number: 260-647 |
| Bfile Section: F005 | Arrest Date: 01/21/2008 | |
| Arresting Agency: MIAMI | | |

HEARING

There are no scheduled hearings.

CHARGES

| Seq No. | Charge | Charge Type | Disposition |
|---------|----------------------|-------------|---------------------|
| 1 | CONCEALED F/A /CARRY | FELONY | WH ADJ-PROB SP COND |
| 2 | CANNABIS/PCSN/0-20 | MISDEMEANOR | WH ADJ-PROB SP COND |

DOCKETS

| Seq. No. | Date | Book/Page | Docket |
|----------|------------|--------------|--|
| 45 | 10/03/2020 | | COURT FEE PAYMENT 498.00 RECEIPT # 2190827 |
| 44 | 10/03/2020 | | COLLECTIONS AGENCY STATUS DELETED FROM ALLIANCE ONE INC. |
| 43 | 10/01/2020 | | ORDER REMOVING CASE FROM COLLECTIONS |
| 42 | 06/06/2011 | | COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC. |
| 41 | 06/03/2011 | | COLLECTIONS AGENCY STATUS DELETED FROM MUNICIPAL SERVICE BUREAU Contract Expired 2/15/2011 |
| 40 | 08/06/2008 | | COLLECTIONS AGENCY STATUS NEW TO MUNICIPAL SERVICE BUREAU |
| 39 | 04/07/2008 | 026310/00234 | RECORDED FINAL JDGMT ASSESSING ATTY FEES & COSTS TO 235 AMOUNT 700.00 |
| 38 | 03/25/2008 | | ORDER COURT TERMINATING PROBATION |
| 37 | 03/19/2008 | 026283/01087 | FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS |
| 36 | 03/19/2008 | | ORDER OF SUPERVISION 1 DAY |
| 33 | 03/17/2008 | | NOTICE OF FAILURE TO PAY SENT |
| 32 | 03/13/2008 | | MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER |
| 31 | 03/13/2008 | | MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER |
| 30 | 03/13/2008 | | DISCHARGE CERTIFICATE ISSUED U1020079911 |
| 29 | 03/13/2008 | | DISCHARGE CERTIFICATE ISSUED U120079541 |
| 35 | 03/12/2008 | | FINAL JUDGMENT ASSESSING RECORDING FEE, ATTY'S FEES & COSTS AMOUNT 700.00 |
| 34 | 03/12/2008 | | SCORESHEET NOT SUBMITTED IN COURT |
| 28 | 03/12/2008 | | USI AMT/ 5000 DISCHARGED 03/12/2008 POWER/U1020079911 |
| 27 | 03/12/2008 | | USI AMT/ 1000 DISCHARGED 03/12/2008 POWER/U120079541 |
| 26 | 03/12/2008 | | STAY GRANTED - DUE 03/14/2008 |
| 25 | 03/12/2008 | | MEMORANDUM OF COSTS AMOUNT 498.00 |
| 23 | 03/12/2008 | | CLOSING JUDGE HOGAN SCOLA, JACQUELINE |
| 22 | 03/12/2008 | | DEFENSE ATTY PDEF/ROLAND, CRYSTAL C |
| 19 | 03/04/2008 | | NOTICE OF TAKING DEPOSITION |
| 17 | 02/14/2008 | | DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS |
| 16 | 02/11/2008 | | TRIAL UNIT ASSIGNED: FELONY DIVISION 05 |
| 15 | 02/11/2008 | | TRIAL PROS. ASSIGNED: TISCHLER, DUSTIN |
| 14 | 02/11/2008 | | REPORT RE: PLEA SET FOR 03/12/2008 AT 09:00 |
| 12 | 02/11/2008 | | INFORMATION FILED |
| 11 | 02/11/2008 | | DISC EXHIBIT: STATE'S DEMAND FOR DEFN DISCV/DEMAND FOR ALIBI |
| 10 | 01/29/2008 | | INTAKE UNIT ASSIGNED: FSU - CASE SCREENING |
| 9 | 01/29/2008 | | INTAKE PROS. ASSIGNED: STROZIER, MICHAEL |
| 7 | 01/22/2008 | | BOND RECEIVED U120079541 |
| 6 | 01/22/2008 | | BOND RECEIVED U1020079911 |
| 5 | 01/22/2008 | | USI AMT/ 1000 ISSUED 01/22/2008 POWER/U120079541 |
| 4 | 01/22/2008 | | USI AMT/ 5000 ISSUED 01/22/2008 POWER/U1020079911 |
| 2 | 01/21/2008 | | DEFN CITY CHANGED BY FINALIST PURSUANT TO BOOKING |
| 1 | 01/21/2008 | | ARRAIGNMENT HEARING SCHEDULED FOR 02/11/2008 AT 09:00 |

CASE INFORMATION

Court Case No.: M10021431

State Case No.: 132010MM0214310001XX

Name: KEMP, ASKIRI

Date of Birth: [REDACTED]

Date Filed: 05/01/2010

Date Closed: 05/01/2010

Warrant Type:

Previous Case:

Next Case:

Judge: NELSON, JULIE HARRIS

Defense Attorney: PUBLIC DEFENDER APPOINTMENT, ASSIGN

Bfile Section: M008

File Location: RECORD CENTER

Box Number: 23-677

Arresting Agency: MIAMI DADE POLICE

Arrest Date: 04/30/2010

HEARING

There are no scheduled hearings.

CHARGES

| Seq No. | Charge | Charge Type | Disposition |
|---------|--------------------|-------------|----------------------|
| 1 | CANNABIS/POSN/0-20 | MISDEMEANOR | WH ADJ W/FINE & COST |

DOCKETS

| Seq. No. | Date | Book/Page Docket |
|----------|------------|--|
| 10 | 06/06/2011 | COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC. |
| 9 | 06/03/2011 | COLLECTIONS AGENCY STATUS DELETED FROM MUNICIPAL SERVICE BUREAU Contract Expired 2/15/2011 |
| 8 | 02/07/2011 | COLLECTIONS AGENCY STATUS NEW TO MUNICIPAL SERVICE BUREAU |
| 7 | 11/04/2010 | NOTICE OF FAILURE TO PAY SENT |
| 5 | 05/01/2010 | STAY GRANTED - DUE 11/01/2010 |
| 4 | 05/01/2010 | AFFIDAVIT FOR INDIGENT STATUS - INDIGENT |
| 2 | 05/01/2010 | FIRST APPEARANCE/JAIL ARRAIGNMENT - A.M. |
| 1 | 05/01/2010 | CLOSING JUDGE DONNER, AMY STEELE |

CASE INFORMATION

Court Case No.: F11006089

Name: KEMP, ASKIRI LEON

Date Filed: 03/04/2011

Previous Case:

Judge: DELANCY, MICHELLE ASHBY

BFile Section: F005

Arresting Agency: NORTH MIAMI

State Case No.: 132031CF0060890001XX

Date Closed: 05/03/2011

Next Case:

Defense Attorney: MARCELLUS, RYAN ADSEN

File Location: RECORD CENTER

Arrest Date: 03/03/2011

Date of Birth: [REDACTED]

Warrant Type:

Box Number: 14-863

HEARING

There are no scheduled hearings.

CHARGES

| Seq No. | Charge | Charge Type | Disposition |
|---------|----------------------|-------------|----------------------|
| 1 | CANNABIS/POSN/0-20 | MISDEMEANOR | WH ADJ.-PROB 5P COND |
| 2 | GRD THEFT/3D/FIREARM | FELONY | WH ADJ.-PROB 5P COND |
| 3 | PROBATION VIOLATION | MISC HOLD | PROBATION MODIFIED |
| 3 | FIREARM/ALTER ID | FELONY | NO ACTION |
| 4 | G TFT 3D/BEF10/1/19 | FELONY | NO ACTION |
| 5 | ID/USE/POSS/FRAUD | FELONY | NO ACTION |

DOCKETS

| Seq. No. | Date | Book/Page | Docket |
|----------|------------|--------------|---|
| 91 | 08/19/2013 | | COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC. |
| 90 | 08/19/2013 | | PAYMENT PLAN REMOVED ON 08/19/2013 |
| 89 | 05/20/2013 | | NOTICE OF FAILURE TO PAY SENT |
| 88 | 03/12/2013 | | COURT FEE PAYMENT 5.00 RECEIPT # 2180015 |
| 87 | 03/12/2013 | | PAYMENT PLAN STARTING ON 04/15/2013 126 PAYMENTS OF \$5.00 WITH LAST DUE ON 9/15/2023 |
| 85 | 03/12/2013 | | STAY GRANTED - DUE 03/13/2013 |
| 84 | 03/12/2013 | | CLOSING JUDGE VERDE, MARIA ELENA |
| 82 | 03/11/2013 | | MOTION TO TERMINATE PROBATION/COMMUNITY CONTROL FILED 03/12/2013 ORAL SET FOR 03/12/2013 AT 10:43 GRANTED |
| 80 | 03/08/2013 | | MOTION TO/FOR: EARLY TERM. OF PROBATION |
| 79 | 07/10/2012 | | ORDER OF MODIFICATION OF PROBATION |
| 77 | 07/02/2012 | | ROR AMT/ 0 DISCHARGED 07/02/2012 POWER/ |
| 76 | 06/29/2012 | | ROR AMT/ 0 ISSUED 06/29/2012 POWER/ |
| 75 | 06/29/2012 | | DEFENSE ATTY PRIV/HERNANDEZ-VELEZ, EVELYN |
| 74 | 06/29/2012 | | REPORT RE: PLEA SET FOR 07/02/2012 AT 09:00 |
| 73 | 06/28/2012 | | REPORT RE: PLEA SET FOR 06/29/2012 AT 09:00 |
| 71 | 06/22/2012 | | INTAKE UNIT ASSIGNED: FELONY DIVISION 05 |
| 70 | 06/22/2012 | | TRIAL PROS. ASSIGNED: FRIEDMAN, JESSIE |
| 67 | 06/21/2012 | | REPORT RE: ARR ON AFFDVT SET FOR 06/22/2012 AT 09:00 |
| 65 | 06/18/2012 | | VIOLATION OF PROBATION AFFIDAVIT FILED |
| 64 | 06/06/2011 | 027713/01263 | FINDING OF GUILTY/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS |
| 63 | 05/04/2011 | | DISCHARGE CERTIFICATE ISSUED 2011AA010367 |
| 62 | 05/04/2011 | | DISCHARGE CERTIFICATE ISSUED 2011AA010366 |
| 61 | 05/03/2011 | | TRIAL PROS. ASSIGNED: KEELEY, EILEEN |
| 60 | 05/03/2011 | | ORDER OF SUPERVISION 2 YRS PROB |
| 59 | 05/03/2011 | | SCORESHEET NOT SUBMITTED IN COURT |
| 57 | 05/03/2011 | | STAY GRANTED - DUE 04/03/2013 |
| 56 | 05/03/2011 | | MEMORANDUM OF COSTS AMOUNT 603.00 |
| 50 | 05/03/2011 | | CLOSING JUDGE ARZOLA, ANTONIO |
| 49 | 05/03/2011 | | LNI AMT/ 1000 DISCHARGED 05/03/2011 POWER/2011AA010366 |
| 48 | 05/03/2011 | | LNI AMT/ 5000 DISCHARGED 05/03/2011 POWER/2011AA010367 |
| 47 | 04/20/2011 | | TRIAL PROS. ASSIGNED: QUINTERO, REBECA |
| 44 | 04/19/2011 | | REPORT RE: TRIAL DATE SET FOR 05/03/2011 AT 09:00 |
| 43 | 04/19/2011 | | REPORT RE: PLEA SET FOR 05/03/2011 AT 09:00 |
| 42 | 04/04/2011 | | DEMAND FOR DISCOVERY |
| 41 | 04/04/2011 | | DEMAND FOR TRIAL BY JURY |
| 40 | 04/04/2011 | | WRITTEN PLEA OF NOT GUILTY |

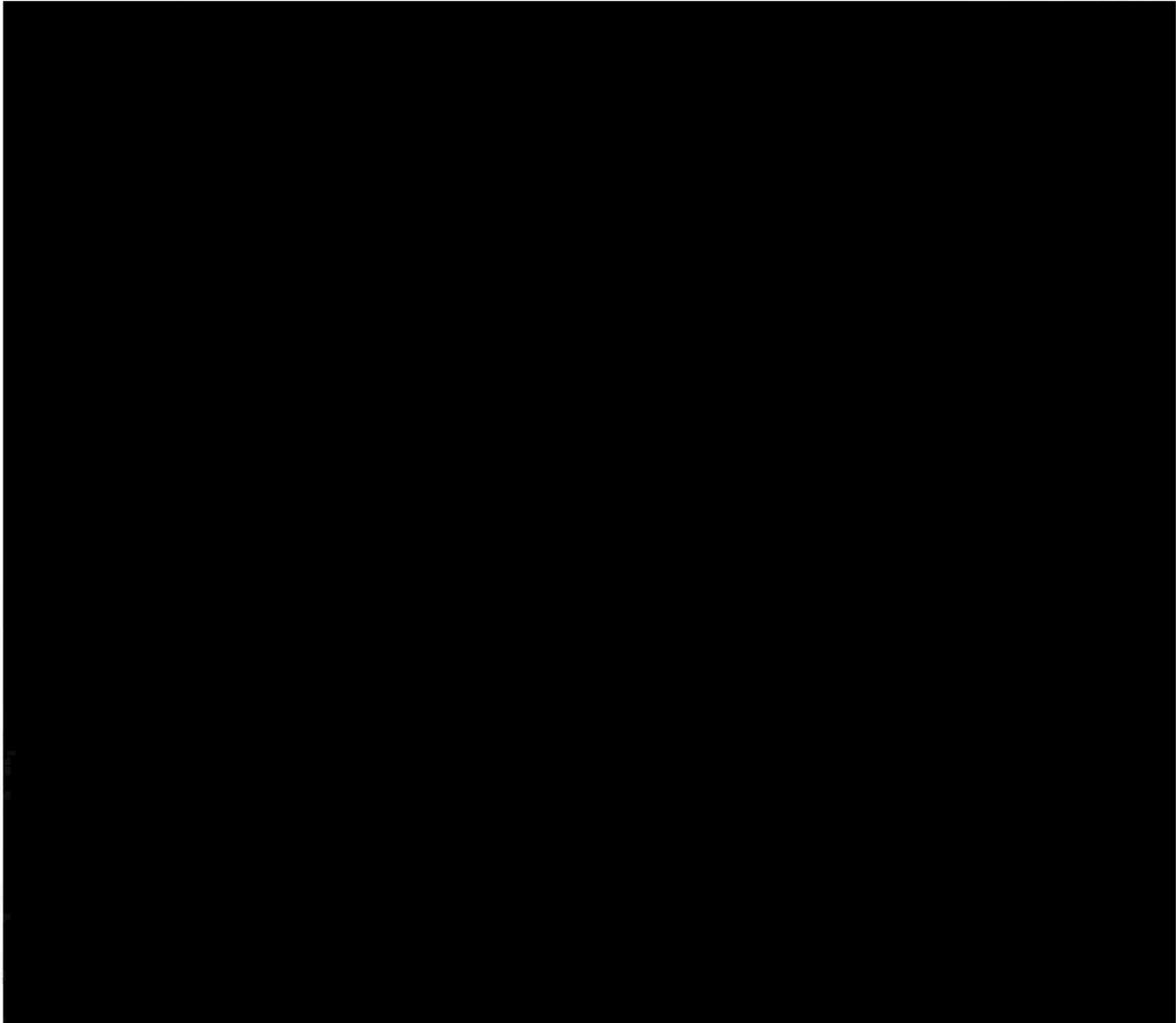
| Seq. No. | Date | Book/Page | Docket |
|----------|------------|-----------|--|
| 39 | 04/04/2011 | | NOTICE OF APPEARANCE EVELYN HERNANDEZ-VELEZ, ESQ. |
| 34 | 04/04/2011 | | DISCHARGE CERTIFICATE ISSUED 2011AA010370 |
| 33 | 04/04/2011 | | DISCHARGE CERTIFICATE ISSUED 2011AA010369 |
| 32 | 04/04/2011 | | DISCHARGE CERTIFICATE ISSUED 2011AA010368 |
| 38 | 04/01/2011 | | DEMAND FOR DISCOVERY |
| 37 | 04/01/2011 | | DEMAND FOR TRIAL BY JURY |
| 36 | 04/01/2011 | | WRITTEN PLEA OF NOT GUILTY |
| 35 | 04/01/2011 | | NOTICE OF APPEARANCE EVELYN HERNANDEZ-VELEZ, ESQ. |
| 29 | 04/01/2011 | | DEMAND FOR TRIAL BY JURY |
| 28 | 04/01/2011 | | DEMAND FOR DISCOVERY |
| 27 | 04/01/2011 | | NOTICE OF APPEARANCE AND PLEA EVELYN HERNANDEZ-VELEZ |
| 26 | 04/01/2011 | | DISC EXHIBIT: STATE'S DEMAND FOR DEFN DISCV/DEMAND FOR ALIBI |
| 25 | 04/01/2011 | | REPORT RE: TRIAL DATE SET FOR 04/19/2011 AT 09:00 |
| 24 | 04/01/2011 | | REPORT RE: PLEA SET FOR 04/19/2011 AT 09:00 |
| 23 | 04/01/2011 | | INFORMATION FILED |
| 22 | 04/01/2011 | | LNI AMT/ 5000 DISCHARGED 04/01/2011 POWER/2011AA010368 |
| 21 | 04/01/2011 | | LNI AMT/ 5000 DISCHARGED 04/01/2011 POWER/2011AA010369 |
| 20 | 04/01/2011 | | LNI AMT/ 5000 DISCHARGED 04/01/2011 POWER/2011AA010370 |
| 18 | 03/08/2011 | | INTAKE PROS. ASSIGNED: ADAMS-PL, WAYNE-SD |
| 17 | 03/07/2011 | | BOND RECEIVED 2011AA010367 |
| 16 | 03/07/2011 | | BOND RECEIVED 2011AA010366 |
| 15 | 03/07/2011 | | BOND RECEIVED 2011AA010370 |
| 14 | 03/07/2011 | | BOND RECEIVED 2011AA010368 |
| 13 | 03/07/2011 | | BOND RECEIVED 2011AA010369 |
| 12 | 03/04/2011 | | LNI AMT/ 5000 ISSUED 03/04/2011 POWER/2011AA010370 |
| 11 | 03/04/2011 | | LNI AMT/ 5000 ISSUED 03/04/2011 POWER/2011AA010369 |
| 10 | 03/04/2011 | | LNI AMT/ 5000 ISSUED 03/04/2011 POWER/2011AA010368 |
| 9 | 03/04/2011 | | ARRAIGNMENT HEARING SCHEDULED FOR 04/01/2011 AT 09:00 |
| 8 | 03/04/2011 | | LNI AMT/ 5000 ISSUED 03/04/2011 POWER/2011AA010367 |
| 7 | 03/04/2011 | | LNI AMT/ 1000 ISSUED 03/04/2011 POWER/2011AA010366 |
| 6 | 03/04/2011 | | INTAKE UNIT ASSIGNED: FSU - CASE SCREENING |
| 5 | 03/04/2011 | | INTAKE PROS. ASSIGNED: ADAMS-PL, WAYNE-MD |
| 4 | 03/04/2011 | | FIRST APPEARANCE/BOND HEARING - P.M. |
| 3 | 03/04/2011 | | ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER |
| 2 | 03/04/2011 | | FIRST APPEARANCE/BOND HEARING - A.M. |
| 1 | 03/04/2011 | | DEFN CITY CHANGED BY FINALIST PURSUANT TO BOOKING |



Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: 8887 |
| Date of Birth: |
| First Name: |
| Middle Name: |
| Last Name: Kemp |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|





Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: |
| Date of Birth: |
| First Name: Askari |
| Middle Name: |
| Last Name: Kemp |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|



Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: |
| Date of Birth: |
| First Name: Askiri |
| Middle Name: |
| Last Name: Kemp |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|



Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: |
| Date of Birth: |
| First Name: Leon |
| Middle Name: |
| Last Name: Kemp |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|

FAQ | Help | Sign Out

- VR Home
- Inbox
- Entity
- Application
- License
- Cash
- Exam
- Inspection
- Enforcement
- Report

- Complaint Search
- Change Recording License Type
- Delete Complaint
- Mass Activity Update
- Mass Discipline Update
- Mass Status Update
- Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: pprofanco

VR Home > Complaint Search

Search Criteria Results

Complaint

Board Complaint #

Lic Type Reference

Case Type Status

Assigned To

Incident From Incident To

Key Phrase in Summary

- Find
- New
- Clear
- Back

Respondent

Fed Tax # Kemp, Askari Leon Street #

File # 1648 Street

License # App in Process City

Entity # 13704655 Postal/Zip

Key Name Kemp, Askari Leon State

County

Complainant Key Name

Involved Party Key Name

No Enforcement Findings

Get Adobe Reader.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steven Woods
Re: Alfred Lee Gerald Junior; Case No. 2023-048275
Date: September 28, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Alfred Lee Gerald Junior's ("Applicant") application for a Slot Machine General Individual Occupational License. Applicant submitted a completed application Slot Machine General Individual Occupational License on August 22, 2023. Upon review of the application, it appears Applicant has been convicted of felonies in Florida. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On August 22, 2023, Applicant submitted his application to the Division of Pari-Mutuel Wagering for a Slot Machine General Individual Occupational License. Upon review of the completed application, the Applicant has felony convictions that cannot be waived; these include the following:

- Possession of Cocaine in 2005;
- Cannabis Possession with Intent to Sell, Manufacture or Deliver in 2005;
- Petit Theft in 2017; and
- Grand Theft in 2017.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part:

“the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling,

importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Rule 75-5.006(1), Florida Administrative Code, requires the applicant to submit Form DBPR PMW-3180, Request for Waiver, and schedule a waiver interview with the Office of Investigations. Failure to participate in a waiver interview or to disclose any pertinent information regarding criminal convictions shall result in a denial of the request for waiver.

Rule 75-5.006(2), Florida Administrative Code, provides, “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: Because applicant has applied for a Slot Machine General Individual Occupational License, his disqualifying criminal convictions could not be waived. Therefore, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – SLOT Occupational License

RE: GERALD, ALFRED LEE JR – 13710451 Case No: 2023048275
(APPLICANT'S NAME– LICENSE #)

| | |
|--------------------------------|-------------------|
| INITIAL APPLICATION RECEIVED: | 8/22/2023 |
| COMPLETE APPLICATION RECEIVED: | 8/22/2023 |
| 90-DAY DEADLINE: | 11/20/2023 |

Randall Kitchens
Application Processor

285 – Calder Casino
Facility (d/b/a name)

1052 - Cook
Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? Yes No

Felony : 3 Count(s)

Misdemeanor – Industry Related/Gambling Related/Bookmaking

Animal Cruelty

Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

| Arrest Date | Location | Charge | Level | Disposition |
|-------------|---------------------|-----------------------|-------|--------------|
| 11/29/2005 | Miami Dade, Florida | Coke/Sell/Del/W/Int | F | Adj Withheld |
| 11/29/2005 | Miami Dade, Florida | Cann/Sell/Del/PSNW/I | F | Adj Withheld |
| 08/19/2017 | Miami Dade, Florida | Petit Theft | M | Adj Withheld |
| 11/24/2017 | Miami Dade, Florida | G Theft #d/Bef10-1-19 | F | Adj Withheld |

Disposition Unknown

Felony Arrest(s) :

Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking

Animal Cruelty

Enforcement/Jurisdiction Offenses

Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number:

Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued:

Initials:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director

Initials: 

8/26/23

Comments:

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

| | | | | |
|--------------------|-------------------------------|------------------|----------------------|------------------------|
| Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update |
| Mass Status Update | Public Case Info | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **rkitchens**

VR Home > Complaint Search > **Maintain Complaint**

| | | | | | |
|-------------|---|------------------------------|--------------------------|--------------------------------------|---------------------|
| Lic Type | 1052 - Slot Machine General Individual | Status | 10 Initial Review | Status Date | 08/25/2023 |
| Complaint # | 2023048275 | Case Type | CMP - Complaint | Disposition | Disposition Date |
| Docket# | Respondent | GERALD, ALFRED LEE JR | Responsible | ddonaldson - DONALDSON, DAVID | Private Case |

| | | | |
|-----------|------------|-------------|------------|
| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|

| | | | | | |
|-----------|---|----------------|--------------------|---|---|
| Source | INTN - Internal | Security Level | 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form | INTR - Internal | Priority | | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n | CHIS - Criminal History | Complexity | R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security | STND - Standard | Incident | 08/25/2023 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region | SR - Southern Region | Received | 08/25/2023 | <input type="checkbox"/> Inspection | |
| Reference | | | | <input type="checkbox"/> Costs | |
| Entered | 08/25/2023 | Entered By | rkitchens | <input type="checkbox"/> Time Tracking | <input type="button" value="Auto Assign"/> |
| Summary | Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. Facility - 285 - Calder. | | | <input type="checkbox"/> Attachments | <input type="button" value="History"/> |
| Updated | 08/25/2023 09:43:04 | By | rkitchens | <input type="checkbox"/> Work Notes | <input type="button" value="Print Report"/> |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

Get Adobe Reader.

OCCUPATIONAL LICENSE FEE VOUCHER

Date 8/22/23

Applicant Name: Alfred Gerald
(Please print)

Position/Title: COOK I
(Please print)


This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, Calder Casino & Race Course agrees to remit to the Division:

\$50 (1-year license)

\$100 (3-year license)

Upgrade Fee \$ _____


Human Resources Authorized Signature

For Accounting use only.

White-DBPR • Yellow-HR

DBPR PMW-3410 – Slot Machine Individual Occupational License Application



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

- Completed Form DBPR PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.
- Provide Identification – Required by Rule 61D-14.010, Florida Administrative Code.
 - Provide a copy of one of the items below:
 - US Passport
 - Certificate of US Citizenship or Naturalization
 - Permanent Resident Card
 - OR, Provide a copy of two of the items below:
 - Birth Certificate (Certified Copy)
 - Driver's License
 - Military ID Card
 - Student ID Card
 - Country ID Card
 - Foreign Passport
 - Government issued Credential
 - Immigration ID Card
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Slot Machine General Occupational License - \$100.00* *does not include fingerprint fee
 - Slot Machine Professional Occupational License - \$100.00*
 - Slot Machine/Cardroom/PMW Combination Occupational License - \$100.00*
- Fingerprints – Choose One Option:
 - Electronic Fingerprints:** Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL923230Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
 - Fingerprint Card:** Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.
 - Fingerprint Resubmission for Renewal and Upgrade Applicants:** Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
**Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395**

RECEIVED

AUG 22 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|--|--|---|---------------------------|
| Social Security Number [REDACTED] | Birth Date (MM/DD/YYYY) [REDACTED] | Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female | |
| Last Name Gerald | First Alfred | Middle Lee | Suffix Jr. |
| Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| If yes, list the name or names used: _____ | | | |
| Race/Ethnicity (check only one): <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, provide the name of the country of which you are a citizen: _____ | | | |
| Current Mailing Address 28205 SW 124 CT | | Email Address (optional) | |
| City Homestead | State FL | Zip Code (+4 optional) 33033 | Country USA |
| Primary Phone Number (786) 623-1552 | | Secondary/Cell Phone Number (optional) | |
| Current Street Address 10425 SW 152 ST A6 28205 SW 124 CT | | | |
| City Miami ^{A6} Homestead | State FL | Zip Code (+4 optional) 33157 A6 33033 | Country USA |
| Type of Slot Machine Occupational License applying for: <input checked="" type="checkbox"/> General Individual <input type="checkbox"/> Professional Individual <input type="checkbox"/> Slot Machine/Cardroom/PMW Combination | | Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No ^{A6} | |
| Job title(s)*: Cook I | | Facility where employed and/or doing business: Calder Casino | |
| *Applicants for a Combo license should disclose all job titles | | Employer name: Calder Casino | |
| FOR DIVISION USE ONLY | | | |
| License Code <u>1052</u> | License # <u>13710451</u> | File # <u>1647</u> | App # <u>3468</u> |
| Association Code <u>285</u> | Date Received <u>8-22-23</u> | Entered By <u>PJP</u> | License Year <u>23/26</u> |
| License Fee <u>\$100.00</u> | FP Date <u>8-22-23</u> | FP Fee <u>0</u> | Total Fee <u>\$100.00</u> |
| Waiver Requested (Combo Only) | ARCI <input checked="" type="checkbox"/> | Enforcement <input checked="" type="checkbox"/> | |

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes
 No

Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

| Name of Employer | Address | Start Date (Month/ Year) - End Date (Month/ Year) | Title/Position Held & Supervisor Name | Reason for Leaving |
|------------------|---------|--|--|--------------------|
| | | | | |
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PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes
 No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

| State(s) or Jurisdiction(s) Where Licensed | Date of Expiration | Is this license currently suspended or subject to fines or other discipline? Explain why. |
|---|-----------------------|--|
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

- Yes
 No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Alfred Lee Gerald Jr.

Print Legal Name (First Middle Last)

Birth Date (MM/DD/YYYY)

Social Security Number

Calder Casino

Name of Employer

Signature of Applicant

Date

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, LUIS G. MONTALDO, CLERK AD INTERIM CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: GERALD, ALFRED LEE
DATE OF BIRTH: [REDACTED]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 1977 - 2023

INDICATES THE FOLLOWING:

| CITATION/ ARREST / FILE | CASE NUMBER | DATE | CHARGES | DISPOSITION | DISPO DATE |
|-------------------------------|----------------------------------|------------|---|--|--------------------------|
| | GERALD, ALFRED LEE B00045419 | 08/06/2000 | DISORDERLY CONDUCT | WH ADJ W/FINE & CO | 08/31/2000 |
| | GERALD, ALFRED LEE M98012566A | 03/06/1998 | PARK HRS/FAIL TO OBS | NOLLE PROS - ADMIN | 03/31/1998 |
| | GERALD, ALFRED LEE M96050037 | 10/17/1996 | PETIT LARCENY, THEFT | NOLLE PROS | 05/08/1997 |
| | GERALD, ALFRED LEE F96034246 | 10/24/1996 | CREDIT CARD/100+ | NO ACTION | 11/14/1996 |
| | | | CREDIT CARD/100+ | NO ACTION | 11/14/1996 |
| | | | UTTER FORGED INSTRU | NO ACTION | 11/14/1996 |
| | GERALD, ALFRED L F05037111A | 11/29/2005 | AKA* COKE/SELL/DEL/W/INT CANN/SELL/DEL/PSNW/I | WH ADJ-SUSP ENT SE WH ADJ-SUSP ENT SE | 12/28/2005 12/28/2005 |
| | GERALD, ALFRED L F05037111 | 11/29/2005 | AKA* COCAINE/POSSESSION CANNABIS/POSN/0-20 | TRANSF TO OTHER CA TRANSF TO OTHER CA | 12/20/2005 12/20/2005 |
| | GERALD, ALFRED LEE B14008301 | 02/28/2014 | AKA* DRUG PARAPHERNA/POSN | NOLLE PROS | 04/30/2014 |
| | GERALD, ALFRED LEE F17018673 | 09/23/2017 | AKA* ANTISHPLFT DEV/USE | NO ACTION | 10/23/2017 |
| | GERALD, ALFRED LEE | | AKA* | | |

PAGE: 001

GERALD, ALFRED

AKA*

F17022385 11/24/2017 G TFT 3D/BEF10/1/19

WH ADJ-PROB SP CON 03/05/2018

PURSUANT TO FLORIDA RULES OF COURT, RULE 2.430, COURT RECORDS THAT ARE NOT PERMANENTLY RECORDED MAY BE UNAVAILABLE, OR DISPOSED OF BY THE CLERK, IN ACCORDANCE WITH THE APPLICABLE RETENTION SCHEDULE REQUIRED BY SAID RULE. (10 YEARS FOR FELONY, MISDEMEANOR AND CRIMINAL TRAFFIC VIOLATIONS IN WHICH THE DEFENDANT WAS ADJUDICATED NOT GUILTY). PLEASE SEE FLORIDA RULES OF COURT, RULE 2.430, FOR A COMPLETE LISTING OF RECORDS RETENTION REQUIREMENTS.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 30 DAY OF MARCH, 2023.

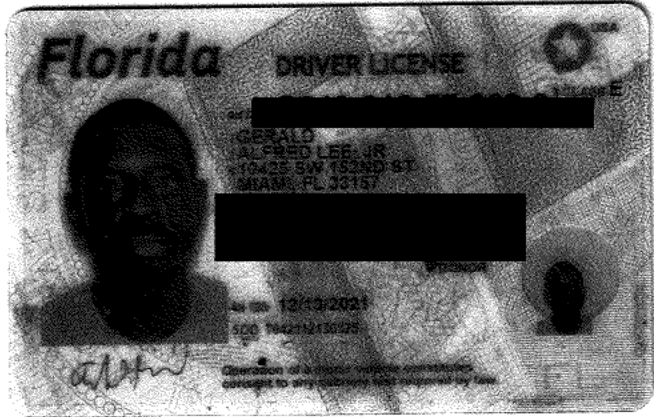
LUIS G. MONTALDO, CLERK AD INTERIM
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

PAGE: 002

BY: *[Handwritten Signature]*
CLERK

Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, in and for Dade County







Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: [REDACTED] |
| Date of Birth: |
| First Name: |
| Middle Name: |
| Last Name: Gerald |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|

licenyer



Licensee Search

Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: |
| Date of Birth: |
| First Name: Alfred |
| Middle Name: |
| Last Name: Gerald |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update
Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: ppotanco

VR Home > Complaint Search

Search Criteria | Results

Complaint

Board Complaint #

Lic Type Reference

Case Type Status

Assigned To

Incident From Incident To

Key Phrase in Summary

Find
New
Clear
Back

Respondent

Fed Tax # Gerald, Alfred Lee Jr. Street #

File # 1647 Street

License # App in Process City

Entity # 13710451 Postal/Zip

Key Name Gerald, Alfred Lee Jr. State

County

Complainant Key Name

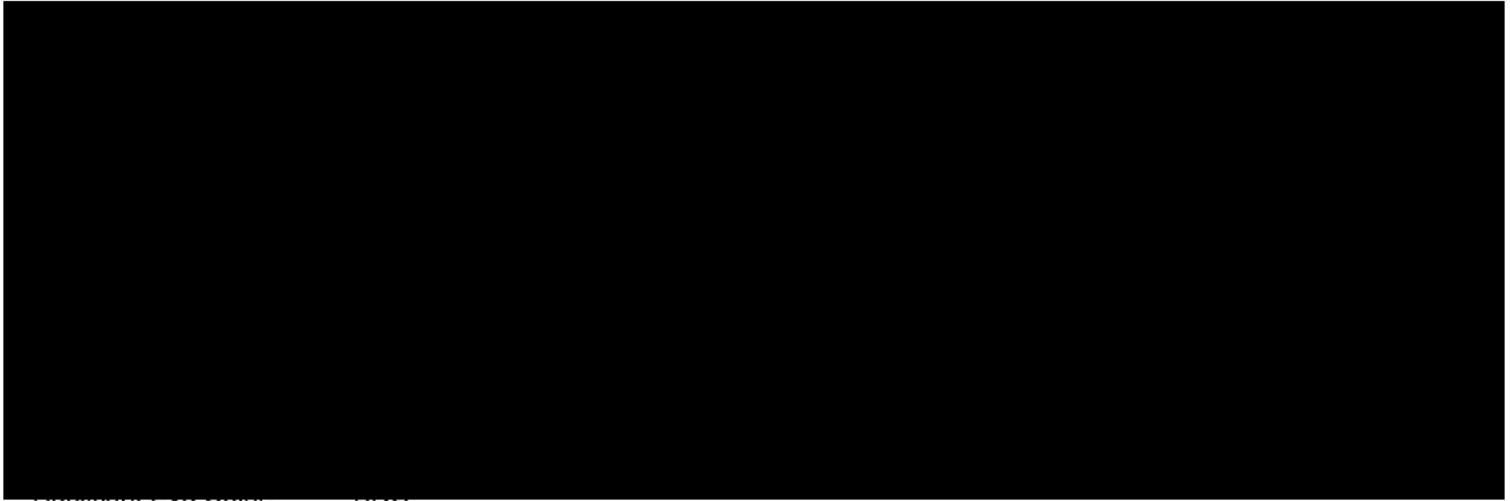
Involved Party Key Name

No Enforcement Findings

Get Adobe Reader.

FDLE Information Notification System

Sent Date: 8/24/23 10:50 AM Purge Date: 2/20/24 10:50 AM Mail Ref.No: ORG002044-9132817



SES



Licensing

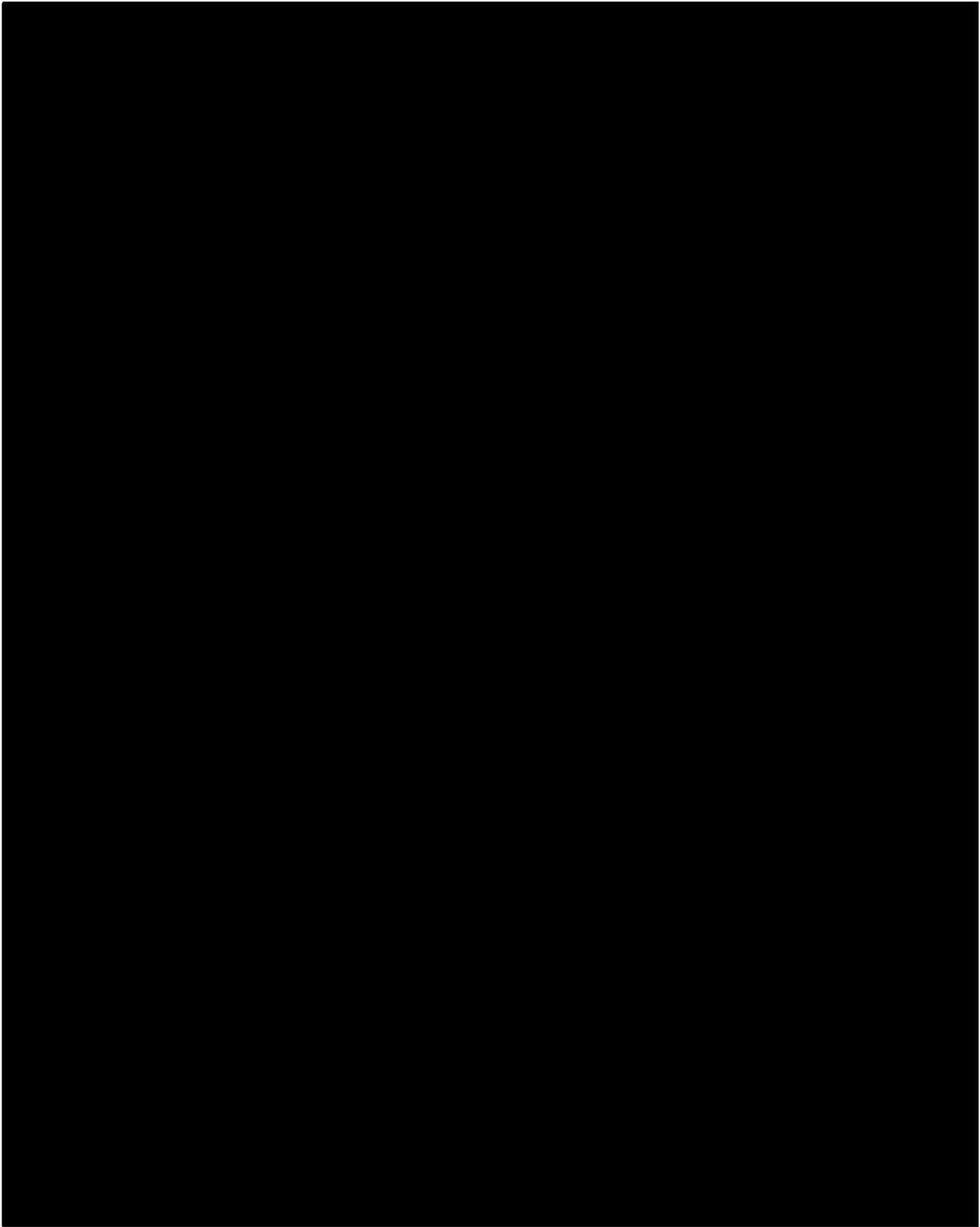
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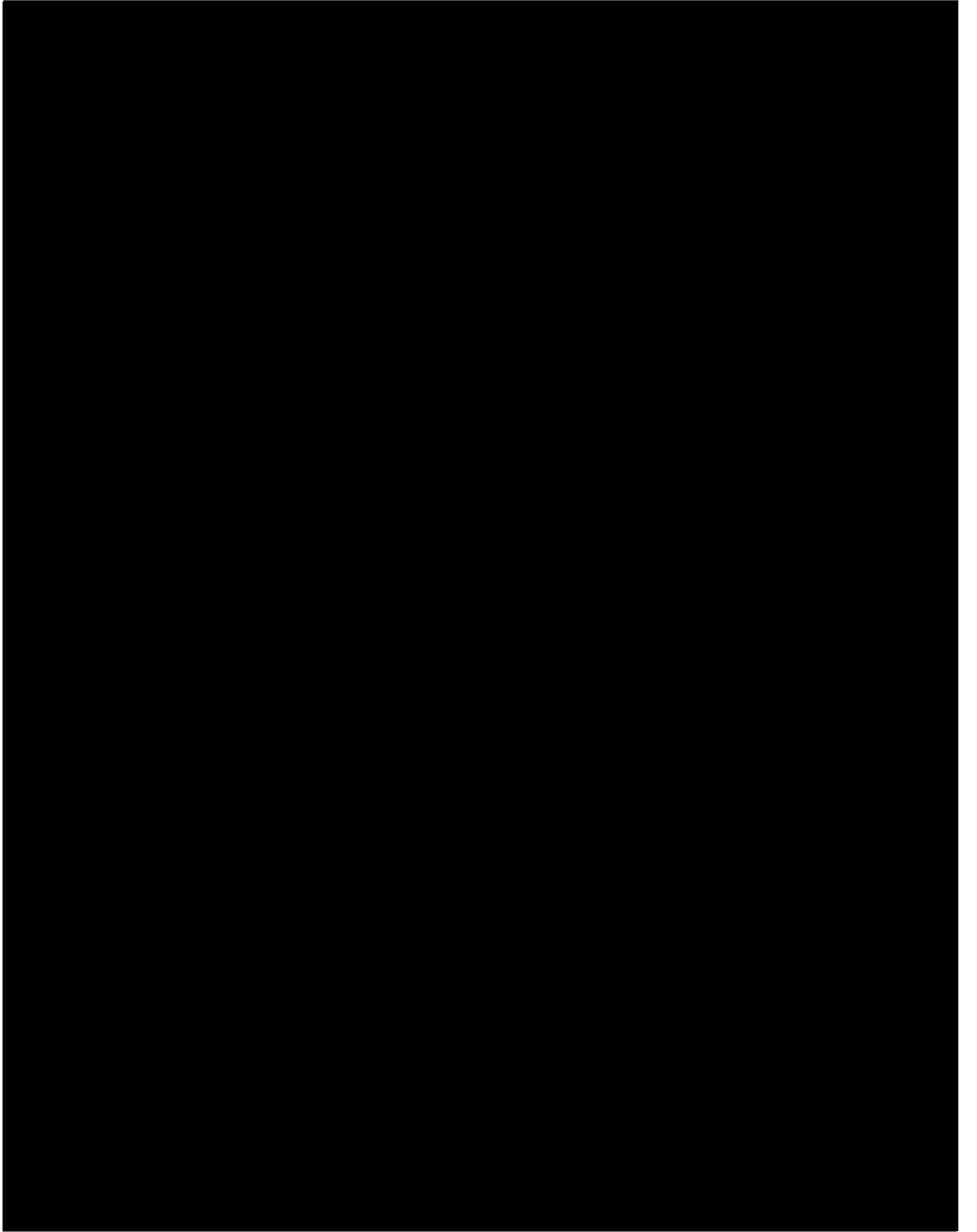
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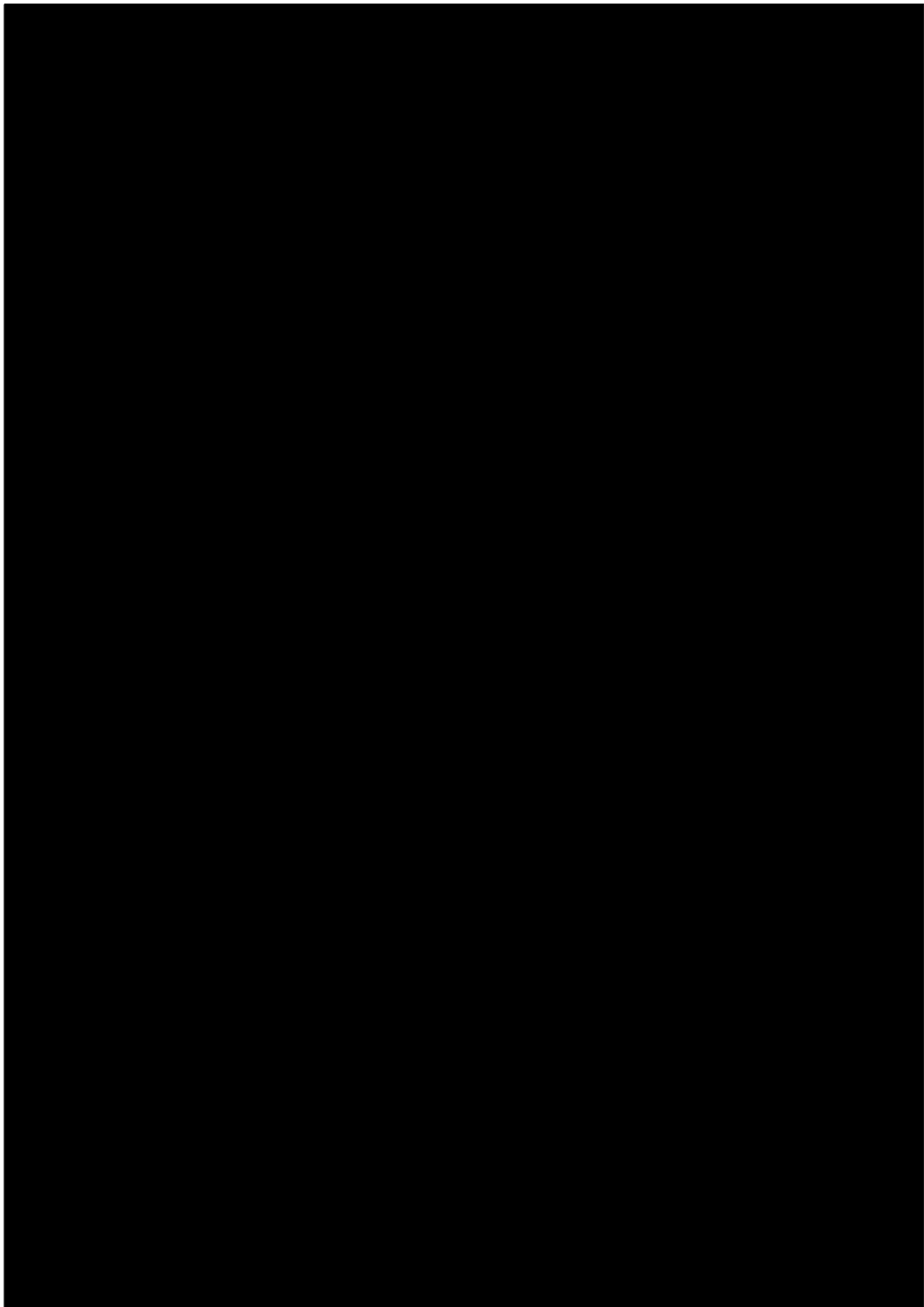
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Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*

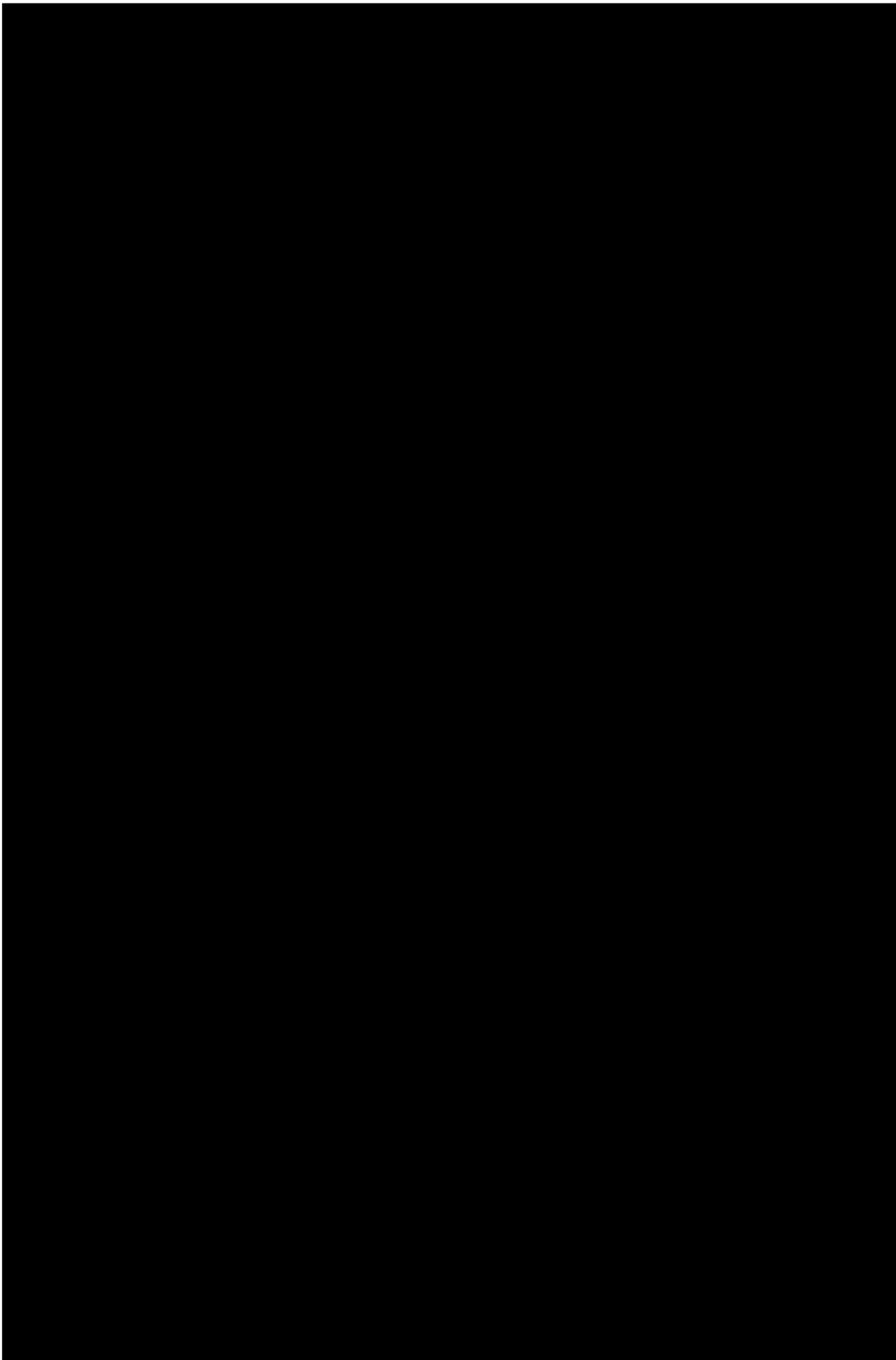


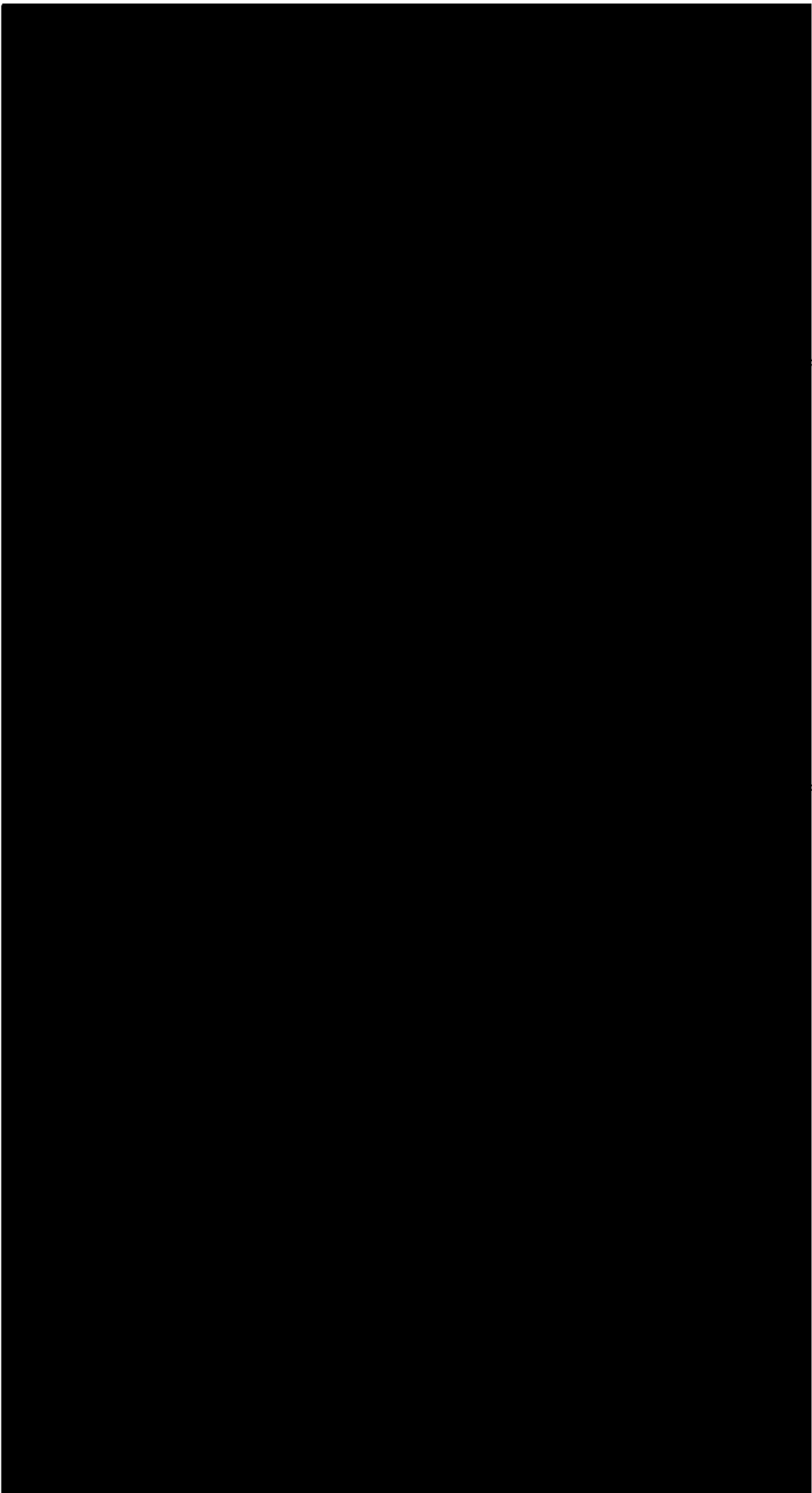
Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*





Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*



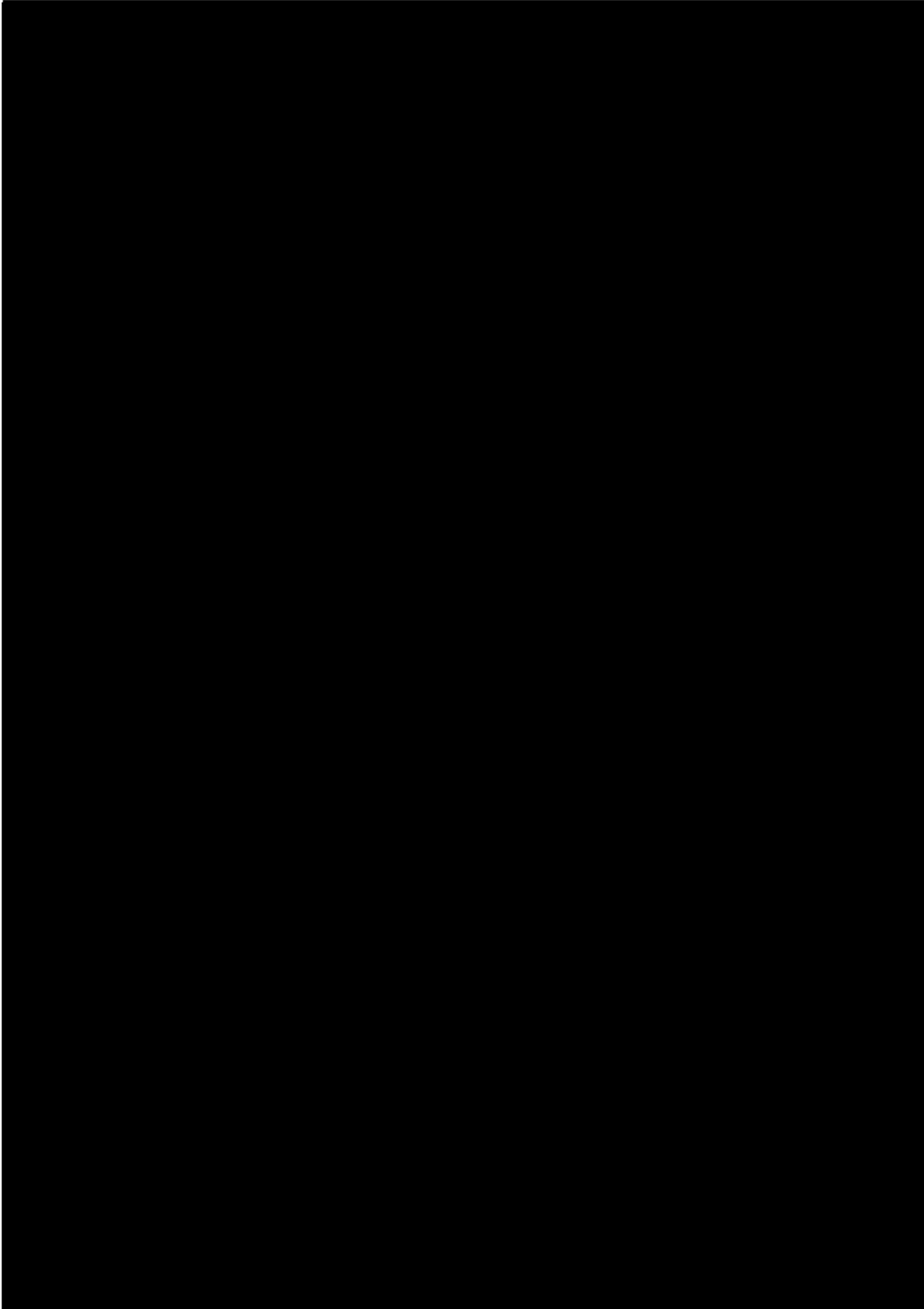


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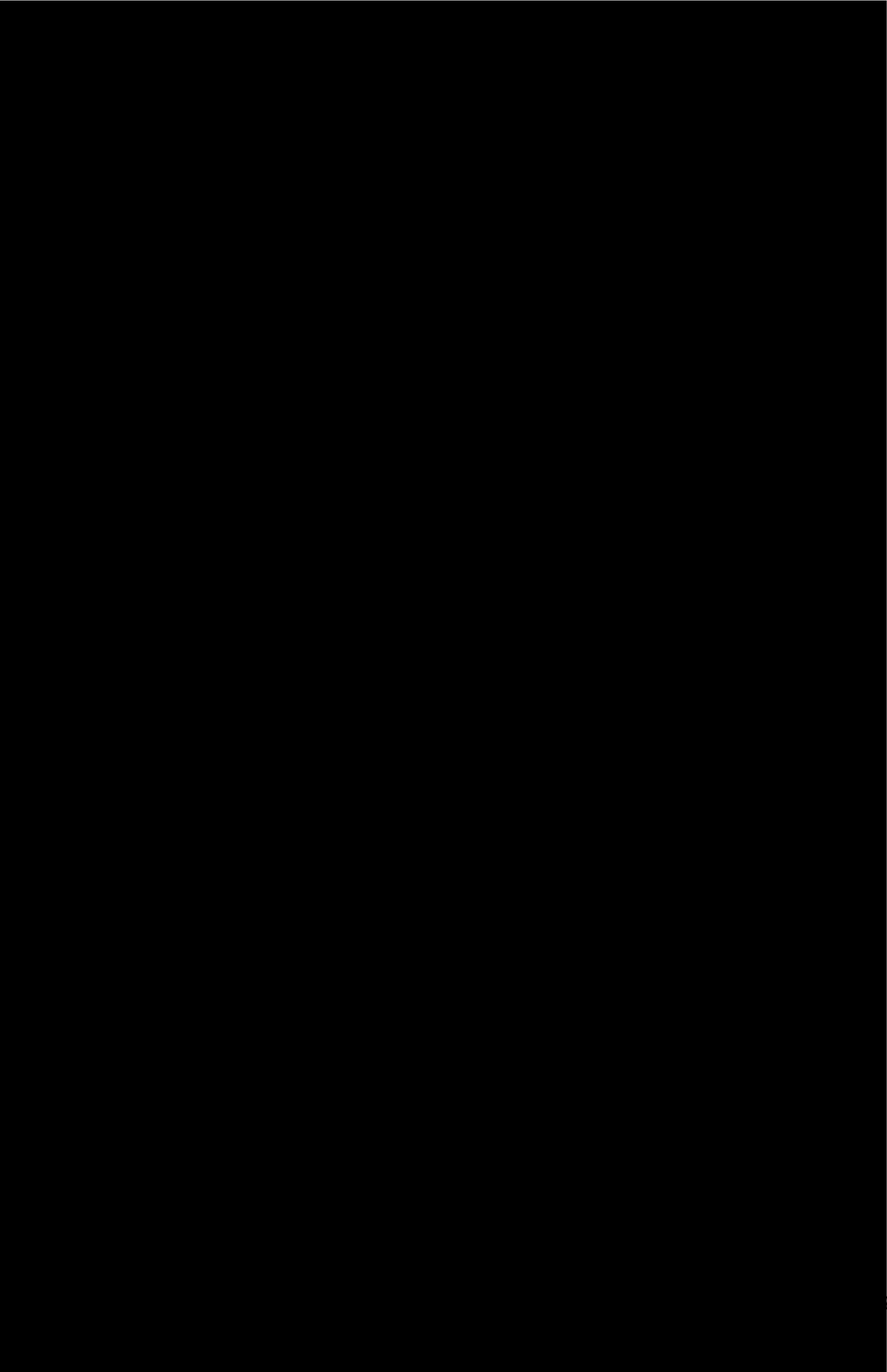


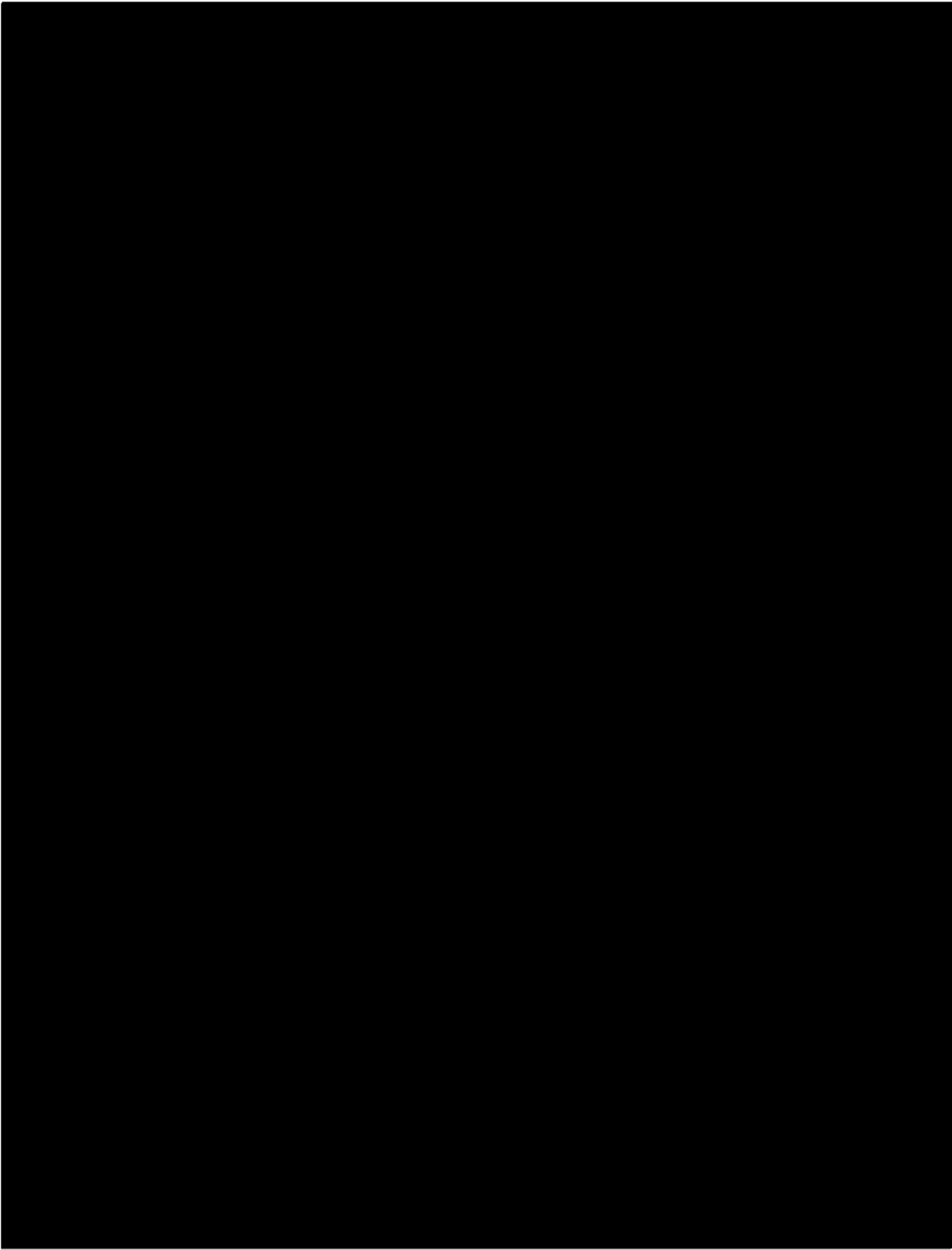
Offense Literal PETT THEFT



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Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*





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Subject : Results of check for GERALD, ALFRED LEE JR (70CA620000002773)

=====
--END--

***** CWCS SYSTEM NOTIFICATION MESSAGE: *****

One Of The Primary Goals Of The Florida Department Of Law Enforcement (FDLE) Is The Timely Notification Of Offender Information To the Public To Ensure Public Safety. The Following Information Is Being Provided As A Public Service And May Not Mean That The Subject Is Wanted. Unlike The Criminal History Record Check Response, The Information Being Provided Below Is Not Based On A Fingerprint Comparison But Is A Comparison Between The Subject Data Provided In Your Agency's Criminal History Request And Demographic Data Provided To FDLE From Criminal Justice Agencies. As Such, Your Subject May Or May Not Be The Same Person As The Subject Named In The Record Below. If The Information Below Reflects A Warrant, The Law Enforcement Agency Holding The Warrant Has Been Notified About Your Inquiry On Him Or Her. For Further Information About This Report, Please Contact The Agency Listed In The Notification Segment(s) Below. If Your Attempt To Obtain More Information Is Unsuccessful, You May Want To Visit Our Website At www.fdle.state.fl.us And Click On "Wanted/Missing Persons" Under "Search Our Systems" --- This Site Contains Additional Public Record Information That May Be Of Assistance.

***** Notification Report Information *****

The subject may have additional criminal justice information as noted below:

Information Type: Wanted Person

Contact Agency: GA0440000

Telephone Numbers: DEKALB COUNTY SHERIFF'S OFFICE 404 298-8200

- o For more information, please call the above listed agency directly.
- o And, please provide the contact agency with PCN Number <unavailable> and/or NIC Number W598761832 which will assist their staff in locating the specific record information that maybe associated with your subject.

***** Notification Report Information *****

The subject may have additional criminal justice information as noted below:

Information Type: Probation OR Supervised Release Status

Contact Agency: FL013125G

Telephone Numbers: DOC PAROLE & PROBATION MIAMI 305 693-2325

- o For more information, please call the above listed agency directly.
- o And, please provide the contact agency with PCN Number <unavailable> and/or NIC Number C284719981 which will assist their staff in locating the specific record information that maybe associated with your subject.

***** Notification Report Information *****

The subject may have additional criminal justice information as noted below:

Information Type: Protection Order Record

Contact Agency: FL0130001

Telephone Numbers: <unavailable>

- o For more information, please call the above listed agency directly.
- o And, please provide the contact agency with PCN Number <unavailable> and/or NIC Number H562781479 which will assist their staff in locating the

Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*

specific record information that maybe associated with your subject.

***** Notification Report Information *****

The subject may have additional criminal justice information as noted below:

Information Type: Protection Order Record

Contact Agency: FL0130001 - MIAMI DADE CO SO/PD

Telephone Number: <unavailable>

- o For more information, please call the above listed agency directly.
- o And, please provide the contact agency with PCN Number T120644683 and/or NIC Number H562781479 which will assist their staff in locating the specific record information that maybe associated with your subject.

***** Notification Report Information *****

The subject may have additional criminal justice information as noted below:

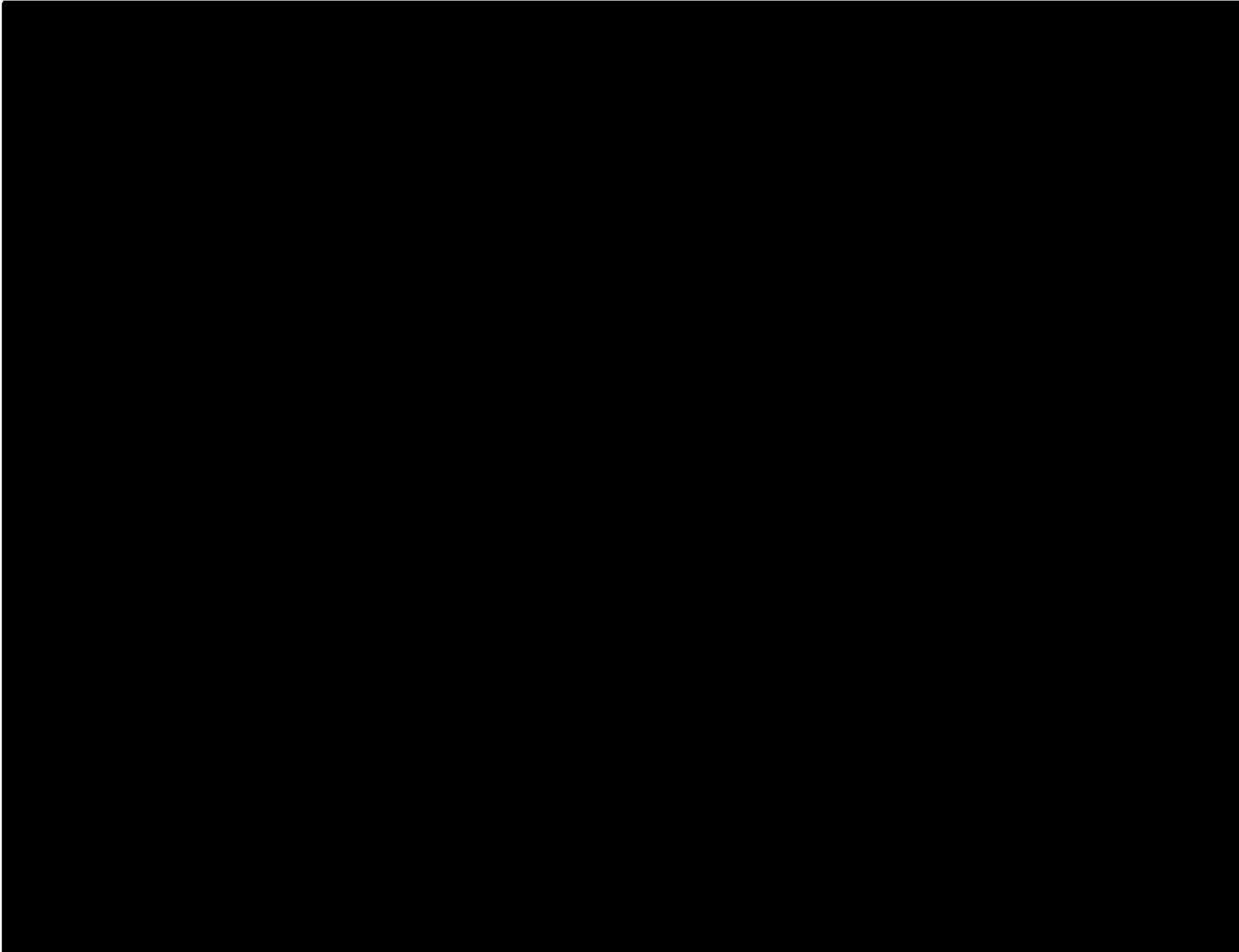
Information Type: Probation OR Supervised Release Status

Contact Agency: FL013125G - FDC -11C- COCONUT GROVE P&P

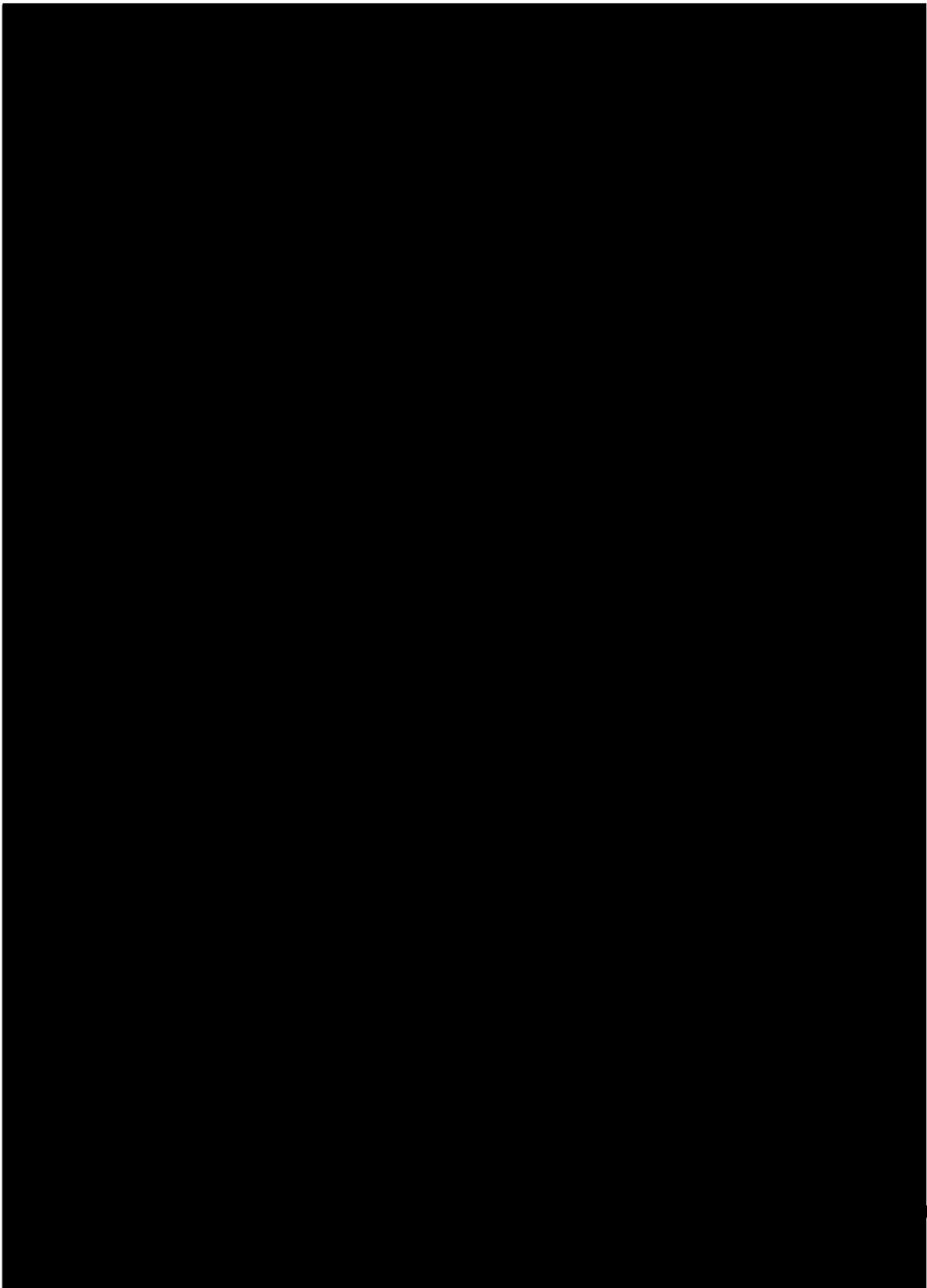
Telephone Number: 305 694-2938

- o For more information, please call the above listed agency directly.
- o And, please provide the contact agency with PCN Number C117246249 and/or NIC Number C284719981 which will assist their staff in locating the specific record information that maybe associated with your subject.

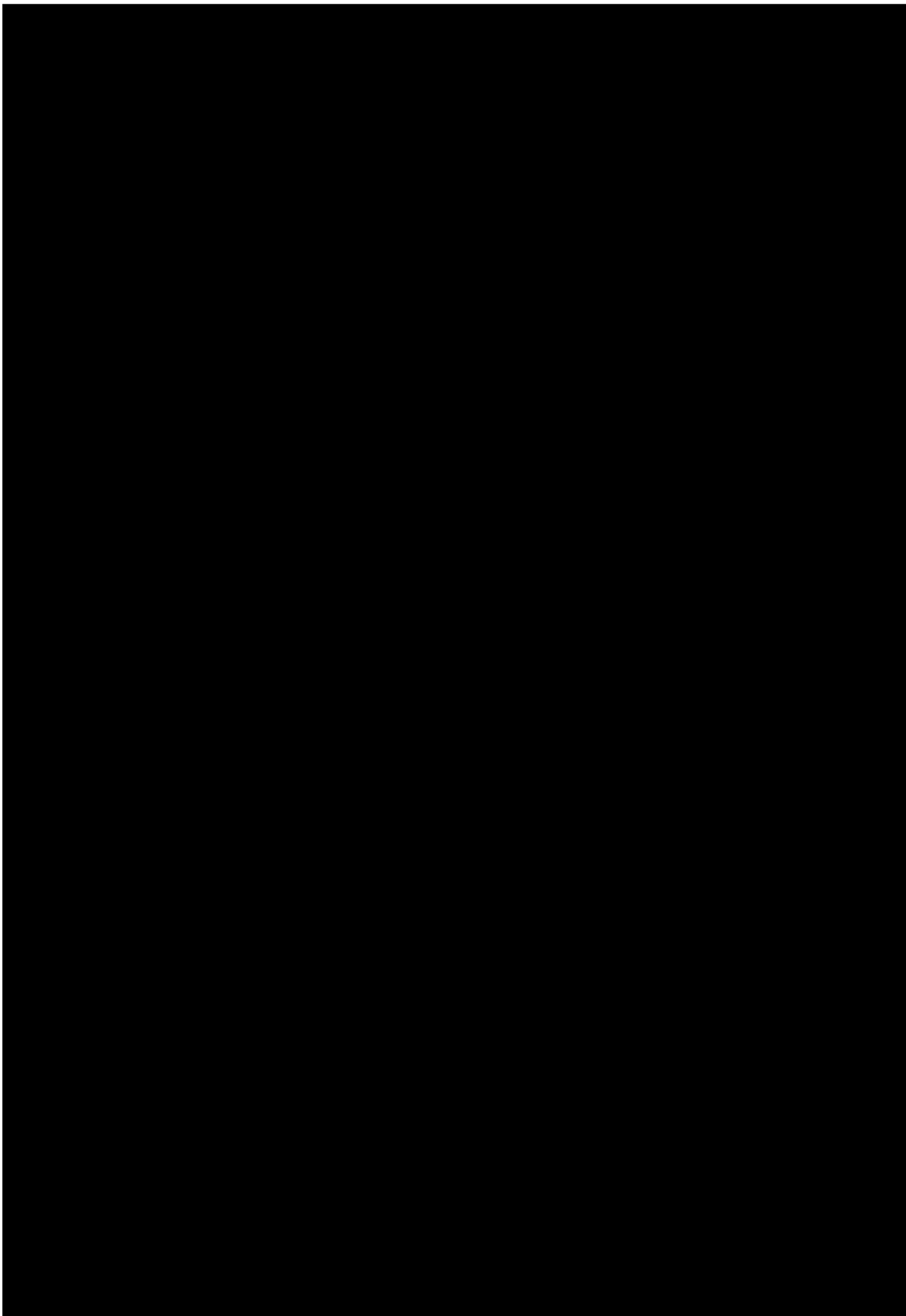
***** National/FBI Criminal History Record Response Listed Below *****



Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*



Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*



*** END OF RECORD ***

--END--

*****NATIONAL/FBI RAP BACK SUBSCRIPTION RESPONSE LISTED BELOW*****

This transaction has been successfully subscribed and retained as a part of the National Rap Back Service.
Rap Back Subscription Identifier: 40058251389
Event Identifier: 40469437214

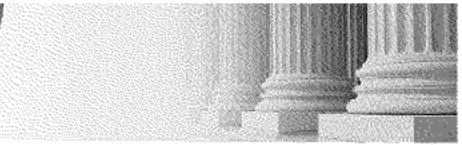
Subject : *Results of check for GERALD, ALFRED LEE JR (70CA620000002773)*



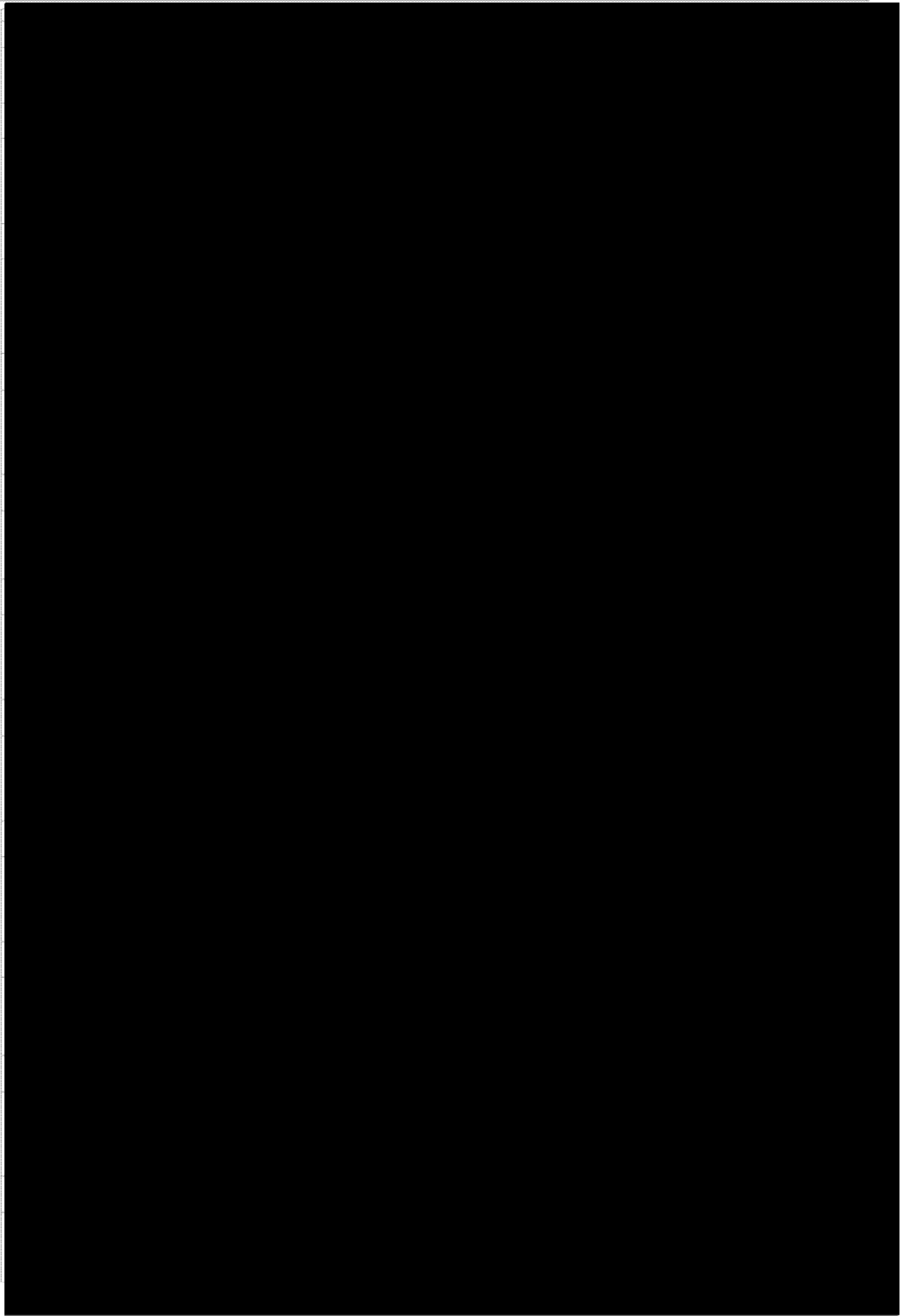
**FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS**

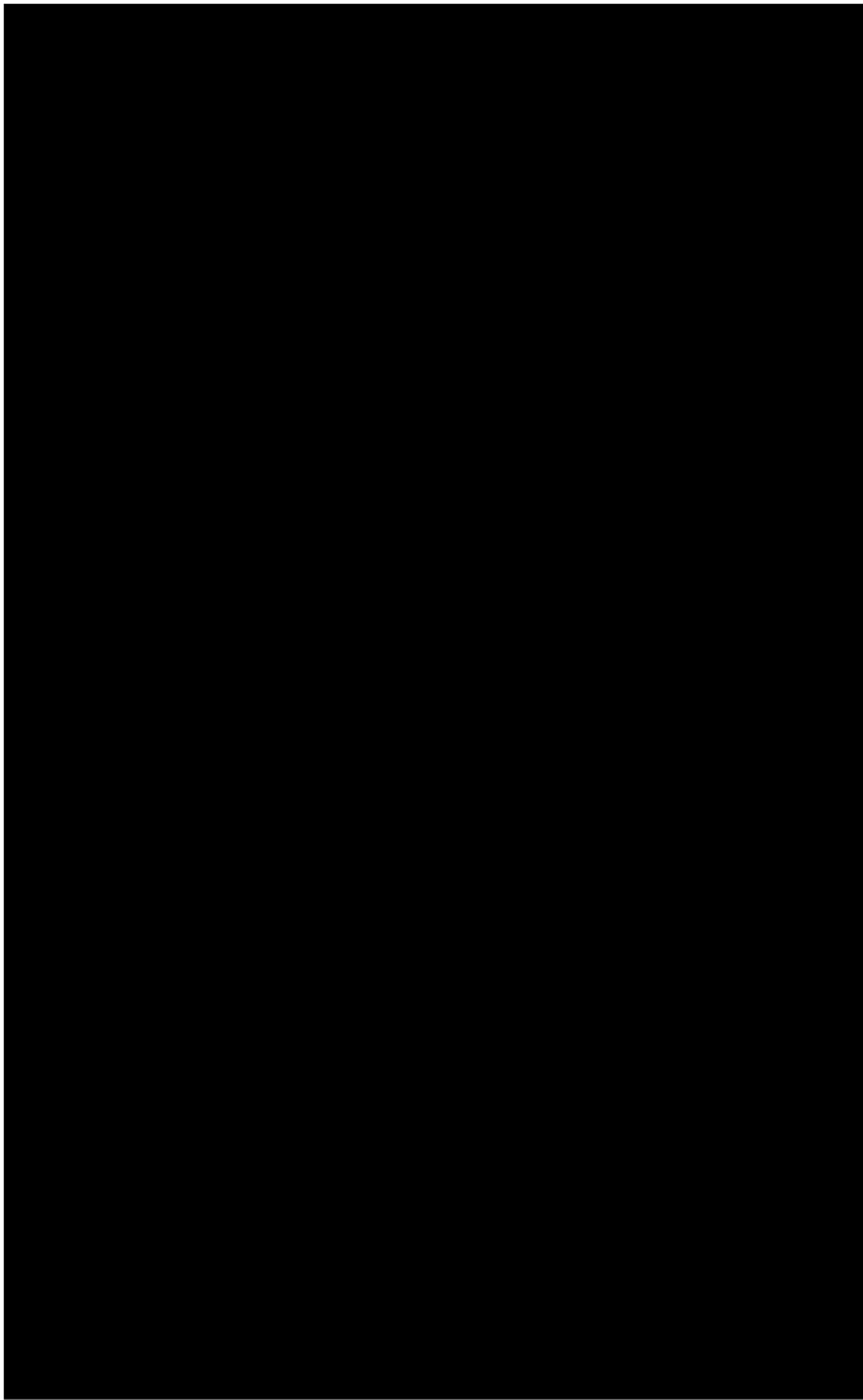
Bring You

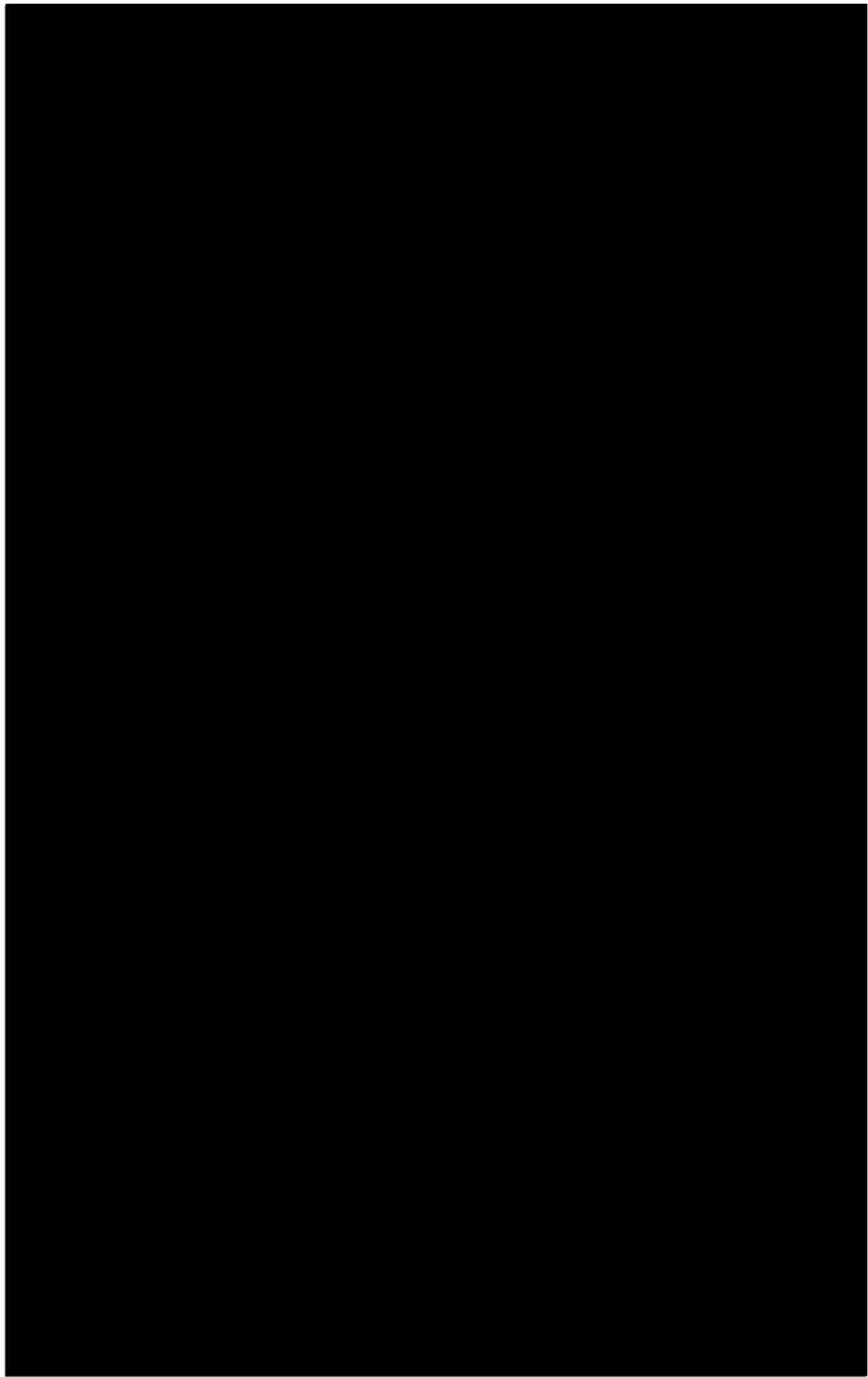
ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

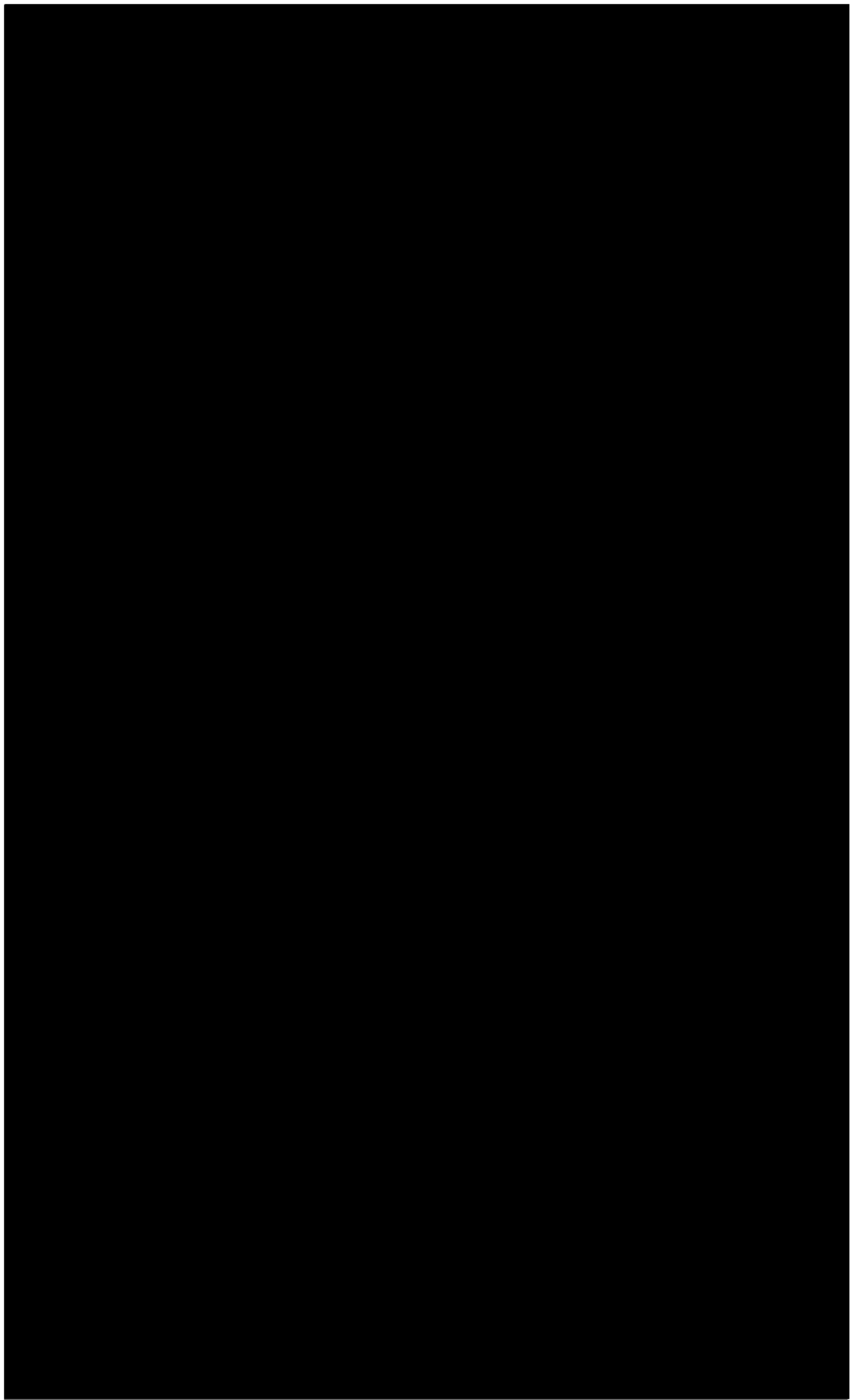


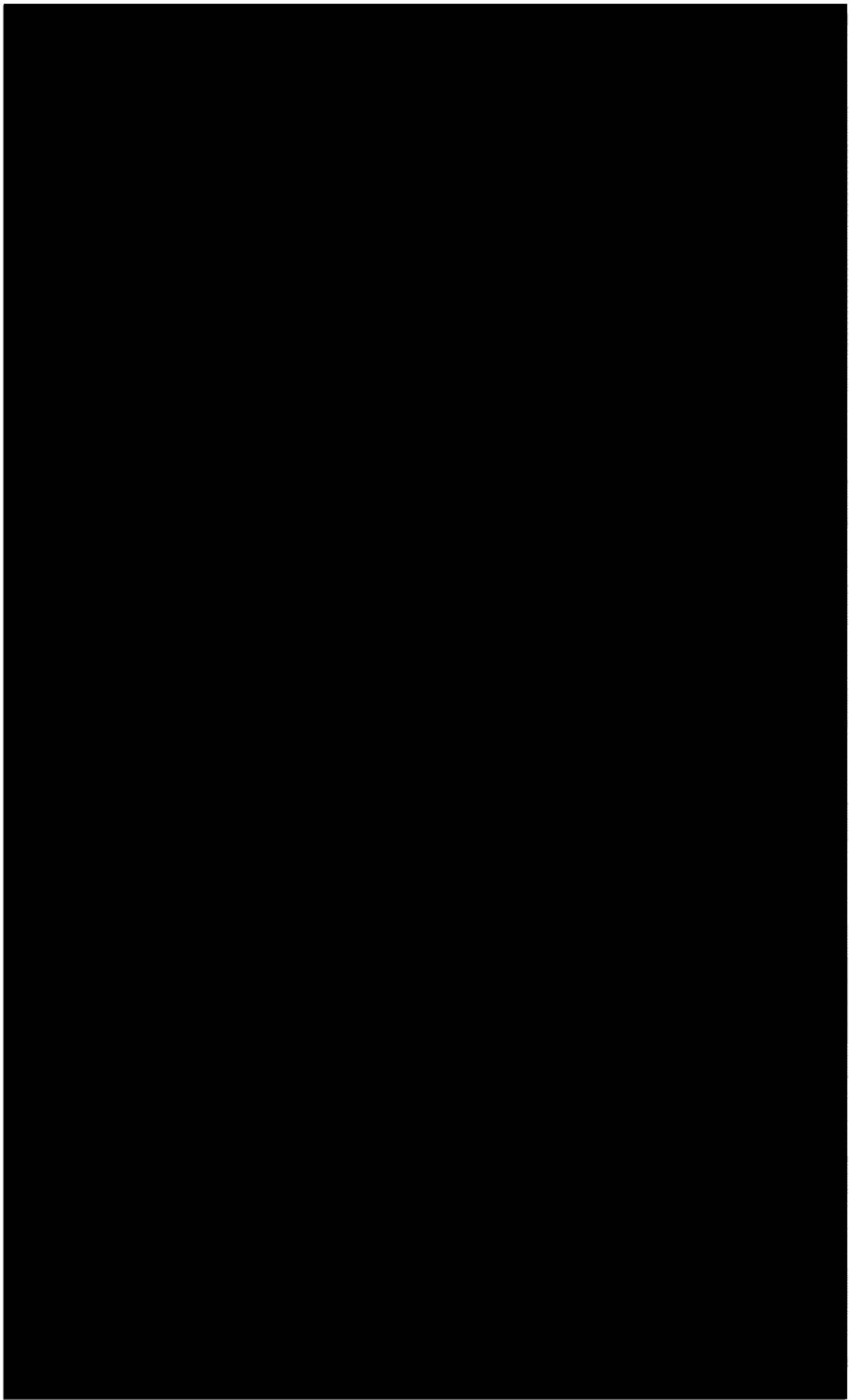
Randall.Kirchener@lgoe.com

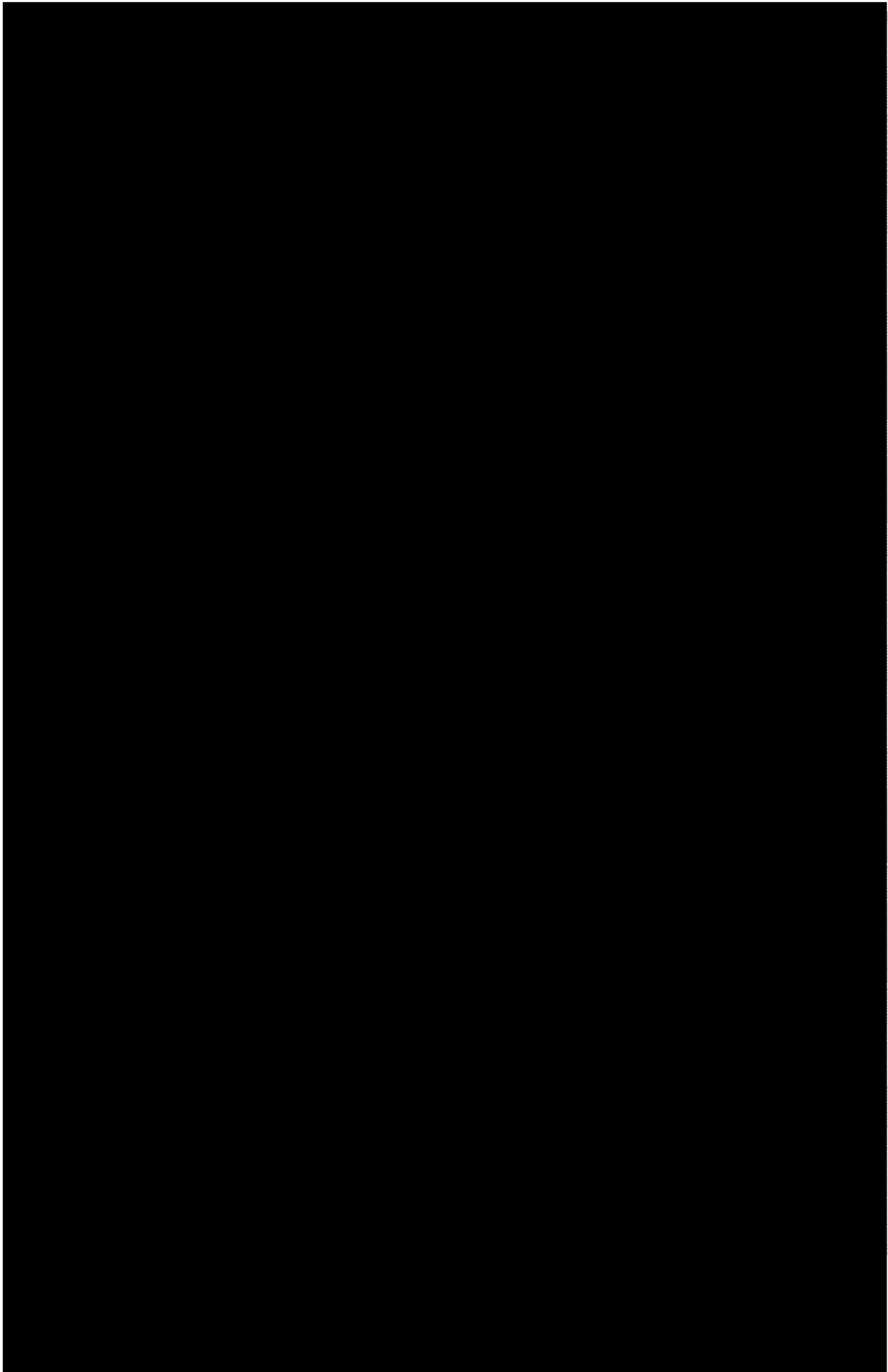












2018 MAR 23 PM 3:00

| | | |
|---|--|-----------|
| IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA | | 388 |
| CRIMINAL DIVISION | FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS | |
| THE STATE OF FLORIDA | VS. | |
| PLAINTIFF | ALFRED GERALD | DEFENDANT |
| CASE NUMBER: | F17022385 | |
| Alfred L Gerald, Alfred Lee Gerald | | |

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney, STEPHEN CONSUEGRA, PD has been found guilty of the charge of

| COUNT | CRIME | DEGREE |
|-------|------------------------|--------|
| 1 | GRAND THEFT 3RD DEGREE | 3/F |

by the court upon the entry of a guilty plea and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon ORDERED and ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

The defendant is placed on PROBATION in a separate Order entered herein.

SPECIAL CONDITIONS

- Costs
- DNA previously taken
- PROBATION

IT IS ORDERED THAT the defendant be fingerprinted pursuant to Florida Statute 921.241(1).



MIAMI-DADE COUNTY

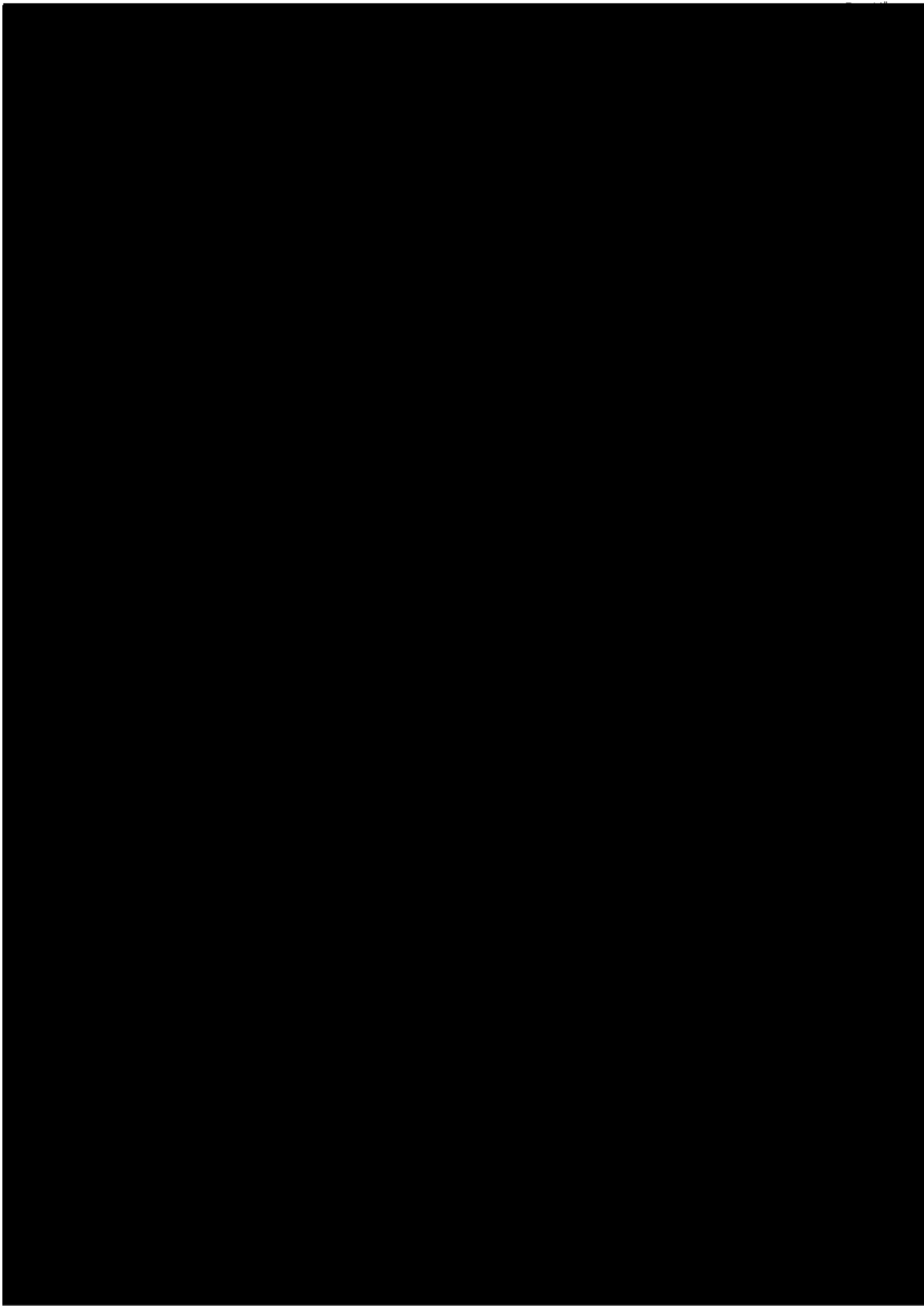
JUAN FERNANDEZ-BARQUIN
CLERK OF THE COURT AND COMPTROLLER

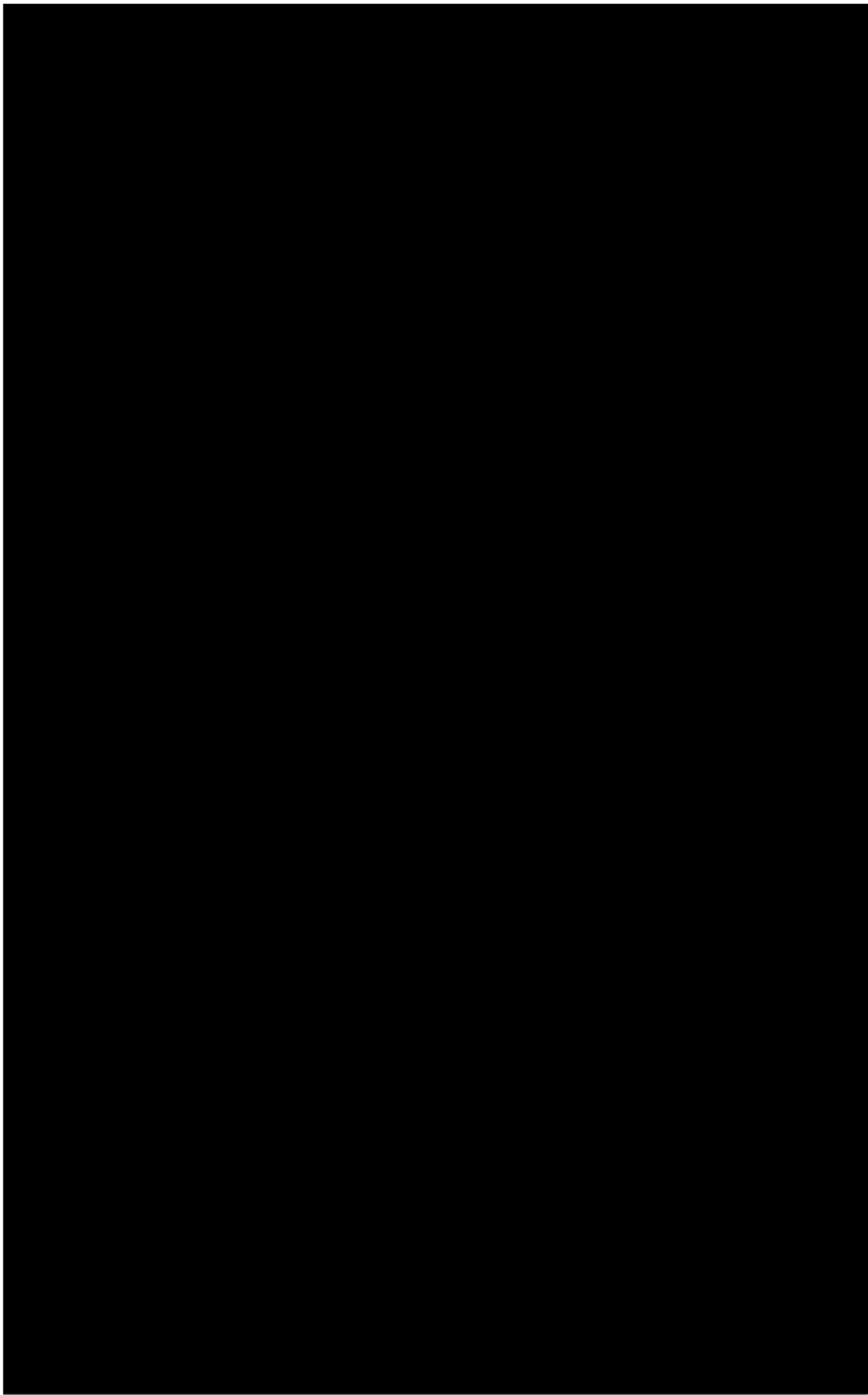
FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS

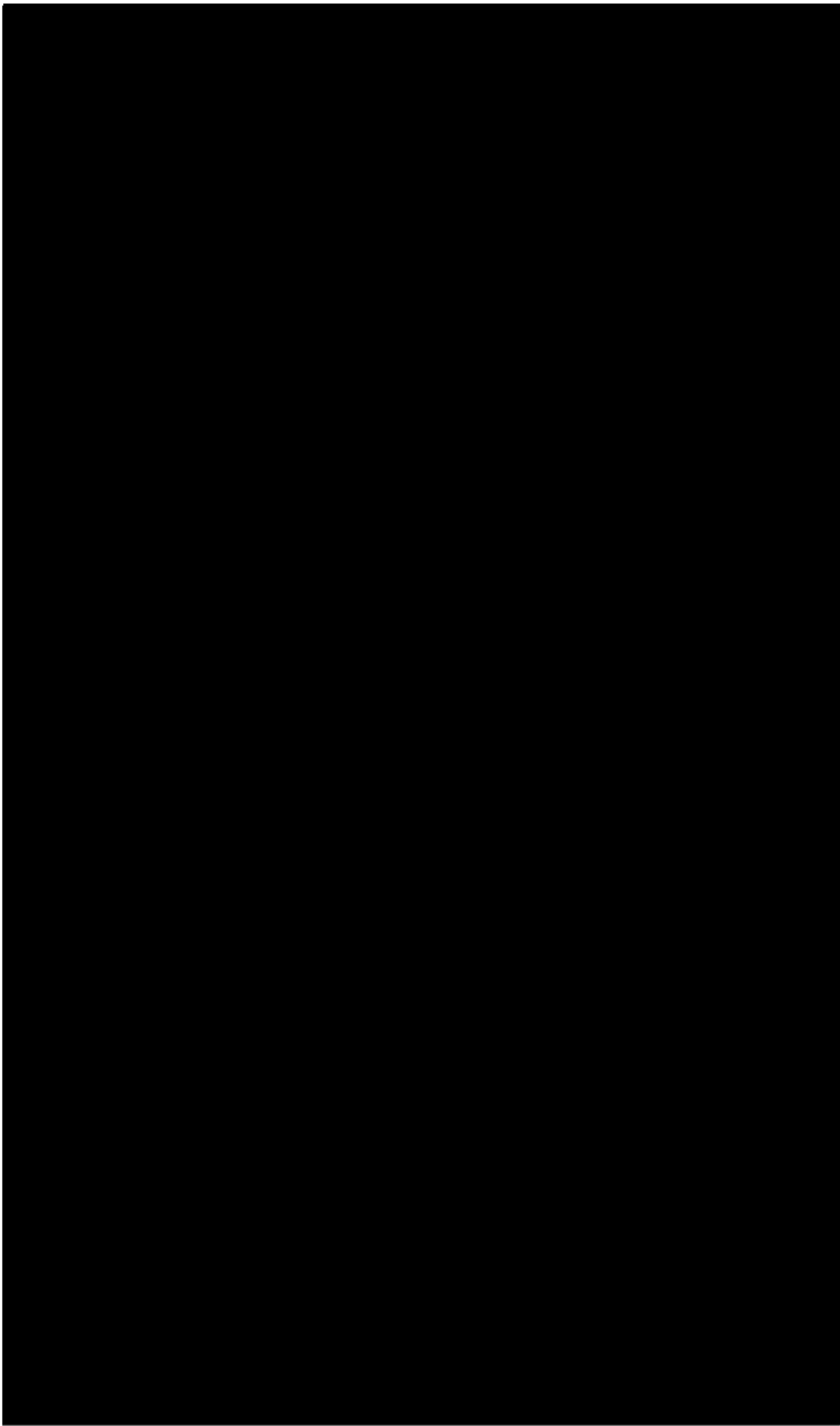
Bring You

ccis COMPREHENSIVE CASE
INFORMATION SYSTEM

Randall.Kitchener@jgc







Expand All

Contested

No

Trial

No Trial

Bar ID

Pages

| | | |
|--|--|--|
| <p><u>DIVISION</u></p> <p><input checked="" type="checkbox"/> CRIMINAL</p> <p><input type="checkbox"/> TRAFFIC</p> <p><input type="checkbox"/> OTHER</p> | <p>FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS</p> | |
| <p>PLAINTIFF(S)</p> <p>THE STATE OF FLORIDA</p> | <p>VS. DEFENDANT(S)</p> <p>ALFRED L GERALD, JR</p> | |
| <p>CASE NUMBER: F05-037111A</p> | | |
| <p>AKA: Alfred L Gerald, Alfred Lee Gerald</p> | <p>CLOCK IN</p> <p>FILED FOR RECORD</p> <p>2006 JAN 12 PM 1:42</p> <p>CLERK, CIRCUIT & COUNTY CLERK'S DADE COUNTY, FLA. COUNTY CRIMINAL DIV.</p> | |

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney,

JENNIFER PARRADO, PD has been found guilty of the charge of

| <u>Count</u> | <u>Crime</u> | <u>Degree</u> |
|--------------|---|---------------|
| 1 | COCAINE/SELL/MAN/DELIVER/POSSESS W/INTENT | 2/F |
| 2 | CANNABIS/SELL/MAN/DELIVER/POSSESS W/ INTENT | 3/F |

by the court upon the entry of a nolo contendere plea and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and that the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon

ORDERED AND ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

the sentence be and hereby is SUSPENDED.

COUNTS 1 & 2 CONCURRENT WITH EACH OTHER

SPECIAL CONDITIONS

Costs

Suspend entry of sentence

IT IS ORDERED THAT the defendant be fingerprinted pursuant to F.S. 921.241(1).



MIAMI-DADE COUNTY

JUAN FERNANDEZ-BARQUIN
CLERK OF THE COURT AND COMPTROLLER

FLORIDA'S CLERKS OF COURT AND COMPTROLLERS

Bring You
ccis COMPREHENSIVE CASE INFORMATION SYSTEM

Randall.Kirchauer@goe

Expand All

Contested

No

Trial

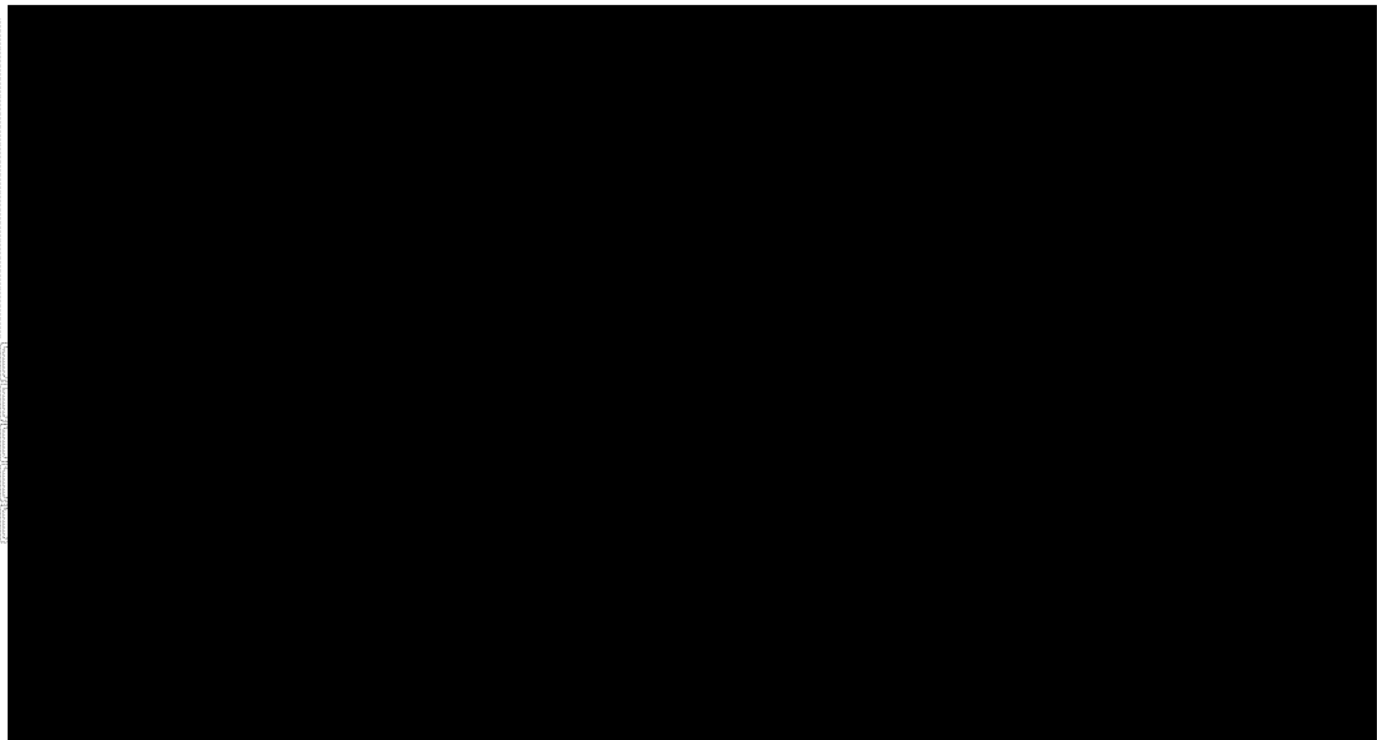
No Trial

No Trial

Bar ID

Pages

3



MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steven Woods
Re: Tammie P. Corros; Case No. 2023-050675
Date: September 28, 2023.

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Tammie P. Corros's, ("Applicant") application for a Cardroom Employee Occupational License. Applicant submitted a completed application for a Cardroom Employee Occupational License on July 17, 2023. The Applicant did not apply for a waiver of her conviction. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On July 17, 2023, Applicant submitted her completed application to the Division of Pari-Mutuel Wagering for a Cardroom Employee Occupational License. Upon review of the completed application, it appears the Applicant was convicted of a felony in the state of California. Specifically, the Applicant was convicted of Forgery in 2005.

Relevant Law

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal conviction has not been waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel

Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: CORROS, TAMMIE P – 13634685

Case No: 2023050675

(APPLICANT'S NAME– LICENSE #)

| | |
|--------------------------------|-------------------|
| INITIAL APPLICATION RECEIVED: | 6/19/2023 |
| COMPLETE APPLICATION RECEIVED: | 7/17/2023 |
| 90-DAY DEADLINE: | 10/15/2023 |

Randall Kitchens

321 - Gulfstream

1012 - Cardroom Employee

Application Processor

Facility (d/b/a name)

Occupation/Job Title

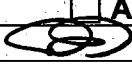
Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

| Licensing Administrator Review | | | | |
|---|--------------------|----------------------|-------|-------------|
| Conviction | | | | |
| Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | |
| <input checked="" type="checkbox"/> Felony : 1 Count(s) | | | | |
| <input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking | | | | |
| <input type="checkbox"/> Animal Cruelty | | | | |
| <input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only) | | | | |
| Comments: | | | | |
| Arrest Date | Location | Charge | Level | Disposition |
| 02/16/2005 | San Bernardino, CA | Forgery FSB048474 | F | Guilty |

| Disposition Unknown |
|--|
| <input type="checkbox"/> Felony Arrest(s) : Count(s) |
| <input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking |
| <input type="checkbox"/> Animal Cruelty |

| Enforcement/Jurisdiction Offenses |
|---|
| <input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. |

| Related Licenses | |
|---|----------------|
| Check VR License Relations to Determine if Applicant is Related to a Business. | |
| Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below. | |
| Business License Number: | Business Name: |
| Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/> | |
| Date Deficiency Letter Issued: | Initials: |

| Licensing Administrator Review | | | | |
|--|--|--|--|--|
| Disposition Confirmation | | | | |
| Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval | | | | |
| Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director Initials:  | | | | |
| Comments: | | | | |

| | | | | | | | | | |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|
| VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report |
|---------|-------|--------|-------------|---------|------|------|------------|-------------|--------|

| | | | | |
|--|---|------------------|----------------------|------------------------|
| Complaint Search Mass Status Update | Change Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline Update |
|--|---|------------------|----------------------|------------------------|

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **rkitchens**

VR Home > Complaint Search > **Maintain Complaint**

| | | |
|---|------------------------------------|--|
| Lic Type 1012 - Cardroom Employee Occupational | Status 10 Initial Review | Status Date 09/08/2023 |
| Complaint # 2023050675 | Case Type CMP - Complaint | Disposition |
| Docket# | Respondent CORROS, TAMMIE P | Responsible ddonaldson - DONALDSON, DAVID |
| | | Private Case |

| Complaint | Respondent | Complainant | Add'l Info |
|-----------|------------|-------------|------------|
|-----------|------------|-------------|------------|

| | | | |
|--|-------------------------------|---|---|
| Source INTN - Internal | Security Level 1 | <input type="checkbox"/> Parties | <input checked="" type="checkbox"/> Activities |
| Form INTR - Internal | Priority | <input checked="" type="checkbox"/> Allegations | <input type="checkbox"/> Discipline |
| Class'n CHIS - Criminal History | Complexity R - Regular | <input type="checkbox"/> Violations | <input type="checkbox"/> Compliance |
| Security STND - Standard | Incident 09/08/2023 | <input type="checkbox"/> Related | <input checked="" type="checkbox"/> Disposition |
| Region NR - Northern Region | Received 09/08/2023 | <input type="checkbox"/> Inspection | |
| Reference | | <input type="checkbox"/> Costs | |
| Entered 09/08/2023 | Entered By rkitchens | <input type="checkbox"/> Time Tracking | <input type="checkbox"/> Auto Assign |
| Summary Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. Facility - 143 - Orange City. | | <input type="checkbox"/> Attachments | <input type="checkbox"/> History |
| Updated 09/08/2023 13:57:05 | By rkitchens | <input type="checkbox"/> Work Notes | <input type="checkbox"/> Print Report |

| | | | | |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|
| <input type="button" value="Change"/> | <input type="button" value="Save"/> | <input type="button" value="OK"/> | <input type="button" value="Cancel"/> | <input type="button" value="Back"/> |
|---------------------------------------|-------------------------------------|-----------------------------------|---------------------------------------|-------------------------------------|

Get Adobe Reader.

TW: 70CK85<0x9>113

RECEIVED
JUN 19 2023
BY:

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|---|--|---|-----------------------------|
| Social Security Number [REDACTED] | Birth Date (MM/DD/YYYY) [REDACTED] | Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female | |
| Last Name CORRAS | First Tammie | Middle Pae | Suffix |
| Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| If yes, list the name or names used: TAMMIE Y. PAE | | | |
| Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input checked="" type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Current Mailing Address 829 Camargo Way #209 | | Email Address (optional) [REDACTED] | |
| City Altamonte Springs | State FL | Zip Code (+4 optional) 32714 | Country, if other than U.S. |
| Primary Phone Number 909-205-4921 | Secondary/Cell Phone Number (optional) | | |
| Current Street Address SAME AS ABOVE | | | |
| City | State | Zip Code (+4 optional) | Country, if other than USA |
| Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input checked="" type="checkbox"/> Cardroom Employee | | Facility where employed and/or doing business: Orange City card room | |
| Occupation: POKER BRUSH | | | |
| Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____ | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS AND EMTS ONLY | | | |
| Type of professional license (attach a copy of Florida professional license): | | Florida License Number | |
| FOR DIVISION USE ONLY | | | |
| License Code 1012 | License # 13634885 | File # 14753 | App # 72941 |
| Association Code 143 | Date Received 6-19-23 | Entered By [Signature] | License Year 23/26 |
| License Fee 100.00 | FP Date 6-19-23 | FP Fee 0 | Total Fee 100.00 |
| Off Temp | Waiver Requested | ARC | Enforcement |
| | | | Minor |

RECEIVED
2023 JUN 23 AM 11:59
FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|----------------|-------|---------|------------------------|----------|
| 2-16-2005 | San Bernardino | CA | Forgery | Felony | Closed |
| | | | | | |
| | | | | | |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

FLORIDA GAMING CONTROL COMMISSION
 2023 JUN 28 AM 11:09
 RECEIVE

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Jammyfa Cono
Signature of Applicant

6-16-23
Date



Page 1 of 1. 0 total matches.

| |
|--------------------------|
| Search Parameters |
| Govt. Number: [REDACTED] |
| Date of Birth: |
| First Name: |
| Middle Name: |
| Last Name: Corros |
| Sex: |
| Commission: |
| License Type: |

| Master ID | Last Name | First Name | Middle Name | SSN/Federal ID | Rulings | Birth Date | City | State |
|-----------|-----------|------------|-------------|----------------|---------|------------|------|-------|
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RECEIVED
 2023 JUN 23 AM 11:59
 FLORIDA GAMING
 CONTROL COMMISSION

RECEIVED

2023 JUN 23 AM 11:59

FLORIDA GAMING
CONTROL COMMISSION

State of Florida
Department of Business and Professional Regulation
Enforcement Search Listing





FLORIDA
GAMING CONTROL
COMMISSION

ht

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

July 6, 2023

Ms. Tammie P. Corros
829 Camargo Way # 209
Altamonte Springs, Florida 32714

RECEIVED
2023 JUL 17 AM 11:30
FLORIDA GAMING
CONTROL COMMISSION

RE: Application No. 72941, Entity 13634685
1012 - Cardroom Employee Occupational

Dear Ms. Corros:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- San Bernardino, California Arrest(s) – 07/11/2005

You must provide the court disposition records for the following charges:

- San Bernardino, California Arrest(s) – 02/01/2005, 07/11/2005

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

If you fail to provide the Florida Gaming Control Commission with a complete application by 10/18/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

RECEIVED
2023 JUL 17 AM 11:30
FLORIDA GAMING
CONTROL COMMISSION



July 24, 2023

Ms. Tammie P. Corros
829 Camargo Way # 209
Altamonte Springs, Florida 32714

RE: Application No. 72941, Entity 13634685
1012 - Cardroom Employee Occupational

Dear Ms. Corros:

We have received the additional information you submitted.

Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

RECEIVED
JUL 05 2023
BY:

TW: 70CK85<0x9>113

RECEIVED
JUN 19 2023
BY:

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

| DEMOGRAPHIC INFORMATION | | | |
|---|---------------------------------------|---|----------------------------|
| Social Security Number [REDACTED] | Birth Date (MM/DD/YYYY) [REDACTED] | Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female | |
| Last Name Corras | First Tammie | Middle Pae | |
| Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname, or alias other than the name used on the application)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| If yes, list the name or names used: TAMMIE Y. PAE | | | |
| Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input checked="" type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other | | | |
| Current Mailing Address 829 Camargo Way #209 | | Email Address (optional) [REDACTED] | |
| City Aitahmonte Springs | State FL | Zip Code (+4 optional) 32714 | Country, if other than USA |
| Primary Phone Number 909.205.4921 | | Secondary/Cell Phone Number (optional) | |
| Current Street Address SAME AS ABOVE | | | |
| City | State | Zip Code (+4 optional) | Country, if other than USA |
| Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input checked="" type="checkbox"/> Cardroom Employee | | Facility where employed and/or doing business: Orange City card room | |
| Occupation: Poker Brush | | | |
| Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____ | | | |
| TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY | | | |
| Type of professional license (attach a copy of Florida professional license): | | Florida License Number | |
| FOR DIVISION USE ONLY | | | |
| License Code 1012 | License # 1367485 | File # 14753 | App # 72941 |
| Association Code 143 | Date Received 6-19-23 | Entered By 2 | License Year 23/26 |
| License Fee 100.00 | FP Date 6-19-23 | FP Fee 0 | Total Fee 100.00 |
| Off Temp | Waiver Requested | ARCI | Enforcement |
| | | | Minor |

RECEIVED
2023 JUN 17 AM 11:30
FLORIDA GAMING CONTROL COMMISSION
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2023 JUN 28 AM 11:59
FLORIDA GAMING CONTROL COMMISSION

RECEIVED
 JUL 05 2023
 BY:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

| DATE OF DISPOSITION | COUNTY | STATE | OFFENSE | MISDEMEANOR OR FELONY? | SENTENCE |
|---------------------|----------------|-------|---------|------------------------|----------|
| 2-16-2005 | San Bernardino | CA | Forgery | Felony | CLOSED |
| | | | | | |
| | | | | | |
| | | | | | |

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

.....

.....

.....

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Jamir Barona
 Signature of Applicant

6-16-23
 Date

June 27, 2023

Tammie Pae Corros
829 Camargo Way #209
Altamonte Springs, Fl. 32714

To whom it may concern/Gaming Department

Hello...my name is Tammie and I am writing this letter, not to gain sympathy, but just as an explanation of my actions at the time of these infractions.

In 2005 I was pregnant and hormonal. The father of my baby had been cheating on me and I found out in my 8th month of pregnancy. At the time we were living together and I had nowhere to go. My friend graciously let me stay with her. At the time, I was not making the best choices and out of desperation and anger, I took money from my baby's father (a total of \$1400) because I was not working.

My attorney, after the fact, said I should have been able to plead down to a misdemeanor since I had already paid back the money taken. Also, charges were not brought by the father of the baby, but by the bank.

I have no excuses as this was a matter of many bad decisions. However, this was committed in 2005, and I have not been in any trouble since then, Not even a traffic ticket. I realize that this charge is serious and a mark on my record, but I hope that you can see me for what I am now, and not from my mistakes in 2005...

Thank you so much for your time and consideration on this matter.

Tammie Pae Corros

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2023 JUL 17 AM 11:30
FLORIDA GAMING
CONTROL COMMISSION



Mark Cantrell <attomeycantrell@gmail.com>

Tammie Pae - update 8-29-13

8 messages

Attorney Mark Cantrell <attomeycantrell@gmail.com>

Thu, Aug 29, 2013 at 1:54 PM

To: "Pae, Tammie Younkyung" <[REDACTED]>

Bcc: Maria <MariaW.Paralegal@yahoo.com>

Dear Ms. Pae:

I went to court today and I have some good news.

The case is officially closed; there is no warrant; you are off probation; and you will not need to do the jail time that was previously ordered.

If you had any fines or fees outstanding, those would have been sent to collections long ago so there is nothing I can do about that.

I am curious about how it went for you going to Canada. Please let me know so I can explain to other clients what they can expect.

I am glad to be of service in this difficult time and I hope that you will refer any of your friends who may need a lawyer.

Mark Cantrell

RECEIVED
2013 JUL 17 AM 11:30
FLORIDA GAMING
CONTROL COMMISSION

Attorney Mark Cantrell <attomeycantrell@gmail.com>

Thu, Aug 29, 2013 at 1:56 PM

To: "Pae, Tammie Younkyung" <[REDACTED]>

Bcc: Maria <MariaW.Paralegal@yahoo.com>

Also - for your records, the case number is FSB 048474.

[Quoted text hidden]

Tammie Pae <[REDACTED]>

Thu, Aug 29, 2013 at 3:16 PM

To: Attorney Mark Cantrell <attomeycantrell@gmail.com>

Hello Mr Cantrell....You just made my day! I am curious to know how I got so lucky as to have my case closed even though I was a no show for my weekends! I will gladly count my blessings however....Crossing through the border was actually no problem at all. They did not even ask if I had a record...it surprised me a little how easily we got through customs, both for Canada and the cruise ship...I will most gladly refer anyone I know, who needs a lawyer. You have been great. I will have to tell Pat and Vanessa "many thanks" for sending me to you. What will I have to do to go forward with my expungement so that I will be able to get my dental hygiene license? Please let me know so that I can start that process....thank you again!

[Quoted text hidden]

Attorney Mark Cantrell <attomeycantrell@gmail.com>

Fri, Aug 30, 2013 at 3:13 PM

To: Tammie Pae <[REDACTED]>

5/16/2016

Gmail - Tammie Pae - update 8-29-13

Bcc: Maria <MariaW.Paralegal@yahoo.com>

Thanks for the info re Canada.

Re expungement – I suggest that you wait and see if the conviction is an issue or not for the licensing. Even if a conviction is expunged, you must disclose it to any licensing agency such as dental hygienist.

Mark Cantrell

[Quoted text hidden]

Tammie Pae [REDACTED]
To: Attorney Mark Cantrell <attomeycantrell@gmail.com>

Fri, Aug 30, 2013 at 3:21 PM

From what I understand, I would need to have it expunged in order to get licensed by the state. I am also trying to get a job right now where I would need the expungement. I have already disclosed the conviction to my future employer, but cannot officially get hired until I have been able to get it expunged. Am I not able to do so? If I cannot get it expunged, I will need to go a different route for school, I wouldn't want to go all the way through the program, and then not be able to get my state licensing. Please let me know what you think.

[Quoted text hidden]

Attorney Mark Cantrell <attomeycantrell@gmail.com>
To: Tammie Pae [REDACTED]

Fri, Aug 30, 2013 at 3:26 PM

Are you on probation now for anything else? Do you have any other cases pending?

[Quoted text hidden]

Tammie Pae [REDACTED]
To: Attorney Mark Cantrell <attomeycantrell@gmail.com>

Fri, Aug 30, 2013 at 3:49 PM

No, not that I know of. I havnt been in any kind of trouble since then...if I had any other cases, it would show up on a search on the san bernardino court website, right? Because that is the only one that comes up under my name...

[Quoted text hidden]

Attorney Mark Cantrell <attomeycantrell@gmail.com>
To: Tammie Pae [REDACTED]
Bcc: Maria <MariaW.Paralegal@yahoo.com>

Tue, Sep 3, 2013 at 2:10 PM

Regarding expungement - I am afraid the Judge would rule you had not successfully completed the probation and would decline to grant expungement. I am not convinced expungement makes any difference in licensing since you still need to disclose a conviction even if expunged. Send me any info you have that says expungement is required. I just don't want you to waste your money if not needed.

[Quoted text hidden]

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

The People of the State of California vs. TAMMIE PAE

§
§
§
§
§
§
§
§

Location: San Bernardino
Filed on: 02/16/2005
Agency Case Number: 055296
Booking Number: 0502340061
CA Phoenix Case Cross: FSB048474-1
Reference Number:
DMV Docket Number: FSB0484

CASE INFORMATION

| Offense | Statute | Deg | Date | Case Type: | Felony |
|-------------------------------|-------------------------------|-----|------------|--------------|-------------------|
| Jurisdiction: San Bernardino | | | | | |
| 1. PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | Case Status: | 02/12/2016 Closed |
| Charge #: 001 ACN: 0502340061 | | | | | |
| Arrest: 02/01/2005 | SH - San Bernardino County SO | | | | |
| 2. PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | | |
| Charge #: 002 ACN: 0502340061 | | | | | |
| Arrest: 02/01/2005 | SH - San Bernardino County SO | | | | |
| 3. PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | | |
| Charge #: 003 ACN: 0502340061 | | | | | |
| Arrest: 02/01/2005 | SH - San Bernardino County SO | | | | |

Warrants

Bench Warrant - PAE, TAMMIE YOUN KYUNG (Judicial Officer: Pacheco, John M)
07/11/2005 Recalled
03/18/2005 Issued
Fine: \$3000
Bond: \$50,000.00 Any

RECEIVED
2023 JUL 17 AM 11:30
FLORIDA GAMING
CONTROL COMMISSION

DATE CASE ASSIGNMENT

Current Case Assignment

Case Number: FSB048474
Court: San Bernardino
Date Assigned: 02/16/2005

PARTY INFORMATION

| | | |
|------------------|---------------------------------------|---|
| Plaintiff | The People of the State of California | <i>Lead Attorneys</i> |
| | | Sanchez, Steven Desi |
| Defendant | PAE, TAMMIE YOUN KYUNG | |
| | | Public Defender <i>Retained</i> |

DATE EVENTS & ORDERS OF THE COURT INDEX

| | | |
|------------|--|--|
| 02/16/2005 | New Filed Case | |
| 03/03/2005 | Conversion event FAST-TRACK COURTROOM ASSIGNMENT: S27 | |
| 03/03/2005 | Note: OR FILED IN CASE | |
| 03/03/2005 | Conversion event FAST-TRACK COURTROOM ASSIGNMENT: S27 | |

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

03/03/2005 Sesslin Affidavit filed
SESSLIN AFFIDAVIT FILED

03/10/2005 **Arraignment (8:00 AM)** (Judicial Officer: Pacheco, John M)
Continued Court's motion;

03/10/2005 **Plea** (Judicial Officer: Pro Tem, Judge)
1. PC470(D)-F: Forgery
Not Guilty
Charge #: 001 Allegation:

03/10/2005 **Plea** (Judicial Officer: Pro Tem, Judge)
2. PC470(D)-F: Forgery
Not Guilty
Charge #: 002 Allegation:

03/10/2005 **Plea** (Judicial Officer: Pro Tem, Judge)
3. PC470(D)-F: Forgery
Not Guilty
Charge #: 003 Allegation:

03/10/2005 Legacy Minutes
JOP
CLERK SHIPLEY
REPORTER D ENRICO
BAILIFF E CEBALLOS
-
DEFENDANT PRESENT NOT IN CUSTODY.
-
PROCEEDINGS
ADVISAL OF RIGHTS SIGNED BY DEFENDANT AND FILED.
DEFENDANT IS ADVISED OF CONSTITUTIONAL AND STATUTORY RIGHTS. (AS ATTACHED AND INCORPORATED)
DEFENDANT GIVES TRUE NAME AS CHARGED.
DEFENDANT ARRAIGNED.
-
PLEA INFORMATION
DEFENDANT PLEADS NOT GUILTY TO ALL COUNTS.
-
ATTORNEY INFORMATION
COURT APPOINTS PUBLIC DEFENDER.
COURT FINDS THE DEFENDANT TO BE INDIGENT AND WAIVES THE IMPOSITION OF THE INDIGENT DEFENSE REGISTRATION FEE.
-
HEARINGS
PRE-PRELIMINARY HEARING SET FOR 03/18/2005 AT 9:00 IN DEPARTMENT S21.
PRELIMINARY HEARING SET ON 03/22/2005 AT 8:30 IN DEPARTMENT S21P.
DEFENDANT ORDERED TO APPEAR ON HEARING DATE.
-
10TH DAY IS 03/24/2005.
TIME RUNS ON 03/24/2005.
-
CUSTODY STATUS
DEFENDANT RELEASED ON CONDITIONAL O.R. UPON FILING OF SIGNED AGREEMENT TO APPEAR THIS DATE. DEFENDANT ADVISED OF CONSEQUENCES OF FAILING TO APPEAR.
CASE CUSTODY - OR
COPY OF COMPLAINT AND DISCOVERY GIVEN TO DEFENSE COUNSEL.
DEFENDANT ADVISED THAT CASE IS ASSIGNED TO THE SUPERIOR COURT IN DEPARTMENT S27 JUDGE DAF FOR ALL PURPOSES.
DISTRICT ATTORNEY NOTIFIED.
PUBLIC DEFENDER NOTIFIED.

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

===== MINUTE ORDER END ===== 0

03/18/2005 **Pre-Preliminary Hearing (9:00 AM)** (Judicial Officer: Pacheco, John M)
Held;

03/18/2005 Legacy Minutes
JOP
CLERK S JENSEN
BAILIFF E CEBALLOS
REPORTER J THOMPSON
APPEARANCES
-
DEPUTY DISTRICT ATTORNEY A M PACE PRESENT.
DEPUTY PUBLIC DEFENDER K SMITH PRESENT
DEFENDANT NOT PRESENT.
-
PROCEEDINGS
-
ACTION CAME ON FOR PRE PRELIMINARY HEARING.
PRELIMINARY HEARING SET ON 03/22/2005 VACATED.
-
2:28
OR REVOKED.
-
CUSTODY STATUS
CASE CUSTODY - FUGITIVE
===== MINUTE ORDER END ===== 0

03/22/2005 **CANCELED Preliminary Hearing (8:30 AM)**
Vacated

07/11/2005 **Pre-Preliminary Hearing (8:30 AM)** (Judicial Officer: Dest, Michael M)
Continued Court's motion;

07/11/2005 Legacy Minutes
MMD
CLERK SANDRA L FRISCIA
REPORTER JULIA MEDINA
BAILIFF M BECK
-
APPEARANCES
ATTORNEY DPD J CARSON PRESENT.
DEFENDANT PRESENT NOT IN CUSTODY.
-
PROCEEDINGS
DEFENDANT ADVISED OF HIS/HER RIGHTS.
DEFENDANT REMANDED INTO CUSTODY.
BAIL SET AT \$50000.00.
-
HEARINGS
PRE-PRELIMINARY HEARING SET FOR 07/19/2005 AT 8:30 IN DEPARTMENT S21.
PRELIMINARY HEARING SET ON 07/21/2005 AT 8:30 IN DEPARTMENT S21.
DEFENDANT ORDERED TO APPEAR ON HEARING DATE.
COMMITMENT ISSUED (PENDING)
-
CUSTODY STATUS
CASE CUSTODY - IN CUSTODY
DISTRICT ATTORNEY NOTIFIED.
PUBLIC DEFENDER NOTIFIED.
===== MINUTE ORDER END ===== 0

07/19/2005 **Pre-Preliminary Hearing (8:30 AM)** (Judicial Officer: Dest, Michael M)
Continued Court's motion;

07/19/2005 **Disposition** (Judicial Officer: Martin, John N)

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

1. PC470(D)-F: Forgery
Certified
Charge #: 001 Allegation:

07/19/2005 **Disposition** (Judicial Officer: Martin, John N)
1385 PC
2. PC470(D)-F: Forgery
Dismissed
Charge #: 002 Allegation:

07/19/2005 **Disposition** (Judicial Officer: Martin, John N)
1385 PC
3. PC470(D)-F: Forgery
Dismissed
Charge #: 003 Allegation:

07/19/2005 **Amended Plea** (Judicial Officer: Pro Tem, Judge)
1. PC470(D)-F: Forgery
Guilty
Charge #: 001 Allegation:

07/19/2005 Legacy Minutes
MMD
CLERK R RODRIGUEZ
REPORTER J MEDINA
BAILIFF M BECK
-
APPEARANCES
DEPUTY DISTRICT ATTORNEY A M PACE PRESENT.
ATTORNEY DPD J CARSON PRESENT.
DEFENDANT PRESENT IN CUSTODY.
-
PROCEEDINGS
ACTION CAME ON FOR PRE-PRELIMINARY HEARING.
PRELIMINARY HEARING SET ON 07/21/2005 VACATED.
CHANGE OF PLEA AT TIME OF PRE-PRELIMINARY HEARING.
BY LEAVE OF COURT, DEFENDANT WITHDRAWS PLEA OF NOT GUILTY.
DEFENDANT IS INFORMED OF ELEMENTS OF THE CHARGE(S). FACTUAL BASIS
ESTABLISHED.
DECLARATION BY DEFENDANT AND COURT RE: FINDINGS RE PLEA OF GUILTY
UNDER PC859A (DECLARATION BY DEFENDANT) FORM FILED.
COURT FINDS THAT THE DEFENDANT FREELY, VOLUNTARILY, INTELLIGENTLY,
KNOWINGLY AND EXPRESSLY WAIVES THE RIGHT TO PRELIMINARY HEARING.
-
DISMISSALS
ON MOTION OF PEOPLE, PURSUANT TO PLEA BARGAIN, COUNT 2 3 ORDERED
DISMISSED; REASON: 1385 PC.
-
PLEA INFORMATION
DEFENDANT PLEADS GUILTY PER PLEA BARGAIN (INCORPORATED BY REFERENCE
HEREIN) AS TO COUNT(S) 1.
COURT FINDS COMPLIANCE WITH BOYKIN AND TAHL RULES.
COURT ORDERS DEFENDANT BE CERTIFIED TO SUPERIOR COURT PURSUANT TO
PC859A.
COURT CONFIRMS PC859A PLEA.
-
HEARINGS
PC859A SENTENCING SET FOR 08/31/2005 AT 8:30 IN DEPARTMENT S21.
DEFENDANT ORDERED TO APPEAR ON HEARING DATE.
-

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

REFERRAL
DEFENDANT APPLIES FOR PROBATION.
REFERRED TO PROBATION OFFICE FOR PRESENTENCE INVESTIGATION AND
REPORT.
DEFENDANT ORDERED TO REPORT TO THE PROBATION OFFICE BY 07/21/2005.
BY 3 P.M.

-
TIME WAIVERS
DEFENDANT WAIVES TIME FOR SENTENCING.

-
CUSTODY STATUS
---- TERMS OF O.R. RELEASE ----
O.R. TERM #1 - VIOLATE NO LAW
O.R. TERM #2 - SUBMIT TO A SEARCH OF YOUR PERSON/PROPERTY/RESIDENCE - AT
REQUEST OF ANY PEACE OFFICER AT ANY TIME OF DAY OR NIGHT - WITHOUT
CAUSE.
O.R. TERM #3- KEEP ALL APPOINTMENTS WITH PROBATION
O.R. TERM #4- RETURN FOR SENTENCING ON 08/31/05
@ 8:30 A.M. IN S21

COURT TAKES CRUZ WAIVER FROM DEFENDANT.
DEFENDANT RELEASED ON CONDITIONAL O.R. UPON FILING OF SIGNED
AGREEMENT TO APPEAR THIS DATE. DEFENDANT ADVISED OF CONSEQUENCES OF
FAILING TO APPEAR.

RELEASE ISSUED.

CASE CUSTODY - OR

===== MINUTE ORDER END ===== 0

DEFENDANT RELEASED ON CONDITIONAL O.R. UPON FILING OF SIGNED
AGREEMENT TO APPEAR THIS DATE. DEFENDANT ADVISED OF CONSEQUENCES OF
FAILING TO APPEAR.
RELEASE ISSUED.

07/19/2005 Defense Counsel Concurred in Defendants Plea or Admission

07/19/2005 Defendant Waived Right to Trial by Jury

07/19/2005 Defendant Waived Right to Confront And Cross Examine Witness

07/19/2005 Court Found Plea Was Knowledgeable, Intelligently Made,

07/19/2005 Defendant Waived Privilege Against Compulsory Self-Incrimina

07/19/2005 Defendant advised of Charges and Direct Consequences of Plea

07/21/2005 **CANCELED Preliminary Hearing (8:30 AM)**
Vacated

08/26/2005 Note:
RCVD PROBATION REPORT

08/31/2005 **PC 859 Sentencing (8:30 AM)** (Judicial Officer: Dest, Michael M)
Held;

08/31/2005 **Sentenced** (Judicial Officer: Martin, John N)
1. PC470(D)-F: Forgery
01/23/2005 (FEL) 470(D) (PC470(D)-F)
Charge #: 001 Allegation:

Probation

Type: Formal Probation (Supervised)
Start Date: 08/31/2005
Term: 36 Months
End Date: 10/11/2008
Status: Active (Active)
Status Date: 08/31/2005

CASE SUMMARY

CASE NO. FSB048474

Comment: 1) SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY, (001A) WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS, (001B) PLUS CONDUCT CREDIT PURSUANT TO 1-PC4019 AND ABIDE BY ALL RULES AND REGULATIONS OF THE FACILITY (001C) WITHOUT THE POSSIBILITY OF COUNTY PAROLE. (001D) REPORT TO GLEN HELEN REHABILITATION CENTER ON 04/24/06 BY 3 PM. (001EB) ELIGIBLE FOR WEEKENDER/WORK RELEASE PROGRAM. COMPLETE BY 04/24/2008. (001EE) 2) VIOLATE NO LAW. (T3) 3) REPORT TO THE PROB OFFICER IN PERSON IMMEDIATELY - AND THEREAFTER ONCE EVERY FOURTEEN (14) DAYS OR AS DIRECTED. (004) 4) COOPERATE WITH THE PROBATION OFFICER IN A PLAN OF REHABILITATION AND FOLLOW ALL REASONABLE DIRECTIVES OF THE PROBATION OFFICER. (005) 5) SEEK AND MAINTAIN GAINFUL EMPLOYMENT, OR ATTEND SCHOOL, AND KEEP THE PROBATION OFFICER INFORMED OF STATUS OF EMPLOYMENT, OR SCHOOL. (006) 6) NOT LEAVE THE STATE OF CALIFORNIA WITHOUT FIRST OBTAINING WRITTEN PERMISSION OF THE PROBATION OFFICER. (007) 7) KEEP THE PROBATION OFFICER INFORMED OF PLACE OF RESIDENCE, COHABITANTS AND PETS, AND GIVE WRITTEN NOTICE TO THE PROBATION OFFICER TWENTY-FOUR (24) (008A) HOURS PRIOR TO ANY CHANGES. PRIOR TO ANY MOVE PROVIDE WRITTEN AUTHORIZATION TO THE POST OFFICE TO FORWARD MAIL TO THE NEW ADDRESS. (008B) 8) NEITHER POSSESS NOR HAVE UNDER YOUR CONTROL ANY DANGEROUS OR DEADLY WEAPONS. (009A) PURSUANT TO PC12020 AND PC12030 (T00) 9) SUBMIT TO A SEARCH AND SEIZURE OF YOUR PERSON, RESIDENCE AND/OR PROPERTY UNDER YOUR CONTROL AT ANY TIME OF THE DAY OR NIGHT BY ANY LAW-ENFORCEMENT (010B) OFFICER, WITH OR WITHOUT A SEARCH WARRANT, AND WITH OR WITHOUT CAUSE (PEOPLE -V- BRAVO). (010C) 10) NOT ASSOCIATE WITH KNOWN CONVICTED FELONS OR ANYONE ACTIVELY ENGAGED IN CRIMINAL ACTIVITY - (019A) 11) NOT MAINTAIN A CHECKING ACCOUNT OR COMPLETE OR ENDORSE ANY CHECKS UNLESS MADE PAYABLE TO YOU (027A) AND NOT HAVE ANY BLANK CHECKS IN YOUR POSSESSION WITHOUT PERMISSION OF THE PROBATION OFFICER. (027B) 12) SUBMIT A RECORD OF INCOME AND EXPENDITURES TO THE PROBATION OFFICER QUARTERLY. (029) 13) NEITHER POSSESS NOR USE ANY CREDIT CARD WITHOUT PERMISSION OF THE PROBATION OFFICER. (030) 14) CARRY AT ALL TIMES, A VALID CALIFORNIA DRIVER'S LICENSE OR DEPARTMENT OF MOTOR VEHICLES IDENTIFICATION CARD CONTAINING YOUR TRUE NAME, AGE AND CURRENT (043A) ADDRESS, AND DISPLAY SUCH IDENTIFICATION UPON REQUEST BY ANY PEACE OFFICER AND NOT USE ANY OTHER NAME FOR ANY PURPOSE WITHOUT FIRST NOTIFYING THE P.O. (043B) 15) MAKE RESTITUTION TO THE VICTIM(S) THROUGH CENTRAL COLLECTIONS IN AN AMOUNT TO BE RECOMMENDED BY THE PROBATION OFFICER AND DETERMINED BY THE COURT. (047E) 16) PAY A RESTITUTION FINE IN THE AMOUNT OF \$200.00, PLUS A TEN PERCENT (10%) ADMINISTRATIVE FEE THROUGH CENTRAL COLLECTIONS. (051D3)

Adult Confinement

Type: County Jail
Term Type: Converted Confinement
Term: 120 Days
Credit for Time Served - Actual: 11 Days

08/31/2005

Legacy Minutes

MMD
CLERK SHIPLEY
REPORTER J MEDINA
BAILIFF M BECK

APPEARANCES

DEPUTY DISTRICT ATTORNEY A M PACE PRESENT.
ATTORNEY DPD J CARSON PRESENT.
DEFENDANT PRESENT NOT IN CUSTODY.

PROCEEDINGS

SAN BERNARDINO
CASE SUMMARY
CASE No. FSB048474

ACTION CAME ON FOR SENTENCING.
COURT HAS READ AND CONSIDERED PROBATION OFFICER'S REPORT.
FORMAL ARRAIGNMENT FOR PRONOUNCEMENT OF JUDGMENT IS WAIVED; NO
LEGAL CAUSE WHY JUDGMENT SHOULD NOT NOW BE PRONOUNCED.
DEFENDANT WAIVES TIME FOR SENTENCING.

FINDINGS/ADVISALS:

PURSUANT TO SECTION 13350, VEHICLE CODE, THE COURT FINDS A MOTOR
VEHICLE WAS NOT USED IN THE COMMISSION OF THE OFFENSE.
COURT FINDS DEFENDANT IS NOT ABLE TO REIMBURSE THE COUNTY FOR
ATTORNEY FEES.

THE COURT FINDS THAT THE DEFENDANT DOES NOT HAVE THE PRESENT ABILITY
TO PAY THE COST OF CONDUCTING THE PRE-SENTENCE INVESTIGATION AND
PREPARING

THE REPORT PURSUANT TO SECTION 1203.1(B) OF THE PENAL CODE.

THE COURT FINDS THAT THE DEFENDANT DOES NOT HAVE THE ABILITY TO PAY
PROBATION SUPERVISION FEES PURSUANT TO SECTION 1203.1(B) OF THE PENAL
CODE.

PURSUANT TO PC 296 THE COURT ORDERS THE DEFENDANT TO PROVIDE A DNA
BUCCAL SAMPLE TO THE PROBATION DEPARTMENT AT THE TIME OF PRE-
SENTENCE INTERVIEW.

**PROBATION GRANTED
FOR ALL CHARGES.**

SUPERVISED PROBATION GRANTED FOR A PERIOD OF 36 MONTHS ON FOLLOWING
TERMS AND CONDITIONS:

THAT THE DEFENDANT SHALL:

SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY,

WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS,

PLUS CONDUCT CREDIT PURSUANT TO 1-PC4019 AND ABIDE BY ALL RULES AND
REGULATIONS OF THE FACILITY

WITHOUT THE POSSIBILITY OF COUNTY PAROLE.

REPORT TO GLEN HELEN REHABILITATION CENTER ON 093005 BY 3PM.

COMMITMENT ISSUED; DUPLICATE COPY TO DEFENDANT.

VIOLATE NO LAW.

REPORT TO THE PROB OFFICER IN PERSON IMMEDIATELY - AND THEREAFTER
ONCE EVERY FOURTEEN (14) DAYS OR AS DIRECTED.

COOPERATE WITH THE PROBATION OFFICER IN A PLAN OF REHABILITATION AND
FOLLOW ALL REASONABLE DIRECTIVES OF THE PROBATION OFFICER.

SEEK AND MAINTAIN GAINFUL EMPLOYMENT, OR ATTEND SCHOOL, AND KEEP THE
PROBATION OFFICER INFORMED OF STATUS OF EMPLOYMENT, OR SCHOOL.

NOT LEAVE THE STATE OF CALIFORNIA WITHOUT FIRST OBTAINING WRITTEN
PERMISSION OF THE PROBATION OFFICER.

KEEP THE PROBATION OFFICER INFORMED OF PLACE OF RESIDENCE,
COHABITANTS AND PETS, AND GIVE WRITTEN NOTICE TO THE PROBATION
OFFICER TWENTY-FOUR (24)

HOURS PRIOR TO ANY CHANGES. PRIOR TO ANY MOVE PROVIDE WRITTEN
AUTHORIZATION TO THE POST OFFICE TO FORWARD MAIL TO THE NEW ADDRESS.

NEITHER POSSESS NOR HAVE UNDER YOUR CONTROL ANY DANGEROUS OR
DEADLY WEAPONS.

PURSUANT TO PC12020 AND PC12030

NOT ASSOCIATE WITH KNOWN CONVICTED FELONS OR ANYONE ACTIVELY
ENGAGED IN CRIMINAL ACTIVITY -

NOT MAINTAIN A CHECKING ACCOUNT OR COMPLETE OR ENDORSE ANY CHECKS
UNLESS MADE PAYABLE TO YOU

AND NOT HAVE ANY BLANK CHECKS IN YOUR POSSESSION WITHOUT PERMISSION
OF THE PROBATION OFFICER.

SUBMIT A RECORD OF INCOME AND EXPENDITURES TO THE PROBATION OFFICER
QUARTERLY.

NEITHER POSSESS NOR USE ANY CREDIT CARD WITHOUT PERMISSION OF THE
PROBATION OFFICER.

CARRY AT ALL TIMES, A VALID CALIFORNIA DRIVER'S LICENSE OR DEPARTMENT
OF MOTOR VEHICLES IDENTIFICATION CARD CONTAINING YOUR TRUE NAME, AGE
AND CURRENT

ADDRESS, AND DISPLAY SUCH IDENTIFICATION UPON REQUEST BY ANY PEACE
OFFICER AND NOT USE ANY OTHER NAME FOR ANY PURPOSE WITHOUT FIRST
NOTIFYING THE P.O.

SAN BERNARDINO
CASE SUMMARY
CASE No. FSB048474

MAKE RESTITUTION TO THE VICTIM(S) THROUGH CENTRAL COLLECTIONS IN AN AMOUNT TO BE RECOMMENDED BY THE PROBATION OFFICER AND DETERMINED BY THE COURT.
PAY A RESTITUTION FINE IN THE AMOUNT OF \$200.00, PLUS A TEN PERCENT (10%) ADMINISTRATIVE FEE THROUGH CENTRAL COLLECTIONS.
DEFENDANT ACCEPTS PROBATION AND IS GIVEN A COPY OF THE TERMS AND CONDITIONS.

CUSTODY STATUS
CASE CUSTODY - PROBATION.
===== MINUTE ORDER END ===== 0

08/31/2005 Conviction Certified By Clerk of the Court

10/13/2005 **Probation Hearing (9:14 AM) (Judicial Officer: Fettel, Douglas)**
Held;

10/13/2005 Legacy Minutes
DAF
CLERK G SOTELO
REPORTER S SCHEINFLED
BAILIFF R FATLAND
-
APPEARANCES
DEPUTY DISTRICT ATTORNEY S SANCHEZ PRESENT.
ATTORNEY PD KNUDSEN PRESENT.
DEFENDANT PRESENT.
-
PROCEEDINGS
ACTION CAME ON FOR PROBATION MODIFICATION HEARING.
-
PROBATION IS CONTINUED ON ORIGINAL TERMS AND CONDITIONS WITH THE FOLLOWING MODIFICATION(S).
TERM NUMBER 2 IS MODIFIED AS FOLLOWS:
FOR ALL CHARGES.
TERM NUMBER 1 IS MODIFIED AS FOLLOWS:
FOR ALL CHARGES.
SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY,
WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS,
PLUS CONDUCT CREDIT PURSUANT TO 1-PC4019 AND ABIDE BY ALL RULES AND REGULATIONS OF THE FACILITY
WITHOUT THE POSSIBILITY OF COUNTY PAROLE.
REPORT TO GLEN HELEN REHABILITATION CENTER ON 021006 BY 4:00 PM..
COMMITMENT ISSUED; DUPLICATE COPY TO DEFENDANT.
DEFENDANT ACCEPTS MODIFICATION OF TERMS AND CONDITIONS.
VIOLATE NO LAW.
-
CUSTODY STATUS
CASE CUSTODY - PROBATION.
-
===== MINUTE ORDER END ===== 0

10/14/2005 Note:
RECVD NOTICE NO SHOW FOR JAIL TIME-NO ACTION

10/14/2005 Note:
TAKEN PER MO DATED 101305

02/10/2006 Defendant To Report To Department
YOU ARE TO REPORT TO DEPARTMENT S27 AT 7:30 AM ON 02/14/2006.

02/14/2006 **Modification of Probation (9:04 AM) (Judicial Officer: McPeters, Roberta)**
Continued Court's motion;

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

| | |
|------------|--|
| 02/14/2006 | Legacy Minutes RM CLERK VICKI PENA REPORTER ROWENA MASIH BAILIFF JOHN ROJAS - APPEARANCES DEFENDANT PRESENT NOT IN CUSTODY. - PROCEEDINGS ACTION CAME ON FOR MODIFICATION OF PROBATION. - DEFENDANT STATES SHE HAD A BABY IN JANUARY AND IS UNABLE TO START HER WEEKENDS - COURT ORDERS PROBATION REVOKED. VICKERS HEARING RESERVED. - ATTORNEY INFORMATION PUBLIC DEFENDER REAPPOINTED. - HEARINGS REVOCATION OF PROBATION HEARING SET 03/28/2006 AT 8:30 IN DEPARTMENT S27. DEFENDANT ORDERED TO APPEAR ON HEARING DATE. - REFERRAL REFERRED TO PROBATION OFFICE FOR SUPPLEMENTAL REPORT. - CUSTODY STATUS DEFENDANT RELEASED ON CONDITIONAL O.R. UPON FILING OF SIGNED AGREEMENT TO APPEAR THIS DATE. DEFENDANT ADVISED OF CONSEQUENCES OF FAILING TO APPEAR. CASE CUSTODY - OR PUBLIC DEFENDER NOTIFIED. DISTRICT ATTORNEY NOTIFIED. ===== MINUTE ORDER END ===== 0 |
| 02/23/2006 | Note: RECVD NO SHOW FOR JAIL TIME-PLCED ON FILE |
| 02/24/2006 | Note: RECVD NO SHOW FOR JAIL TIME PLACED ON FILE |
| 03/17/2006 | Note: PROBATION RPT RECVD/ PLACED IN S27 BIN |
| 03/28/2006 | Hearing on Revocation of Probation (8:30 AM) (Judicial Officer: Martin, John N) Held; |
| 03/28/2006 | Amended Disposition (Judicial Officer: Martin, John N) 1. PC470(D)-F: Forgery Convicted Charge #: 001 Allegation: |
| 03/28/2006 | Legacy Minutes JNM CLERK ARMANDO G ESPINOZA REPORTER SUSAN KEIL BAILIFF TERWILIGER - APPEARANCES DEPUTY DISTRICT ATTORNEY S SANCHEZ PRESENT. DEPUTY PUBLIC DEFENDER M LOGGER PRESENT DEFENDANT PRESENT NOT IN CUSTODY. |

SAN BERNARDINO
CASE SUMMARY
CASE No. FSB048474

PROCEEDINGS

ACTION CAME ON FOR HEARING ON REVOCATION OF PROBATION.
DEFENDANT ADMITS VIOLATION(S) OF PROBATION, AS TO TERM(S) 1.
DEFENDANT IS FOUND TO BE IN VIOLATION OF PROBATION
PROBATION REINSTATED.

VICKERS HEARING WAIVED.

PROBATION IS CONTINUED ON ORIGINAL TERMS AND CONDITIONS WITH THE
FOLLOWING MODIFICATION(S).

FOR THE CHARGE(S): 1

TERM NUMBER 1 IS MODIFIED AS FOLLOWS:

SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY,
WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS,
PLUS CONDUCT CREDIT PURSUANT TO I-PC4019 AND ABIDE BY ALL RULES AND
REGULATIONS OF THE FACILITY
WITHOUT THE POSSIBILITY OF COUNTY PAROLE.

REPORT TO GLEN HELEN REHABILITATION CENTER ON 04/24/06 BY 3 PM.

COURT ALLOWS DEFT TO SERVE JAIL TIME ON WEEKDAYS

COMMITMENT ISSUED; DUPLICATE COPY TO DEFENDANT.






DEFENDANT ACCEPTS MODIFICATION OF TERMS AND CONDITIONS.

COURT INFORMS DEFT THIS WILL BE LAST TIME FOR
RE-INSTATEMENT OF WEEKENDS

CUSTODY STATUS

CASE CUSTODY - PROBATION.

===== MINUTE ORDER END ===== 0

- 06/12/2006 Note:
SENT MEMO TO PROBATION DEPARTMENT
- 09/30/2015  Petition For Dismissal Under PC1203.4/1203.4A/1203.42 Filed
PETITION FOR DISMISSAL UNDER PC1203.4/PC1203.4A/PC1203.41 FILED.
- 10/02/2015 Note:
PROBATION OFFICER NOTIFIED
- 10/02/2015 District Attorney Notified
DISTRICT ATTORNEY NOTIFIED.
- 10/06/2015 Case Reassigned to Another District
CASE REASSIGNED TO JS- JS DISTRICT.
- 10/16/2015  DA Recommendation PC1203.4/1203.41/1203.42 filed
DISTRICT ATTORNEY RECOMMENDATION RE: PC 1203.4/PC1203.41 PETITION FILED.
- 11/10/2015  Supplemental Probation Report filed
SUPPLEMENTAL PROBATION REPORT FILED.
- 12/22/2015 Ex Parte Hearing (9:20 AM) (Judicial Officer: Powell, William Jefferson, IV)
Held;
- 12/22/2015  Dismissal Under PC1203.4/1203.4A/1203.41/1203.42 Denied
ORDER FOR DISMISSAL UNDER PC1203.4/PC1203.4A/PC1203.41 DENIED AND FILED.
- 12/22/2015  Request for Action- No Appearance- Response by Judge
REQUEST FOR ACTION - NO APPEARANCE RESPONSE BY JUDGE
- 12/22/2015 Legacy Minutes
WJP, J-JUDGE

SAN BERNARDINO
CASE SUMMARY
CASE NO. FSB048474

CLERK: CC488-MARIELENA ZELAYA
DEFENDANT NOT PRESENT.

-
PROCEEDINGS
THE COURT HAS READ AND CONSIDERED THE PETITION
PURSUANT TO PC 1203.4/17(B).

-
PURSUANT TO 17(B) REQUEST IS DENIED.
DEFENDANT'S REQUEST IS DENIED.

-
ATTORNEY NOTIFIED.

-
CUSTODY STATUS
DEFENDANT RELEASED.

===== MINUTE ORDER END ===== 0

863.2150
X 605577

Case History Summary

RECEIVED

PAE, TAMMIE YOUN KYUNG

Active Warrants: 0 **2023 JUL 17 AM 11:30** Person ID: 1238348 -
Race: O Height: 5'1" DOB: ██████████
Gender: F Weight: 160

FLORIDA GAMING CONTROL COMMISSION

1787601TP The People of the State of California vs. TAMMIE PAE

Case Type: IN Filed On: 10/11/2000 Status: 02/06/2016 C Connection: DEF
Last Activity: 06/28/2001 EventHDMV

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---|------------|-----|------------|--------------|---------------|
| 1 | VC4000(A)(1)-I: Veh Registration Fees Due | 4000(A)(1) | INF | 09/15/2000 | | 10/20/2000 D1 |
| 2 | VC16028(A)-I: No Evidence Of Finan Resp/Veh | 16028(A) | INF | 09/15/2000 | | 10/20/2000 D1 |
| 3 | VC14601.1-I: Driver'S License Suspended/Revoked | 14601.1 | INF | 09/15/2000 | 10/20/2000 G | 10/20/2000 C1 |
| 4 | PC1214.1(A)-I: 1214.1(A) Civil Assessment (FTP) | 1214.1(A) | INF | 09/15/2000 | | 06/26/2001 C1 |

FSB048474 The People of the State of California vs. TAMMIE PAE

Case Type: FEL Filed On: 02/16/2005 Status: 02/12/2016 P Connection: DEF
Last Activity: 12/29/2015 EventTK

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---------------------|---------|-----|------------|---------------|---------------|
| 2 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 03/10/2005 NG | 07/19/2005 D1 |
| 3 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 03/10/2005 NG | 07/19/2005 D1 |

Sentence: 08/31/2005 S (Martin, John N): ProbationType: Formal Probation (Supervised)Start Date: 08/31/2005Term: 36 MonthsEnd Date: 10/11/2008Status: Active (Active)Status Date: 08/31/2005Comment: 1) SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY, (001A) WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS, (001B) PLUS CONDUCT CREDIT PURSUANT TO 1-PC4019 AND ABIDE BY ALL RULES AND REGULATIONS OF THE FACILITY (001C) WITHOUT THE POSSIBILITY OF COUNTY PAROLE. (001D) REPORT TO GLEN HELEN REHABILITATION CENTER ON 04/24/06 BY 3 PM. (001EB) ELIGIBLE FOR WEEKENDER/WORK F

THE PROB OFFICER IN PE DIRECTED. (004) 4) COOPL REASONABLE DIRECTIVES ATTEND SCHOOL, AND KE NOT LEAVE THE STATE OF OFFICER. (007) 7) KEEP T AND GIVE WRITTEN NOTIC PRIOR TO ANY MOVE PROI ADDRESS. (008B) 8) NEITH (009A) PURSUANT TO PC12 AND/OR PROPERTY UNDEI OFFICER, WITH OR WITHO ASSOCIATE WITH KNOWN (NOT MAINTAIN A CHECKIN (027A) AND NOT HAVE ANY (027B) 12) SUBMIT A RECOI NEITHER POSSESS NOR US. AT ALL TIMES, A VALID CAI CONTAINING YOUR TRUEI REQUEST BY ANY PEACE O THE P.O. (043B) 15) MAKE F

RECOMMENDED BY THE PROBATION OFFICER AND DETERMINED BY THE COURT. (047E) 16) PAY A RESTITUTION FINE IN THE AMOUNT OF \$200.00, PLUS A TEN PERCENT (10%) ADMINISTRATIVE FEE THROUGH CENTRAL COLLECTIONS. (051D3); Adult ConfinementType: County JailTerm Type: Converted ConfinementTerm: 120 DaysCredit for Time Served - Actual: 11 Days



District Attorney's Office - Bureau of Victim Services
(909) 387-6542

Legal Aid
588 W. 6th St.
909-889-7328

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| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---------------------|---------|-----|------------|--------------|---------------|
| 1 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 07/19/2005 G | 03/28/2006 C1 |

JS53864TP The People of the State of California vs. TAMMIE PAE

Case Type: MI Filed On: 03/16/1999 Status: 09/18/2018 C Connection: DEF
Last Activity: 02/22/2000 EventCOMM

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|--|----------|------|------------|---------------|---------------|
| 1 | VC22349(A)-I: Exceeding 65 Mph | 22349(A) | INF | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |
| 2 | VC40508(A)-M: Failure To Appear (Dmv Hold) | 40508(A) | MISD | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |
| 3 | VCCTCIVASS-I: Court Civil | CTCIVASS | INF | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |

801

Case History Summary

863.2150
x 605577

PAE, TAMMIE YOUN KYUNG

Active Warrants: 0

Person ID: 1238348 -

Race: O Height: 5'1" DOB: [REDACTED]

Gender: F Weight: 160

DL: [REDACTED]

1787601TP The People of the State of California vs. TAMMIE PAE

Case Type: IN Filed On: 10/11/2000 Status: 02/06/2016 C Connection: DEF
Last Activity: 06/28/2001 EventHDMV

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---|------------|-----|------------|--------------|---------------|
| 1 | VC4000(A)(1)-I: Veh Registration Fees Due | 4000(A)(1) | INF | 09/15/2000 | | 10/20/2000 D1 |
| 2 | VC16028(A)-I: No Evidence Of Finan Resp/Veh | 16028(A) | INF | 09/15/2000 | | 10/20/2000 D1 |
| 3 | VC14601.1-I: Driver'S License Suspended/Revoked | 14601.1 | INF | 09/15/2000 | 10/20/2000 G | 10/20/2000 C1 |
| 4 | PC1214.1(A)-I: 1214.1(A) Civil Assessment (FTP) | 1214.1(A) | INF | 09/15/2000 | | 06/26/2001 C1 |

FSB048474 The People of the State of California vs. TAMMIE PAE

Case Type: FEL Filed On: 02/16/2005 Status: 02/12/2016 P Connection: DEF
Last Activity: 12/29/2015 EventTK

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---------------------|---------|-----|------------|---------------|---------------|
| 2 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 03/10/2005 NG | 07/19/2005 D1 |
| 3 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 03/10/2005 NG | 07/19/2005 D1 |

Sentence: 08/31/2005 S (Martin, John N): ProbationType: Formal Probation (Supervised)Start Date: 08/31/2005Term: 36 MonthsEnd Date: 10/11/2008Status: Active (Active)Status Date: 08/31/2005Comment: 1) SERVE 120 DAYS IN A SAN BERNARDINO COUNTY JAIL FACILITY, (001A) WITH CREDIT FOR TIME SERVED, A MATTER OF 11 DAYS, (001B) PLUS CONDUCT CREDIT PURSUANT TO 1-PC4019 AND ABIDE BY ALL RULES AND REGULATIONS OF THE FACILITY (001C) WITHOUT THE POSSIBILITY OF COUNTY PAROLE. (001D) REPORT TO GLEN HELEN REHABILITATION CENTER ON 04/24/06 BY 3 PM. (001EB) ELIGIBLE FOR WEEKENDER/WORK RELEASE PROGRAM. COMPLETE BY 04/24/2008. (001EE) 2) VIOLATE NO LAW. (T3) 3) REPORT TO THE PROB OFFICER IN PERSON IMMEDIATELY - AND THEREAFTER ONCE EVERY FOURTEEN (14) DAYS OR AS DIRECTED. (004) 4) COOPERATE WITH THE PROBATION OFFICER IN A PLAN OF REHABILITATION AND FOLLOW ALL REASONABLE DIRECTIVES OF THE PROBATION OFFICER. (005) 5) SEEK AND MAINTAIN GAINFUL EMPLOYMENT, OR ATTEND SCHOOL, AND KEEP THE PROBATION OFFICER INFORMED OF STATUS OF EMPLOYMENT, OR SCHOOL. (006) 6) NOT LEAVE THE STATE OF CALIFORNIA WITHOUT FIRST OBTAINING WRITTEN PERMISSION OF THE PROBATION OFFICER. (007) 7) KEEP THE PROBATION OFFICER INFORMED OF PLACE OF RESIDENCE, COHABITANTS AND PETS, AND GIVE WRITTEN NOTICE TO THE PROBATION OFFICER TWENTY-FOUR (24) (008A) HOURS PRIOR TO ANY CHANGES. PRIOR TO ANY MOVE PROVIDE WRITTEN AUTHORIZATION TO THE POST OFFICE TO FORWARD MAIL TO THE NEW ADDRESS. (008B) 8) NEITHER POSSESS NOR HAVE UNDER YOUR CONTROL ANY DANGEROUS OR DEADLY WEAPONS. (009A) PURSUANT TO PC12020 AND PC12030 (T00) 9) SUBMIT TO A SEARCH AND SEIZURE OF YOUR PERSON, RESIDENCE AND/OR PROPERTY UNDER YOUR CONTROL AT ANY TIME OF THE DAY OR NIGHT BY ANY LAW-ENFORCEMENT (010B) OFFICER, WITH OR WITHOUT A SEARCH WARRANT, AND WITH OR WITHOUT CAUSE (PEOPLE -V- BRAVO). (010C) 10) NOT ASSOCIATE WITH KNOWN CONVICTED FELONS OR ANYONE ACTIVELY ENGAGED IN CRIMINAL ACTIVITY - (019A) 11) NOT MAINTAIN A CHECKING ACCOUNT OR COMPLETE OR ENDORSE ANY CHECKS UNLESS MADE PAYABLE TO YOU (027A) AND NOT HAVE ANY BLANK CHECKS IN YOUR POSSESSION WITHOUT PERMISSION OF THE PROBATION OFFICER. (027B) 12) SUBMIT A RECORD OF INCOME AND EXPENDITURES TO THE PROBATION OFFICER QUARTERLY. (029) 13) NEITHER POSSESS NOR USE ANY CREDIT CARD WITHOUT PERMISSION OF THE PROBATION OFFICER. (030) 14) CARRY AT ALL TIMES, A VALID CALIFORNIA DRIVER'S LICENSE OR DEPARTMENT OF MOTOR VEHICLES IDENTIFICATION CARD CONTAINING YOUR TRUE NAME, AGE AND CURRENT (043A) ADDRESS, AND DISPLAY SUCH IDENTIFICATION UPON REQUEST BY ANY PEACE OFFICER AND NOT USE ANY OTHER NAME FOR ANY PURPOSE WITHOUT FIRST NOTIFYING THE P.O. (043B) 15) MAKE RESTITUTION TO THE VICTIM(S) THROUGH CENTRAL COLLECTIONS IN AN AMOUNT TO BE RECOMMENDED BY THE PROBATION OFFICER AND DETERMINED BY THE COURT. (047E) 16) PAY A RESTITUTION FINE IN THE AMOUNT OF \$200.00, PLUS A TEN PERCENT (10%) ADMINISTRATIVE FEE THROUGH CENTRAL COLLECTIONS. (051D3); Adult ConfinementType: County JailTerm Type: Converted ConfinementTerm: 120 DaysCredit for Time Served - Actual: 11 Days

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|---------------------|---------|-----|------------|--------------|---------------|
| 1 | PC470(D)-F: Forgery | 470(D) | FEL | 01/23/2005 | 07/19/2005 G | 03/28/2006 C1 |

JS53864TP The People of the State of California vs. TAMMIE PAE

Case Type: MI Filed On: 03/16/1999 Status: 09/18/2018 C Connection: DEF
Last Activity: 02/22/2000 EventCOMM

| Cnt | Description | Statute | Deg | Off Date | Plea | Disposition |
|-----|--|----------|------|------------|---------------|---------------|
| 1 | VC22349(A)-I: Exceeding 65 Mph | 22349(A) | INF | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |
| 2 | VC40508(A)-M: Failure To Appear (Dmv Hold) | 40508(A) | MISD | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |
| 3 | VCCTCIVASS-I: Court Civil | CTCIVASS | INF | 03/06/1999 | 10/18/1999 NC | 10/18/1999 C1 |

Assessment

MMB011355 The People of the State of California vs. TAMMIE KYUNG PAE

Case Type: MI

Filed On: 04/21/2006

Status: 04/03/2020 C

Connection: DEF

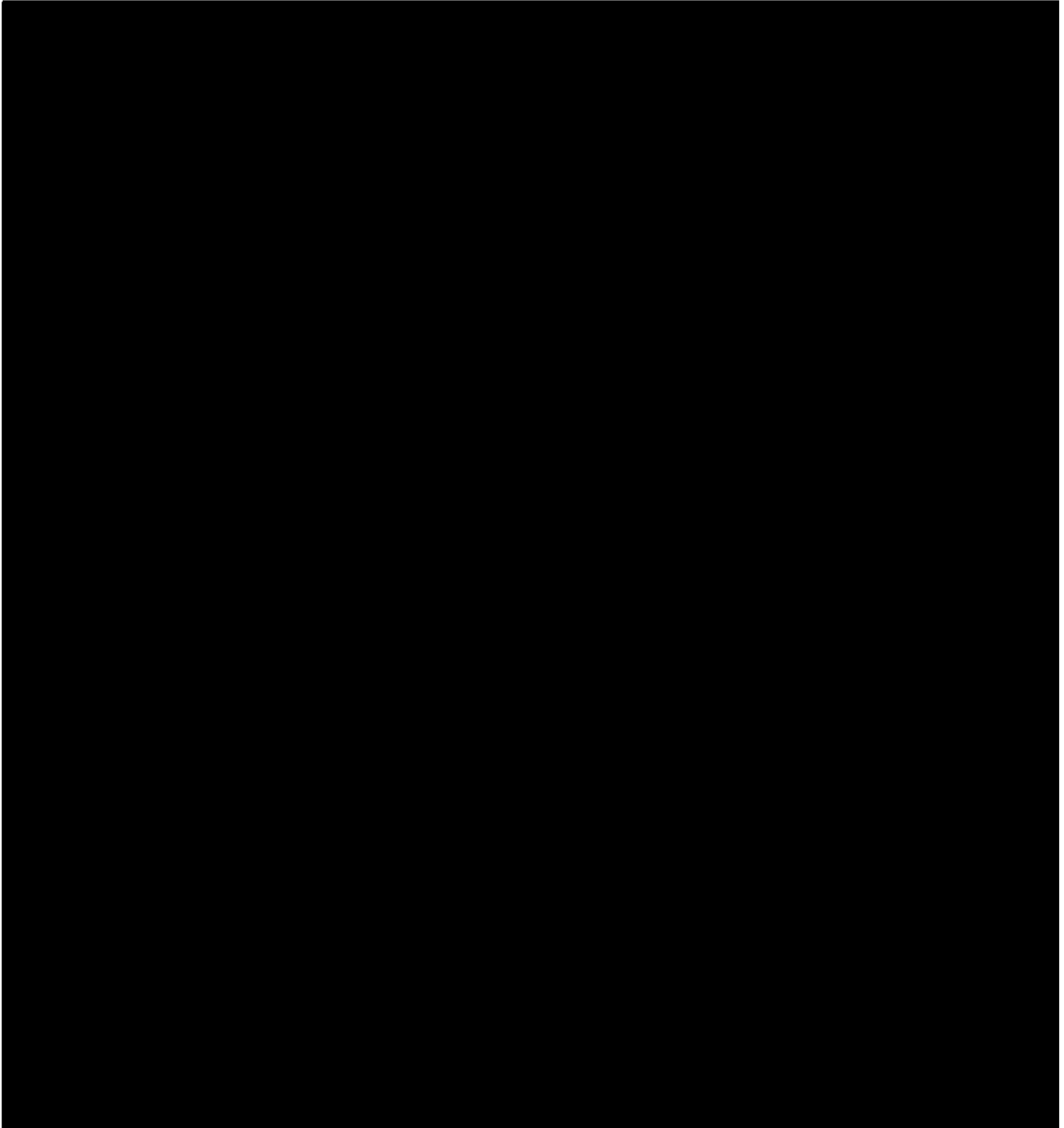
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| <u>Cnt</u> | <u>Description</u> | <u>Statute</u> | <u>Deg</u> | <u>Off Date</u> | <u>Plea</u> | <u>Disposition</u> |
|------------|--|----------------|------------|-----------------|---------------|--------------------|
| 1 | PC271A-M: Abandonment/Nonsupport Of/Etc Child Under 14 Years | 271(A) | MISD | 01/24/2006 | 09/25/2018 NG | 03/01/2019 DMP |

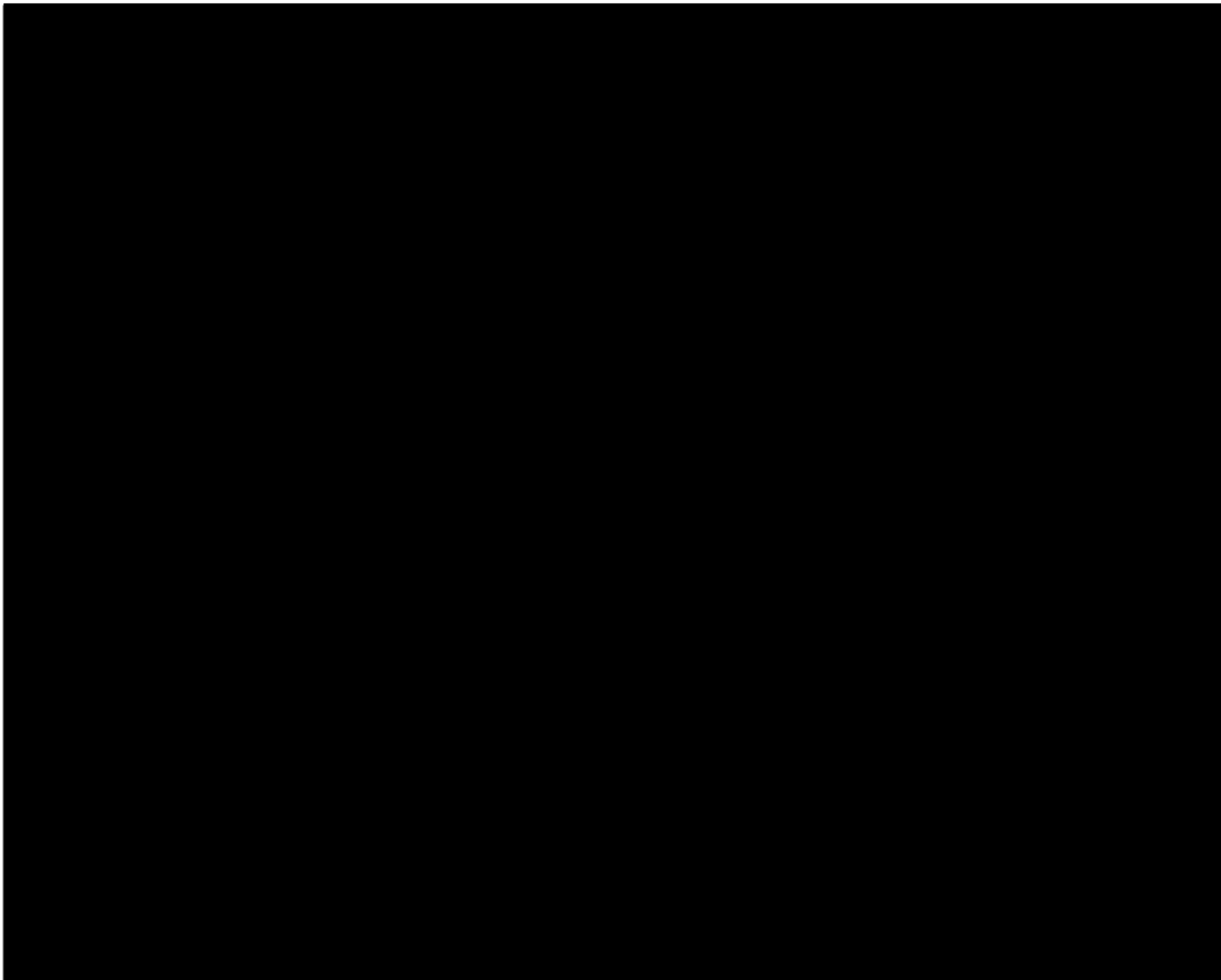
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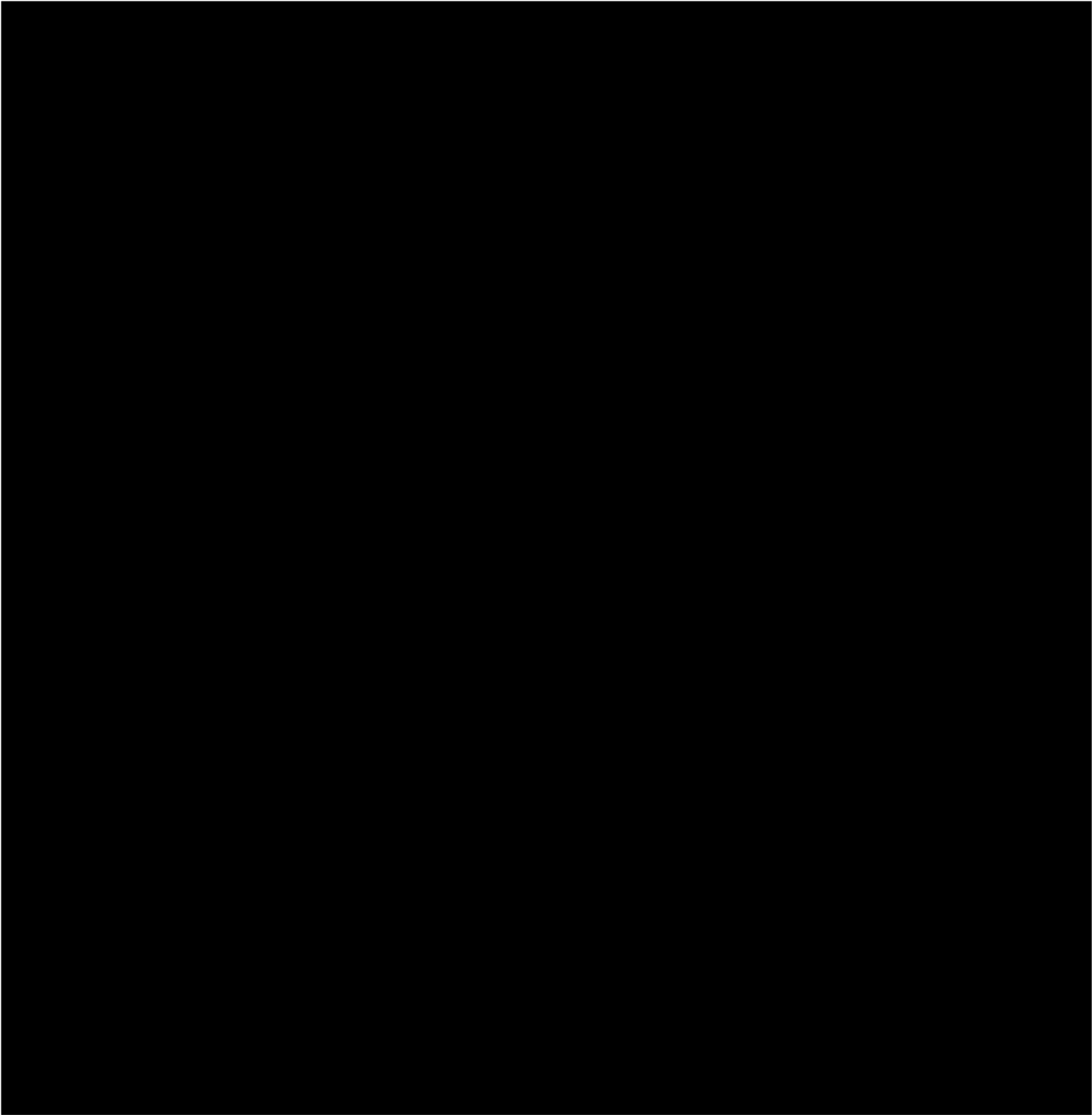
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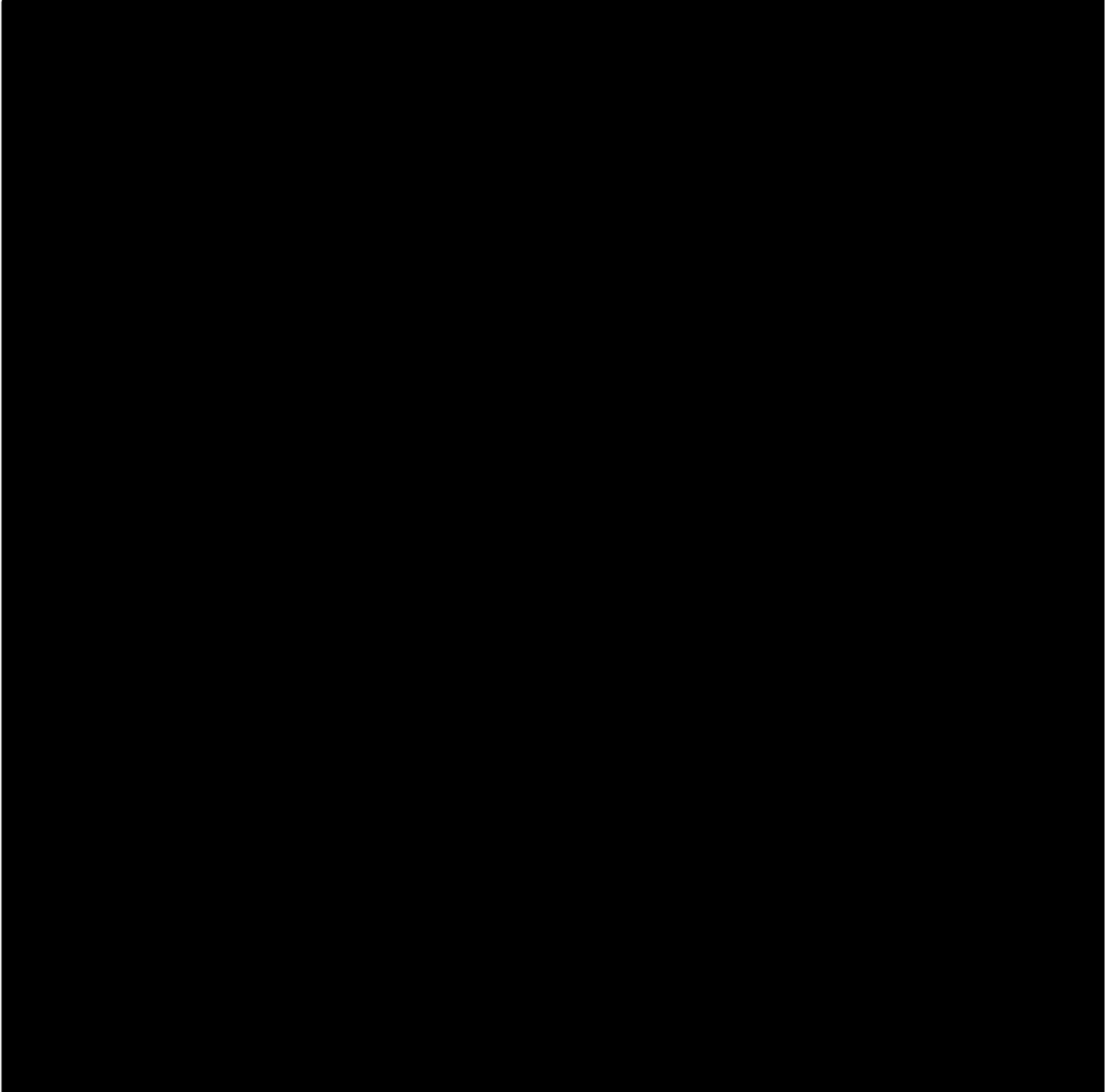
UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
CRIMINAL JUSTICE INFORMATION SERVICES DIVISION

Subject : *Results of check for CORROS, TAMMIE PAE (70CK8500000000113)*



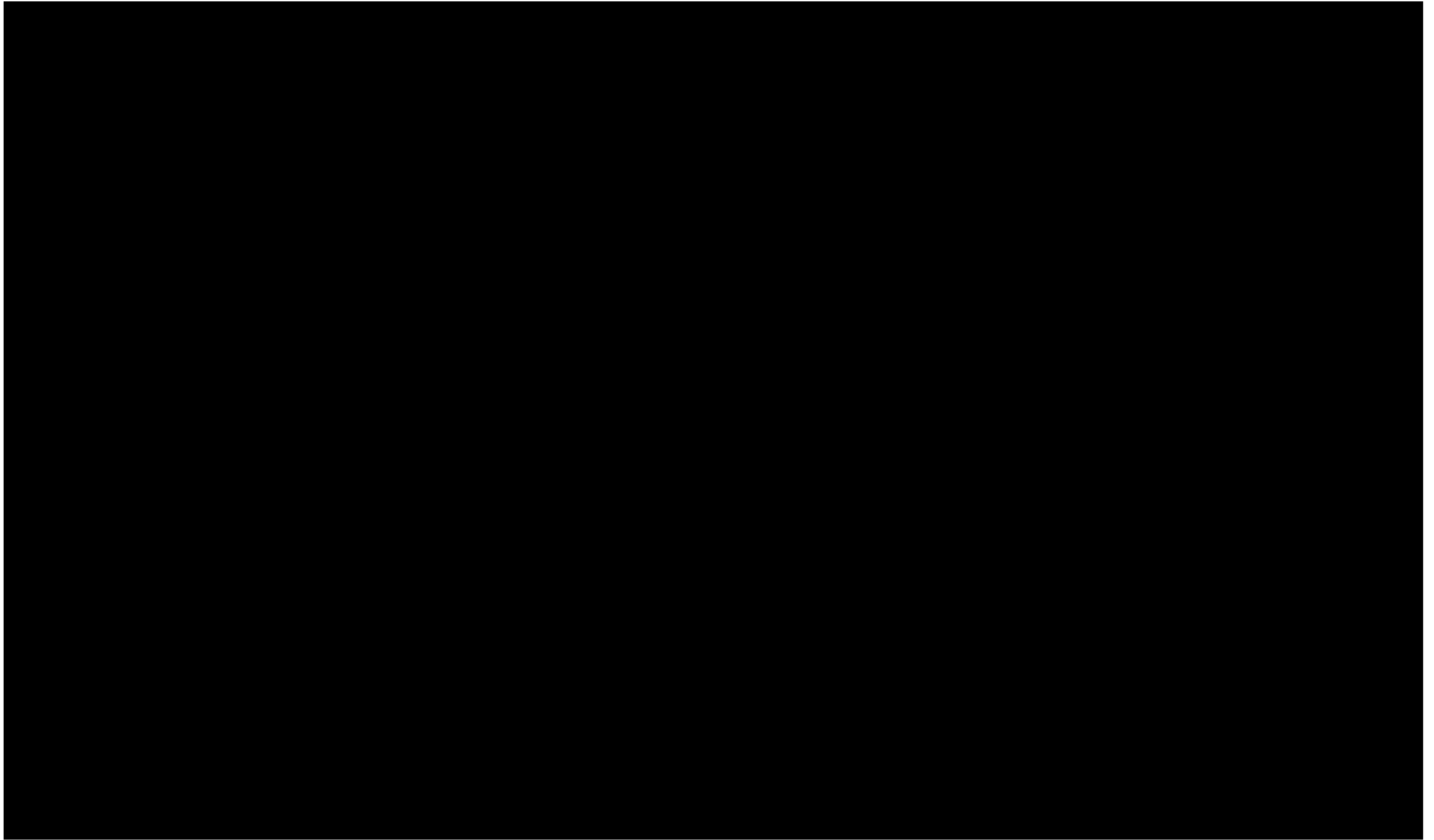
END OF PART 1 - PART 2 TO FOLLOW

Subject : *Results of check for CORROS, TAMMIE PAE (70CK8500000000113)*



END OF PART 2 - PART 3 TO FOLLOW

Subject : *Results of check for CORROS, TAMMIE PAE (70CK8500000000113)*



ALL ENTRIES CONTAINED IN THIS FBI RECORD ARE BASED ON
FINGERPRINT COMPARISONS AND PERTAIN TO THE SAME INDIVIDUAL.

Subject : *Results of check for CORROS, TAMMIE PAE (70CK8500000000113)*

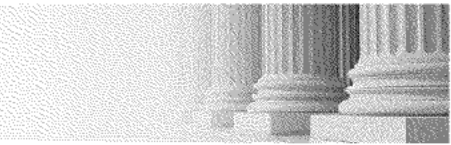




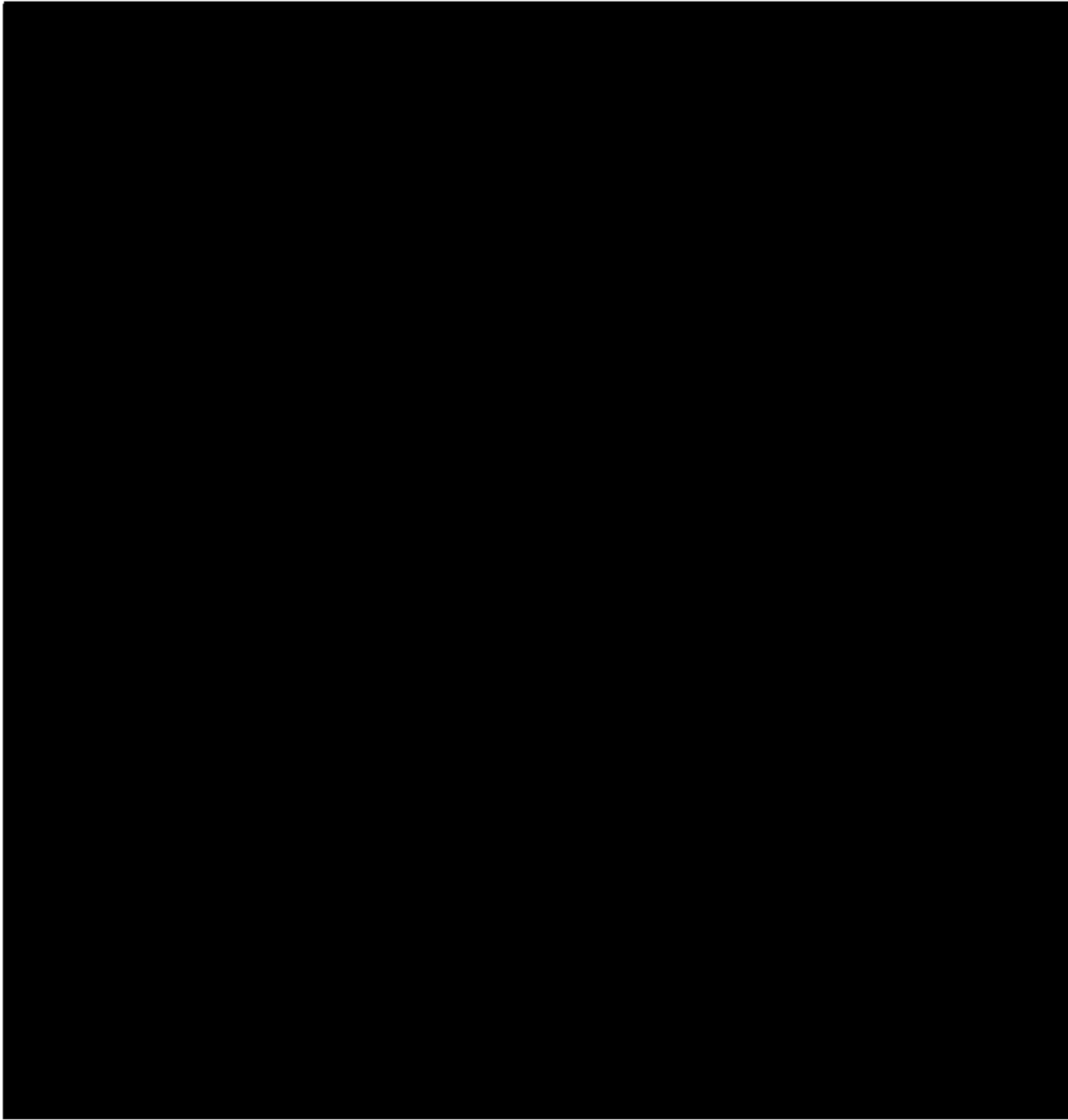
**FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS**

Bring You

ccis COMPREHENSIVE CASE
INFORMATION SYSTEM



Randall Kirschenoff/gcc

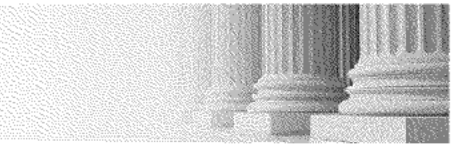




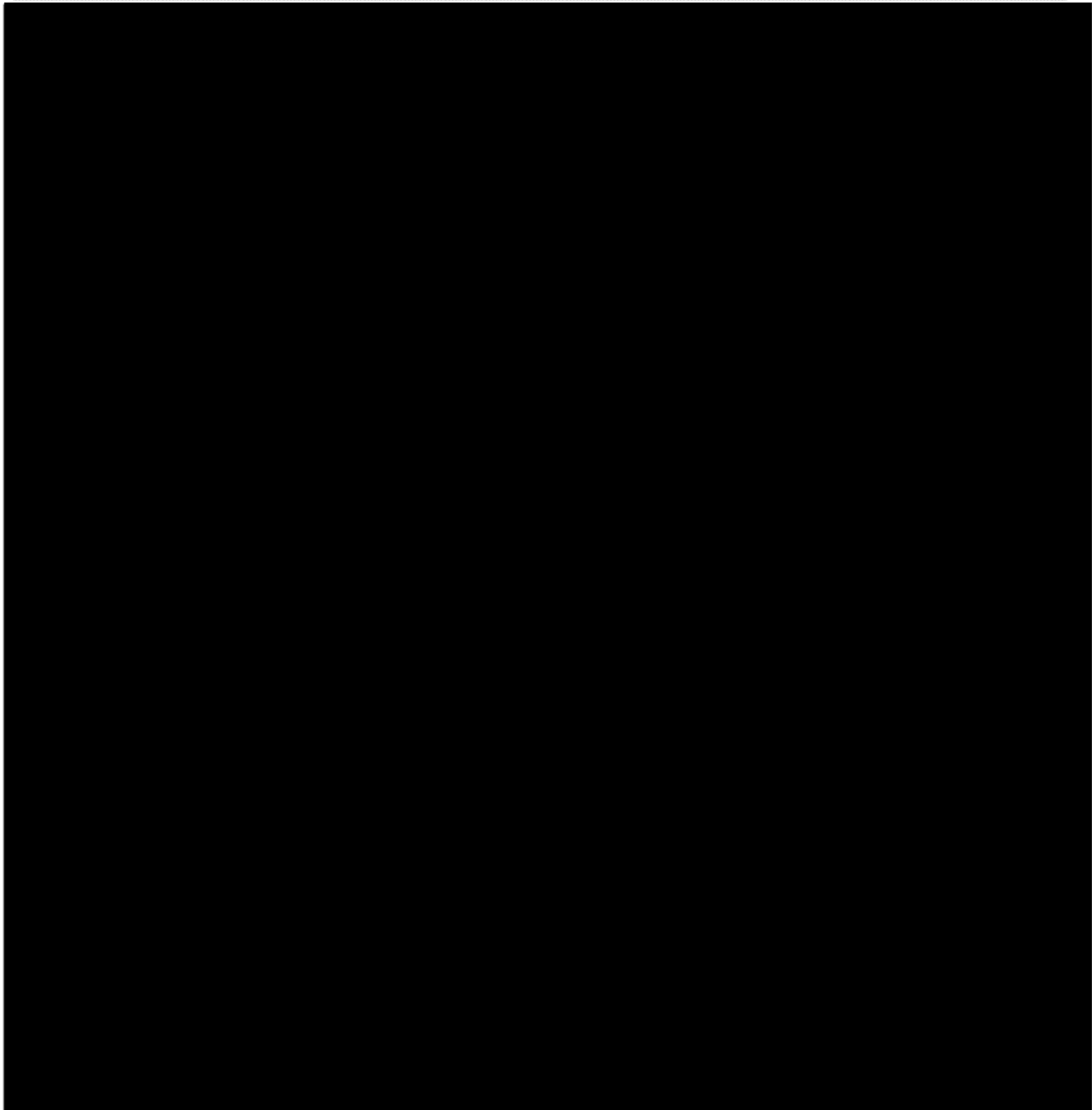
**FLORIDA'S CLERKS OF COURT
AND COMPTROLLERS**

Bring You

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INFORMATION SYSTEM



Randall.Kirchweg@gcc



10. Discussion of policies and procedures



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Active Assailant Response

POLICY NUMBER

02.34.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 23, F.S.](#)
[Section 24, F.S.](#)
[Section 321, F.S.](#)
[Section 776, F.S.](#)
[Section 943, F.S.](#)
[CFA Standard 10.19M.](#)

I. Purpose

The purpose of this Policy is to establish guidelines for sworn and non-sworn personnel responding to an Active Assailant Event (AAE). References: [Section 23, F.S.](#), [Section 24, F.S.](#), [Section 321, F.S.](#), [Section 776, F.S.](#), [Section 943, F.S.](#), and [CFA Standard 10.19M.](#)

II. Discussion

A single special agent response to an AAE may be required in response to an armed individual who is actively injuring or killing people. Sworn members responding to these events should remain cognizant that time is of the essence because most innocent lives are lost during the first few minutes of the AAE event. It is imperative that sworn members rapidly assess the situation and act quickly.

These tactics are specifically designed for then-occurring AAEs and situations where people are reasonably believed to have suffered life-threatening injuries because of the AAE. These tactics are not necessarily appropriate for barricaded persons or hostage situations when active harm toward others is not occurring or there are no people present who need to be immediately rescued because of their life-threatening injuries. Although an active assailant exhibits some of the same basic characteristics as hostage and barricaded suspects, active assailant suspects are generally quite different. Active assailant situations usually develop quickly into deadly situations and are often concluded in a relatively short period of time. Any delay in response and action may result in preventable loss of life.

III. Definitions

Definitions related to this policy include:

- A. Active Assailant – A person who participates in a life-threatening assault and demonstrate his or her intent to kill or wound others.
- B. Active Assailant Event (AAE) – An incident where one or more Active Assailants act to harm or kill others. Such events include, but are not limited to, school shootings, workplace violence, terrorist activities, and snipers.
- C. Barricaded Subject – A person who takes a position of confinement to avoid apprehension (the use or threatened use of force to resist apprehension shall have been displayed through actions or words, and the agent shall have reason to believe the suspect will use force to avoid apprehension). A barricaded subject situation is not one where active deadly force is occurring or where there are victims of deadly force with life-threatening injuries who need to be immediately rescued, as that is an AAE.
- D. Command Post – A post established by the Incident Commander to direct emergency operations. This may include where the Unified Command is located. The establishment of a Command Post is the responsibility of the local law enforcement agency with primary jurisdiction.
- E. Contact Team – A team formed by the first two (2) to five (5) law enforcement officers to arrive on scene who are capable of immediate response to an AAE. Contact Team formations are generally a 'T' or diamond formation that provide 360-degree security while advancing through unsecured areas to engage an active assailant. Contact Team formations and tactics are similar to Rescue Task Force formations. Based upon the circumstances, an agent shall take immediate action if they are the only person on scene. The agent shall not wait for another agent/officer/deputy to arrive to take immediate action to stop the threat.

- F. Hostage Situation – Incidents involving an act or potential act of violence where an innocent person(s) is being held against their will and may include a situation where the person is used as a bargaining tool or a "shield."
- G. Incident Commander -The Incident Commander is solely responsible for managing the entire incident, to include approval of the tactical plan, deployment of personnel, development of staff functions to control the incident, coordination of contributing agencies, ordering and releasing of resources, and the release of information pertaining to the incident. The Incident Commander shall be based at the Command Post. The Incident Commander shall be designated by the law enforcement agency having primary jurisdiction over the incident.
- H. Perimeters:
 - 1. Inner Perimeter – The immediate area of containment. This area is initially staffed by law enforcement who are first on scene, until relieved by SWAT or Tactical Team members.
 - 2. Outer Perimeter – A control area set up outside the Inner Perimeter to restrict crowds and traffic from accessing the Inner Perimeter and to create a safe zone. It is staffed by law enforcement officers. Gaming Enforcement Agents may be assigned to the outer perimeter to assist local law enforcement with crowd/traffic control.
- I. Single-Agent Response - A special agent who arrives at an AAE and determines that it is necessary to respond alone to engage the active assailant and neutralize the threat. This agent will use the best information available and advance toward the threat using agency trained tactics to engage the active assailant.
- J. SLERS – State Law Enforcement Radio System
- K. Threat - A situation where an individual is engaged in an active or on-going act of violence towards others, and there is the risk of serious bodily injury or death.
- L. Threat Suppression – The act to stop a threat to a person by locating, isolating, capturing or applying the lawful use of appropriate force against any person posing such threat.
- M. Unified Command (UC) – The integration of command personnel from responding agencies at a multi-jurisdictional or multi-agency operational event to enhance communication, planning, and logistics. Unified Commands are designed to integrate law enforcement, fire, EMS, and other essential personnel. Once a Unified Command is established, the Agency command

post and Incident Commander will be located within the Unified Command. Unified Command will be established by the agency having primary jurisdictional responsibility.

IV. Procedures

1. Objectives

- A. Law enforcement's most important obligation is the preservation of human life.
- B. It is therefore the policy of the Division of Gaming Enforcement to immediately and effectively respond to all reports of armed aggressive behavior involving acts of violence and take immediate action to stop the violence, or threat of violence and preserve life.
- C. Incidents involving active assailants are unpredictable and evolve rapidly. The immediate deployment of law enforcement officers to the incident location is essential in locating and eliminating the active assailant(s) and mitigating harm to victims.
- D. Every agent responding to an AAE shall take all action necessary to immediately stop the threat by locating, isolating, capturing, or applying lawful deadly force against any person posing an imminent threat to life.
- E. The decision to use deadly force must be based on the facts and circumstances known to the agent at the time the decision is made. When making the decision to use deadly force, agents shall consider the risk to innocent persons. The use of deadly force is governed by law and policy, including F.S. §§ 776.06, and 782.02.
- F. Nothing in this policy prohibits any Gaming Enforcement agent from taking any lawful, action to intervene in any AAE.

2. Duties and Responsibilities

A. Planning

- 1. The Division shall obtain and maintain floor plans, contact lists and all other pertinent information related to FGCC facilities and locations deemed to be high-risk targets for violence. Staging and contingency staging areas shall be determined for each FGCC facility.

B. 911 calls for Active Assailant

1. Personnel are to notify 911 advising of an incident that appears to be or has the potential to become an AAE, and personnel shall immediately notify the Division of Gaming Enforcement as soon as a location is known.

C. The Communications Center in the local jurisdiction shall determine the local agency response based on the communications center protocols.

D. Response

1. Upon notification of an AAE, all on-duty Special Agents shall immediately respond to the scene to eliminate the threat as a single officer response or as part of a contact team. All members responding to a suspected AAE shall do so in a direct, expedient, and prudent manner, considering the possibility of explosives, sniper attacks or other counter-assault tactics.
2. Special Agents shall assist local law enforcement agencies as necessary if notified an AAE is occurring in their jurisdiction.
3. The Director of Gaming Enforcement or designee will establish a Unified Command with responding law enforcement agencies utilizing Incident Command System (ICS) principles.

E. First Agent On-Scene

1. Determine if the event requires an AAE response or other law enforcement action.
2. Initiate a Single Agent Response if necessary.
3. All agents are expected to engage and neutralize an active assailant without delay and without regard for the presence of other law enforcement support.
4. Relay as much information as possible to responding units via radio.
5. Begin determining an initial deployment location on the scene.

F. Radio Communications

1. Agents will communicate via SLERS that an AAE is occurring.
2. Agents will provide as much information as possible to the SLERS dispatcher(s).

3. Agents will communicate via SLERS to responding state law enforcement officers as needed.

G. Deployment of a Single-Agent Response or a Contact Team

1. The purpose of a Single-Agent Response or a Contact Team is to stop the threat immediately.
2. A Single-Agent Response or a Contact Team will not conduct any rescue operations that adversely affect the threat suppression response goal. Rescue Task Forces will render aid to injured victims. Neither the Single-Agent Response nor the Contact Team will stop until they neutralize the active assailant(s).
3. A Contact Team can be formed using multiple single law enforcement responders who are already active inside the deployment area.
4. If not actively engaging the threat and/or once the threat is contained or eliminated, the Single-Agent Response or Contact Team will update their location and progress via radio. Efforts shall also be made to give locations of injured victims, casualties, suspicious or explosive devices, or any other pertinent information known to the officer or team.

H. Movement / Clearing

1. A Single-Agent Response or a Contact Team's movement past danger areas, over considerable distances, and through common areas should be done as quickly and safely as possible.
2. Agents' direction will be dictated by the stimulus. Special Agents should move toward the sound of gunfire, screams, or any other indicators of an active threat.
3. When agents encounter fleeing victims prior to stopping the active assailant, they should direct victims to evacuate via the path traveled by the Single-Agent Response or the Contact Team, unless it has been determined that the path is no longer safe.
4. The Single-Agent Response or the Contact Team should expect to encounter one or more of the following:
 - A. Mass confusion
 - B. Alarms
 - C. Smoke
 - D. Fires

- E. Sprinklers
 - F. Explosives
 - G. Seriously injured persons
 - H. Emotional extremes
 - I. Self-deploying law enforcement officers in various uniforms or civilian attire.
5. Agents' tactics will change dramatically upon determining that a tactical room entry is necessary.
 6. Basic room entries typically require at least two agents. This is possible in a Contact Team deployment. However, in the Single-Agent Response deployment, a threshold assessment should be done quickly before entering the room. When appropriate, the Single-Agent Response shall use appropriate tactics to clear the room without directly entering the room, since an agent can clear a large percentage of an average room using these tactics and then continue to move towards the active assailant.
 7. Once inside the room, movement should be directed immediately towards the active assailant. Agents are expected to engage the threat while moving and closing in.
 8. If a member is in fresh pursuit of the active assailant and the active assailant enters a room with potential victims, the member shall continue to pursue and eliminate the threat.

I. Threat Suppression

1. The Single-Agent Response or Contact Team's main objective is threat suppression.
2. If the situation changes from an AAE to a barricaded subject or hostage situation, then deploying agents should take up a close perimeter position around the area of the threat to isolate the incident and call for additional resources. However, just because active shooting has paused does not mean the incident is reclassified from an AAE to another type of situation and does not mean that tactics other than an active assailant response are appropriate. Each situation has to be evaluated on its merits and unique circumstances to determine the appropriate response.
3. If the situation changes into a hostage or barricade situation, Special Agents will relinquish control to the local law enforcement SWAT or other specialized response teams. Agents will continue to assist as required.

J. Mass Casualty Care / Rescue Task Forces

1. The objective of the Rescue Task Force (RTF) is to assess the condition of injured victims and extract them to the safest and most readily accessible area for treatment, which may be the Triage Post or a Casualty Collection Point. The Rescue Task Force is not formed to suppress the threat and is established by the local agency having primary jurisdictional authority.
2. Once in the area of greatest and most obvious mass casualty, the RTF should begin extracting victims. The EMS / Fire Rescue personnel of the RTF will direct this portion of the response.
3. Treatment coordination and transport of the victims should be directed by EMS / Fire Rescue personnel.

K. Inner Perimeter

1. The Inner Perimeter shall be posted with as many law enforcement personnel as determined necessary by the Incident Commander.
2. Only properly identified law enforcement or emergency medical personnel with an operational purpose shall be allowed to enter the Inner Perimeter.
3. Agents assigned to the Inner Perimeter must be aware that suspects may try to escape the scene while blending in with others who are fleeing.

L. Outer Perimeter

1. The Outer Perimeter includes the Command Post, Unified Command, Triage Post, Ambulance Exchange Post, Reunification Post (if onsite), Outside Agency Resources Staging Area, and Deceased Victim Staging Area. The aforementioned areas will be established and coordinated with the local law enforcement agency with jurisdictional authority over the incident.
2. The Command Post/Incident Commander is responsible for the Outer Perimeter.

3. Equipment

- A. All on-scene agents shall wear a uniform or have attire with markings easily identifiable as a law enforcement officer.

- B. Special Agents shall wear approved body armor. Failure to wear body armor does not affect any law enforcement officer's duty to act and shall not delay any immediate response.
- C. Easily deployable personal first aid equipment is recommended for all special agents.
- D. Agency-issued or approved personally owned rifles and/or carbines shall be deployed when there is a tactical benefit.
- E. All deploying officers shall have with them a law enforcement radio and a cell phone, when possible, for communication.

4. Overall Command and Control

- A. The Incident Commander shall have the responsibility to determine when to transition the operation from a tactical command to an investigative command.

5. Reporting

- A. All Special Agents involved in the incident shall complete an incident report in the current agency case management system and any other reports requested by the agency having primary investigative or jurisdictional authority.
- B. The Director of Gaming Enforcement, or designee, shall order an after-action report to be completed within ten (10) days of the conclusion of any AAE incident.

5. Training

- A. The Division of Gaming Enforcement shall establish and conduct initial and refresher AAE training for all applicable sworn and non-sworn personnel. Initial training shall be conducted within ten (10) days of hiring and refresher training shall be conducted annually.
- B. Active Assailant Event training may be scenario based.
- C. An after action report shall be completed by the Training Section to evaluate the response to the AAE scenario-based training.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL
COMMISSION
ADMINISTRATIVE POLICIES AND
PROCEDURES**

TITLE: Travel

POLICY NUMBER

01.02.01

EFFECTIVE DATE:

Administration

REVISED:

AUTHORITY AND REFERENCE

Section 112.061; 215.422, Florida Statutes (F.S.)

Chapter 69I-42, Florida Administrative Code (F.A.C.)

Department of Financial Services (DFS) Reference Guide for State Expenditures

<https://www.myfloridacfo.com/docs-sf/accounting-and-auditing-libraries/state-agencies/reference-guide-for-state-expenditures.pdf>

Department of Management Services (DMS) Statewide Travel Management Services (STMS) User Manual

<https://www.dms.myflorida.com/content/download/142904/953408/STMS User Manual -June 2023.pdf>

Chief Financial Officer's (CFO) Travel Restrictions Imposed by Chapter 2022-157, Laws of Florida, Dated July 6, 2022

<https://www.flsenate.gov/Laws/Statutes/2022/Chapter157>

I. STATEMENT OF POLICY

Travel is performed and reimbursed as provided by [Section 112.061](#), Florida Statutes. Authorized travel expenses shall be promptly reimbursed in accordance with the Florida Gaming Control Commission's policies and procedures. This policy is applicable to all FGCC employees, any authorized persons, and to all travel

reimbursements. Travel should be processed through the Statewide Travel Management System (STMS). The STMS is the State of Florida's secure, cloud-based travel management solution for tracking and processing travel authorizations and reimbursements.

It is the responsibility of all state employees to utilize the most economical method of travel for each trip, keeping in mind the nature of the business, the most effective and economical means of travel (considering time of the travel, cost of transportation and per diem or subsistence required), and the number of persons making the trip and the amount of equipment or material to be transported.

An Authorization to Incur Travel Expenses should be completed for all trips with the exception of routine travel by field auditors and inspectors related to their duties. To be sure that the most economical method of travel is being used, the employee should discuss the travel methods with FGCC travel auditor prior to submission to confirm. Authorization to Incur Travel Expenses form for conferences, conventions, speaking engagements, and out-of-state travel must have approval from the Executive Director (EXE).

FGCC has a separate policy for the FGCC Fleet. Please refer to the fleet policy-01.03.01 for complete information.

II. PURPOSE

To explain the procedures for obtaining authorization and reimbursement of travel expenses.

III. TRAVEL TERMS

- A. **Agency Head** - The highest policymaking authority of a public agency.
- B. **Authorized Person** - A person other than a public officer or Commission employee who is authorized by the agency head or designee to incur travel expenses in the performance of official duties, a person who is called upon by the Commission to contribute time and services as consultant or advisor, or a person who is a candidate for an executive or professional position. [Section 112.061\(2\)\(e\), F.S.](#)
- C. **Class A Travel** - Continuous travel of more than 24 hours or more away from official headquarters. [Section 112.01\(2\)\(k\), F.S.](#)
- D. **Class B Travel** - Continuous travel of less than 24 hours which involves overnight absence from official headquarters. [Section 112.01\(2\)\(l\), F.S.](#)

- E. **Class C Travel** - Travel for short or day trips where the traveler is not away from his or her official headquarters overnight (NOTE: [Section 112.061\(15\), F.S.](#), states “Moneys appropriated from the State Treasury may not be used to pay per diem or subsistence related to Class C Travel.” [See Rule 112.01(3)(m), F.A.C.]
- F. **Common Carrier** - Common carrier includes trains, buses, commercial airlines operating scheduled flights, or rental cars of established rental car firms.
- G. **Conference** - The coming together of persons with a common interest or interests for the purpose of deliberation, exchange of views or for the removal of differences or disputes, and for discussion of their common problems and interests. A conference may also be termed as being similar meetings such as seminars and workshops which are large, formal group meetings that are programmed and supervised to accomplish intensive research, study, discussion, and work in some specific field or on a governmental problem or problems. [\[See Rule 69I-42.002 \(3\), F.A.C.\]](#)
- H. **Convention** - An assembly of a group of persons representing persons and groups, coming together for the accomplishment of a purpose of interest to a larger group or groups. A convention does not mean the coming together of agency or interagency personnel. [\[See Rule 69I-42.002 \(4\), F.A.C.\]](#)
- I. **Designee** - Designee means an employee who has been delegated authority to approve the Authorization to Incur Travel Expenses and the Voucher for Reimbursement of Travel Expenses forms.
- J. **Emergency Notice** - Written or oral notification to the traveler of less than 24 hours prior to scheduled departure. [\[See Rule 69I-42.002\(5\), F.A.C.\]](#)
- K. **Emergency Situation** - Circumstances in which there is an immediate danger or a threat of immediate danger to the public health, safety, or welfare, or of other substantial loss to the state. [\[See Rule 69I-42.002\(6\), F.A.C.\]](#)
- L. **Employee or Public Employee** - An individual, whether commissioned or not, other than an officer or authorized person as defined in [Section 112.061\(2\)\(c\), F.S.](#), and [Section 112.061\(2\)\(e\), F.S.](#), who is filling a regular or full-time authorized position and is responsible to the agency head.
- M. **Meal Allowance** - The following amounts authorized by [Section 112.061\(6\)\(b\), F.S.](#), for each meal during the travel period:

| | Amounts | Travel Begins Before | Travel Extends Beyond |
|-----------|---------|----------------------|-----------------------|
| Breakfast | \$6.00 | 6 a.m. | 8 a.m. |
| Lunch | \$11.00 | 12 p.m. | 2 p.m. |
| Dinner | \$19.00 | 6 p.m. | 8 p.m. |

- N. **Meeting** - A gathering of Commission personnel called by a Commissioner, the

Executive Director, a division director/office head, or any other administrator for the discussion and study of common problems or interests. A meeting may be in the form of a management review, seminar, or workshop not deemed to be either a convention or a conference.

- O. **Most Economical Class of Transportation** - The class having the lowest fare which is available.
- P. **Most Economical Method of Travel** - The mode of transportation (state-owned vehicle, privately owned vehicle, common carrier, etc.) designated by the agency head or designee in accordance with criteria prescribed by [Section 112.061\(7\)\(a\), F.S.](#)
- Q. **Nonbusiness Day** - For a Commission employee, a weekend or an authorized state holiday; for an authorized person, a day on which such person was not scheduled to be performing service or contributing time to the Commission.
- R. **Officer or Public Officer** - An individual who in the performance of his or her official duties are vested by law with sovereign powers of government and who is either elected by the people, or commissioned by the Governor and has jurisdiction extending throughout the state, or any person lawfully serving instead of either of the foregoing two classes of individuals as initial designee or successor.
- T. **Per Diem Rate** - The amount as authorized by [Section 112.061\(6\)\(a\), F.S.](#) (\$80 per day)
- U. **Personal Time** - Time outside the regular work hours of a business day, a nonbusiness day, or a day for which an officer or employee had prior approval for leave of absence.
- V. **Physically Handicapped** - Any person diagnosed as having a physical disability, including but not limited to blindness, or the loss of one or more life functions leaving that person mobility-impaired (or sensory-impaired) requiring the use of trained animal companions or prosthetic equipment, including, but not limited to, crutches, walkers, canes, or wheelchairs.
- W. **Point of Origin** - The geographic location of the traveler's official headquarters or the geographic location where travel begins, whichever is the lesser distance from the destination. (Refer to Attorney General's Opinion 75-275)
- X. **Routine Travel** - Travel which is performed on a day-to-day basis within a specified geographical area as a part of an employee's normal work assignment.
- Y. **Statewide Travel Management Services (STMS)** - the State of Florida's secure, cloud-based travel management solution for tracking and processing travel authorizations and reimbursements.
- Z. **Travel Auditor (FGCC)** – STMS Travel Auditor works in the Division of Administration and is the person that answers travel questions and audits travel

vouchers through STMS.

- AA. **Travel Agency Surcharge** - Assessment made by travel agencies for the use of their services.
- BB. **Travel Agent** - One Administrative Assistant (AA) employee in each unit will be assigned the responsibility of acting as the travel agent for authorized travel.
- CC. **Travel Day** - A period of 24 hours consisting of four quarters of six hours each.
- DD. **Traveler** - A public officer, public employee, or authorized person performing authorized travel.
- EE. **Travel Expense** - The usual ordinary and incidental expenditures incurred by a traveler.
- FF. **Travel Period** - The period of time between the time of departure and time of return.

IV. AUTHORITY TO INCUR TRAVEL EXPENSES

- A. Travel must be authorized and approved by the Agency Head or designee in advance of travel. Employees whose position requires significant travel as part of their position are not required to complete an authorization to incur travel expenses for routine travel. However, Authorization to Incur Travel Expenses for conferences, conventions, speaking engagements, and out-of-state travel must have prior approval from the Executive Director (EXE).
- B. Travel expenses of travelers shall be limited to those expenses necessarily incurred by them in the performance of a public purpose authorized by law to be performed by the agency and must be within limitations prescribed by [Section 112.061, F.S.](#)
- C. Authorization to Incur Travel (in STMS) must be completed in advance for each employee attending a conference or convention. It will include a statement of the benefits to the State of Florida, all registration programs, agenda itemizing the registration fees, and any meals or lodging included in the registration fee.
- D. Travel expenses for public employees for the sole purpose of taking merit system or other job placement examinations, written or oral, will not be allowed, except upon written approval of the Executive Director. Written requests for executive or professional travel allowance should be forwarded directly to the Executive Director.
- E. Travel expenses of public officers or employees for the purpose of implementing, organizing, directing, coordinating, or administering, or supporting the implementation, organization, direction, coordination, or

administration of, activities related to or involving travel to a terrorist state shall not be allowed under any circumstances. For purposes of this section, "terrorist state" is defined as any state, country, or nation designated by the United States Department of State as a state sponsor of terrorism.

V. OFFICIAL HEADQUARTERS

The official headquarters of an officer or employee assigned to an office shall be in the city or town in which the office is located except that:

- A. The official headquarters of a person located in a place other than primary headquarters, the headquarters designation shall be in the next city or town nearest to the area where the majority of the traveler's work is performed unless designated by the agency head. Any such designation must be in the best interests of the agency.
- B. When any state employee is stationed in any city or town for a period of over 30 continuous workdays, such city or town shall be deemed to be the employee's official headquarters, and they shall not be allowed per diem or subsistence after the paid period of 30 continuous workdays has elapsed, unless this period of time is extended by the express approval of the agency head or his or her designee.
- C. A traveler may leave their assigned post to return home overnight, over the weekend, or during a holiday, but any time lost from regular duties shall be taken as annual leave and authorized in the usual manner. The traveler shall not be reimbursed for travel expenses in excess of the established rate for per diem allowable had the employee remained at his or her assigned post. However, when a traveler has been temporarily assigned away from their official headquarters for an approved period extending beyond 30 days, the traveler shall be entitled to reimbursement for travel expenses at the established rate of one round trip for each 30-day period taken to his or her home in addition to pay and allowances otherwise provided.

VI. COMPUTATION OF TRAVEL TIME FOR REIMBURSEMENT

- A. The travel day for Class A travel shall be a calendar day (midnight to midnight). A continuous travel of 24 hours or more away from official headquarters and is based on a calendar day.
- B. The travel day for Class B travel shall be less than 24 hours, which involves overnight absence from official headquarters.
- C. For Class A and Class B travel, the traveler shall be reimbursed one-fourth of

the authorized rate of per diem for each quarter, or fraction thereof, of the travel day included within the travel period. Class A and Class B travel shall include any assignment on official business outside of regular office hours and away from regular places of employment when it is considered reasonable and necessary to stay overnight and for which travel expenses are approved.

D. A traveler shall not be reimbursed on a per diem basis for Class C travel, but shall receive subsistence as provided:

1. Breakfast- When travel begins before 6 a.m. and extends beyond 8 a.m.
2. Lunch- When travel begins before 12 noon and extends beyond 2 p.m.
3. Dinner- When travel begins before 6 p.m. and extends beyond 8 p.m., or when travel occurs during nighttime hours due to special assignments.

VII. HOURS WORKED

While in travel status, a normal workday of eight hours shall be observed unless extra hours are approved by the employee's supervisor and are recorded on the employee's People First Time Sheet. In determining overtime, "work required to be performed" includes time spent in travel beyond the normal workday when the employee is in official travel status for which per diem is reimbursable under state law ([See Rule 60L-34.0031, F.A.C.](#))

VIII. TIMES OF DEPARTURE AND RETURN

The hours of departure and return should be specified as a.m. and p.m.; 12 o'clock noon should be "12 p.m." and 12 o'clock midnight should be "12 a.m." The hours reported as traveled must meet the criteria that the time allowed is "reasonable and necessary" to complete the official assignment. When traveling by air, travelers shall be allowed travel time to begin up to two hours prior to the scheduled departure time displayed on the airline ticket. Other than air travel, if more than an hour is needed, justification must be included on the travel voucher, e.g.:

1. Flight was late; therefore, the ticket does not reflect the actual return time.
2. Extra time was necessary due to an airline requirement that a passenger check in an hour before flight time.
3. Traveler had to wait in line to get luggage or check out of parking lot.

IX. RATES PER DIEM AND SUBSISTENCE ALLOWANCE

(These rates are based on fiscal year 23/24 information. Please verify [112.061 \(6\)\(b\), F.S.](#) for current rates)

A. All travelers shall be allowed for subsistence when traveling to a convention or conference or when traveling within or outside the state in order to conduct bona fide state business, which convention, conference, or business serves a direct and lawful public purpose with relation to the public agency served by the person attending such meeting or conducting such business, either of the following for each day of such travel at the option of the traveler:

1. The daily rate for Class A or B travel when overnight travel occurs is \$80.
2. The quarterly rate for Class A or Class B travel is \$20.

B. All travelers shall be allowed the following amounts for subsistence:

1. Breakfast- \$6 When travel begins before 6:00 a.m. and extends beyond 8:00 a.m.
2. Lunch- \$11 When travel begins before 12:00 noon and extends beyond 2:00 p.m.
3. Dinner- \$19 When travel begins before 6:00 p.m. and extends beyond 8:00 p.m.

X. CLAIMING HOTE/LODGING REIMBURSEMENT (The lodging rates are based on the Department of Financial Services (DFS), Chief Financial Officer's Memoranda for fiscal year 23/24. Please check the DFS website for updated rates)

When claiming reimbursement for lodging expenses, receipts must show the name and address of the establishment, the name of the traveler, the daily rate(s) actually paid showing a zero balance, the dates checked in and out and the number of persons who occupied the room. The lodging receipts must be uploaded in STMS with your reimbursement request. In addition, the following requirements must be met:

1. Each travel reimbursement or Purchasing Card charge that seeks payment for lodging associated with a meeting, conference, or convention organized or sponsored by an agency may not exceed the traveler's daily room rate of \$225., Dept. of Financial Services Agency Memo (Agency Addressed (No.2, 2023-2024) dated July 6, 2023, or most recent DFS agency memo. If lodging daily room rates exceed \$225 and there are no other reasonable options available, the traveler must obtain at least three comparable hotel quotes within a reasonable vicinity of meeting/work location and include this documentation to the agency head or designee to approve in advance by providing them in the Authorization to Incur Travel within STMS.
2. If the room was occupied by more than one person, the receipt must show

the single occupancy rate. Only the single occupancy rate can be reimbursed.

3. If there is a mandatory hotel parking charge, it should be included on the hotel receipt. This should be listed as a separate charge on the travel reimbursement form, and it should be stated "hotel parking mandatory".

XI. TRANSPORTATION

A. All travel must be by a usually traveled route. In case a person travels by an indirect route for his or her own convenience, any extra costs shall be borne by the traveler; and reimbursement for expenses shall be based only on such charges as would have been incurred by a usually traveled route. The agency head or his or her designee shall designate the most economical method of travel for each trip and keep in mind the nature of the business.

B. When it is more efficient and economical to either the traveler or the agency head, jet service offered by any airline, whether on state contract or not, may be used when the cost is within an approved threshold determined by the agency head or his or her designee.

C. Air Travel

1. Air Travel should be made well in advance to reduce the ticket cost, when possible. Except for extenuating circumstances, commercial air travel is to be by coach class. If discount carriers are used that have additional charges included for standard seating and/or overhead luggage, such charges should be acceptable when evaluating the overall value of the ticket when comparing ticket prices.
2. Off-peak, excursion, or other promotional fares should be used whenever practical. Travelers must obtain the lowest fare available considering such factors as per diem, subsistence, productivity, Saturday stay-over, and the amount of time required for travel scheduled departure time displayed on the airline ticket.
3. Non-Refundable Tickets - Travelers and supervisors should carefully evaluate the circumstances and risk of cancellation prior to purchase of non-refundable tickets to avoid or at least minimize the cancellation penalty on these tickets (e.g. event cancellation or rescheduling; illness to the traveler or immediate family; separation of employee). Employees have up to one year to use their non-refundable ticket and should use that ticket immediately toward their next trip.
4. Travelers are responsible for their own unused or lost tickets if they were obtained on a traveler's personal credit card.

5. Penalties for cancellation of airline tickets may be paid from State funds only if cause for cancellation is in the best interest of the state.
6. Charges for excess luggage may be allowed when the luggage is required for the performance of the duties of the job and adequate justification is provided.
7. Parking a vehicle at an airport for the duration of a trip by air travel is allowed.
8. Travelers that do not park vehicles at the airport for the duration of a trip may claim mileage from home or office (8 miles), to and from the airport when performing authorized travel.

D. Rental Car

1. State of Florida maintains a statewide car rental contract. Arrangements for rental cars should be made in advance by the traveler or preparer.
 2. The FGCC-approved rental vehicle class is class "C" or Compact unless upgraded by the state rental car contractor at no additional cost.
 3. If a trip includes two or more travelers, the traveler may upgrade the car class and be paid by the FGCC.
 4. In accordance with subsection [212.08\(6\), F.S.](#), only in-state business use rentals that are billed directly to the customer by use of a state purchasing card (P-Card), purchase order, or by a preapproved method of payment are tax-exempt. Tax exemption will not apply to rentals when travelers use personal funds for payment, including cash, checks, or credit cards.
- E. The use of privately-owned vehicles for official travel in lieu of publicly owned vehicles (fleet) or common carriers may be authorized by the agency head or his or her designee on a case-by-case basis. Employees who use their personal vehicle for official state business must have insurance that meets state insurance requirements of \$10,000 personal injury protection and \$10,000 property damage liability insurance. Commissioners and employees who are authorized to use their private vehicles for official Commission travel will be covered by the state risk management program, and the state will provide defense counsel if the employee is sued for injuries or damages caused to others while driving their private vehicle provided that the accident occurs while the employee is acting within the scope of their employment. If, however, the accident occurs while the employee is acting outside the scope of their employment or if it is a result of the employee acting in bad faith, or with malicious purpose, or in a manner exhibiting wanton and willful disregard of

human rights, safety, or property, the state coverage will not apply. In addition, state coverage will not cover the cost to repair the employee's private automobile or the employee's medical expenses as a result of an accident occurring in the employee's private automobile, whether or not the employee is acting within the scope of their employment.

F. Whenever travel is by privately owned vehicle:

1. A traveler shall be entitled to a mileage allowance at a rate of 44.5 cents per mile; or
2. A traveler shall be entitled to the common carrier fare for such travel if determined by the agency head to be more economical.

G. Reimbursement for expenditures related to the operation, maintenance, and ownership of a vehicle shall not be allowed when privately owned vehicles are used for public business and reimbursement is made, except for:

- Taxi fare
- Ferry fares; bridge, road, and tunnel tolls
- Storage or parking fees
- Communication expense

H. No traveler shall be allowed either mileage or transportation expense when transported by another traveler who is entitled to mileage or transportation expense. However, a traveler on a private aircraft shall be reimbursed the actual amount charged and paid for the fare for such transportation up to the cost of a commercial airline ticket for the same flight, even though the owner or pilot of such aircraft is also entitled to transportation expense for the same flight.

I. Two-or three-wheeled vehicles may not be used for travel in an official capacity unless prior approval is obtained in writing from the Executive Director or designee and uploaded in the STMS system.

XII. INCIDENTAL TRAVEL EXPENSES

A. Limousine and Taxi Fare: Reimbursement for limousine and taxi fare is limited to reasonable amounts for transportation to and from the office and airport. Receipts are required for fares in excess of \$25 each. Limousine services should not be used instead of taxi service unless it can be shown that it is the more economical method.

B. Ferry Fare and Bridge, Road, and Tunnel Tolls: Receipts are required for

items in excess of \$25.

C. Tips and Gratuities: Reasonable tips and gratuities may be reimbursed to the traveler as per the following:

1. Actual tips paid to taxi or Uber drivers shall not exceed 15% of the fare.
2. Actual amount paid for mandatory valet parking which shall not exceed \$1 per occasion, and which was incurred in the performance of public business. Actual portage charges paid which shall not exceed \$1 per bag not to exceed a total of \$5.

D. Other Incidental Expenses: Other incidental travel expenses of the traveler may be reimbursed upon presentation of a receipt as follows:

1. Actual laundry, pressing, and dry-cleaning expenses when official travel extends beyond seven days and such expenses are necessarily incurred to complete the official business portion of the trip.
2. Actual passport and visa fees required for official travel.
3. Actual fee charged to exchange currency necessary to pay official travel expenses.
4. Receipts for baggage fees are required. Baggage fees for more than one bag must be justified.

E. Non-reimbursable Expenses:

1. Fines for traffic violations.
2. Expenses related to lost keys or keys locked in a vehicle.
3. Tips made to housekeeping

XIII. MAP MILEAGE CLAIMED

A. Map mileage shall be computed based on the official state road map issued by the Department of Transportation. Map mileage may also be obtained through the website: <https://fdotewp1.dot.state.fl.us/CityToCityMileage/viewer.aspx>.

B. Reasonable vicinity mileage necessary for the conduct of official business is allowable but is shown as a separate item on the expense voucher. Claiming mileage to travel to the airport from office, which is eight (8) miles of home, whichever is less, is permitted when performing authorized travel. Vicinity mileage is computed on actual additional mileage incurred.

- C. If a person travels by an indirect route for his/her own convenience, any extra cost shall be borne by the traveler and reimbursement for expenses shall be based only on such charges as would have been incurred by a usually traveled route.

XIV. UNUSUAL TRAVEL EVENTS

If unusual events occur during a trip, employees should prepare a memo and explain the circumstances surrounding the unusual event to assist auditor comprehension.

If an employee becomes ill while traveling and cannot perform assigned duties, the employee and supervisor must decide whether it is likely to be less costly to the State to return the employee to official headquarters immediately or for the employee to ride out the illness away from official headquarters. If a decision is made for the employee to ride out the illness away from official headquarters, the employee shall continue to receive subsistence during this period of illness or injury until such time as the employee is able to perform the official duties or return to official headquarters, whichever is earlier. The employee's People First Time Sheet should also reflect "sick leave" for that period.

XV. OTHER GENERAL INFORMATION REGARDING TRAVEL

A. Immediate vicinity of headquarters

Reimbursement may not be made for per diem or meals for day trips where the traveler is not away from official headquarters overnight.

B. Overnight travel versus return to headquarters

1. When an employee is working at a location away from headquarters at the close of business on one day and is required to be at or near the same location on the following day, the decision whether to return to headquarters for the night should be based on the relative expense involving round-trip mileage or common carrier expense versus per diem or actual lodging plus meals. Round-trip mileage or common carrier expense in such cases may not exceed the per diem rate or actual lodging plus meals.
2. A traveler may not claim per diem or reimbursement for lodging for overnight travel within 50 miles of his/her headquarters or residence, unless the circumstances necessitating such overnight travel are fully explained by the traveler and approved by the Agency Head. Criteria for approval shall include late night or early morning job responsibilities and

excessive travel time because of traffic conditions.

C. Absence from duty while in travel status

When absent from official duty while in a travel status, (including annual leave, weekends, and holidays) the exact hours of beginning and ending such absence must be shown within STMS. Per diem rate will not apply during leave. It shall be the responsibility of the employee's supervisor to ensure the hours worked and leave taken agree with the People First Time Sheet. (Attorney General's Opinion 076-46)

D. Request for reimbursement of travel expenses related to an emergency situation

Requests for reimbursement of the emergency situations stated below must be presented in writing to the Director of Administration or designee, who will forward them to the Chief, Bureau of Auditing, Department of Financial Services, 200 East Gaines Street, Tallahassee, Florida 32399-0355 for approval prior to being vouchered for payment.

When a public officer, employee, or authorized person away from his or her official headquarters on personal time is required to travel because of an emergency situation pertaining to official work-related business, the following shall apply:

1. The traveler may be reimbursed for travel expenses incurred when traveling from the actual point of origin to the point of destination, which may be the official headquarters.
2. If personal circumstances necessitate the traveler to return to the actual point of origin after the emergency situation has ended rather than returning to or staying at the official headquarters, the traveler may be reimbursed for travel expenses to return. For example, an individual on personal time in California whose official headquarters is Tallahassee is required to travel back to Tallahassee because of an emergency situation. If, due to personal circumstances, the individual is required to travel back to California after the emergency situation has ended instead of remaining in Tallahassee, the individual may be reimbursed for travel expenses to return to California.
3. If the traveler is able to return directly to or remain at official headquarters, the traveler may only be reimbursed the excess of the necessary actual travel expenses for the emergency situation over what would have incurred for the traveler's own personal convenience.
4. The traveler's reimbursement request of travel expenses claimed from an actual point of origin rather than the official headquarters shall contain an

explanation of the emergency situation that necessitated the travel from such point. Detail of the cost should be provided showing the net cost of what the traveler would have incurred against his actual cost of returning.

If an authorized traveler has incurred certain unrecoverable costs associated with personal plans and is unable to carry out such plans due to an emergency situation, such recoverable costs may be reimbursed by the agency. Requests for reimbursement must provide the circumstances of the emergency situation.

E. General requirements for reimbursement of Expenditures by physically handicapped travelers

When a physically handicapped traveler incurs travel expenses in excess of those ordinarily authorized pursuant to the travel law and rules, and such excess travel expenses were incurred to permit the safe travel of that handicapped traveler, those excess expenses will be reimbursed by the Commission to the extent that the expenses were reasonable and necessary to the safe travel of the individual. All such claims for reimbursement of excess travel expenses shall be submitted with sufficient documentation to permit a proper audit.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Forms Management Program

POLICY NUMBER

01.06.01

Administration

EFFECTIVE DATE:

REVISED:

AUTHORITY

[Section 20.05 and 120.52\(16\), Florida Statutes](#)

I. STATEMENT OF POLICY

The Florida Gaming Control Commission (FGCC) shall establish and maintain an effective Forms Management Program. Forms management is the design, production, and use of forms to promote economy and efficiency. Any employee of the FGCC may recommend the creation, revision, consolidation, or elimination of a form. The Division of Gaming Enforcement will manage forms separately and are not subject to this policy.

II. PURPOSE

To establish throughout the FGCC a uniform procedure for creating, revising, consolidating, eliminating, and tracking forms.

III. DEFINITIONS

- A. **Forms Coordinator (FC):** The person responsible for coordinating the management of forms within their division, bureau, or section. This person will assist their division, bureau, or section employees in all matters relating to forms and forms management and will be responsible for reviewing and updating their division, bureau, or section forms at the designated time of review.
- B. **Form:** A document created, revised, or reproduced which has a space for insertion of information and is exchanged for review or use.
- C. **Form Number:** A unique, identifiable number assigned to a form. Form numbers are used for inventory, ordering, tracking, and reference in written procedures and rules.
- D. **Forms Management Administrator (FMA):** The person designated within the division of Administration who is responsible for administering the Forms Management Program and who is responsible for approval/disapproval as to the need, format, design, and usability of proposed new forms, revisions of existing forms, consolidation of existing forms, and elimination of existing forms for the FGCC. Additionally, the FMA shall ensure that forms are organized in a clear and logical manner and are easily accessible by employees on the FGCC's intranet site and/or by the public on the FGCC's internet site.
- E. **Forms Manager (FM):** The person designated by the FMA to assess the format, design, structure, and usability of proposed, revised, and consolidated forms for the FGCC. Additionally, this person is responsible for assigning form numbers, tracking form versions, and submitting requests to the respective FC for the bi-annual review of all FGCC forms to ensure completeness and accuracy.
- F. **Forms Management Program:** A program designed for the planned and systematic control of all FGCC forms from creation through completion. The Division of Administration maintains responsibility over this Program.

IV. ELECTRONIC FORMS APPROVAL PROCEDURES

- A. The FC will prepare a legible, working copy of the new, revised, or consolidated form. In the case of requesting the elimination of a form, the latest version of the form to be eliminated will serve as the working copy. A completed Forms Identification and Authorization to Print Form together with the working copy of the form should be submitted by the FC to the Division Director for review and approval.

B. Upon approval from the Division Director, the FC will forward the working copy of the form and the Forms Identification and Authorization to Print Form to the FM. In the case of a request to eliminate a form, the FM will track the proposed elimination and will forward the form and the Forms Identification and Authorization to Print Form to the FMA for review and approval. In the case of a proposed, revised, or consolidated form, the FM will assign a new form number to the form and will review the form to ensure that it meets the established agency guidelines for formatting and usability. The agency guidelines are as follows:

1. All forms must be formatted in the version of MS Word or Excel widely used by the FGCC.
2. All forms should be properly formatted with form fields that can be completed on the computer without compromising the integrity of the form.
3. The font used on the form should be Arial or Times New Roman with a point size no greater than 12 pt. and no smaller than 10 pt. Times New Roman or 8 pt. Arial.
4. The forms header should include the FGCC logo, agency name, and the applicable division, bureau, and/or section name in a point size no greater than 16 pt. and no smaller than 10 pt. and should be flushed left at the top of all forms.
5. The title, containing eight words or less and which indicates the purpose and function of the form, should be readily identifiable without interfering with the form's data. This title is to be centered at the top of the form in a font size no greater than 14 pt. on the first page and placed in the header of each additional page of the form in a 10 pt. font size.
6. The form must have the form number it was assigned appropriately formatted (FGCC-##.###.##) and inserted in the lower left corner (footer) of each page. The first two numbers of the form number represent the division, bureau, or section, the middle three numbers represent the unique numerical sequence of the form, and the last two characters represent the version.

Example: FGCC-01.001.01

FGCC – Form Number – First two digits

| | |
|----|--------------------------------|
| 00 | Commission/Executive Direction |
| 01 | Administration |
| 02 | Law Enforcement |
| 03 | Human Resource Management |

| | |
|----|---------------------------|
| 04 | Procurement and Contracts |
| 05 | Financial Services/Budget |
| 06 | General Counsel |
| 07 | Information Technology |
| 08 | Inspector General |
| 09 | Pari-mutual Wagering |

7. Page numbers should be placed in the middle of the footer (Example: Page 1 of 1) for every page of the form.
 8. The form's margins should be no less than ¾ inch and no more than 1½ inch.
 9. Adequate spacing should be provided for each field, whether a form is filled out online or downloaded and filled out manually.
 10. All references and dates should be accurate.
 11. All forms should be checked for accurate spelling and grammar. Do not rely solely on spell or grammar check.
 12. Sufficient space should be provided for signatures, date lines, certifications, notarizing, etc.
- C. If a proposed, revised, or consolidated form does not meet the agency guidelines, the FM will work with the FC to make the necessary corrections.
 - D. Once the proposed, revised, or consolidated form meets the agency guidelines, the FM will forward the form and the Forms Identification and Authorization to Print Form to the FMA for review and approval.
 - E. If the creation, revision, consolidation, or elimination of the form is approved by the FMA, the FMA will then forward the form and the Forms Identification and Authorization to Print Form to the General Counsel's office. If not approved by the FMA, the form and the Forms Identification and Authorization to Print Form will be returned to the FM with the FMA's comments, and the FM will route the form along with the FMA's comments to the FC.
 - F. Once the form and the Forms Identification and Authorization to Print Form is received by the General Counsel's office, they will review the form to determine if the form requires a rule or a rule change. If the form requires a rule or a rule change, the General Counsel's office will then work with the FM and the FC in conjunction with the respective Division Director to develop the rule or the rule change as needed. Once the rule is developed or changed, the General Counsel's office will secure the General Counsel's approval and will return the

approved form and the Forms Identification and Authorization to Print Form to the FM for tracking.

If the form does not require a rule or a rule change, it will be approved by the General Counsel's office for immediate implementation and returned to the FM for tracking.

- G. The FM will then forward the approved form and the Forms Identification and Authorization to Print Form to the FMA for use and publication to the FGCC's intranet/internet site(s).

V. MINOR REVISIONS

Approval of minor revisions to a form already in use will require only the approval of the affected Division Director and the FMA. Minor revisions include updates required by the CFO as well as other changes that do not affect the actual content of the form or create any changes to the requested information.

Adopted by the Florida Gaming Control Commission on:

Lou Trombetta, Executive Director

Date

11. Executive Director Update

BRAND DEVELOPMENT CONCEPTS FOURTH ROUND

CREATED FOR
FLORIDA GAMING CONTROL COMMISSION

CREATED BY
223 AGENCY

PRESENTED ON
OCTOBER 2, 2023

NOT FOR MASS DISTRIBUTION

GUIDING PRINCIPLES

1. Modernized, yet timeless.
2. Cleanliness leads to versatility.
3. Elevate the State.
4. Established & engaged enforcement.
5. Strength through clarity.

OPTION K



**Florida Gaming
Control Commission**
flgaming.gov

OPTION K DETAILS



Florida Gaming Control Commission

flgaming.gov

STARS

Stars are often associated with authority and power. They represent the power and responsibility of officers to enforce the law and maintain order. The star also symbolizes leadership. It signifies that the officers wearing the badge are leaders in their agency and are responsible for guiding and protecting others.

COLOR SCHEME

Blue is a stable and calming color. It represents the stability and security that law enforcement provides to the state, ensuring peace and safety.

Gold is a color associated with excellence and achievement. It can signify the high standards, professionalism, and excellence upheld by the Commission.

WAVES

The waves symbolize the unity and collaboration among the Commission. Just as individual waves come together to form a powerful force, officers work together cohesively to create a legal and just environment for everyone.

OPTION K VARIATIONS



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OPTION K VARIATIONS



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OPTION K VARIATIONS



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OPTION K USAGE



OPTION K USAGE



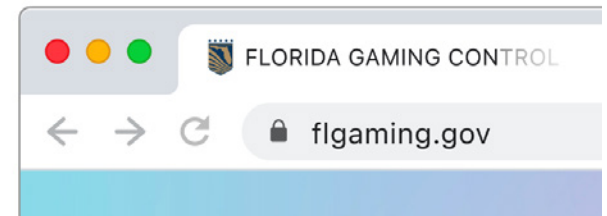
OPTION K USAGE



OPTION K USAGE



OPTION K USAGE



DEBRIEF

THOUGHTS? QUESTIONS?

NEXT STEPS

FEEDBACK LEADING TO
HONING SELECTED OPTION

Revisions in 10-14 business days for finalized designs, brand book, files.